

# Deep Learning Tutorial

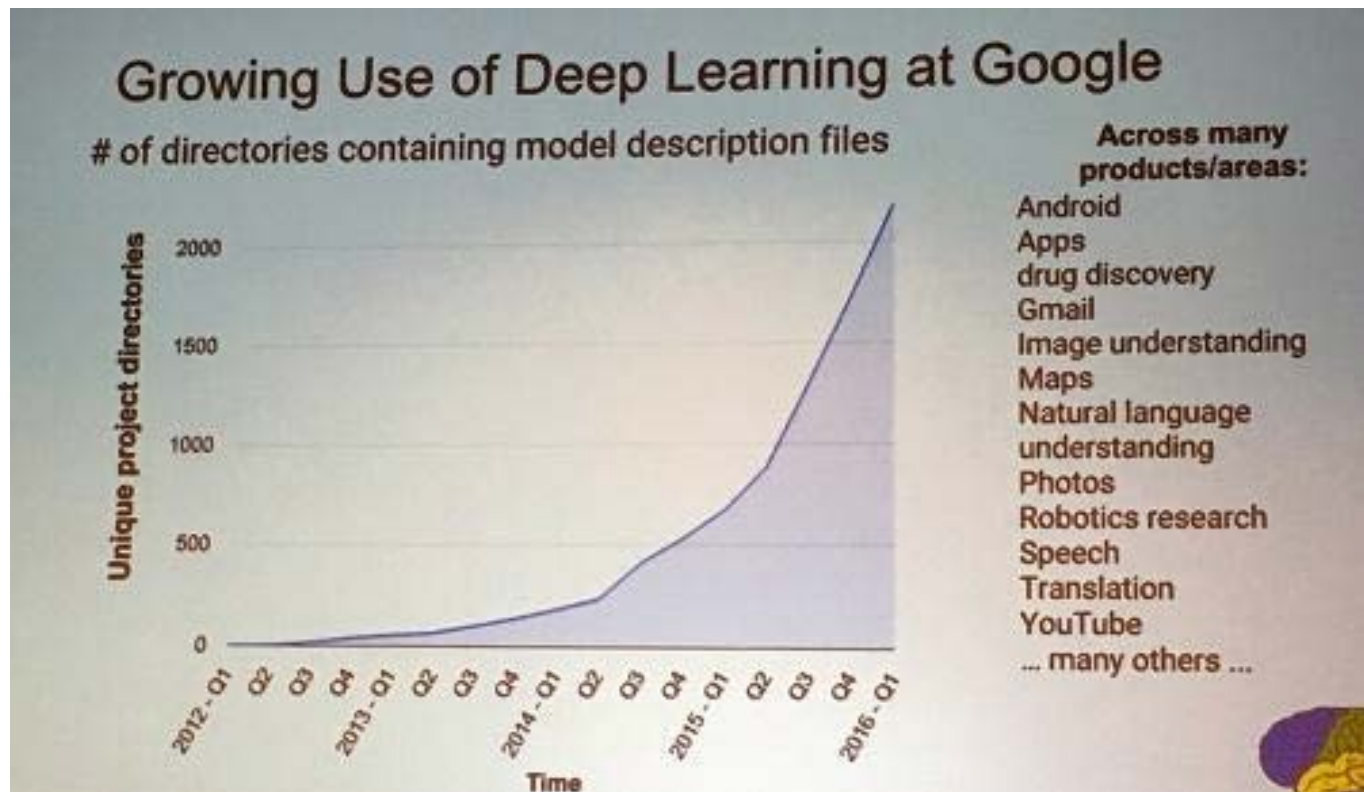
李宏毅

Hung-yi Lee

# Deep learning attracts lots of attention.

- I believe you have seen lots of exciting results before.

Deep learning trends at Google. Source: SIGMOD/Jeff Dean



This talk focuses on the basic techniques.

# Outline

Lecture I: Introduction of Deep Learning



Lecture II: Tips for Training Deep Neural Network



Lecture III: Variants of Neural Network



Lecture IV: Next Wave

# Lecture I:

# Introduction of Deep Learning



# Three Steps for Deep Learning



天生的腦



# Three Steps for Deep Learning



based on  
training data

# Three Steps for Deep Learning

- Speech Recognition

$$f^* \left( \text{audio waveform} \right) = \text{“你好”}$$

- Handwritten Recognition

$$f^* \left( \text{handwritten digit 2} \right) = \text{“2”}$$

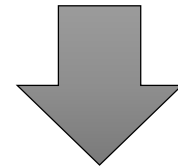
- Playing Go

$$f^* \left( \text{Go board state} \right) = \text{“5-5” (step)}$$

- Dialogue System

$$f^* \left( \begin{array}{l} \text{“Hi”} \\ \text{(what the user said)} \end{array} \right) = \begin{array}{l} \text{“Hello”} \\ \text{(system response)} \end{array}$$

Step 3:  
Learn!

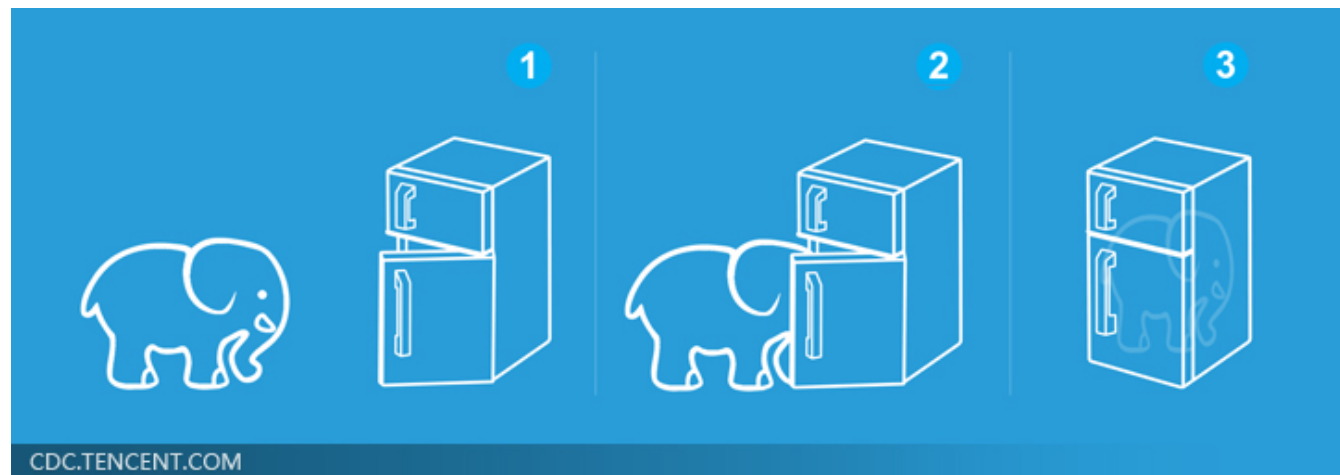


Pick the  
best  
function  $f^*$

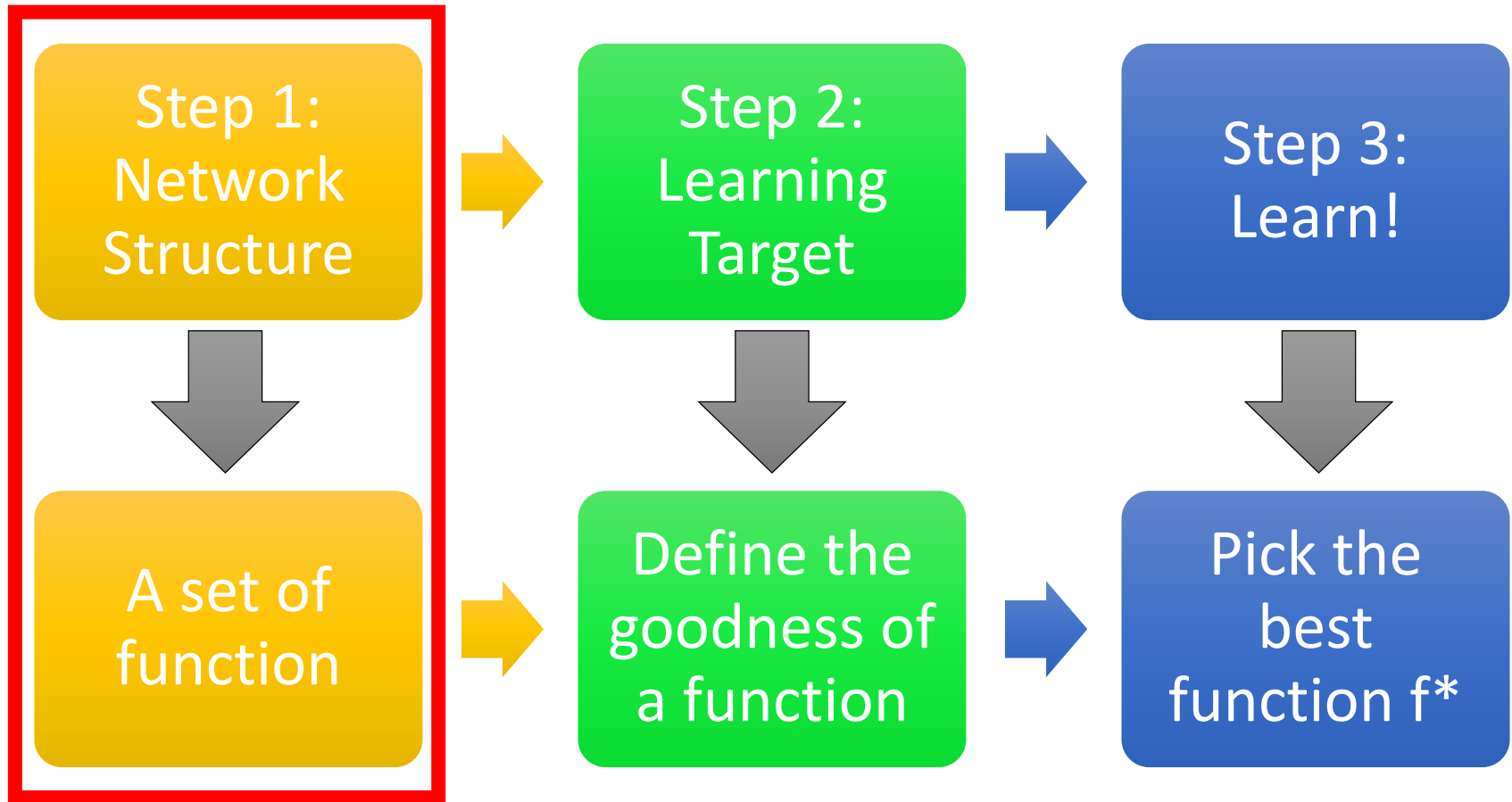
# Three Steps for Deep Learning



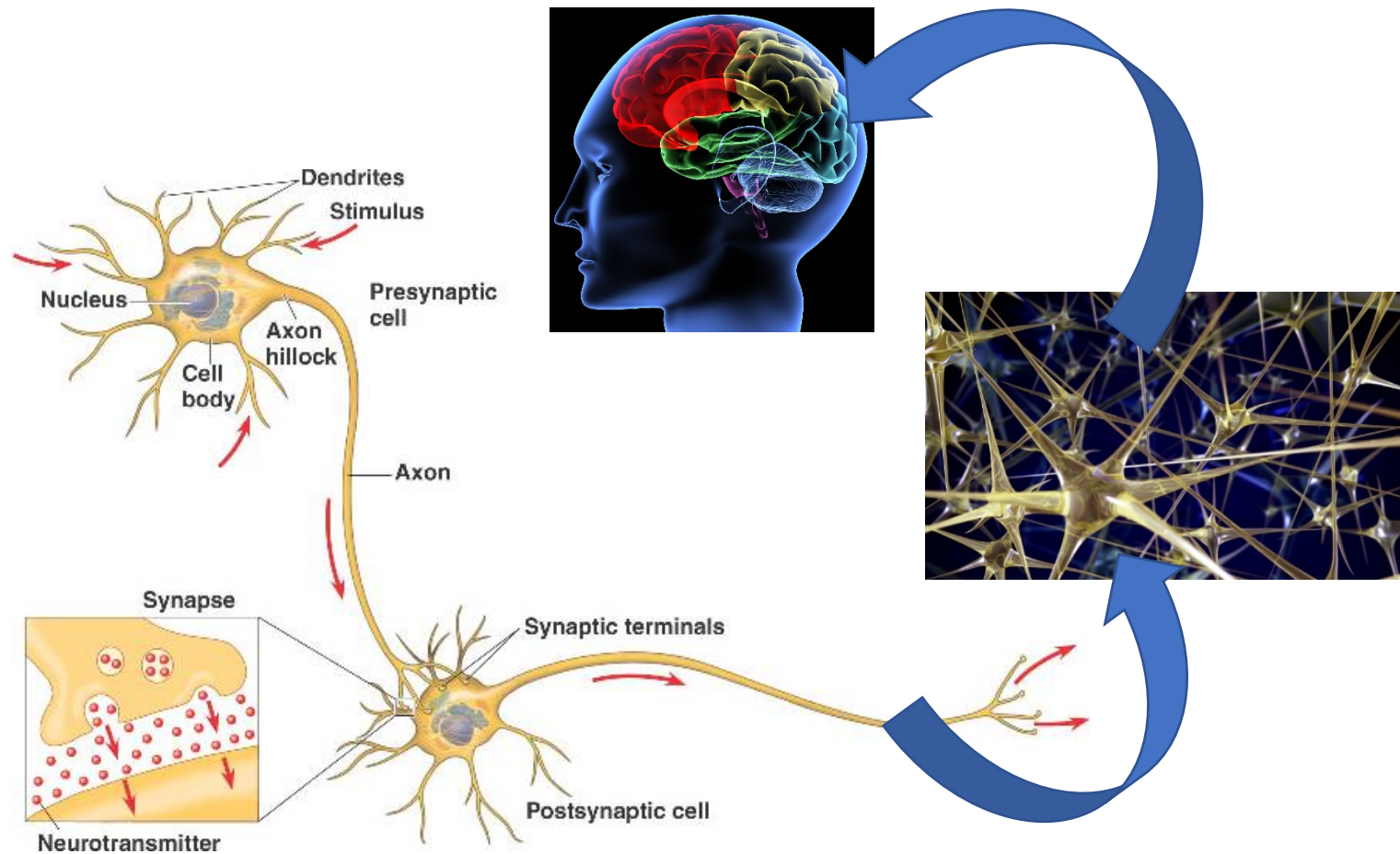
Deep Learning is so simple .....



# Three Steps for Deep Learning



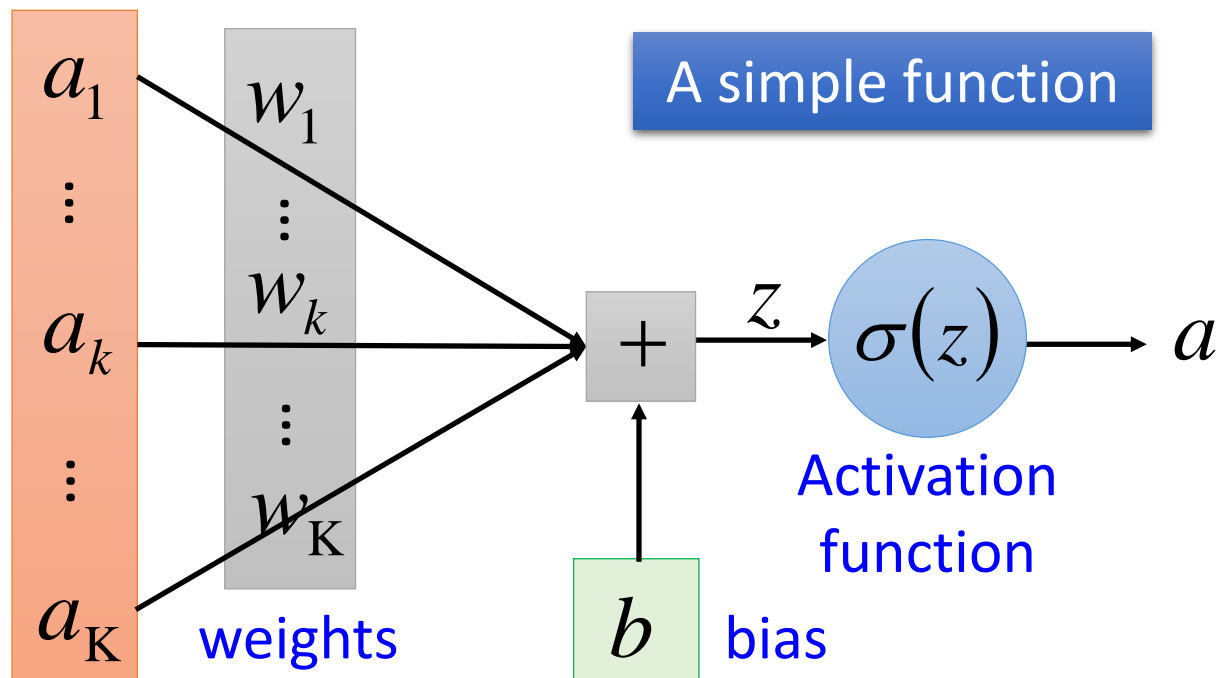
# Human Brains



# Neural Network

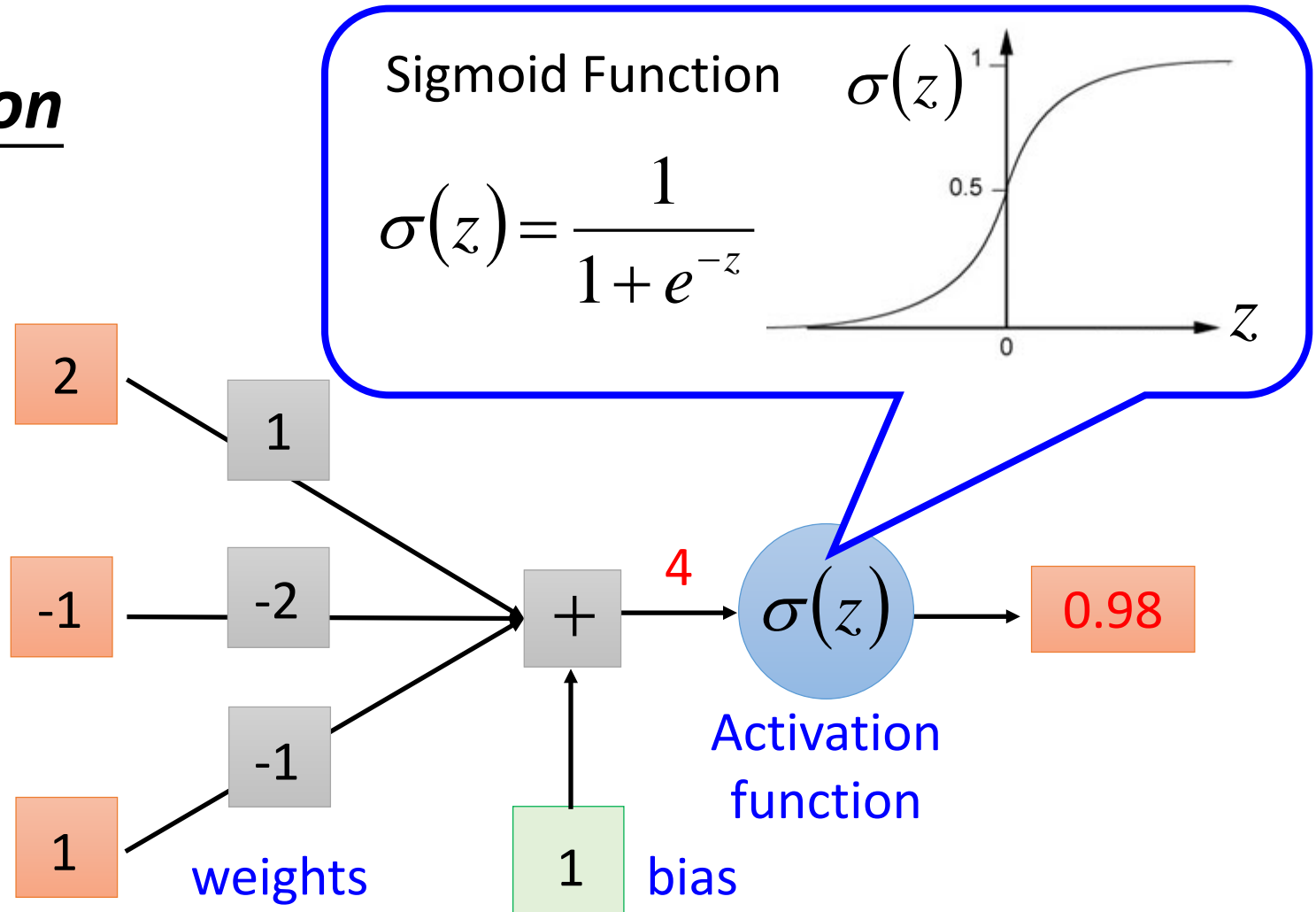
## Neuron

$$z = a_1 w_1 + \cdots + a_k w_k + \cdots + a_K w_K + b$$



# Neural Network

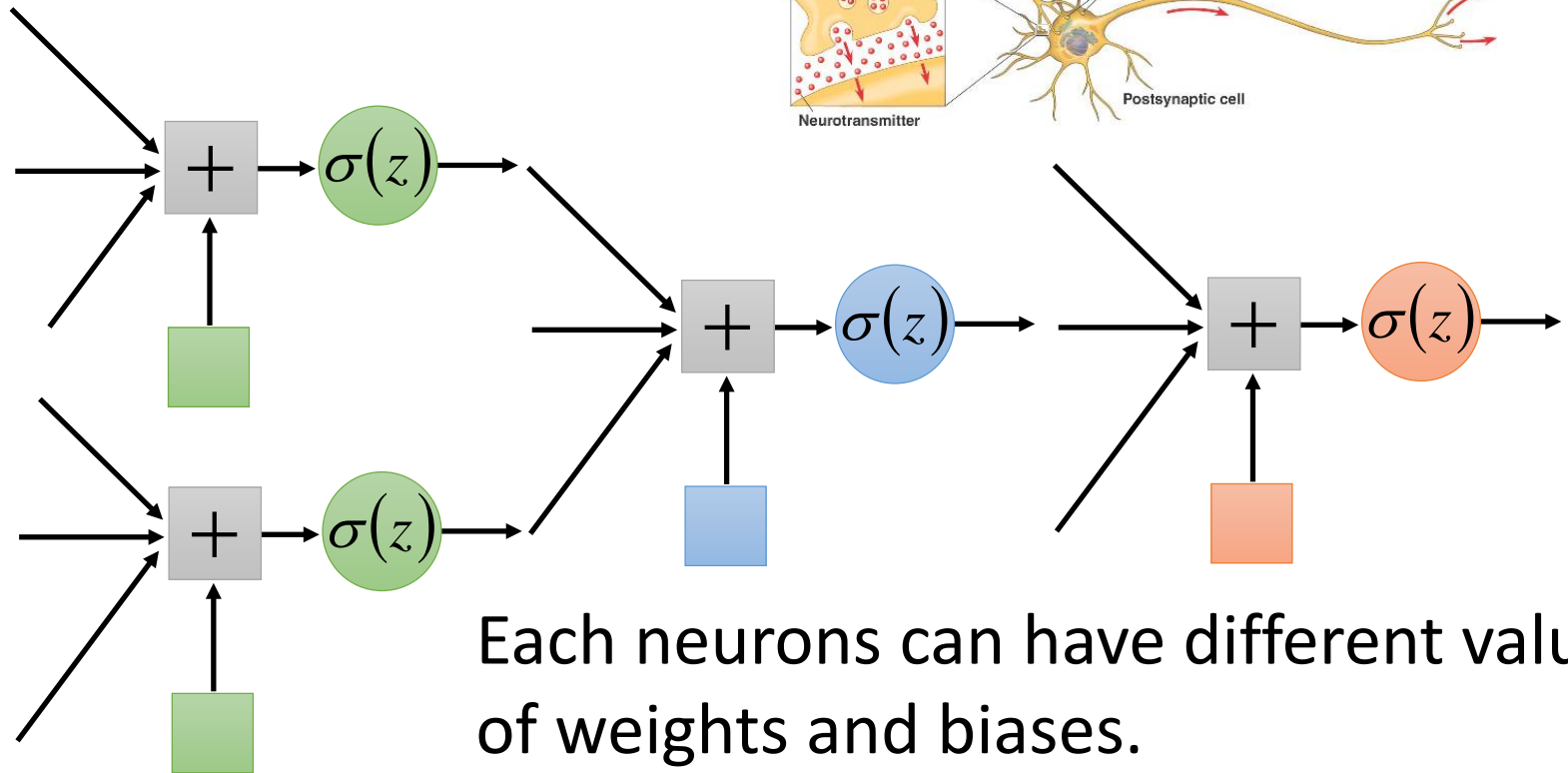
## Neuron





# Neural Network

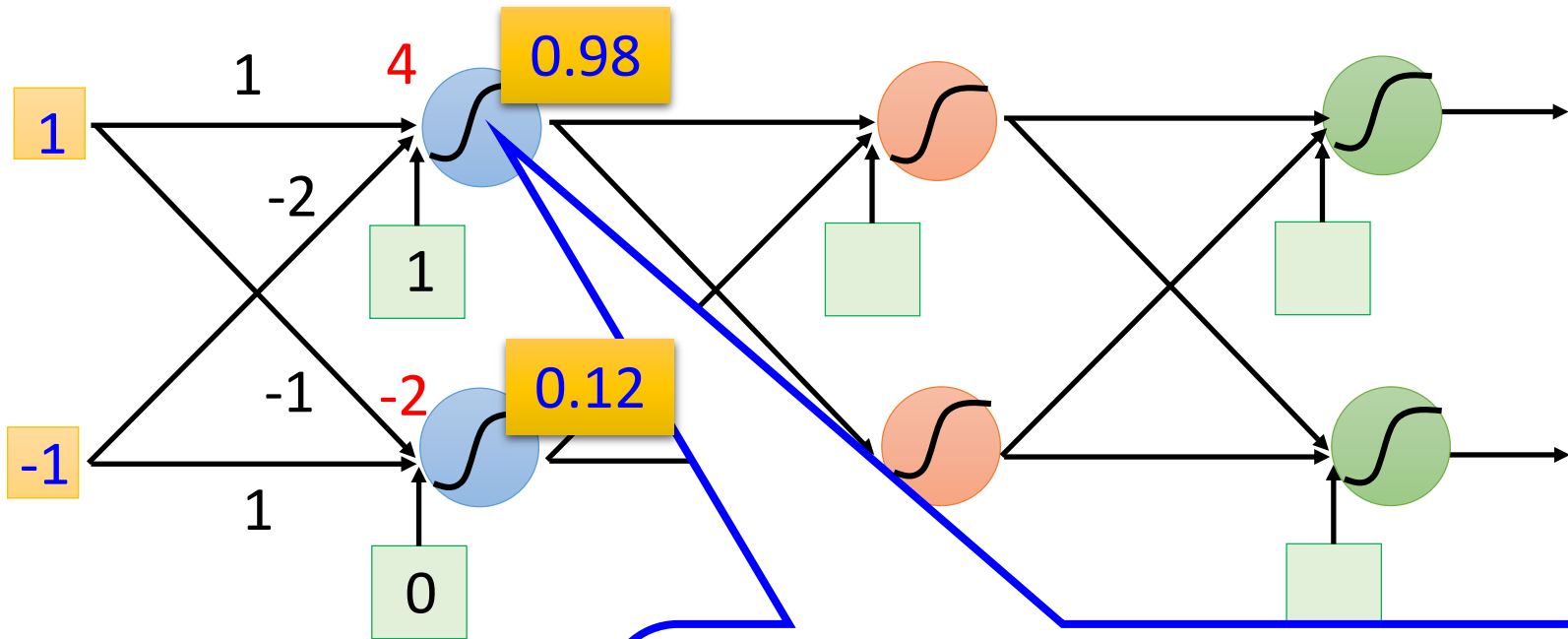
Different connections leads to different network structured



Each neurons can have different values of weights and biases.

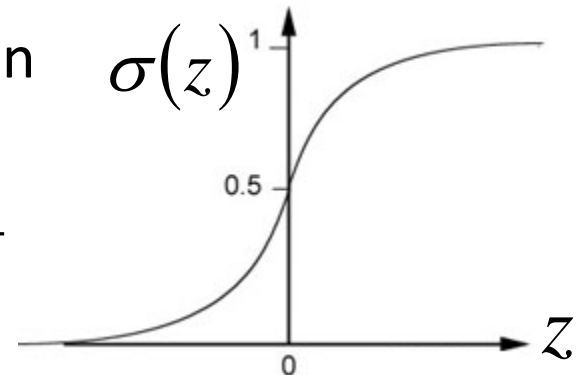
Weights and biases are network parameters  $\theta$

# Fully Connect Feedforward Network

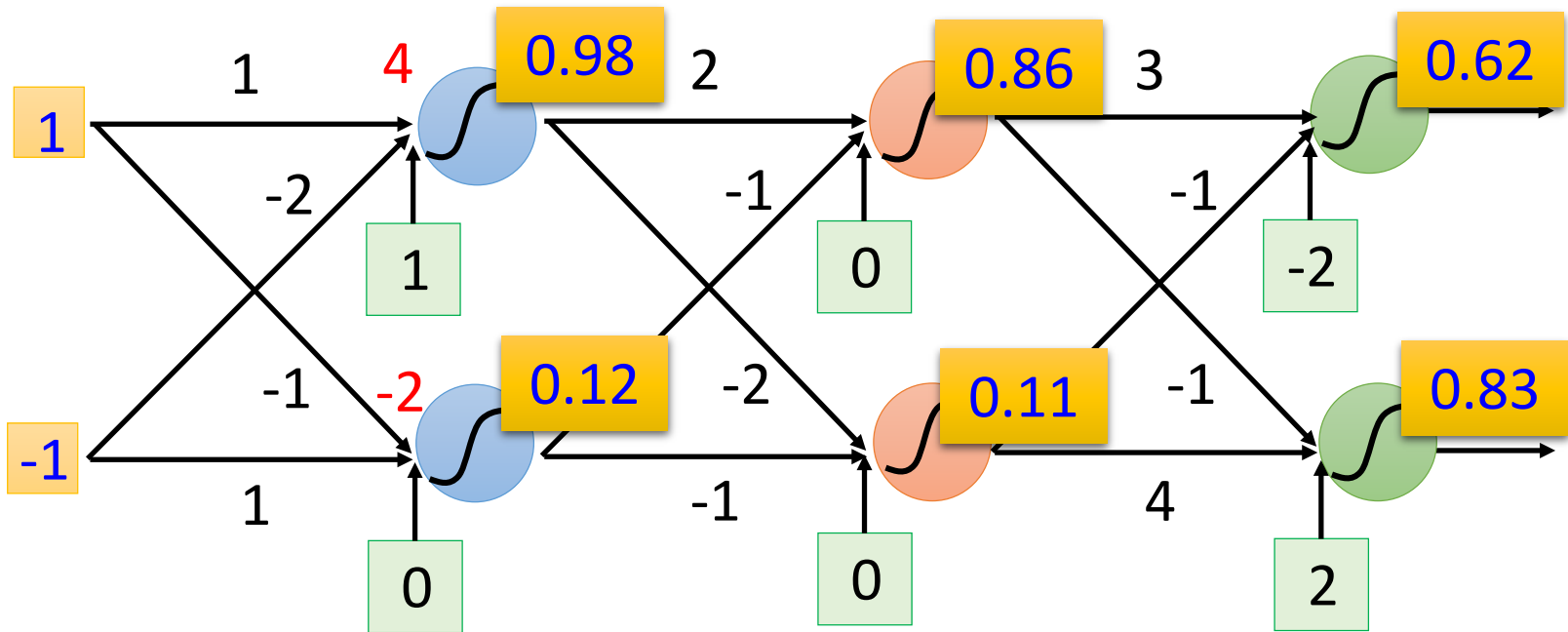


Sigmoid Function

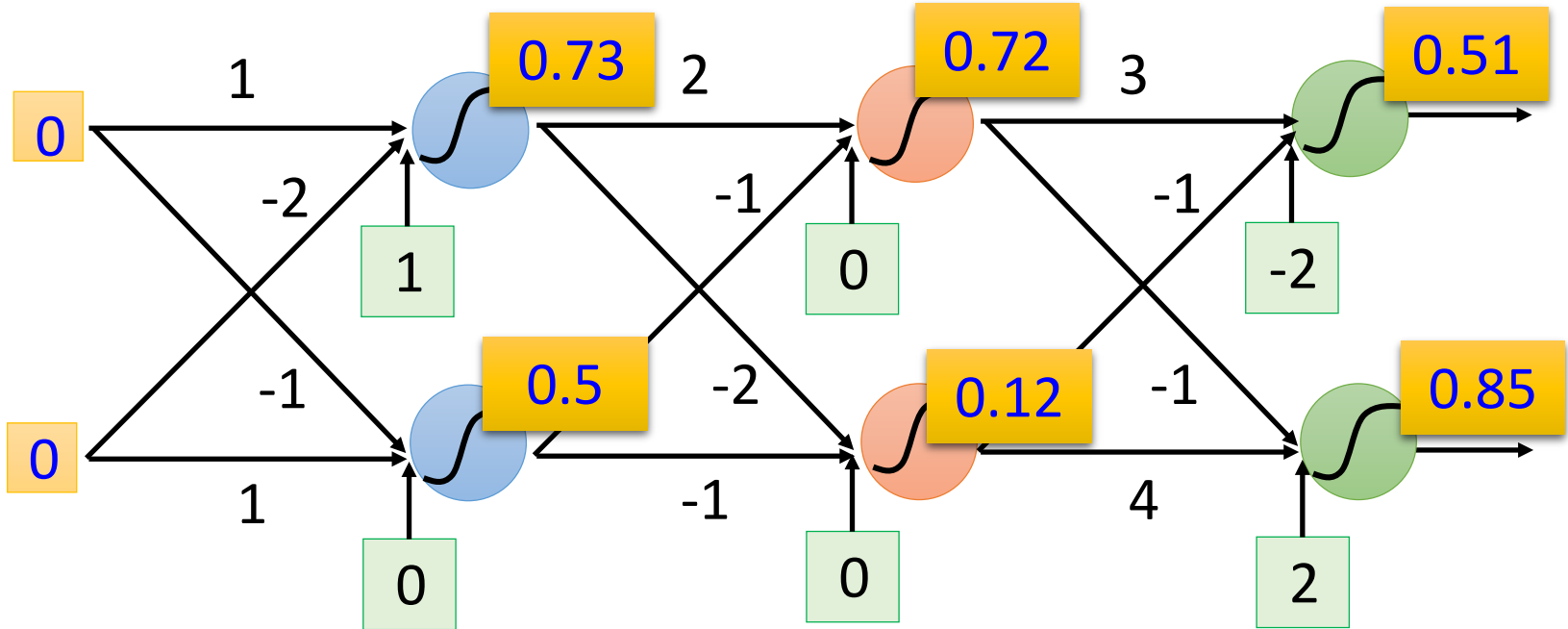
$$\sigma(z) = \frac{1}{1 + e^{-z}}$$



# Fully Connect Feedforward Network



# Fully Connect Feedforward Network



Network is a function.

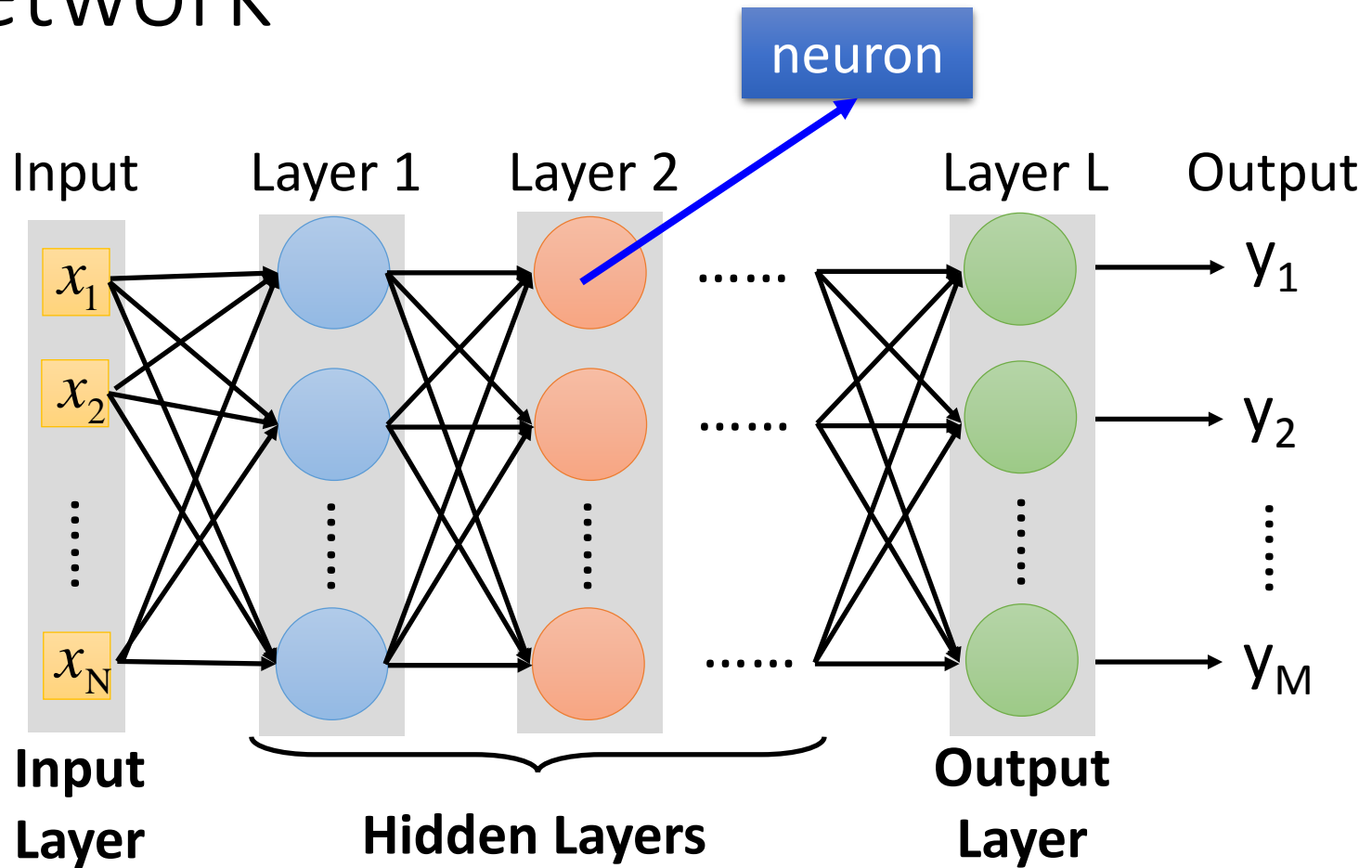
Input vector, output vector

$$f\left(\begin{bmatrix} 1 \\ -1 \end{bmatrix}\right) = \begin{bmatrix} 0.62 \\ 0.83 \end{bmatrix} \quad f\left(\begin{bmatrix} 0 \\ 0 \end{bmatrix}\right) = \begin{bmatrix} 0.51 \\ 0.85 \end{bmatrix}$$

Given parameters  $\theta$ , define a function

Given network structure, define a function set

# Fully Connect Feedforward Network



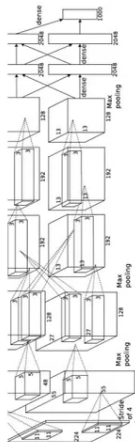
Deep means many hidden layers

# Ultra Deep Network

[http://cs231n.stanford.edu/slides/winter1516\\_lecture8.pdf](http://cs231n.stanford.edu/slides/winter1516_lecture8.pdf)

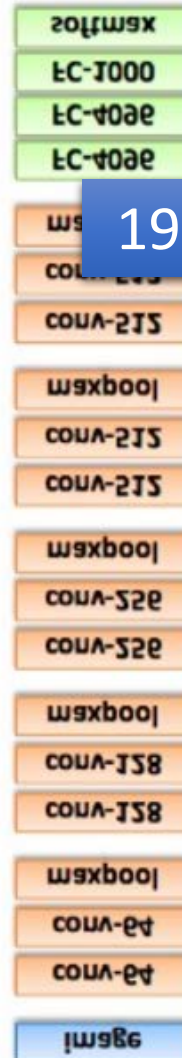
8 layers

16.4%



AlexNet (2012)

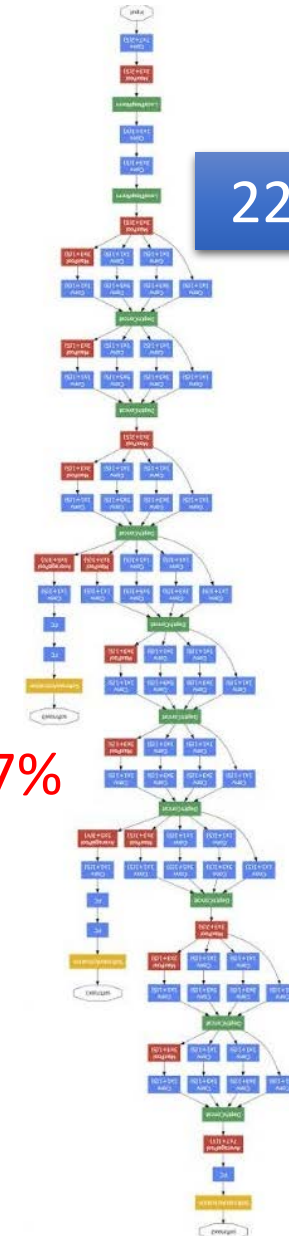
7.3%



19 layers

VGG (2014)

6.7%



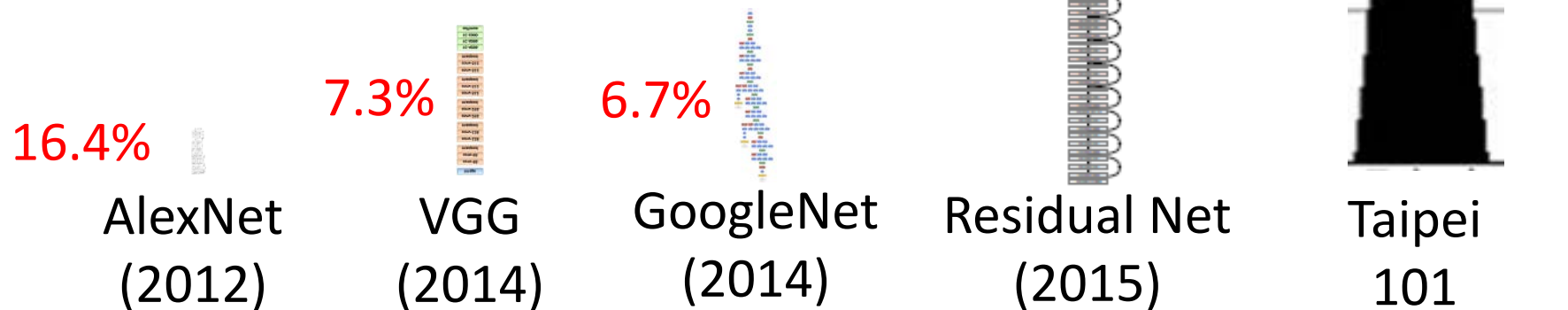
22 layers

GoogleNet (2014)

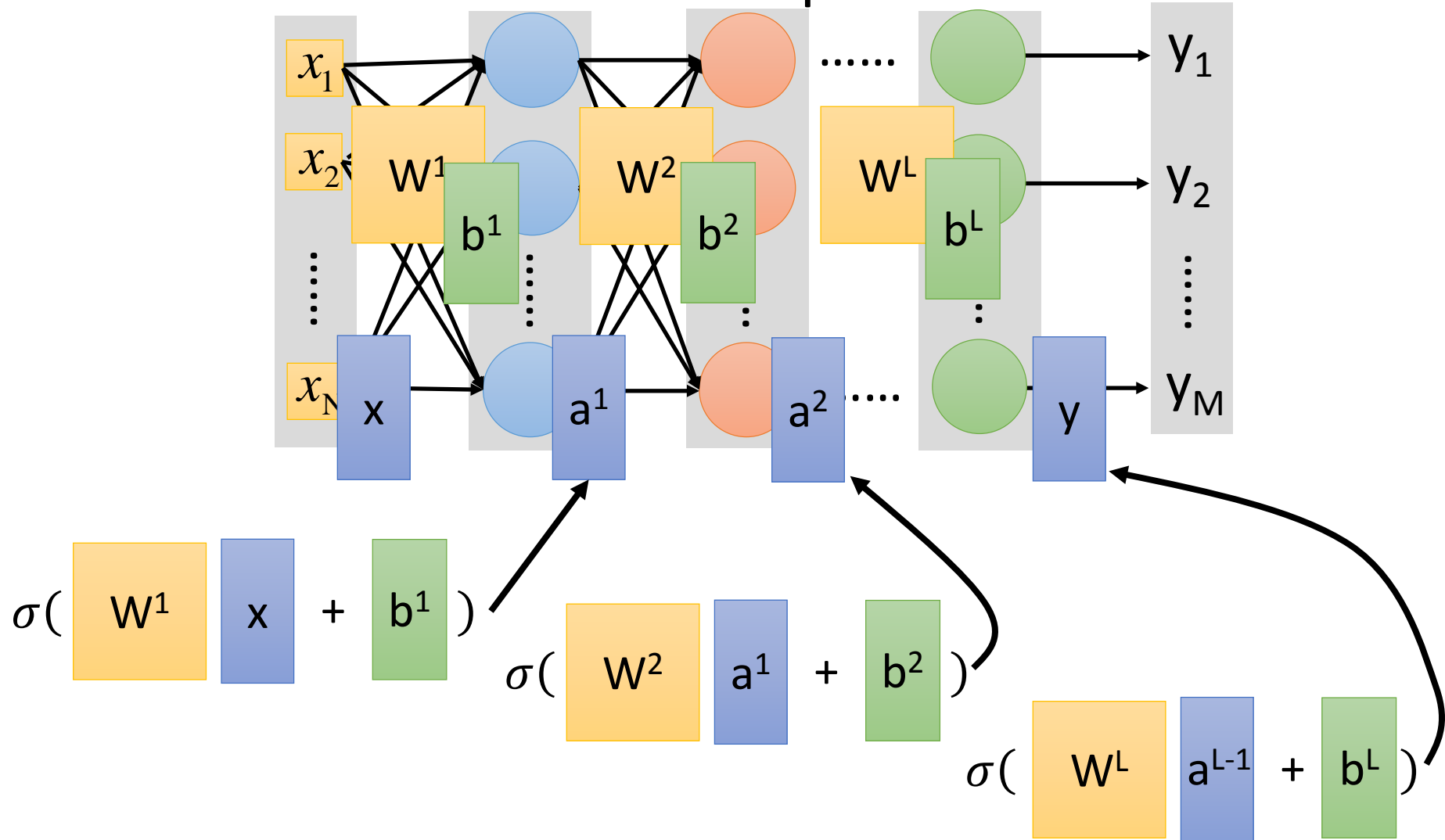
# Ultra Deep Network

This ultra deep network  
have special structure.

(Lecture IV)

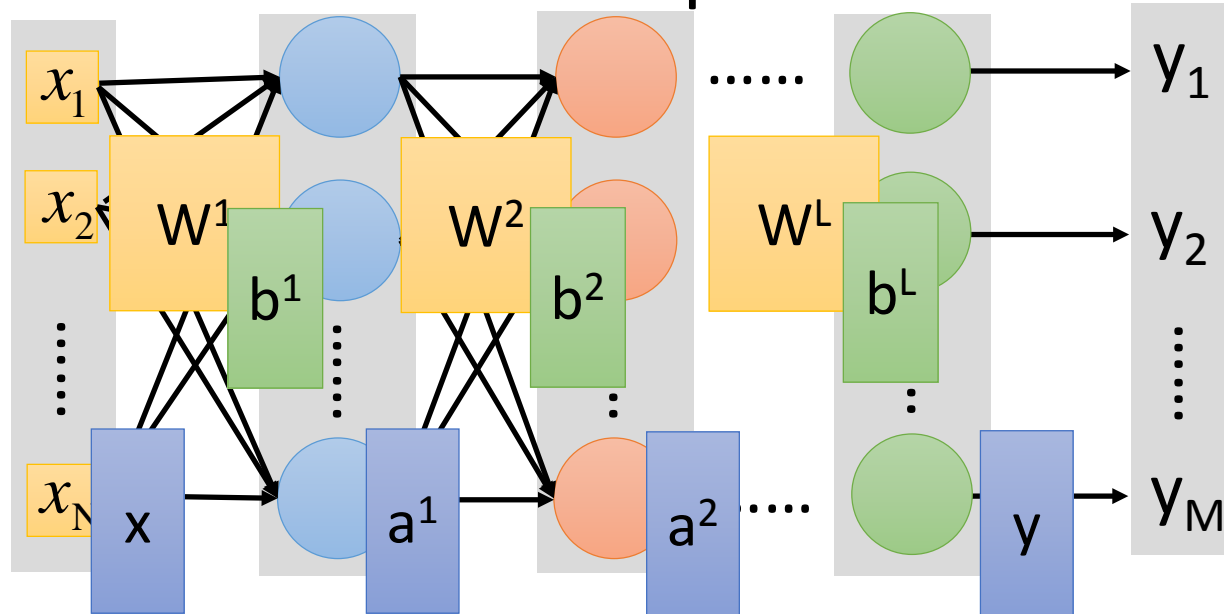


# Fully Connect Feedforward Network - Matrix Operation





# Fully Connect Feedforward Network - Matrix Operation



$$y = f(x)$$

Using parallel computing techniques (e.g. GPU) to speed up matrix operation

$$= \sigma(W^L \dots \sigma(W^2 \sigma(W^1 x + b^1) + b^2) \dots + b^L)$$

# Output Layer (Option)

- Softmax layer as the output layer

## Ordinary Layer

$$z_1 \longrightarrow \sigma \longrightarrow y_1 = \sigma(z_1)$$

$$z_2 \longrightarrow \sigma \longrightarrow y_2 = \sigma(z_2)$$

$$z_3 \longrightarrow \sigma \longrightarrow y_3 = \sigma(z_3)$$

In general, the output of network can be any value.

May not be easy to interpret

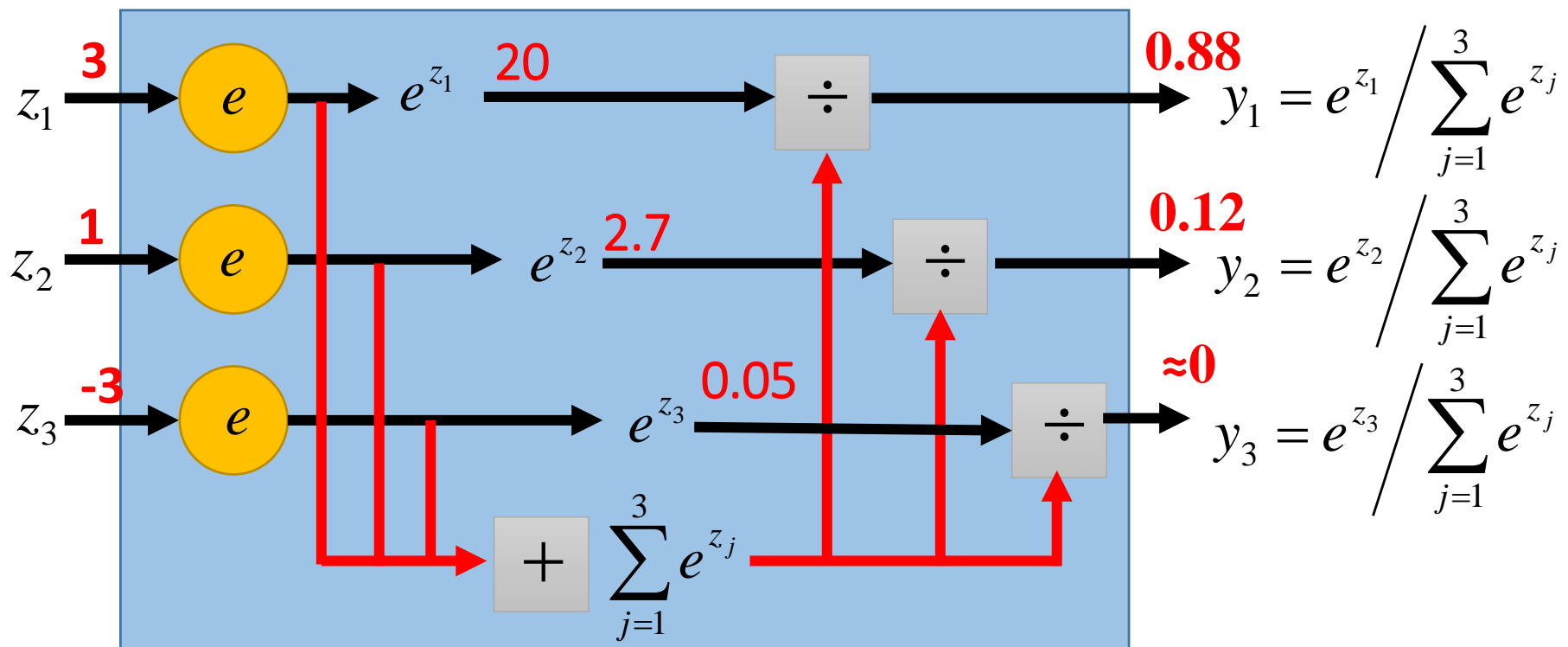
# Output Layer (Option)

- Softmax layer as the output layer

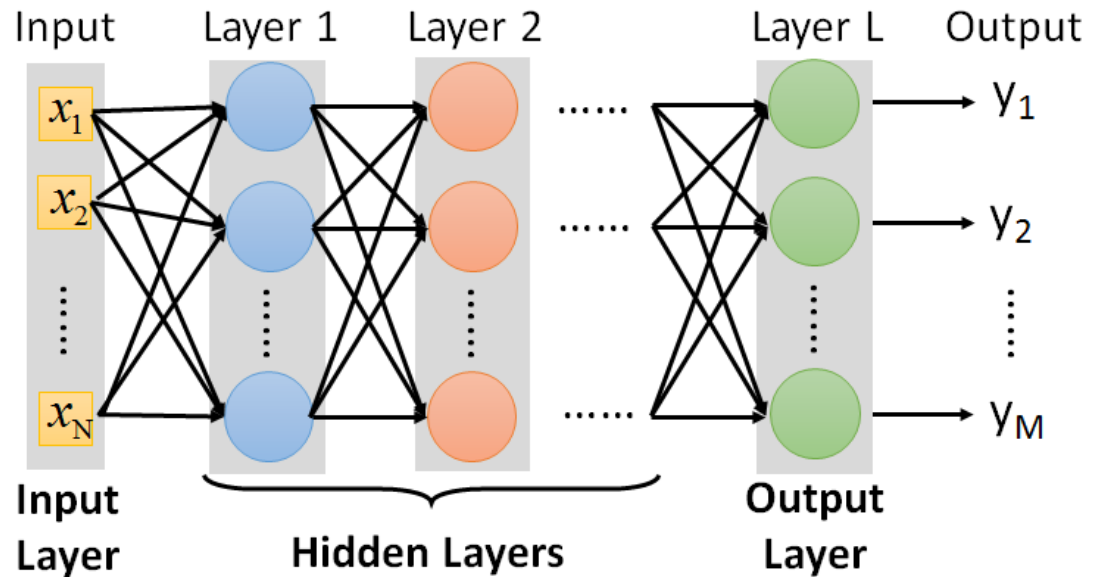
**Probability:**

- $1 > y_i > 0$
- $\sum_i y_i = 1$

## Softmax Layer

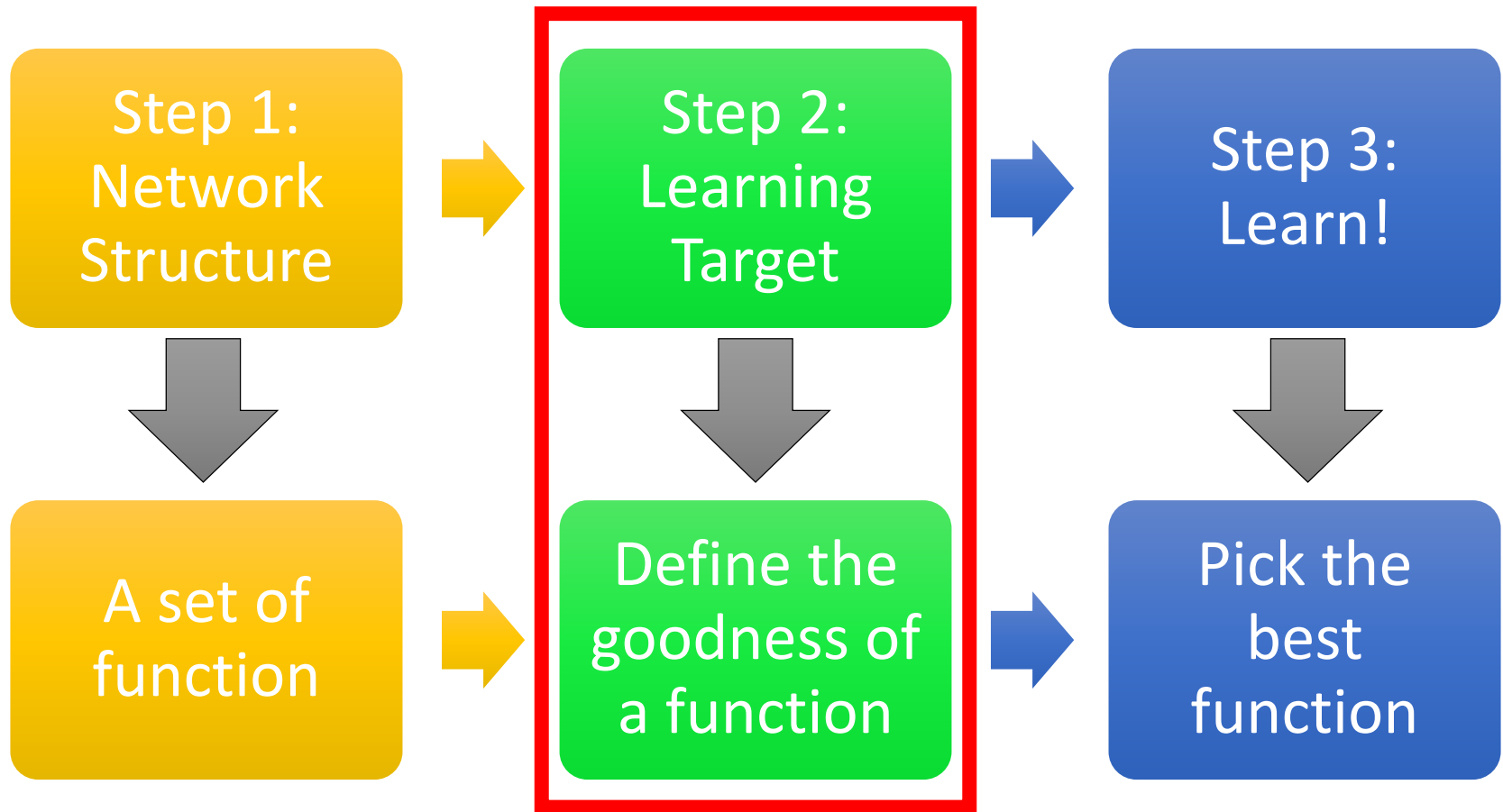


# FAQ



- Q: How many layers? How many neurons for each layer?
- Q: Can the structure be automatically determined?

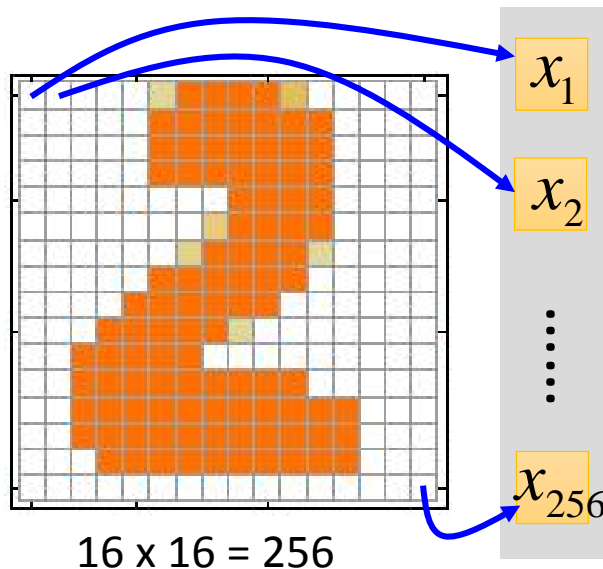
# Three Steps for Deep Learning



# Example Application



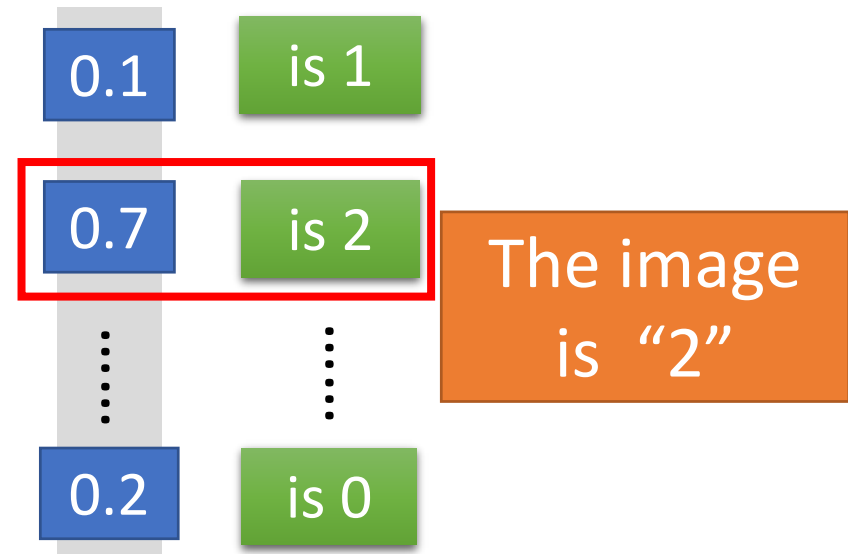
## Input



Ink  $\rightarrow$  1

No ink  $\rightarrow$  0

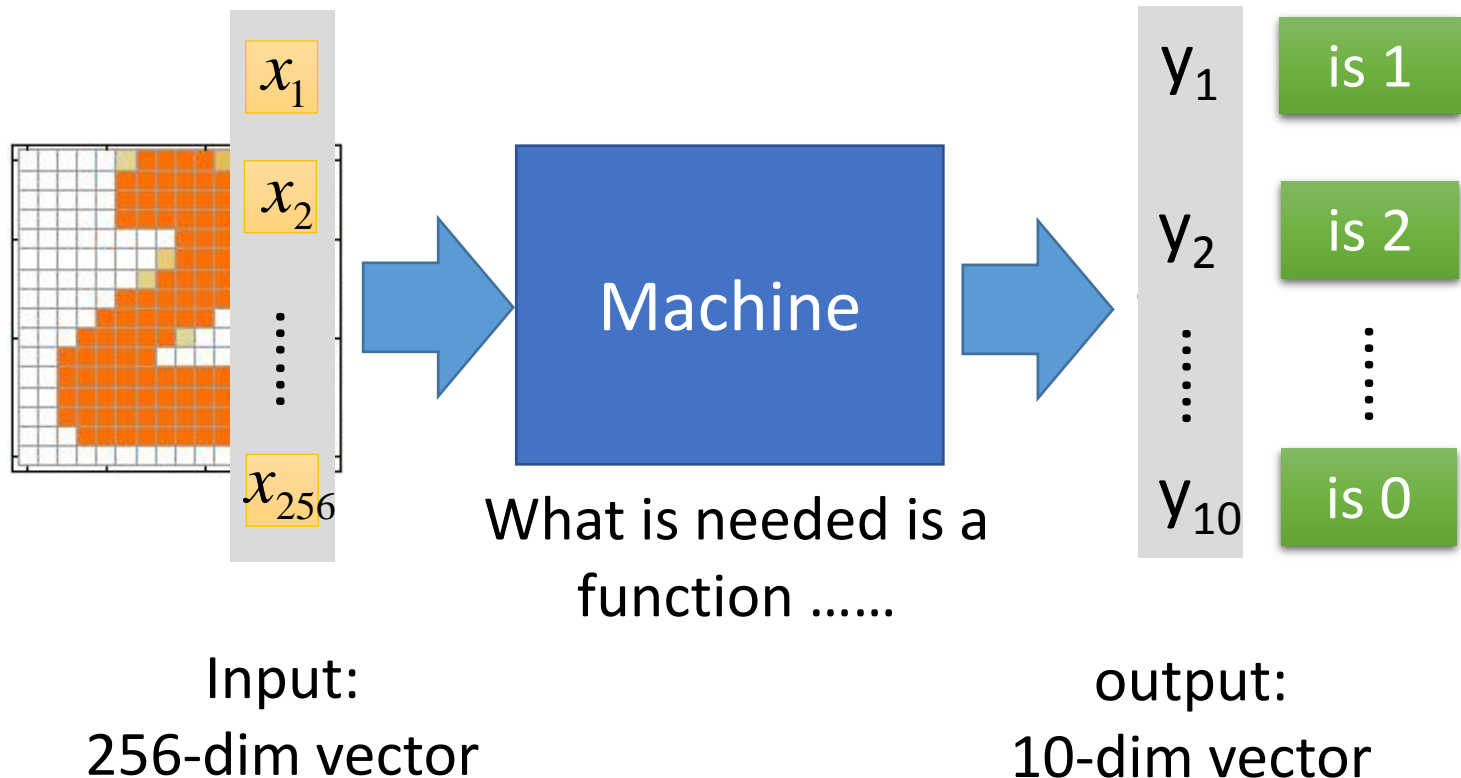
## Output



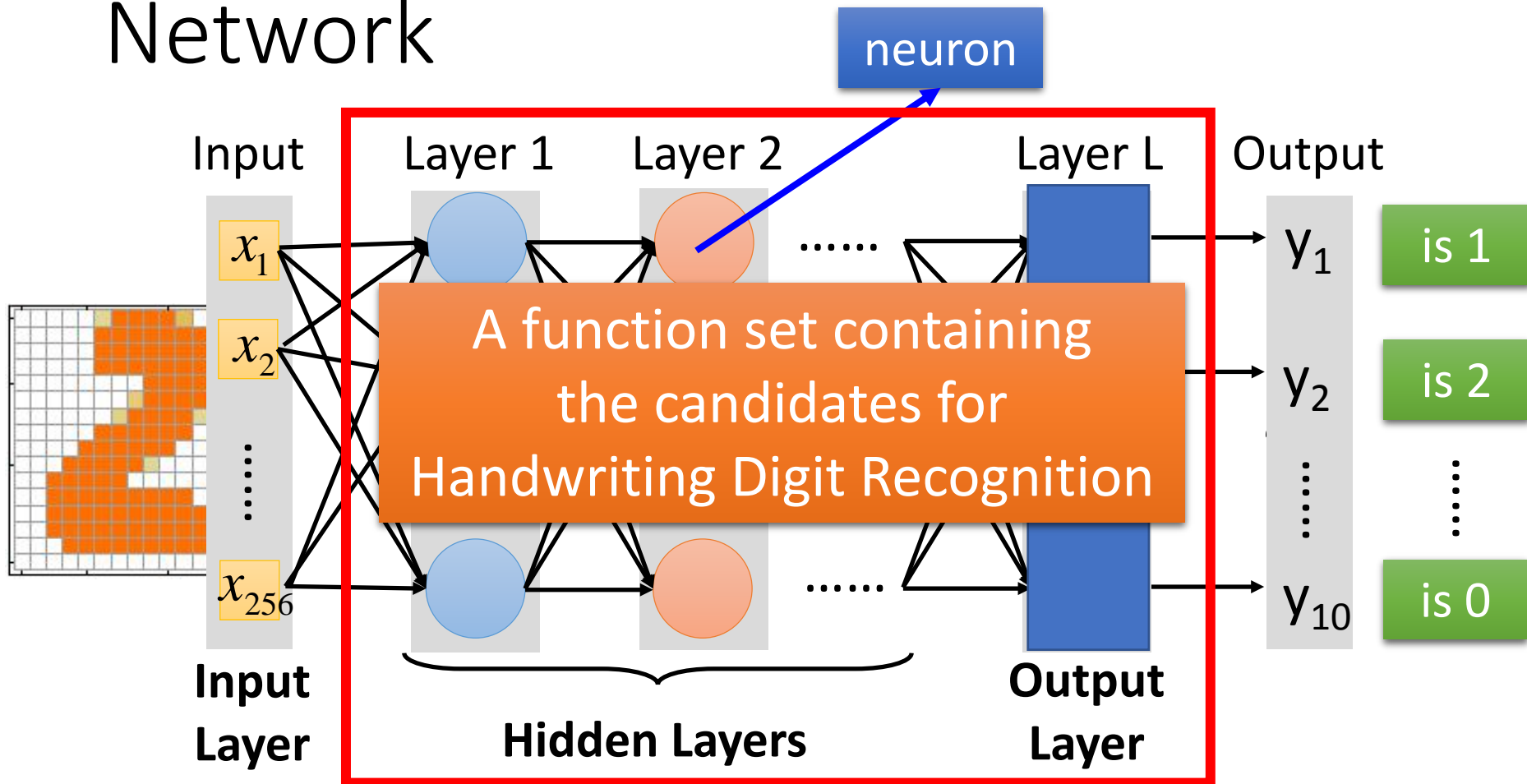
Each dimension represents the confidence of a digit.

# Example Application

- Handwriting Digit Recognition

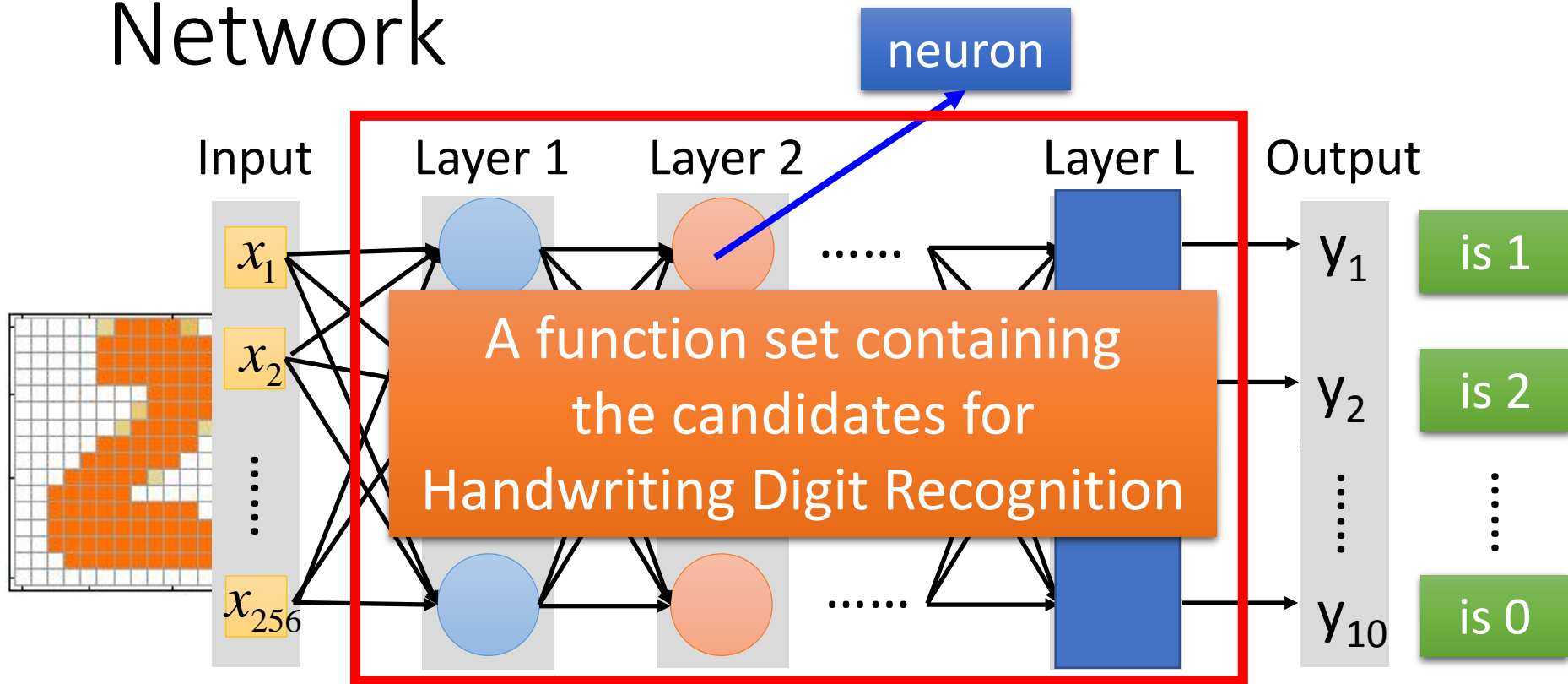


# Fully Connect Feedforward Network





# Fully Connect Feedforward Network

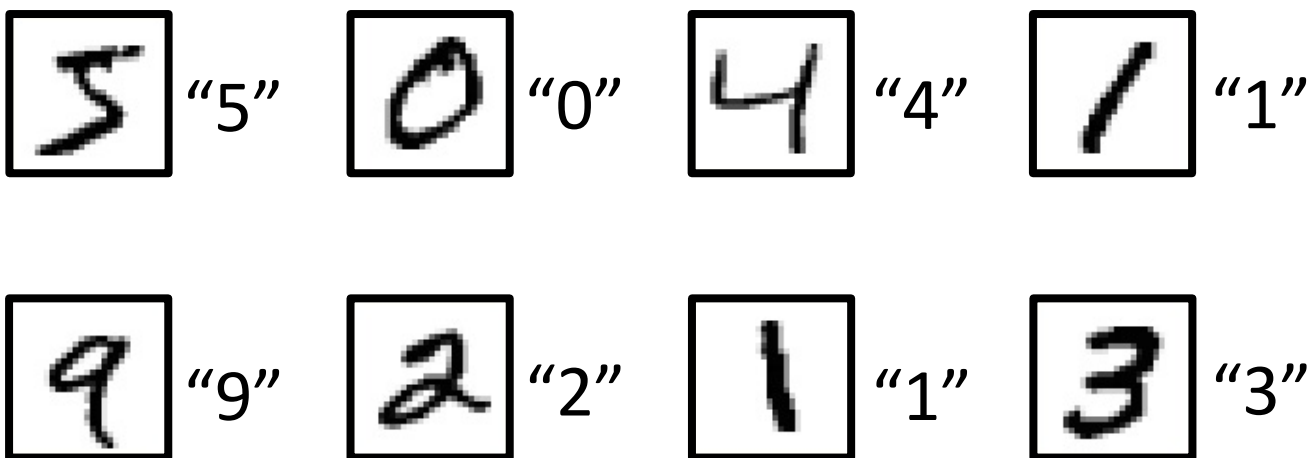


**Step 2** Define the goodness of function based on training data

**Step 3** Pick the best function

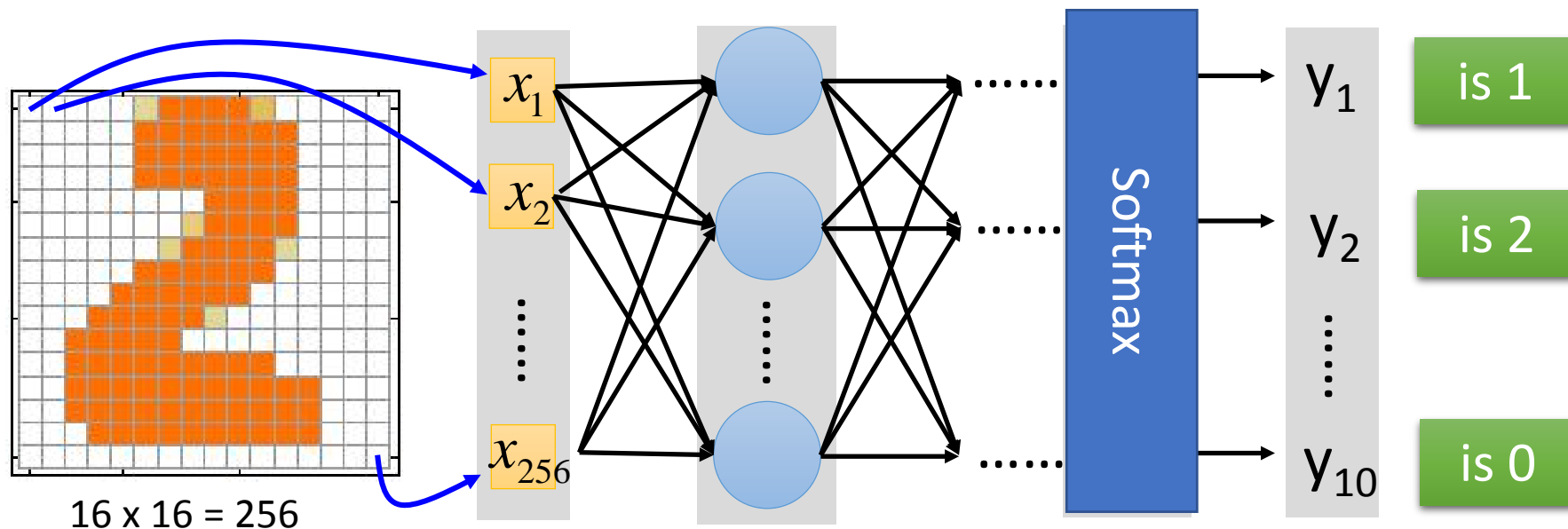
# Training Data

- Preparing training data: images and their labels



The learning target is defined on the training data.


# Learning Target




Ink  $\rightarrow$  1

No ink  $\rightarrow$  0

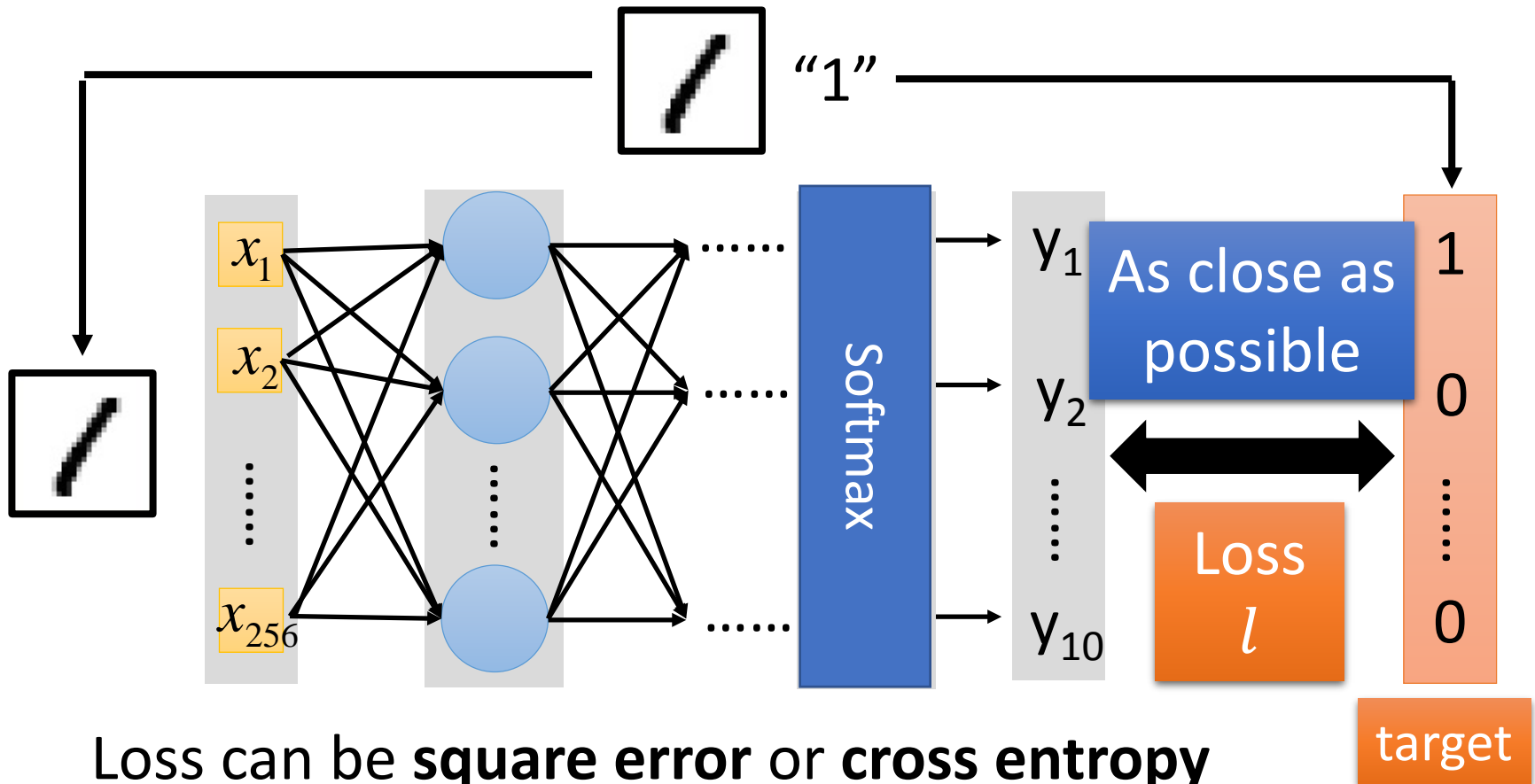
The learning target is .....

Input:   $\rightarrow$   $y_1$  has the maximum value

Input:   $\rightarrow$   $y_2$  has the maximum value

# Loss

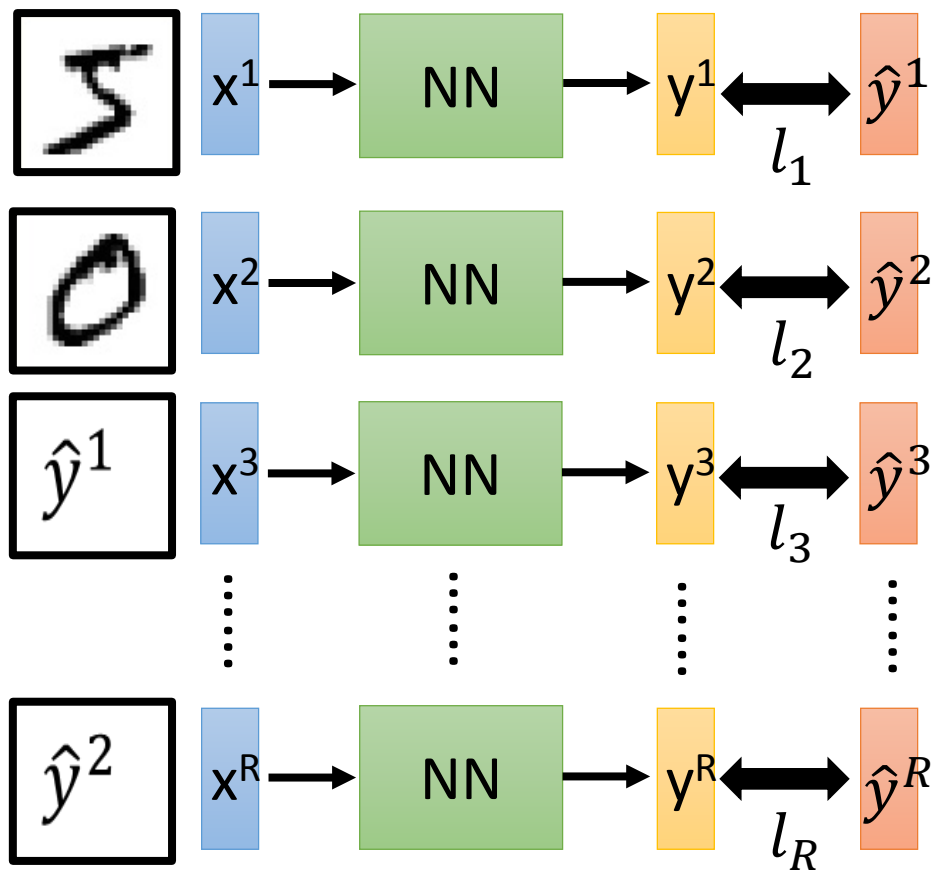
A good function should make the loss of all examples as small as possible.



Loss can be **square error** or **cross entropy** between the network output and target

# Total Loss

For all training data ...



Total Loss:

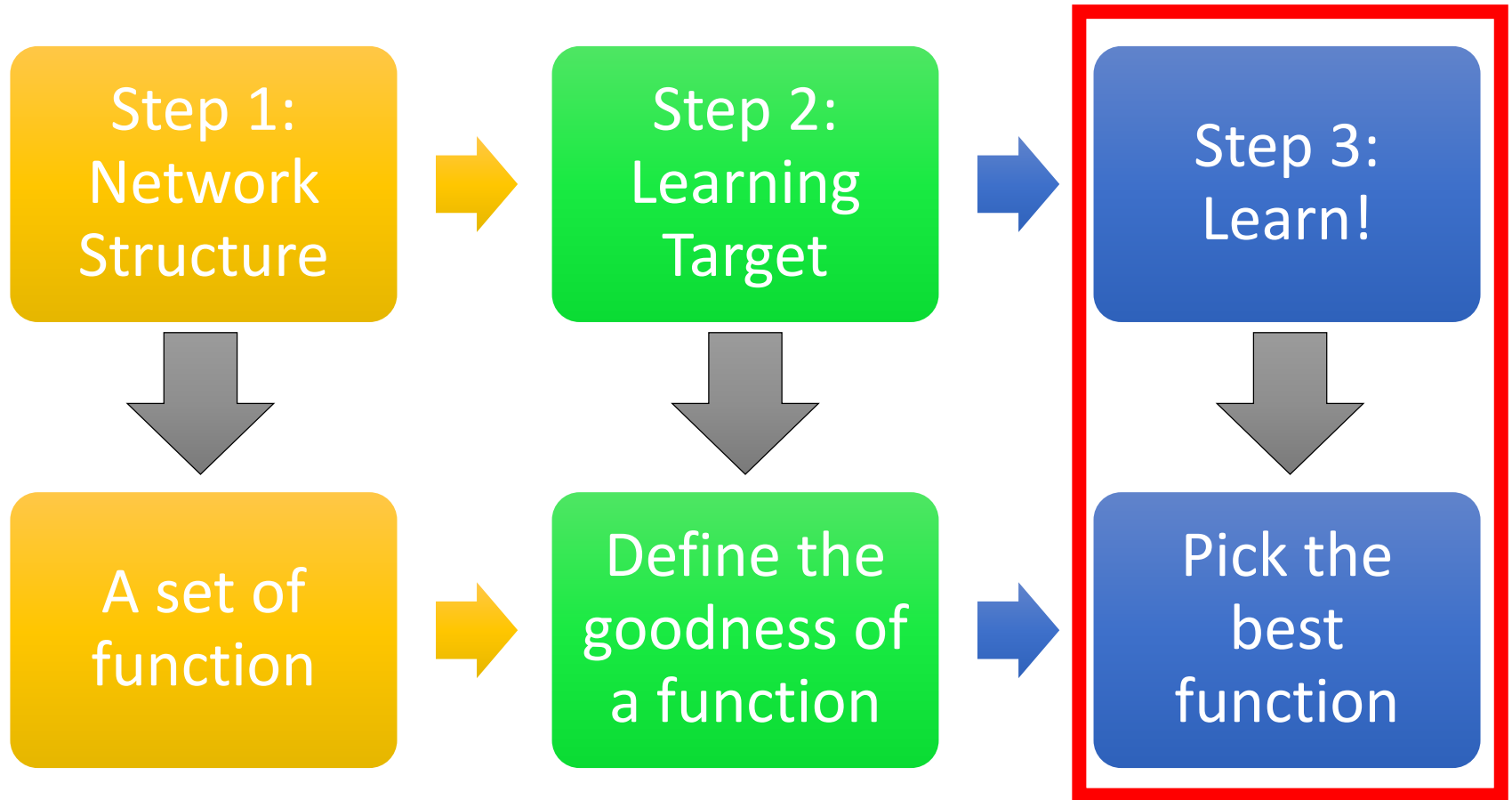
$$L = \sum_{r=1}^R l_r$$

As small as possible

Find a function in function set that minimize total loss  $L$

Find the network parameters  $\theta^*$  that minimize total loss  $L$

# Three Steps for Deep Learning



# How to pick the best function

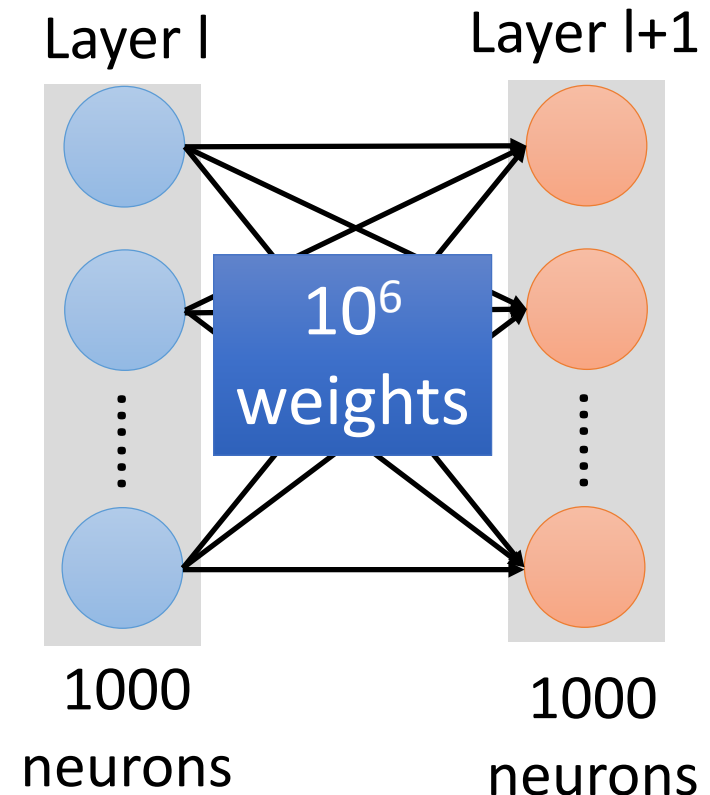
Find network parameters  $\theta^*$  that minimize total loss  $L$

Enumerate all possible values

Network parameters  $\theta =$   
 $\{w_1, w_2, w_3, \dots, b_1, b_2, b_3, \dots\}$

Millions of parameters

E.g. speech recognition: 8 layers and  
1000 neurons each layer



# Gradient Descent

Network parameters  $\theta = \{w_1, w_2, \dots, b_1, b_2, \dots\}$

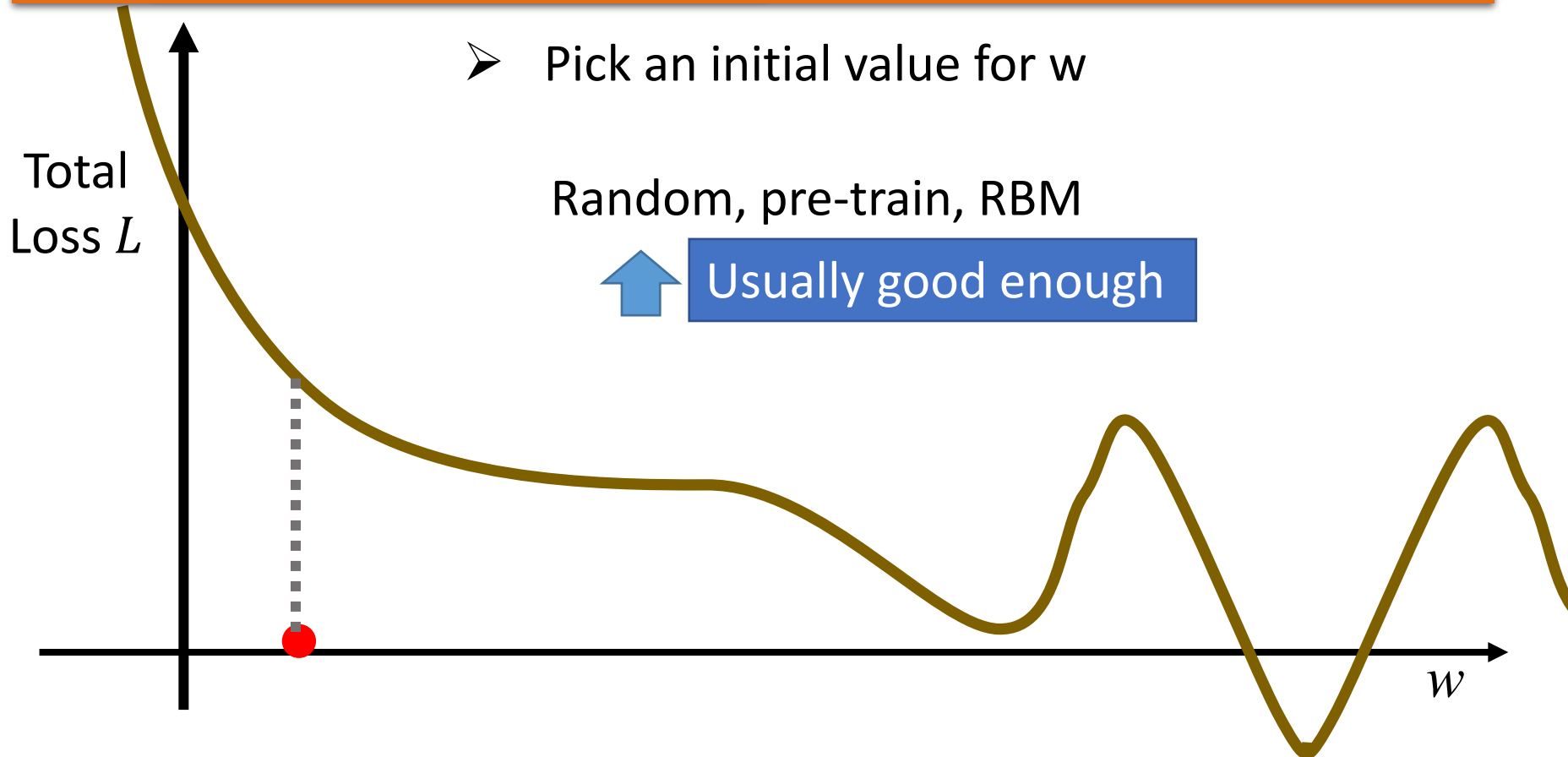
Find network parameters  $\theta^*$  that minimize total loss  $L$

➤ Pick an initial value for  $w$

Random, pre-train, RBM



Usually good enough

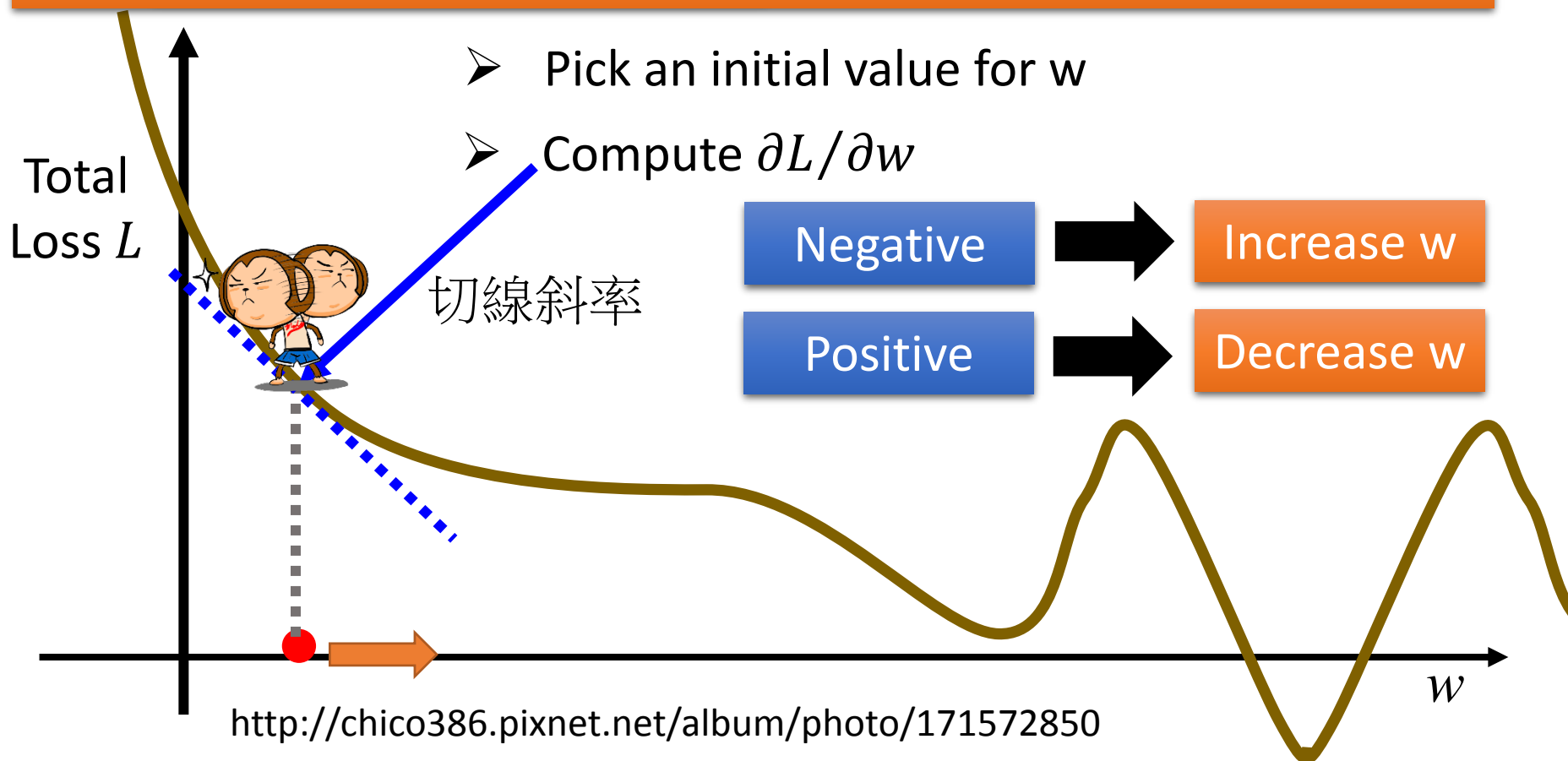




# Gradient Descent

Network parameters  $\theta = \{w_1, w_2, \dots, b_1, b_2, \dots\}$

Find network parameters  $\theta^*$  that minimize total loss  $L$



# Gradient Descent

Network parameters  $\theta = \{w_1, w_2, \dots, b_1, b_2, \dots\}$

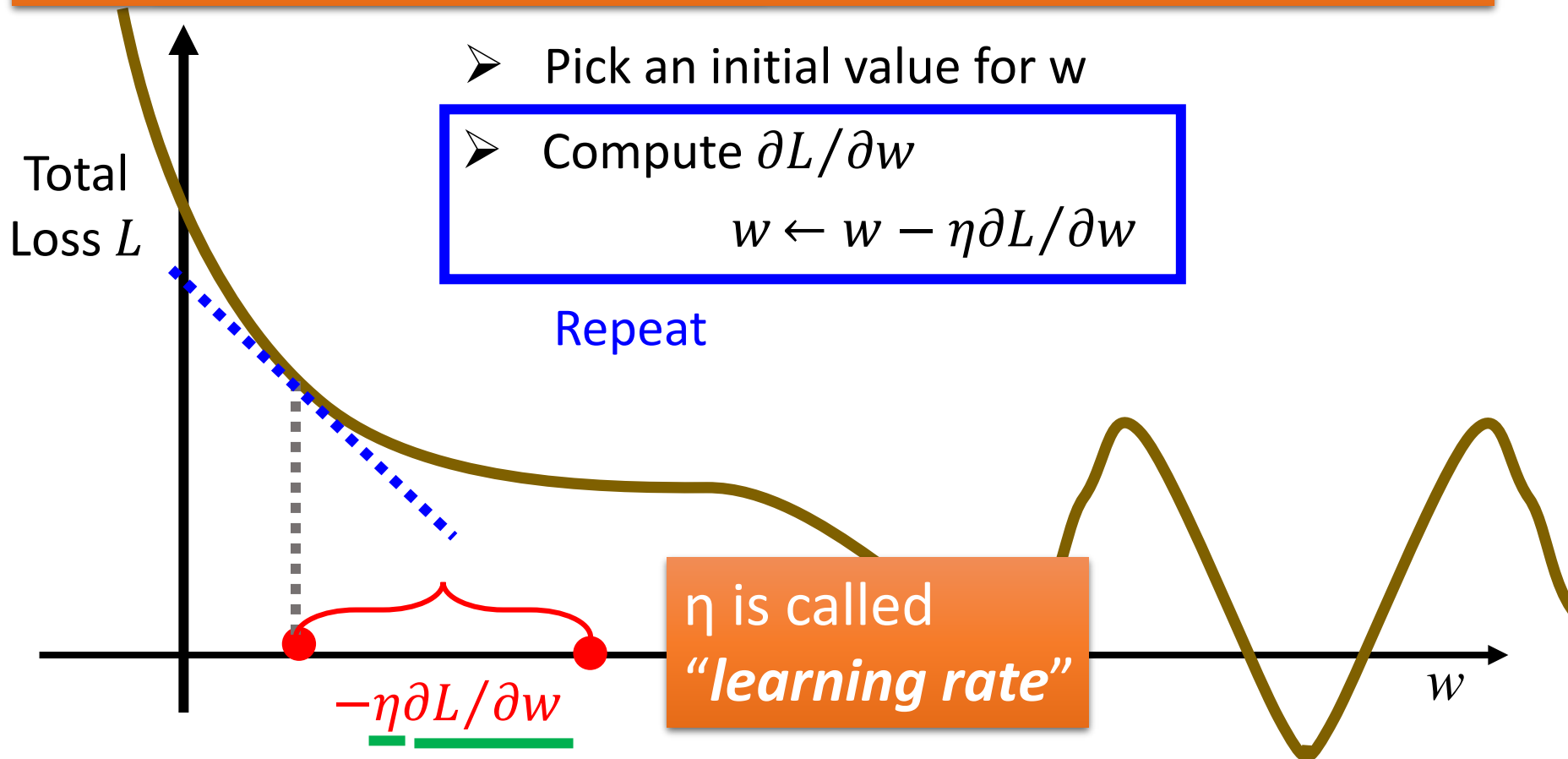
Find network parameters  $\theta^*$  that minimize total loss  $L$

➤ Pick an initial value for  $w$

➤ Compute  $\partial L / \partial w$

$$w \leftarrow w - \eta \partial L / \partial w$$

Repeat



# Gradient Descent

Network parameters  $\theta = \{w_1, w_2, \dots, b_1, b_2, \dots\}$

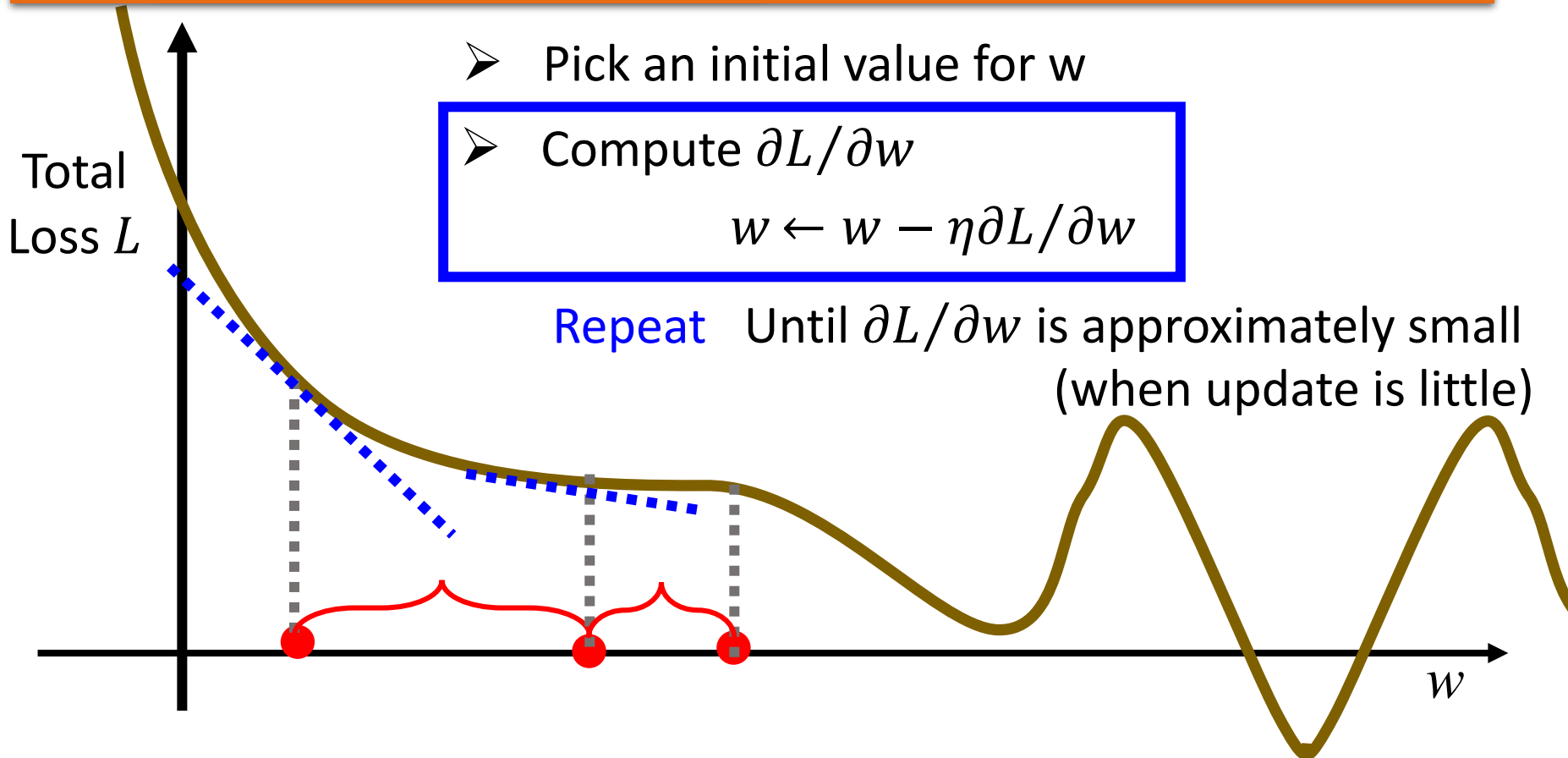
Find network parameters  $\theta^*$  that minimize total loss  $L$

➤ Pick an initial value for  $w$

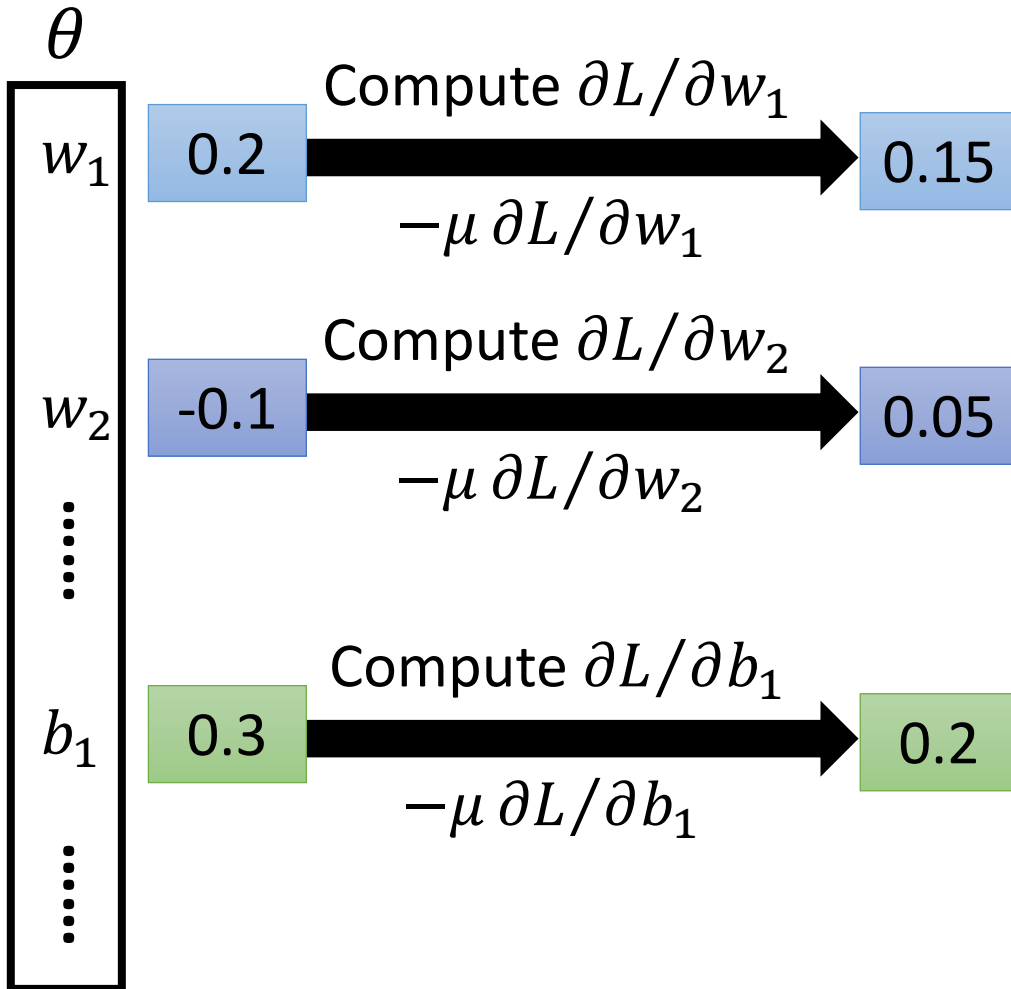
➤ Compute  $\partial L / \partial w$

$$w \leftarrow w - \eta \partial L / \partial w$$

Repeat Until  $\partial L / \partial w$  is approximately small  
(when update is little)



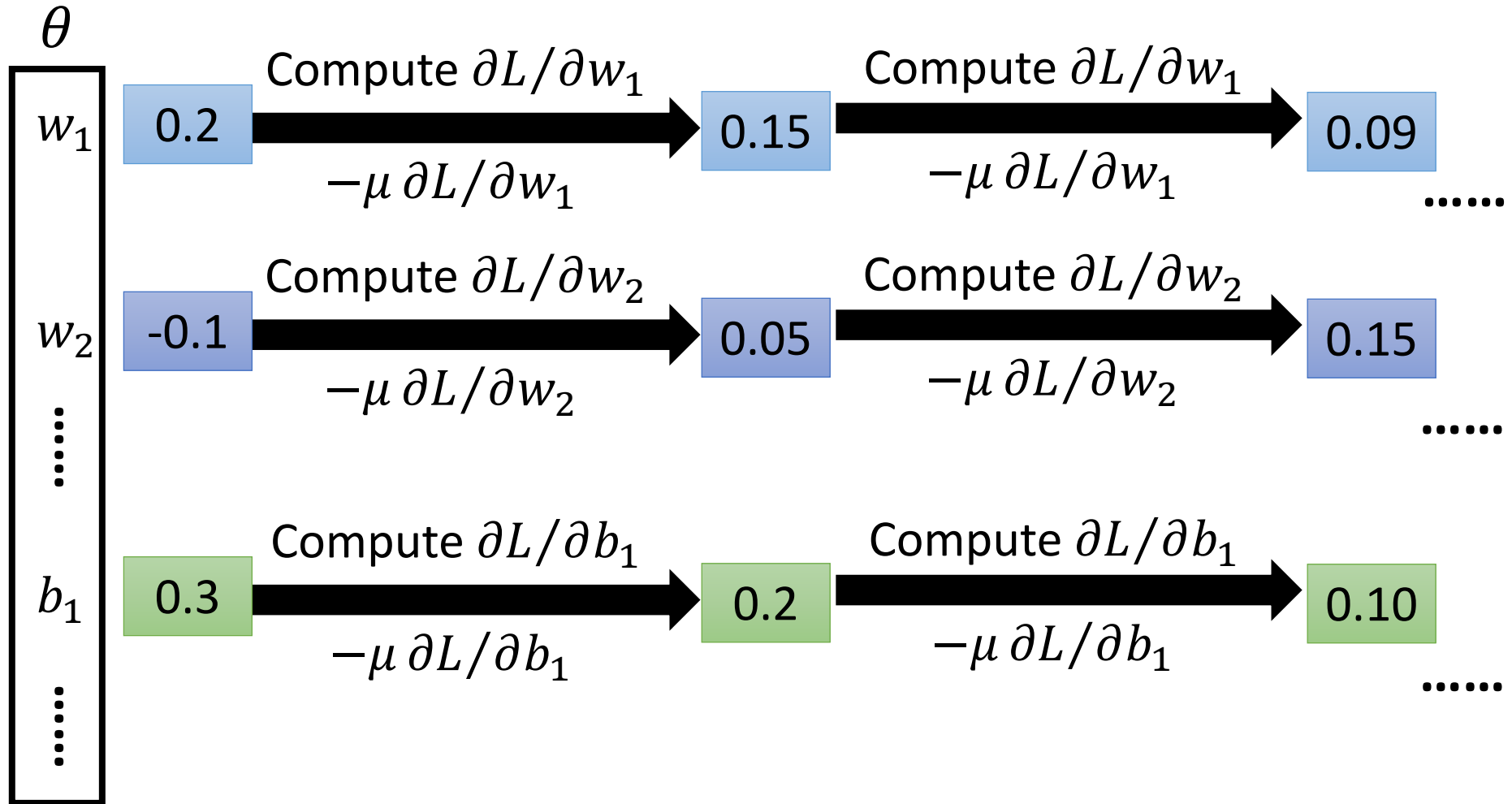
# Gradient Descent



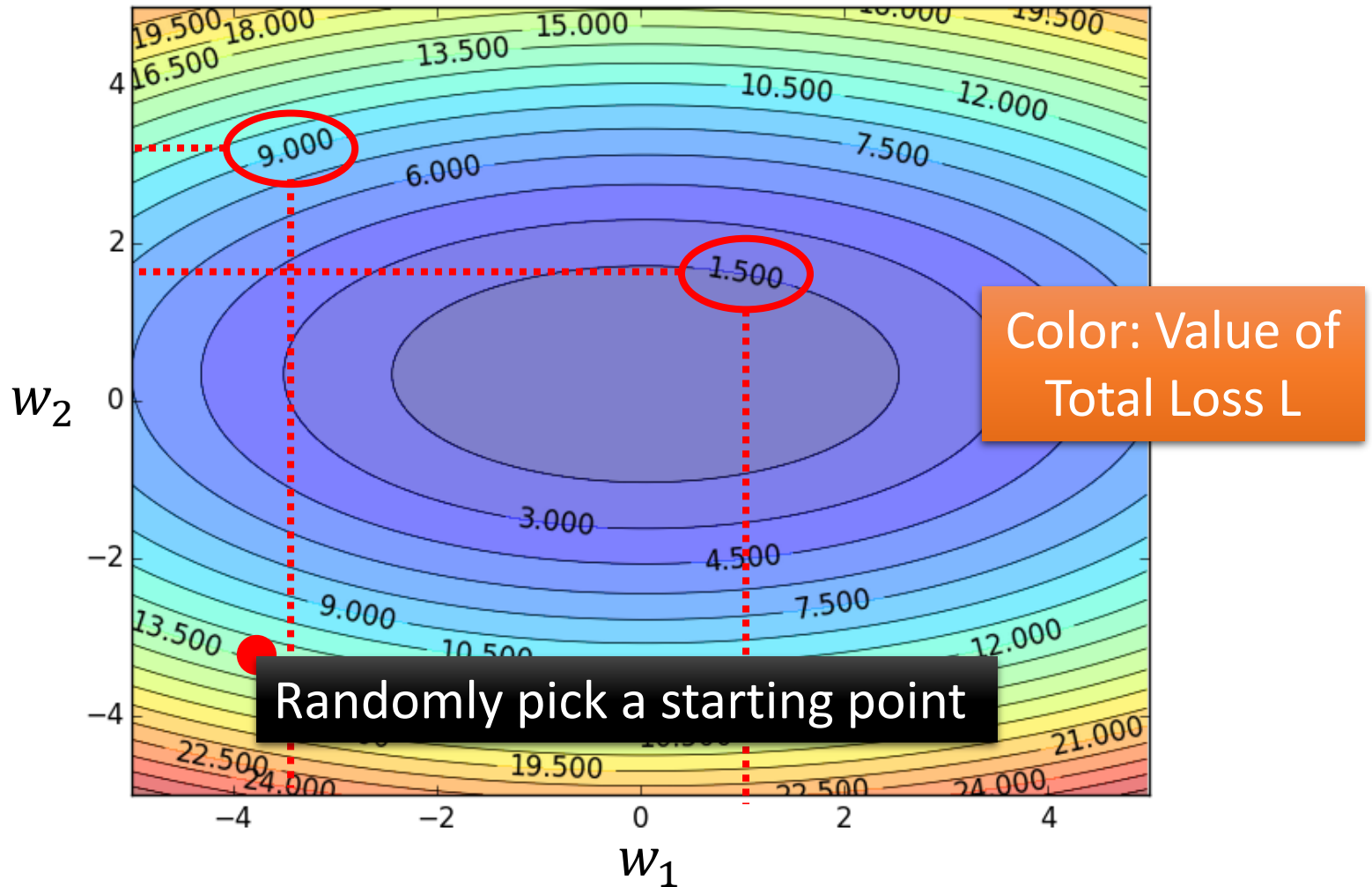
$$\nabla L = \begin{bmatrix} \frac{\partial L}{\partial w_1} \\ \frac{\partial L}{\partial w_2} \\ \vdots \\ \frac{\partial L}{\partial b_1} \\ \vdots \end{bmatrix}$$

gradient

# Gradient Descent

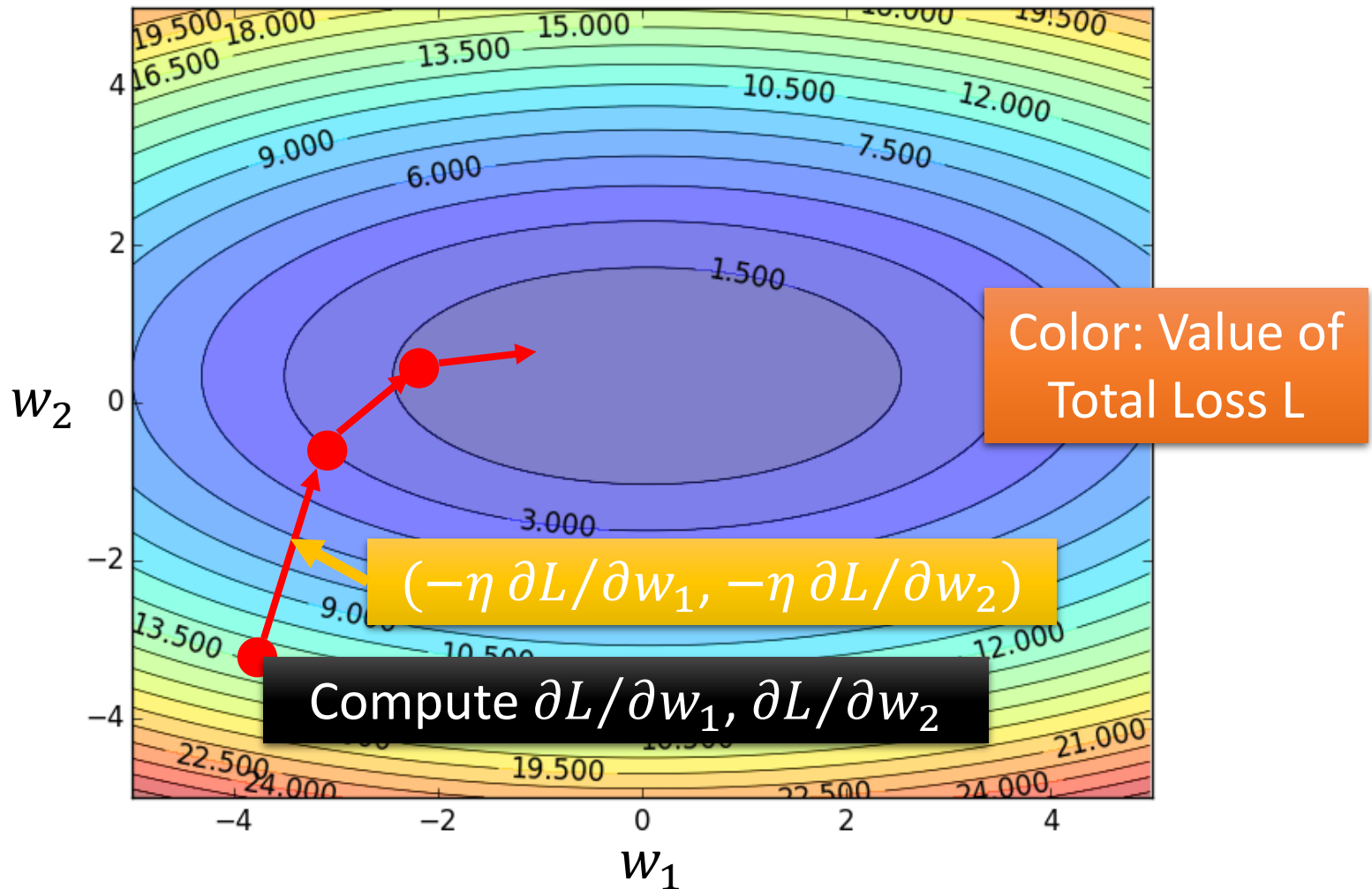


# Gradient Descent



# Gradient Descent

Hopfully, we would reach  
a minima .....



# Gradient Descent

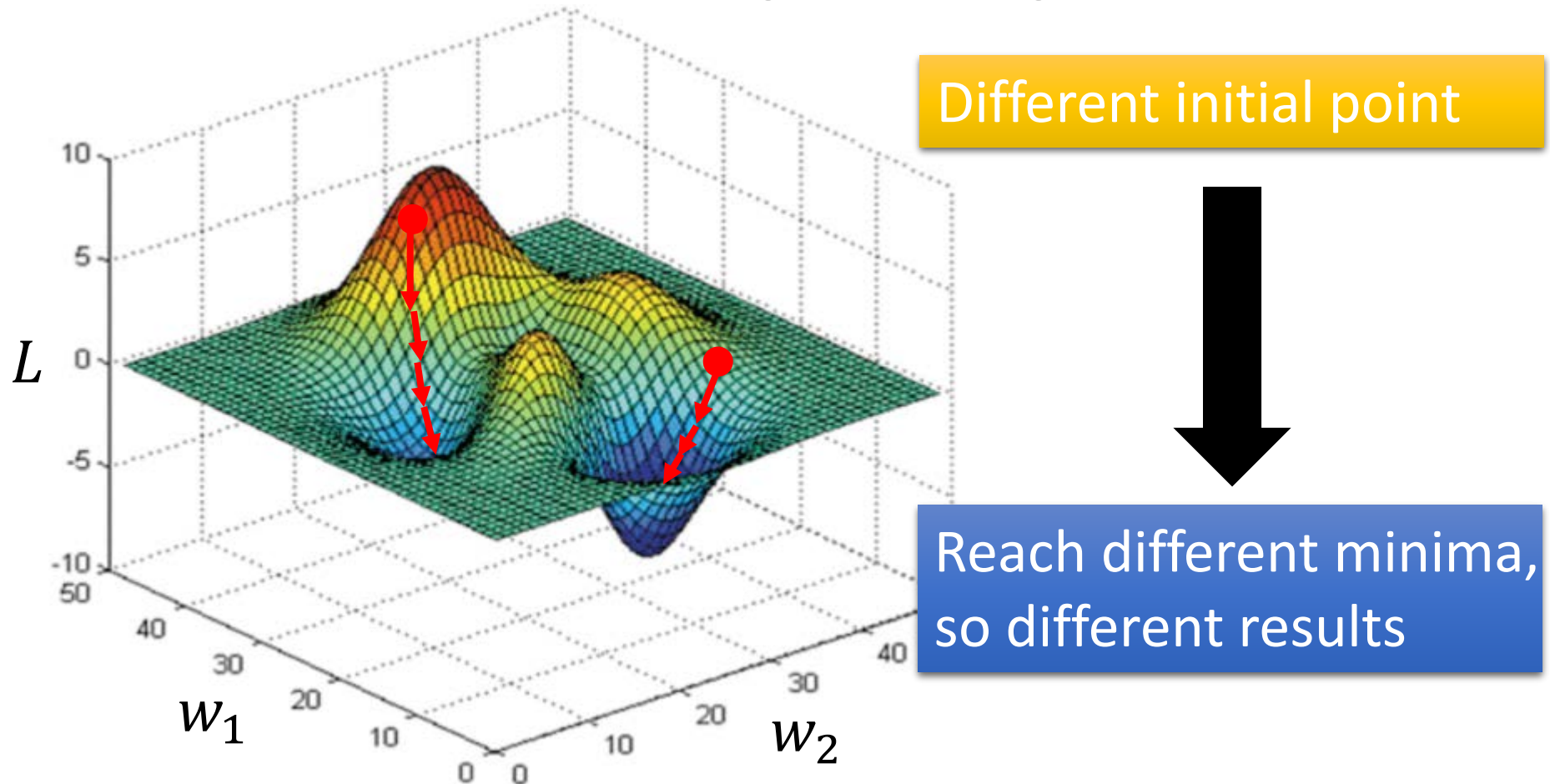
- When considering multiple parameters together, do you see any problem?



# Local Minima

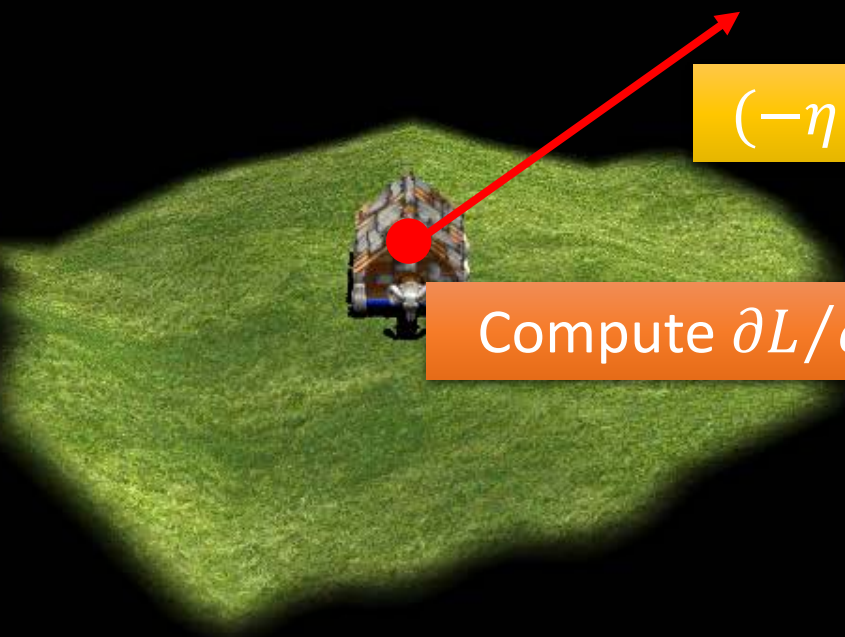
Who is Afraid of Non-Convex  
Loss Functions?  
[http://videolectures.net/eml07\\_lecun\\_wia/](http://videolectures.net/eml07_lecun_wia/)

- Gradient descent never guarantee global minima



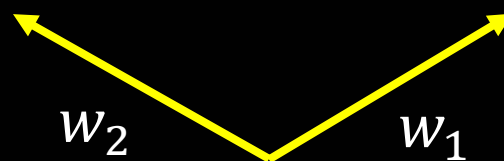
想像你在玩世紀帝國.....

沒有探索過的地方被戰霧覆蓋



$$(-\eta \partial L / \partial w_1, -\eta \partial L / \partial w_2)$$

Compute  $\partial L / \partial w_1, \partial L / \partial w_2$

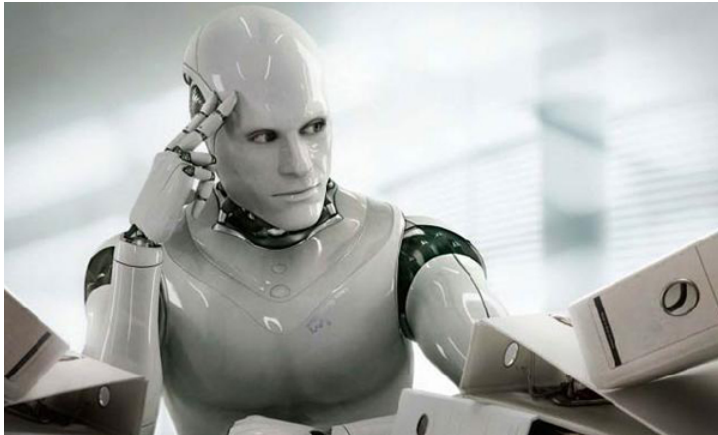


# Gradient Descent

This is the “learning” of machines in deep learning .....

➡ Even alpha go using this approach.

大家以為 Learning 是 .....



其實 Learning 只是 .....



I hope you are not too disappointed :p

# Backpropagation

- Backpropagation: an efficient way to compute  $\partial L / \partial w$ 
  - Ref:  
[http://speech.ee.ntu.edu.tw/~tlkagk/courses/MLDS\\_2015\\_2/Lecture/DNN%20backprop.ecm.mp4/index.html](http://speech.ee.ntu.edu.tw/~tlkagk/courses/MLDS_2015_2/Lecture/DNN%20backprop.ecm.mp4/index.html)



theano

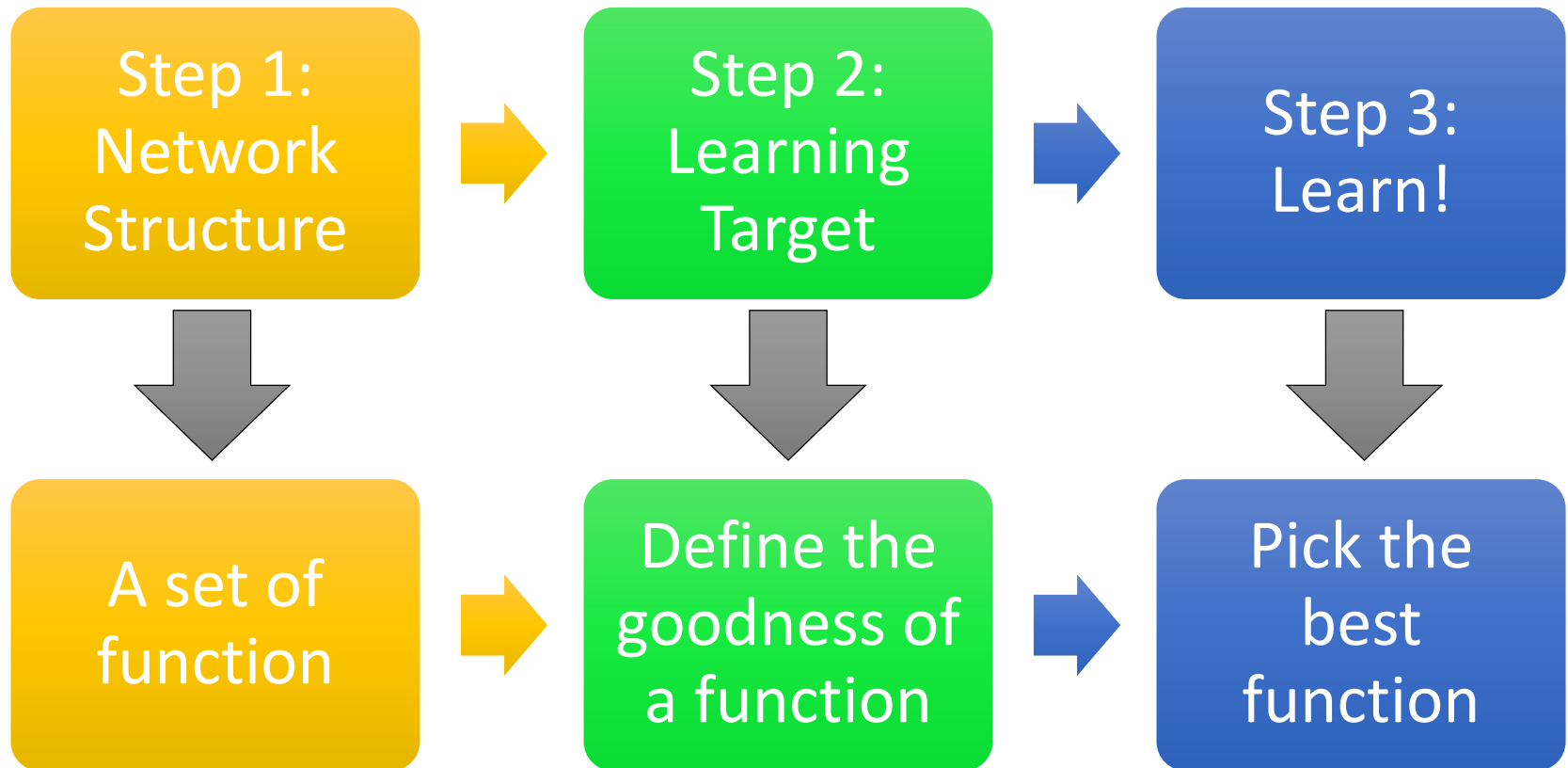
libdnn  
台大周伯威  
同學開發

Caffe



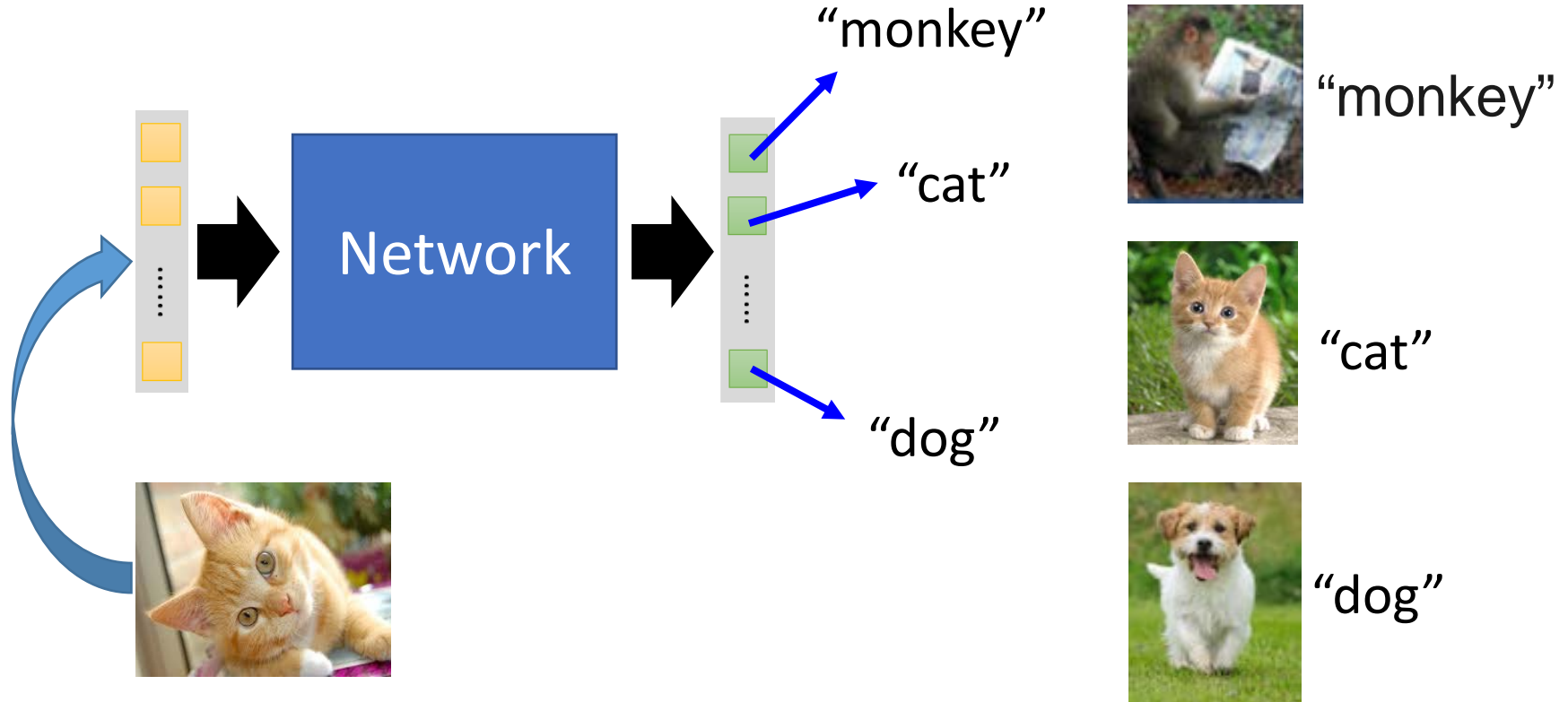
Don't worry about  $\partial L / \partial w$ , the toolkits will handle it.

# You can do lots of different things



# For example, you can do .....

- Image Recognition





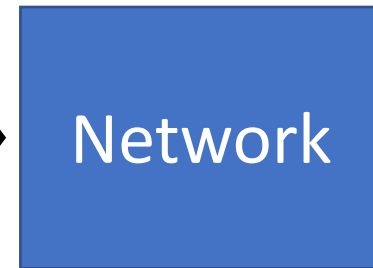
For example, you can do .....

**Spam**  
**filtering**

“Talk” in e-mail



“free” in e-mail

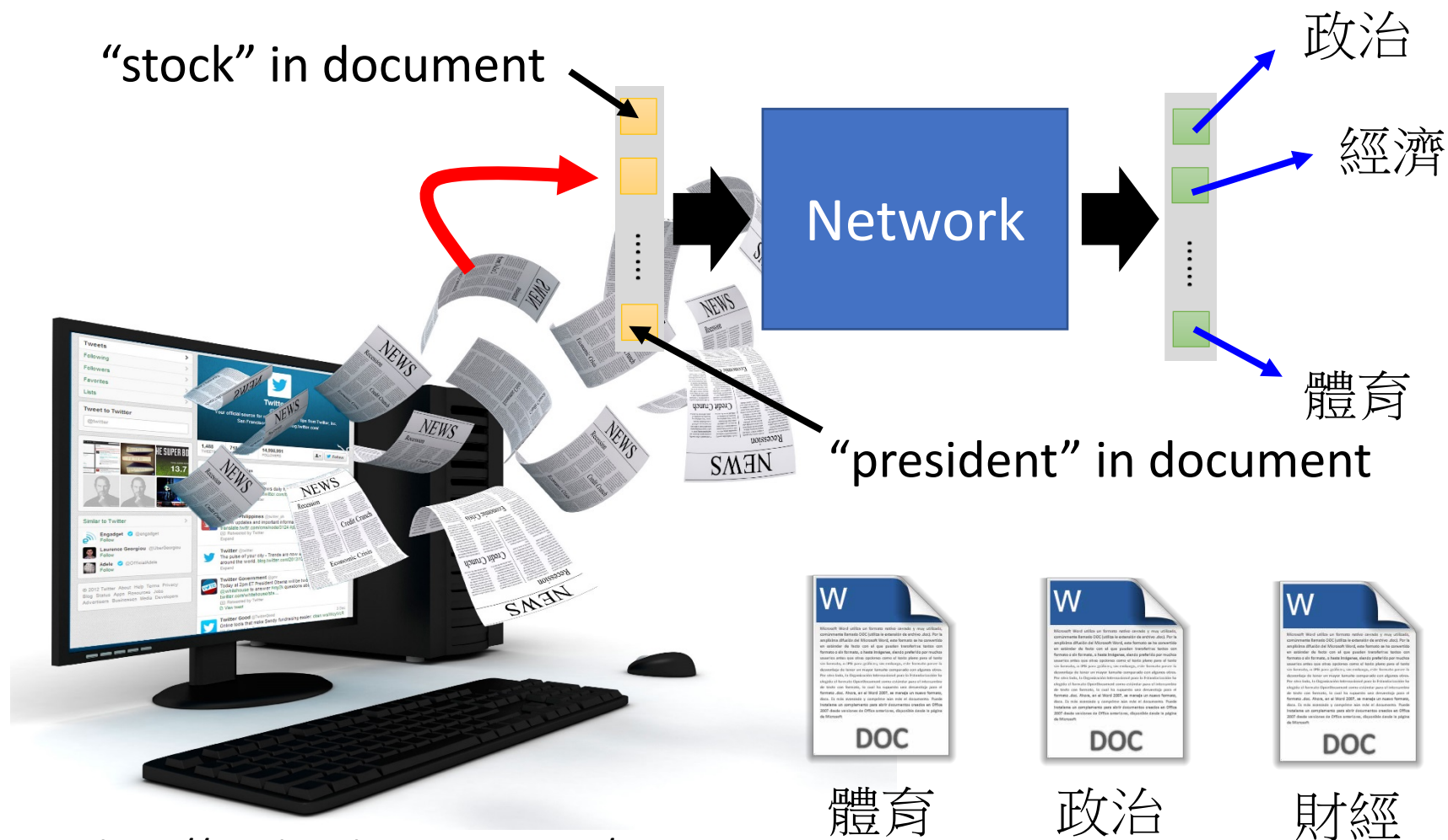


1/0  
(Yes/No)



(<http://spam-filter-review.toptenreviews.com/>)

# Document Classification



<http://top-breaking-news.com/>



# Playing Go



19 x 19 image  
(matrix)

Black: 1  
white: -1  
none: 0



Network



Next move  
(19 x 19  
positions)

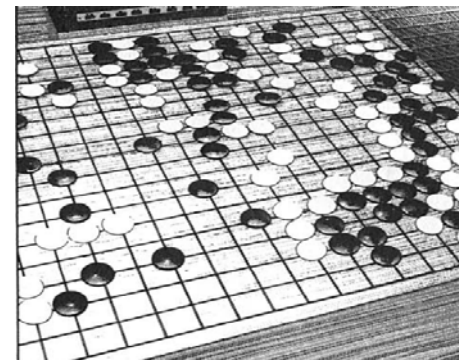
19 x 19 vector

Fully-connected feedword  
network can be used

But CNN performs much better.

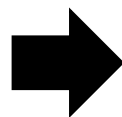
(Lecture III)

# Playing Go

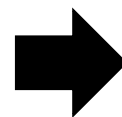


蒐集一堆棋譜

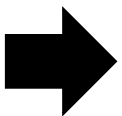
Training:



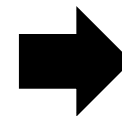
Network



Target:  
天元 = 1  
其他都是 0



Network



Target:  
五之 5 = 1  
其他都是 0

# Concluding Remarks

- Deep Learning is simple & powerful!

但 Deep Learning 就像 雷神之槌



<http://ent.ltn.com.tw/news/breaking-news/1144545>

無法輕易被舉起來 .....

# Lecture II:

## Tips for Training DNN

# Outline of Lecture II

“Hello World” for Deep Learning

Recipe of Deep Learning

# Keras

If you want to learn theano:

[http://speech.ee.ntu.edu.tw/~tlkagk/courses/MLDS\\_2015\\_2/Lecture/Theano%20DNN.ecm.mp4/index.html](http://speech.ee.ntu.edu.tw/~tlkagk/courses/MLDS_2015_2/Lecture/Theano%20DNN.ecm.mp4/index.html)

[http://speech.ee.ntu.edu.tw/~tlkagk/courses/MLDS\\_2015\\_2/Lecture/RNN%20training%20\(v6\).ecm.mp4/index.html](http://speech.ee.ntu.edu.tw/~tlkagk/courses/MLDS_2015_2/Lecture/RNN%20training%20(v6).ecm.mp4/index.html)



or theano

Very flexible

Need some  
effort to learn

Interface of  
TensorFlow or  
Theano



keras

Easy to learn and use

(still have some flexibility)

You can modify it if you can write  
TensorFlow or Theano

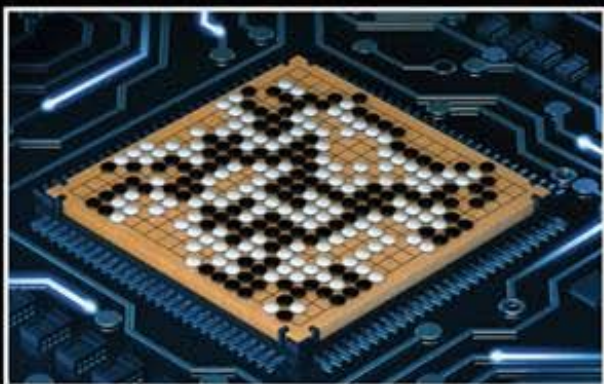
# Keras

- François Chollet is the author of Keras.
  - He currently works for Google as a deep learning engineer and researcher.
- Keras means *horn* in Greek
- Documentation: <http://keras.io/>
- Example:  
<https://github.com/fchollet/keras/tree/master/examples>



# 使用 Keras 心得

## Deep Learning 研究生



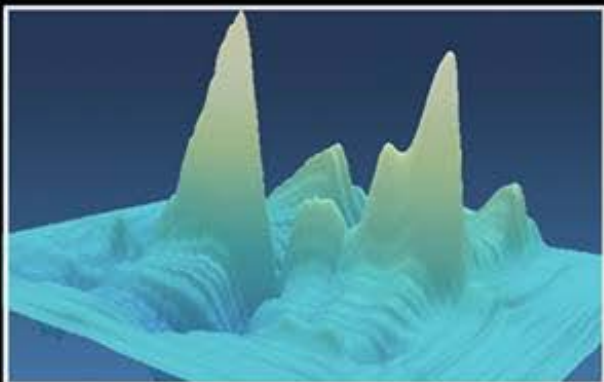
朋友覺得我在



我媽覺得我在



大眾覺得我在



指導教授覺得我在



我以為我在

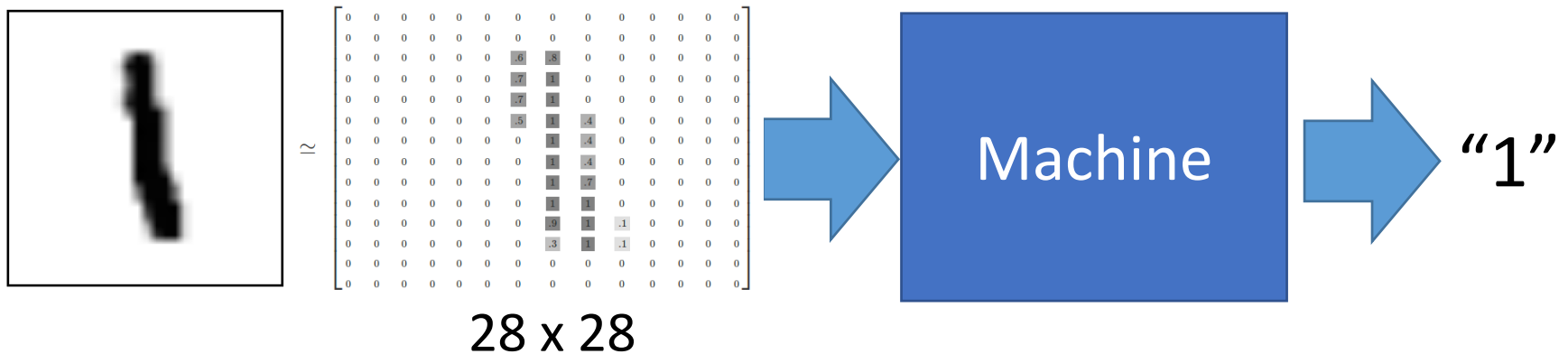


事實上我在



# Example Application

- Handwriting Digit Recognition



MNIST Data: <http://yann.lecun.com/exdb/mnist/>

# “Hello world” for deep learning

Keras provides data sets loading function: <http://keras.io/datasets/>

# Keras

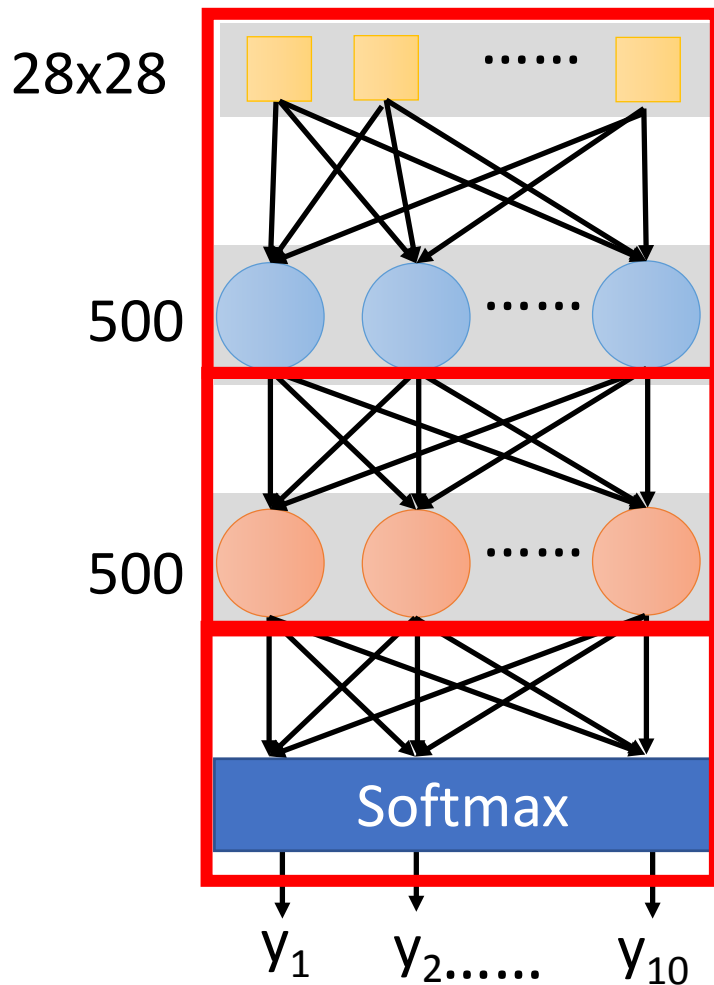
Step 1:  
Network  
Structure



Step 2:  
Learning  
Target



Step 3:  
Learn!



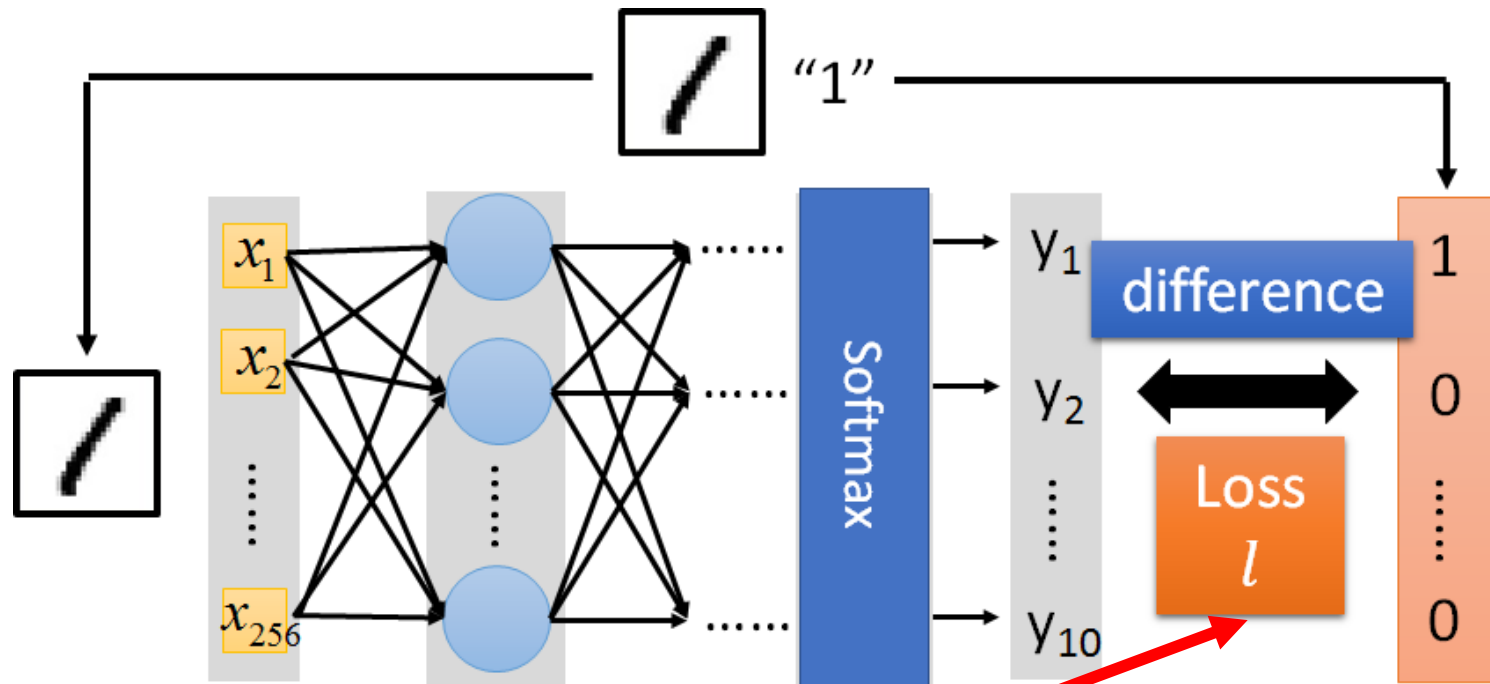
```
model = Sequential()
```

```
model.add( Dense( input_dim=28*28,  
                  output_dim=500 ) )  
model.add( Activation('sigmoid') )
```

```
model.add( Dense( output_dim=500 ) )  
model.add( Activation('sigmoid') )
```

```
model.add( Dense( output_dim=10 ) )  
model.add( Activation('softmax') )
```

# Keras



```
model.compile(loss='mse',  
              optimizer=SGD(lr=0.1),  
              metrics=['accuracy'])
```

# Keras



## Step 3.1: Configuration

```
model.compile(loss='mse',  
              optimizer=SGD(lr=0.1),  
              metrics=['accuracy'])
```

$$w \leftarrow w - \underset{0.1}{\eta} \partial L / \partial w$$

## Step 3.2: Find the optimal network parameters

```
model.fit(x_train, y_train, batch_size=100, nb_epoch=20)
```

Training data  
(Images)

Labels  
(digits)

等一下再講

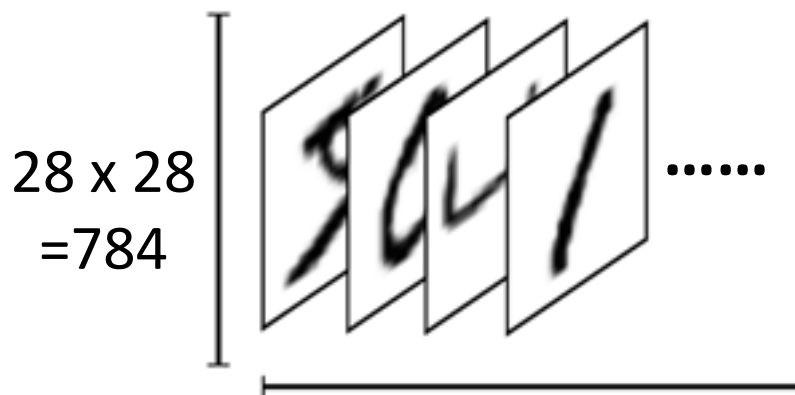
# Keras



Step 3.2: Find the optimal network parameters

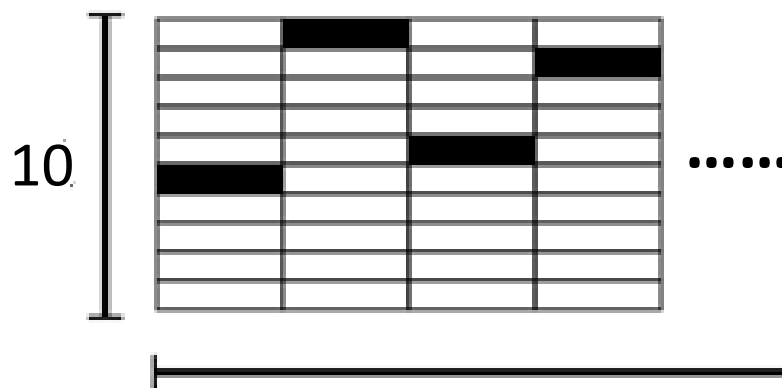
```
model.fit(x_train, y_train, batch_size=100, nb_epoch=20)
```

numpy array



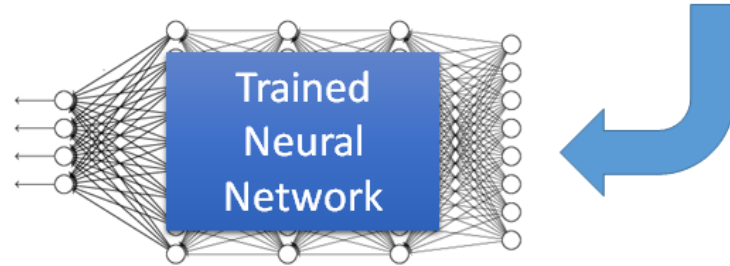
Number of training examples

numpy array



Number of training examples

# Keras



Save and load models

<http://keras.io/getting-started/faq/#how-can-i-save-a-keras-model>

How to use the neural network (testing):

case 1: 

```
score = model.evaluate(x_test,y_test)
print('Total loss on Testing Set:', score[0])
print('Accuracy of Testing Set:', score[1])
```

case 2: 

```
result = model.predict(x_test)
```

# Keras

- Using GPU to speed training
  - Way 1
    - `THEANO_FLAGS=device=gpu0 python YourCode.py`
  - Way 2 (in your code)
    - `import os`
    - `os.environ["THEANO_FLAGS"] = "device=cpu"`

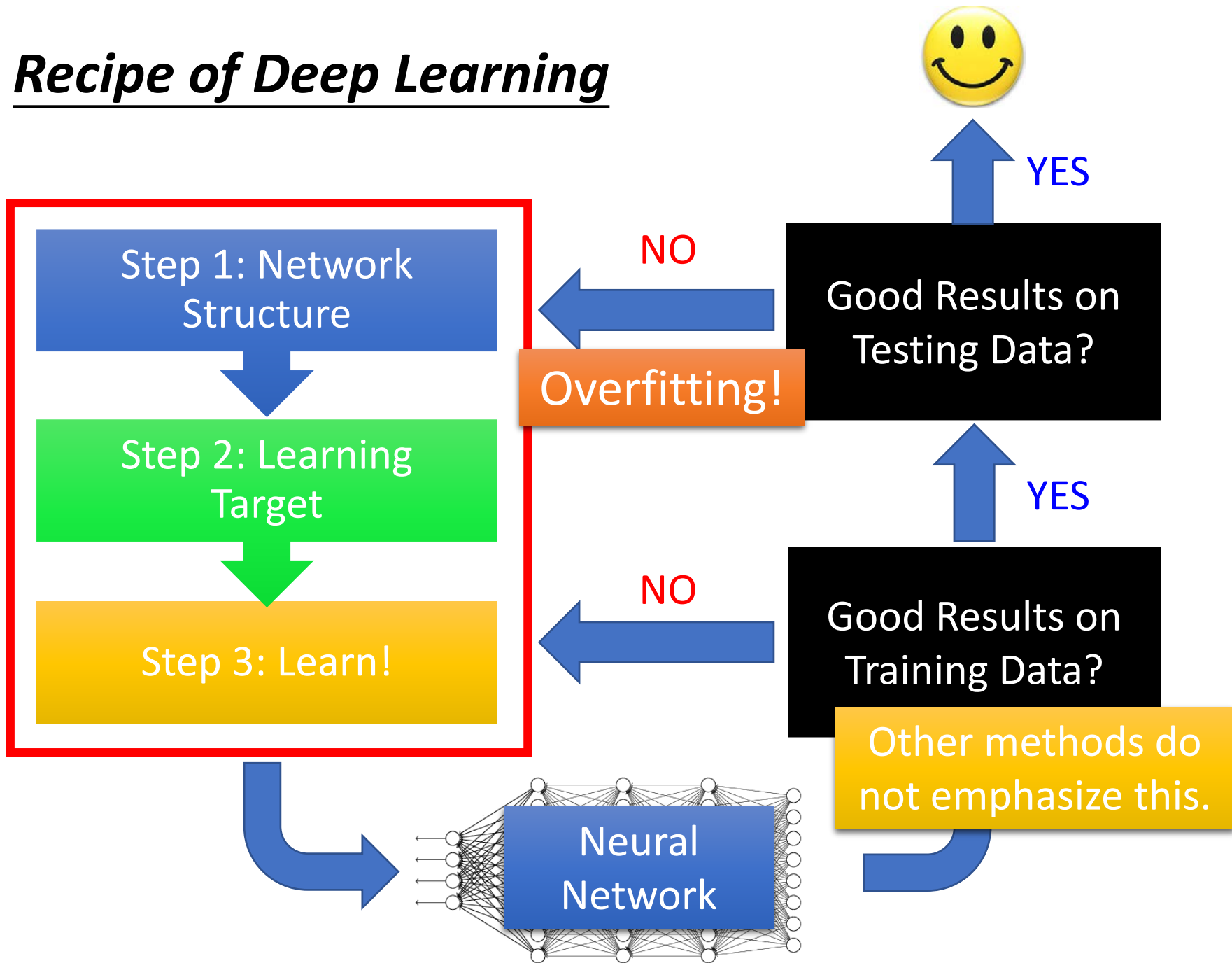
# Outline of Lecture II

“Hello World” for Deep Learning

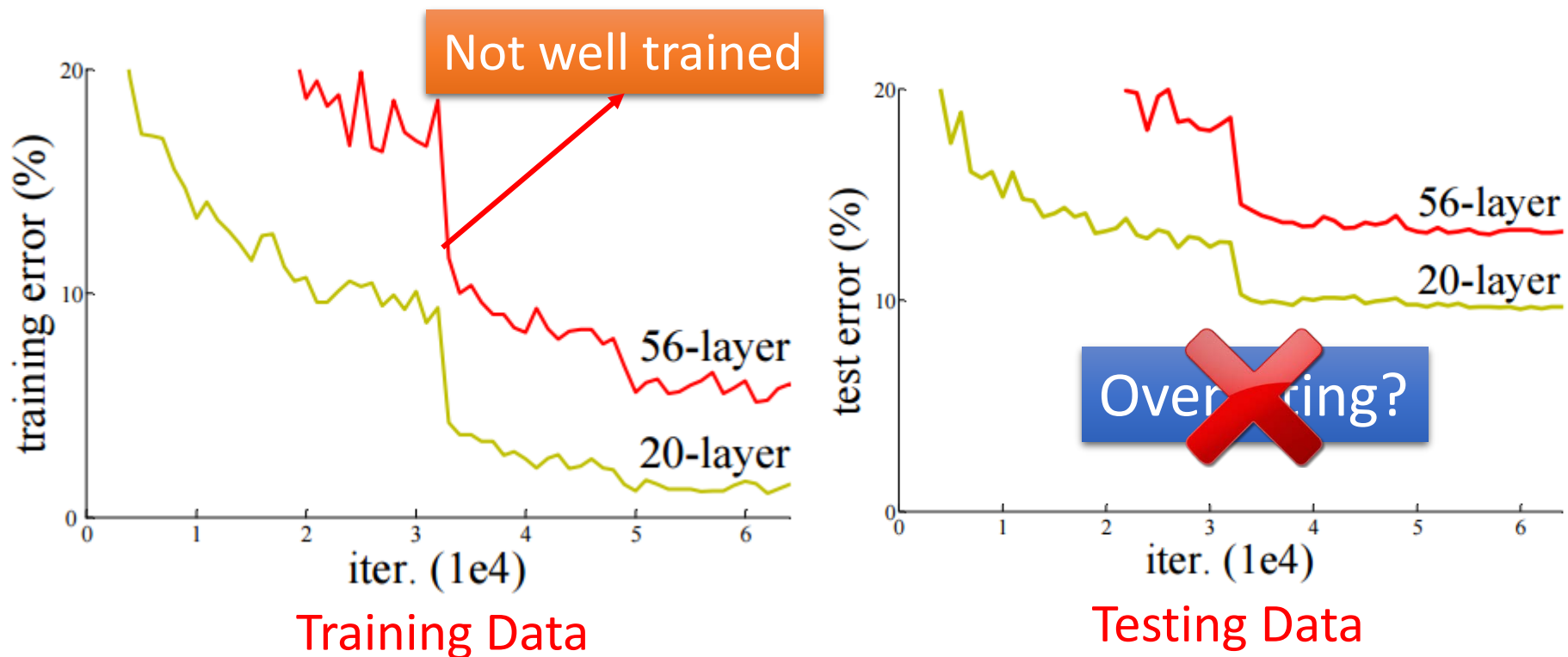
Recipe of Deep Learning



# Recipe of Deep Learning

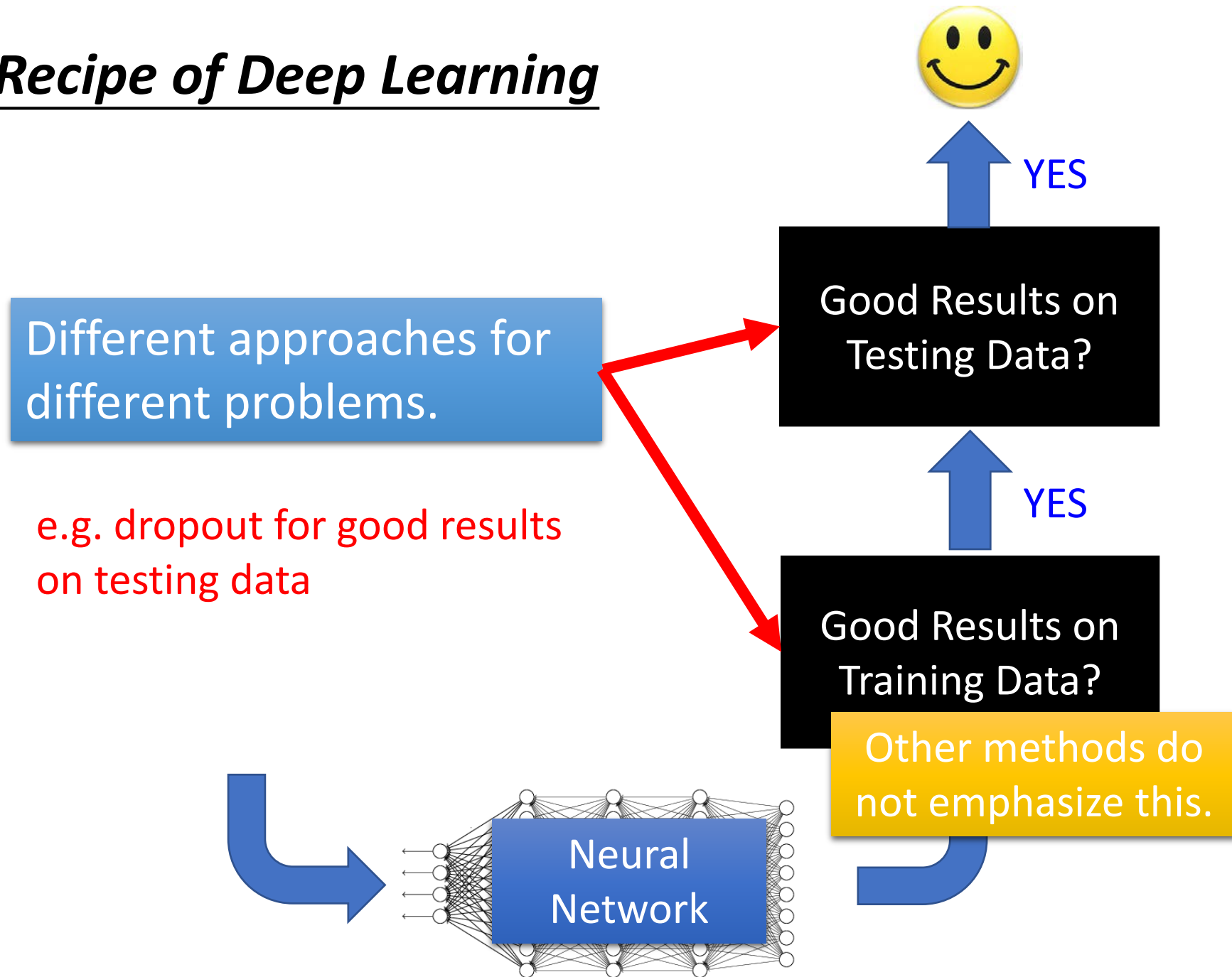


# Do not always blame Overfitting

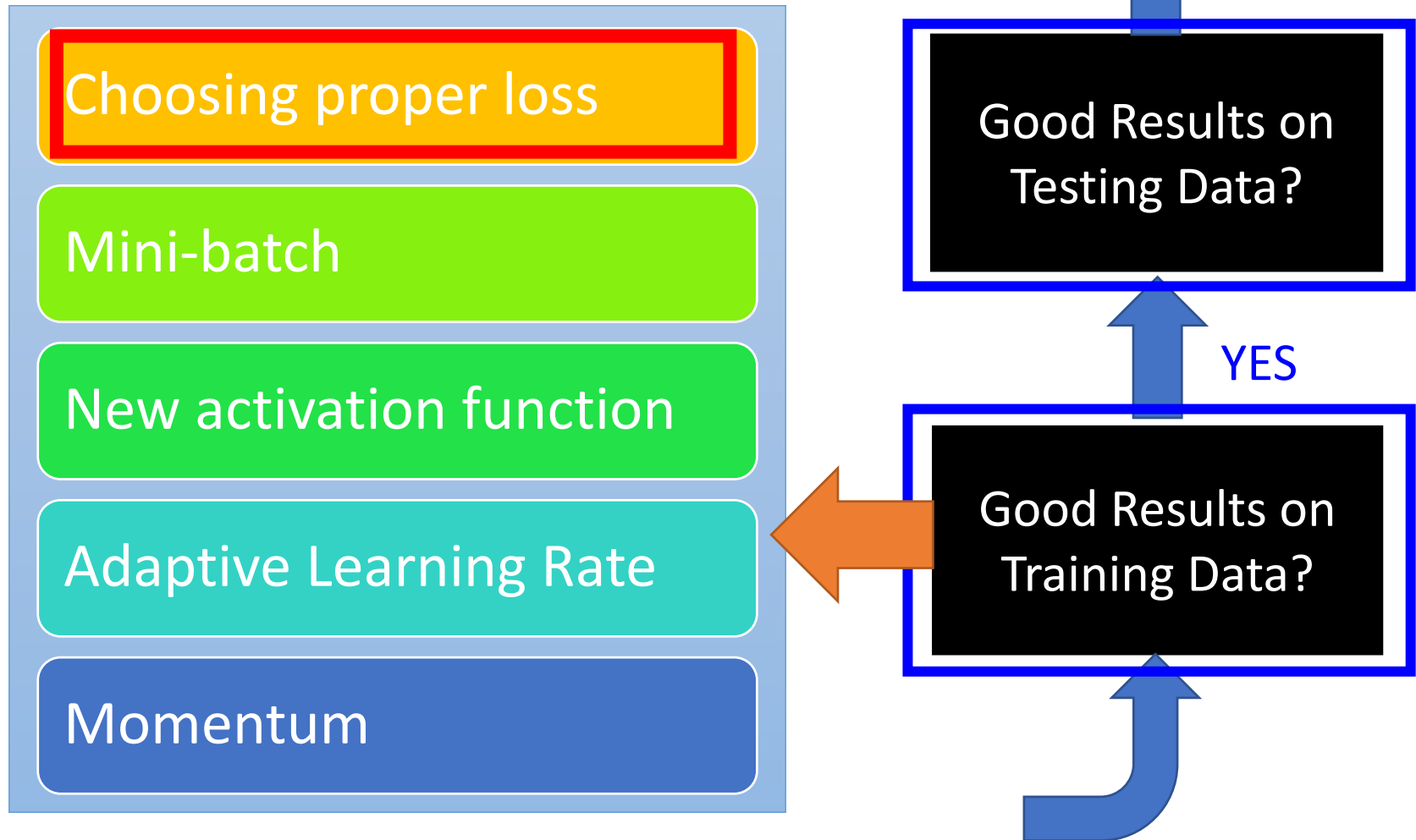


Deep Residual Learning for Image Recognition  
<http://arxiv.org/abs/1512.03385>

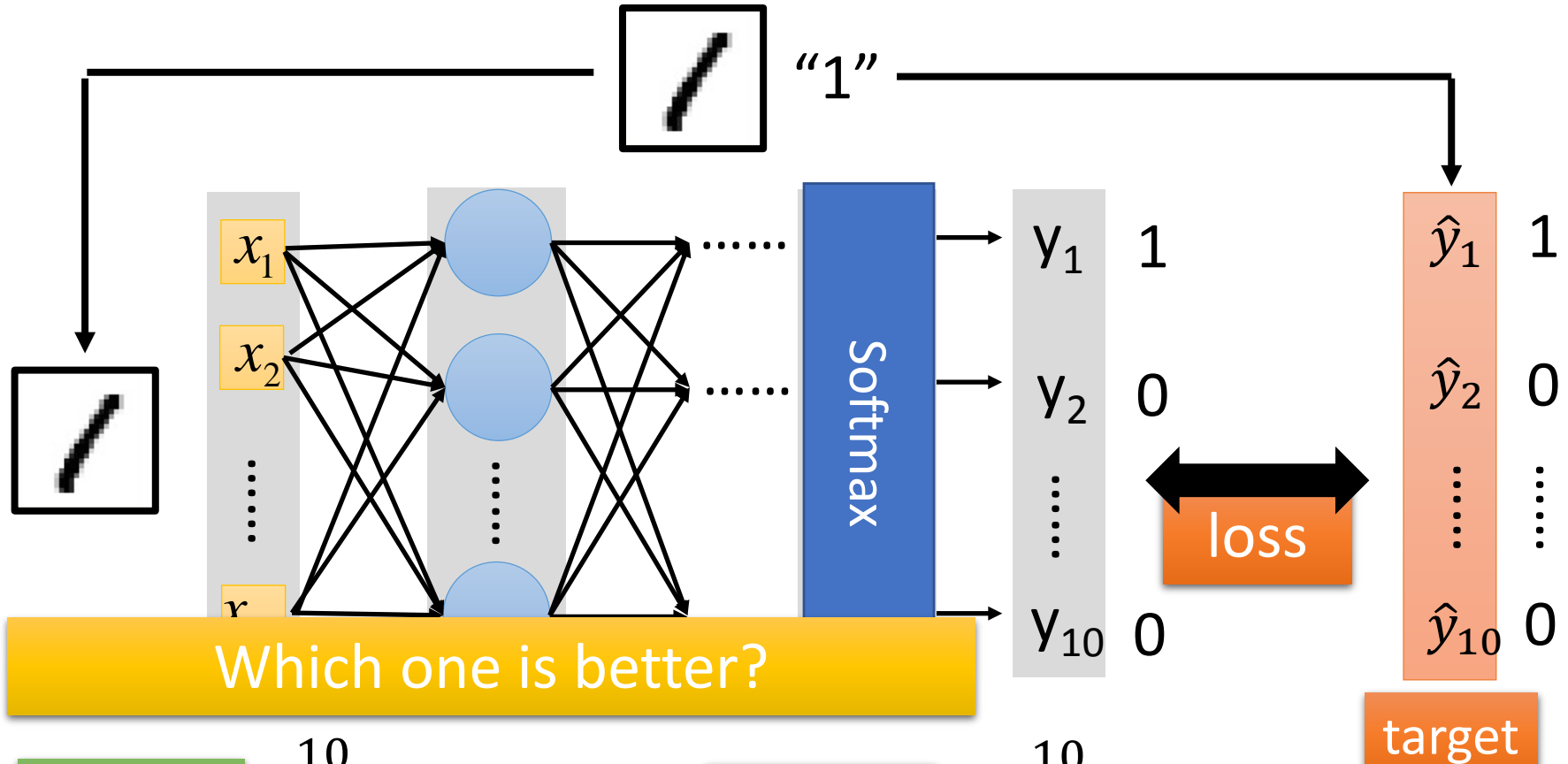
# Recipe of Deep Learning



# Recipe of Deep Learning



# Choosing Proper Loss



Square  
Error

$$\sum_{i=1}^{10} (y_i - \hat{y}_i)^2$$

**=0**

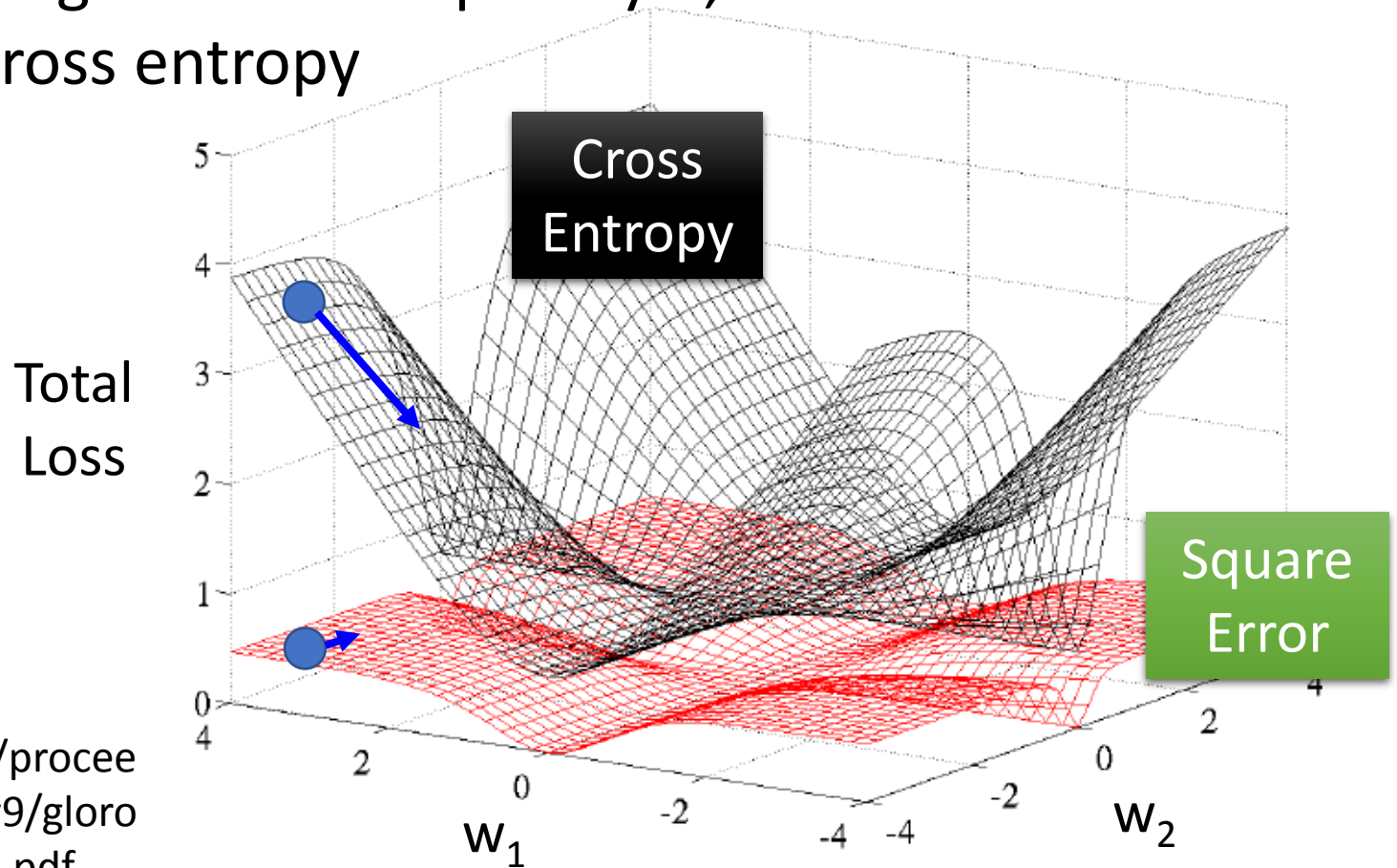
Cross  
Entropy

$$-\sum_{i=1}^{10} \hat{y}_i \ln y_i$$

**=0**

# Choosing Proper Loss

When using softmax output layer,  
choose cross entropy



<http://jmlr.org/proceedings/papers/v9/glorot10a/glorot10a.pdf>

# Let's try it

## Square Error

```
model.compile(loss='mse',  
              optimizer=SGD(lr=0.1),  
              metrics=['accuracy'])
```

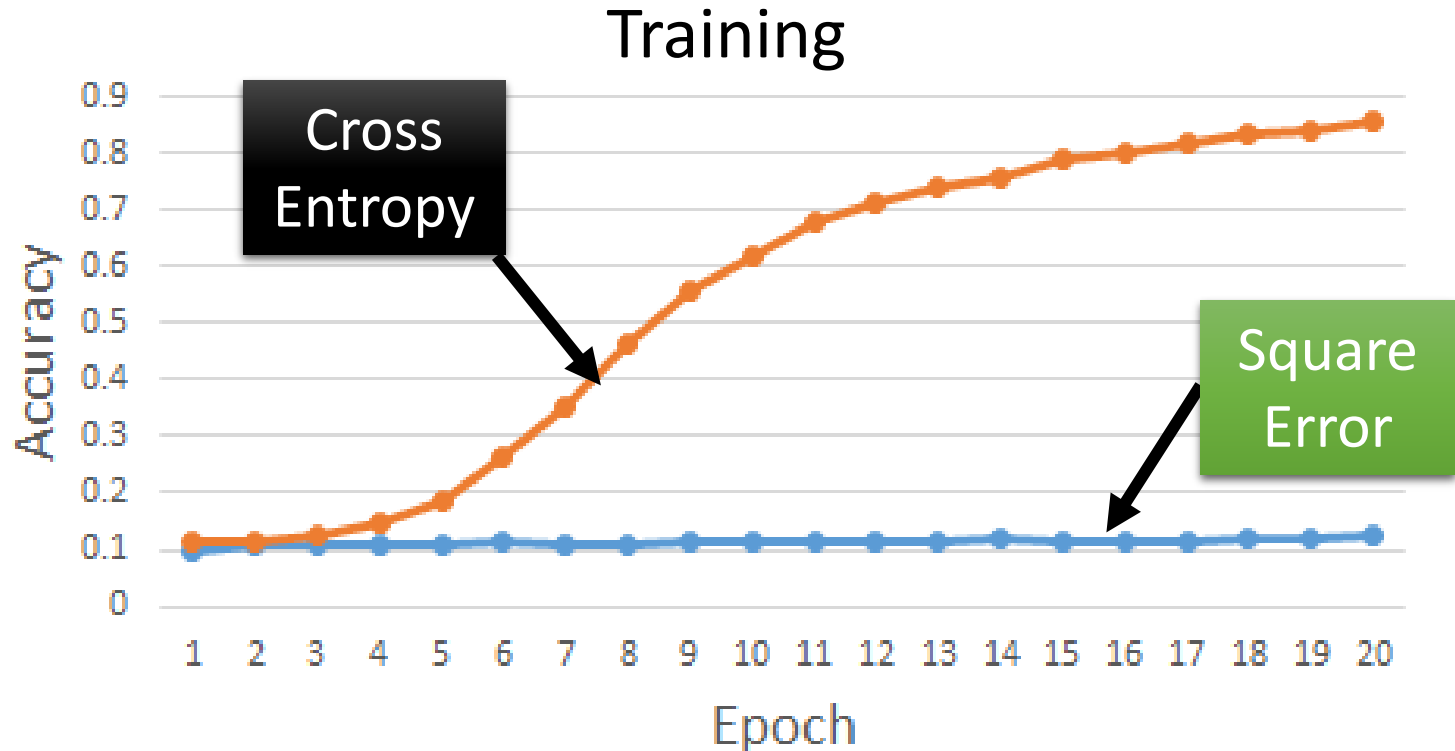
## Cross Entropy

```
model.compile(loss='categorical_crossentropy',  
              optimizer=SGD(lr=0.1),  
              metrics=['accuracy'])
```

Let's try it

Testing:

	Accuracy
Square Error	0.11
Cross Entropy	0.84





# Recipe of Deep Learning

Choosing proper loss

Mini-batch

New activation function

Adaptive Learning Rate

Momentum

Good Results on  
Testing Data?

YES



Good Results on  
Training Data?

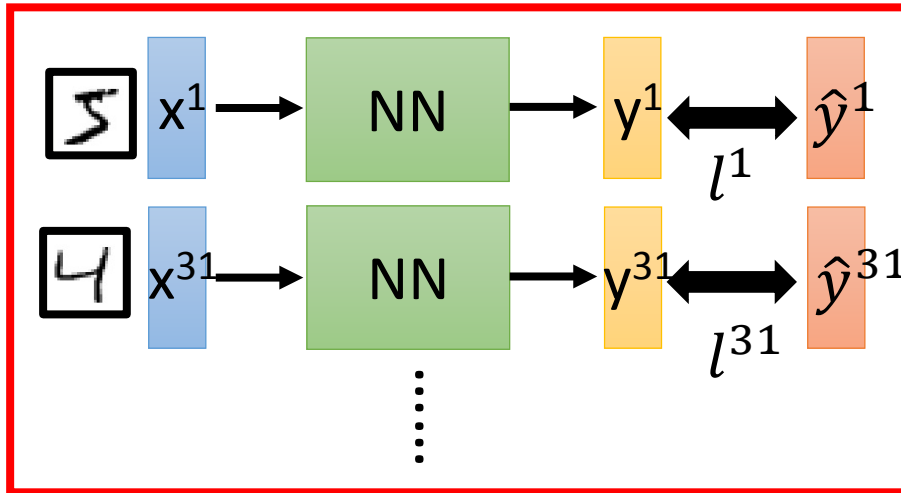
YES

```
model.fit(x_train, y_train, batch_size=100, nb_epoch=20)
```

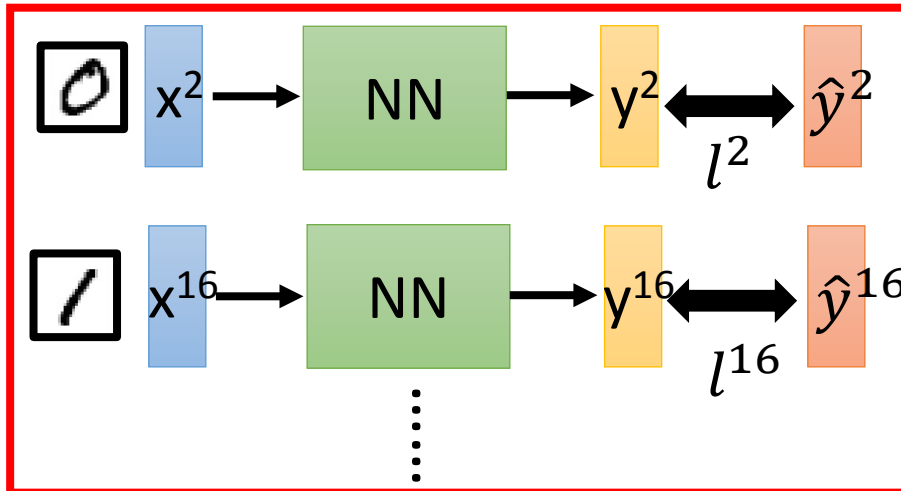
We do not really minimize total loss!

# Mini-batch

Mini-batch



Mini-batch



➤ Randomly initialize network parameters

➤ Pick the 1<sup>st</sup> batch

$$L' = l^1 + l^{31} + \dots$$

Update parameters once

➤ Pick the 2<sup>nd</sup> batch

$$L'' = l^2 + l^{16} + \dots$$

Update parameters once

:

➤ Until all mini-batches have been picked

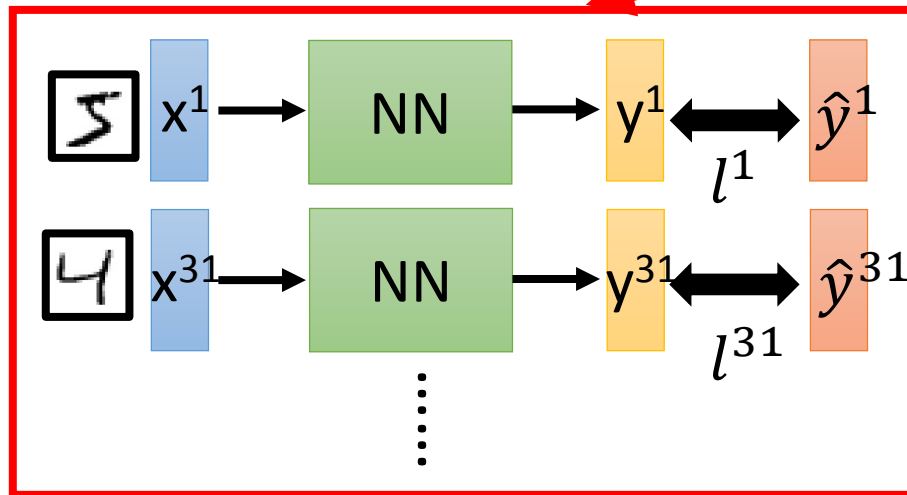
one epoch

Repeat the above process

# Mini-batch

```
model.fit(x_train, y_train, batch size=100, nb epoch=20)
```

Mini-batch



100 examples in a mini-batch

Repeat 20 times

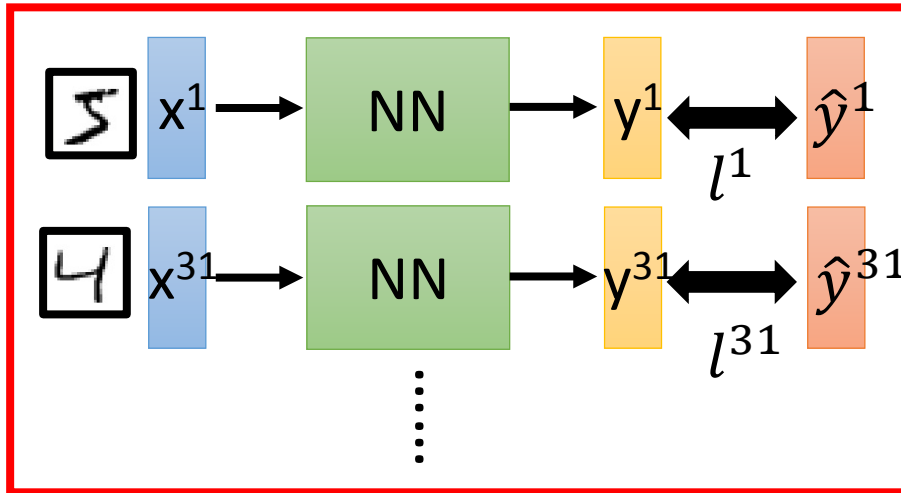
- Pick the 1<sup>st</sup> batch  
 $L' = l^1 + l^{31} + \dots$   
Update parameters once
- Pick the 2<sup>nd</sup> batch  
 $L'' = l^2 + l^{16} + \dots$   
Update parameters once  
⋮
- Until all mini-batches have been picked

one epoch

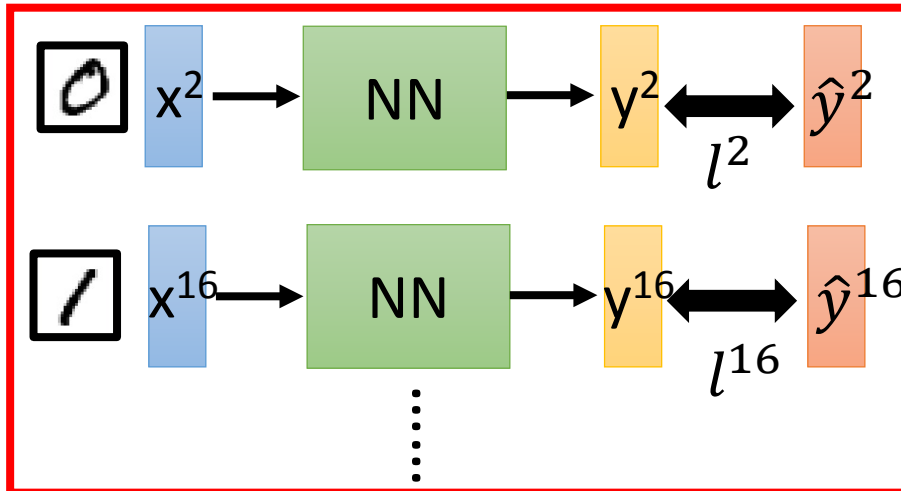
We do not really minimize total loss!

# Mini-batch

Mini-batch



Mini-batch



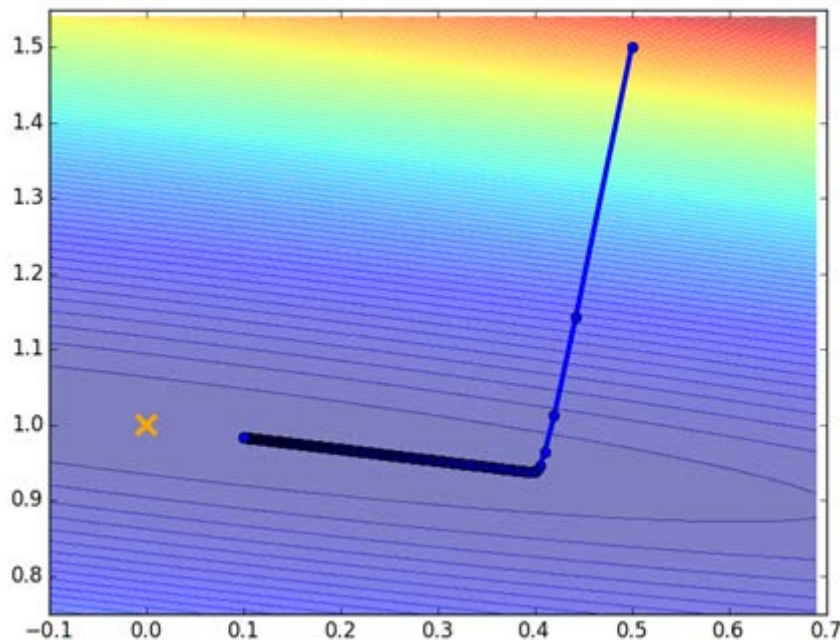
- Randomly initialize network parameters
- Pick the 1<sup>st</sup> batch  
 $L' = l^1 + l^{31} + \dots$   
Update parameters once
- Pick the 2<sup>nd</sup> batch  
 $L'' = l^2 + l^{16} + \dots$   
Update parameters once  
⋮

L is different each time when we update parameters!

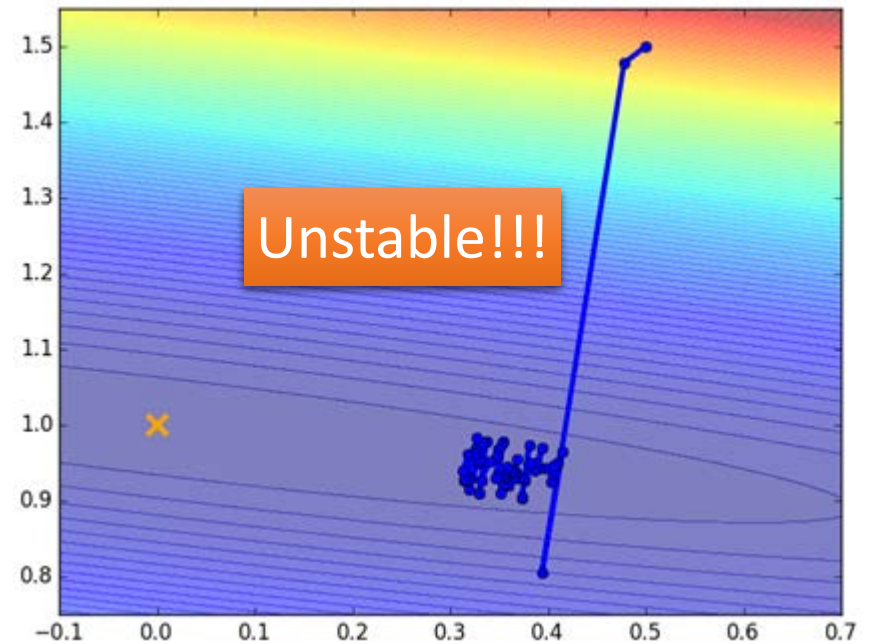
目標換來換去?!

# Mini-batch

**Original Gradient Descent**



**With Mini-batch**



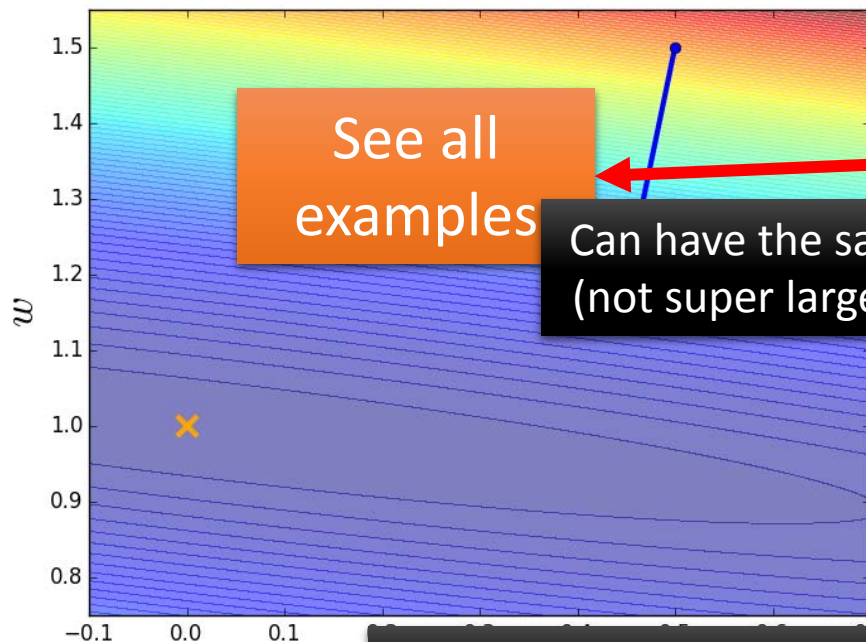
The colors represent the total loss.

# Mini-batch is Faster

Not always true with parallel computing.

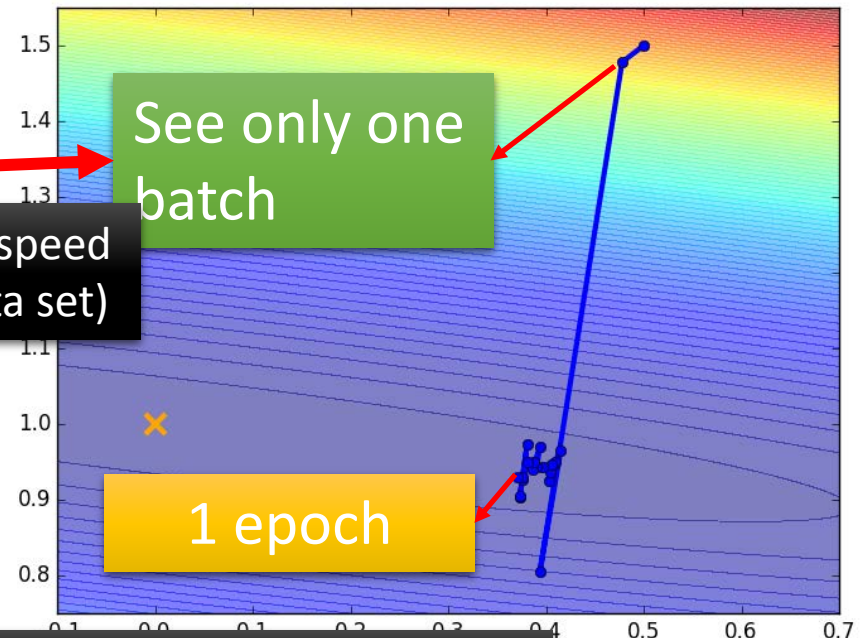
## Original Gradient Descent

Update after seeing all examples



## With Mini-batch

If there are 20 batches, update 20 times in one epoch.



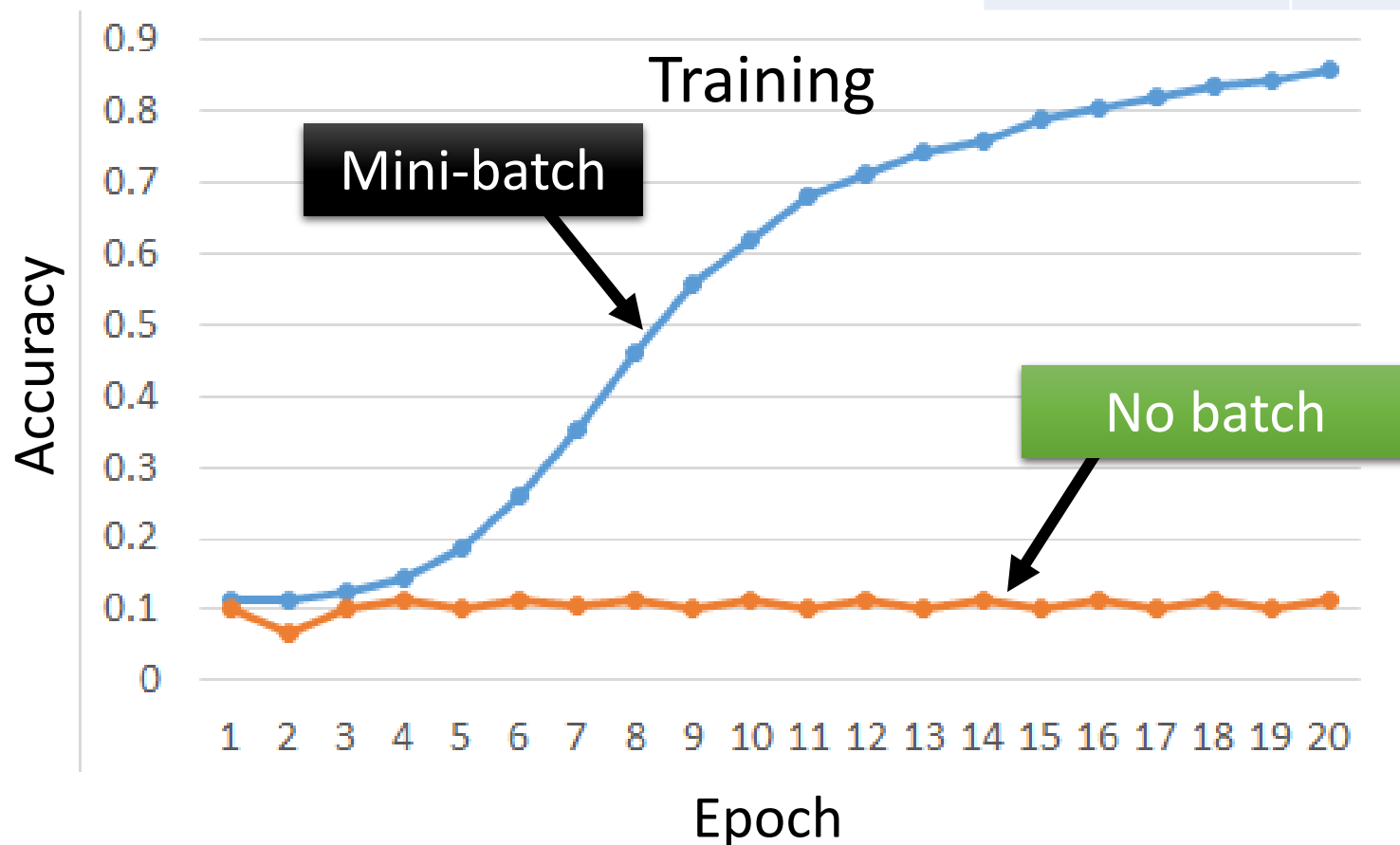
Can have the same speed  
(not super large data set)

Mini-batch has better performance!

# Mini-batch is Better!

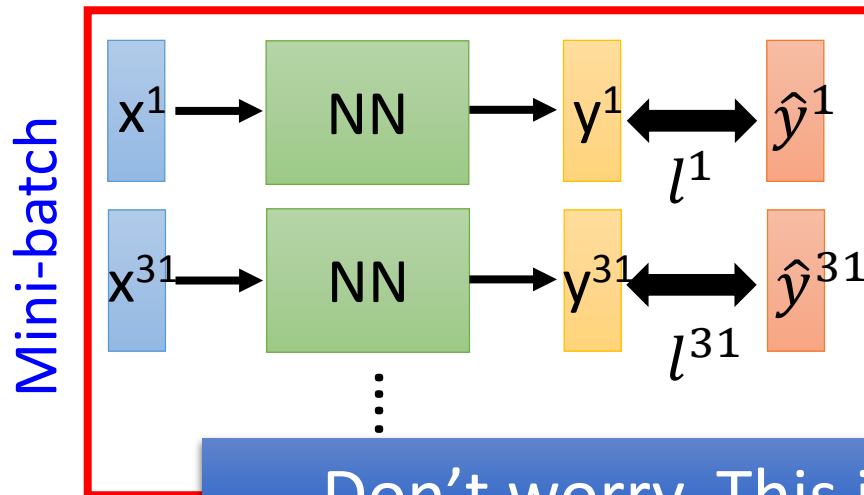
Testing:

	Accuracy
Mini-batch	0.84
No batch	0.12

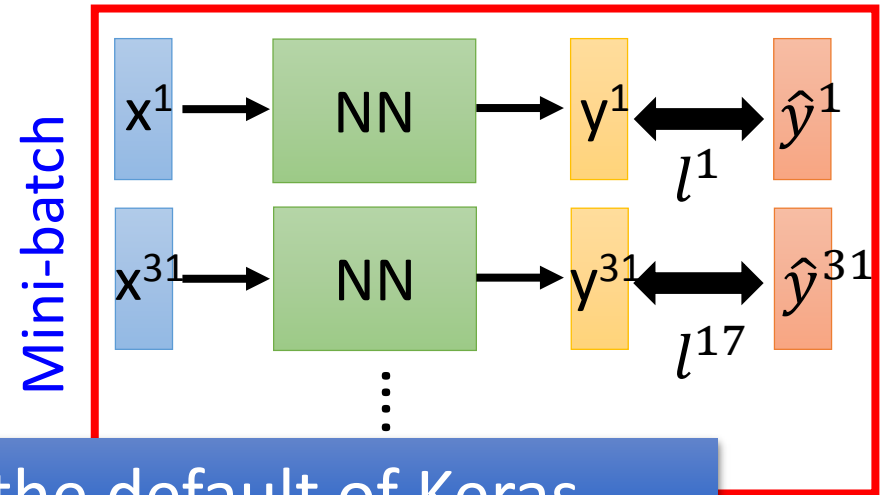


# Shuffle the training examples for each epoch

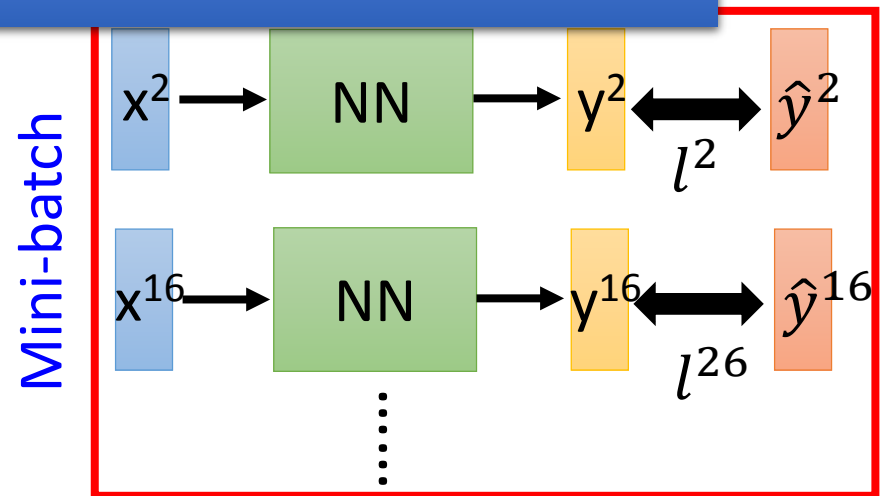
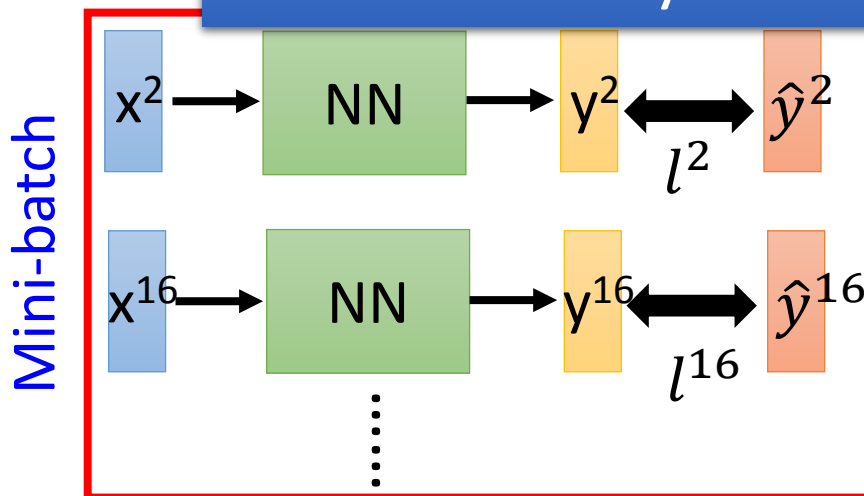
Epoch 1



Epoch 2

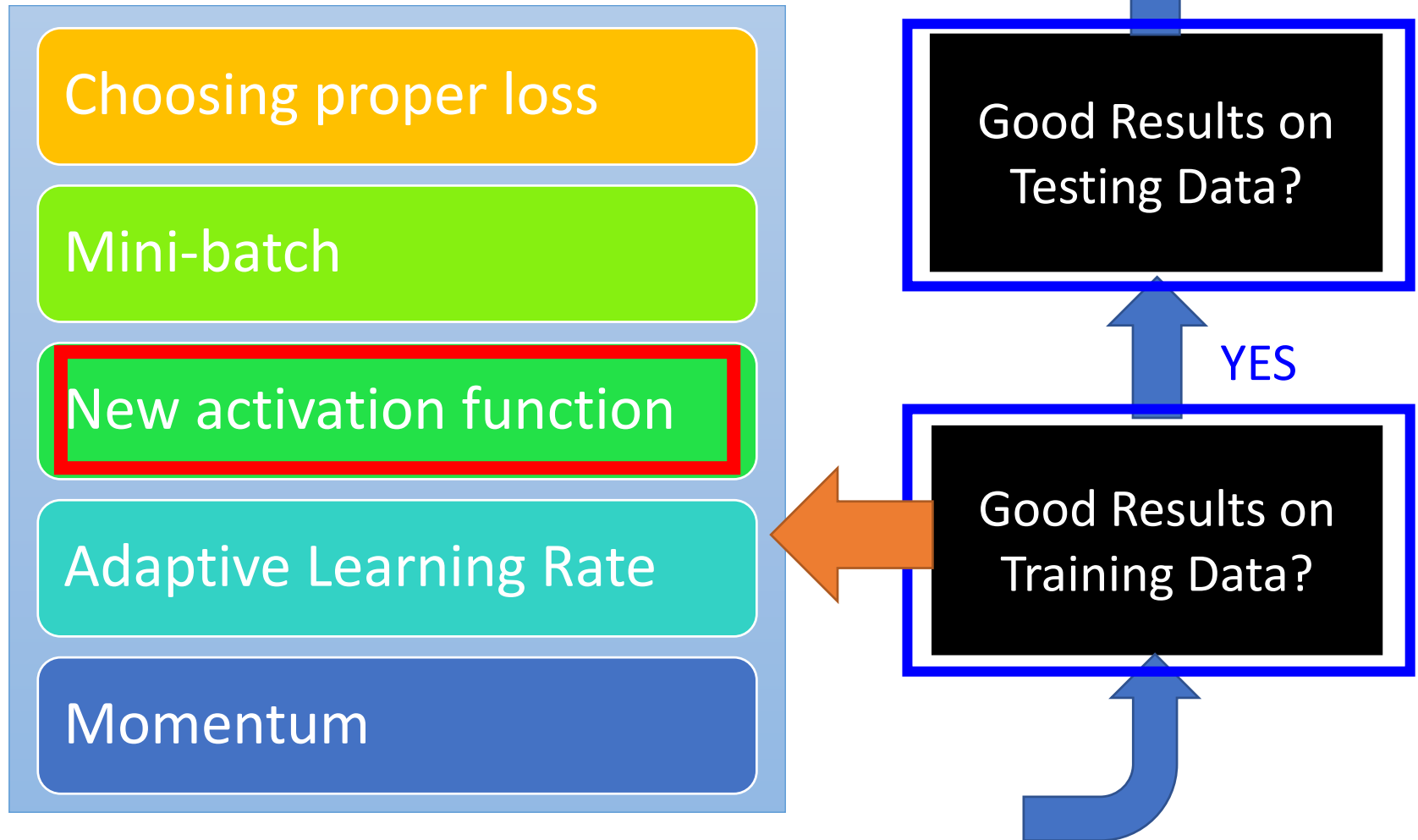


Don't worry. This is the default of Keras.

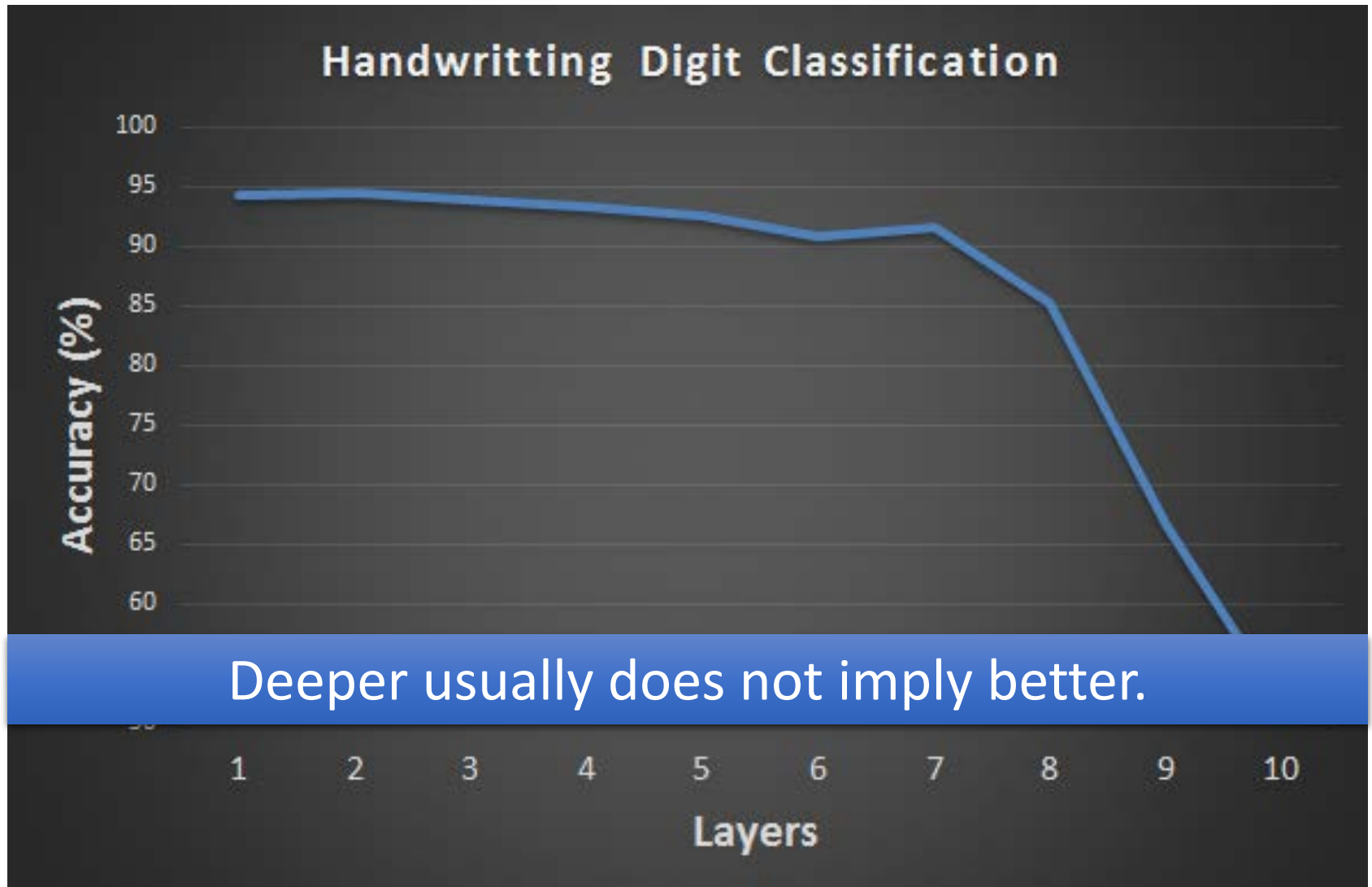




# Recipe of Deep Learning



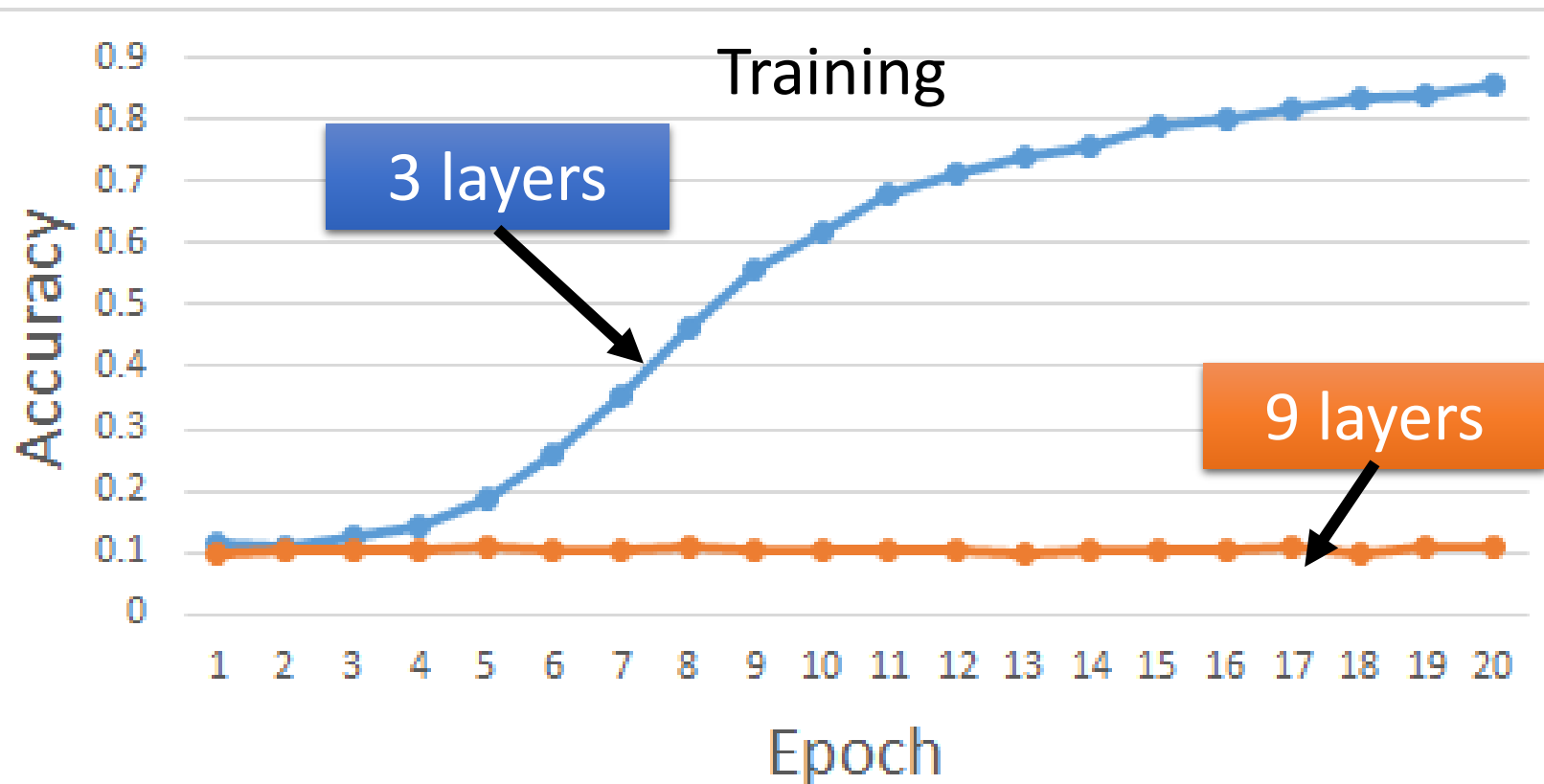
# Hard to get the power of Deep ...



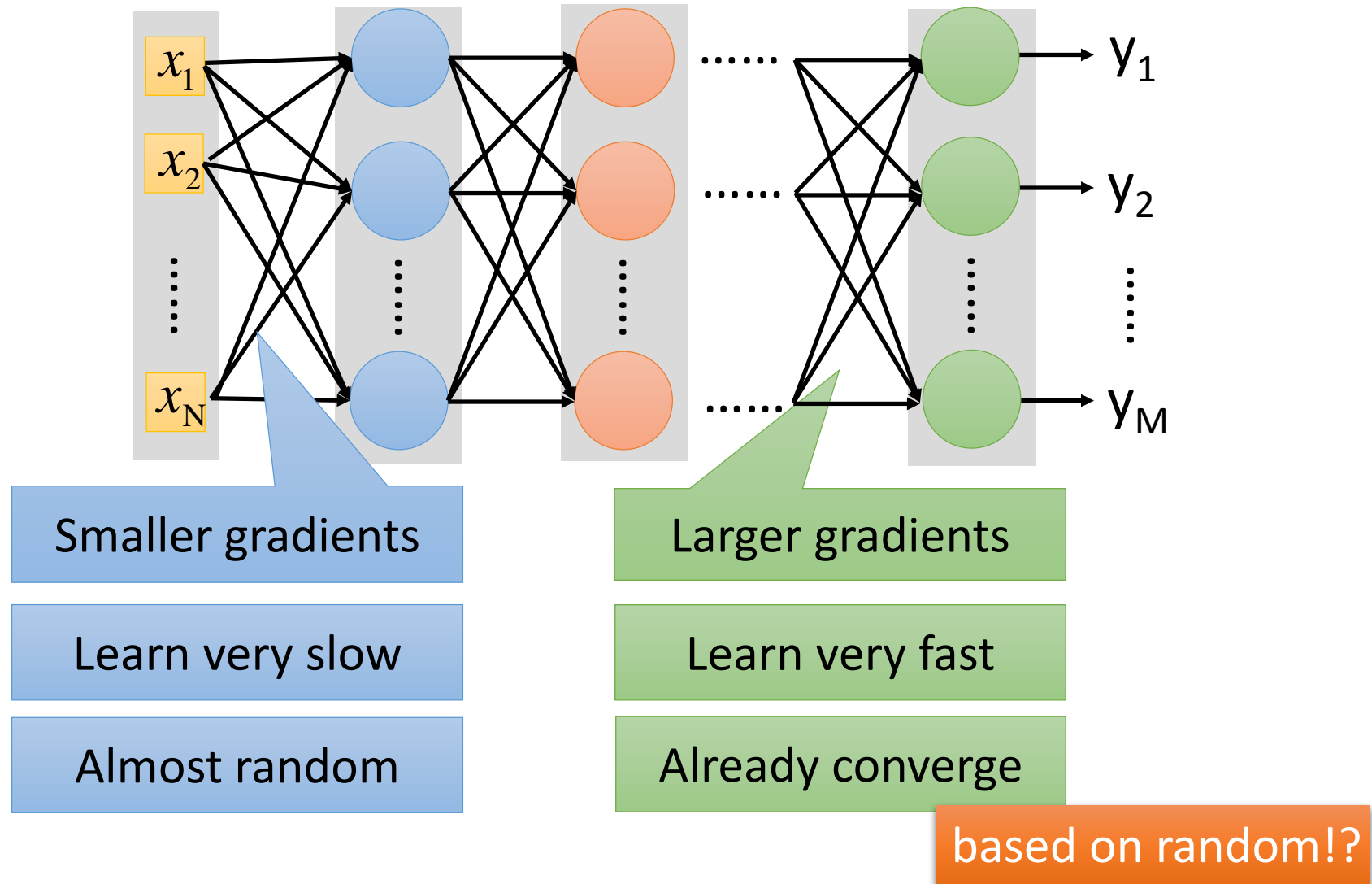
Let's try it

Testing:

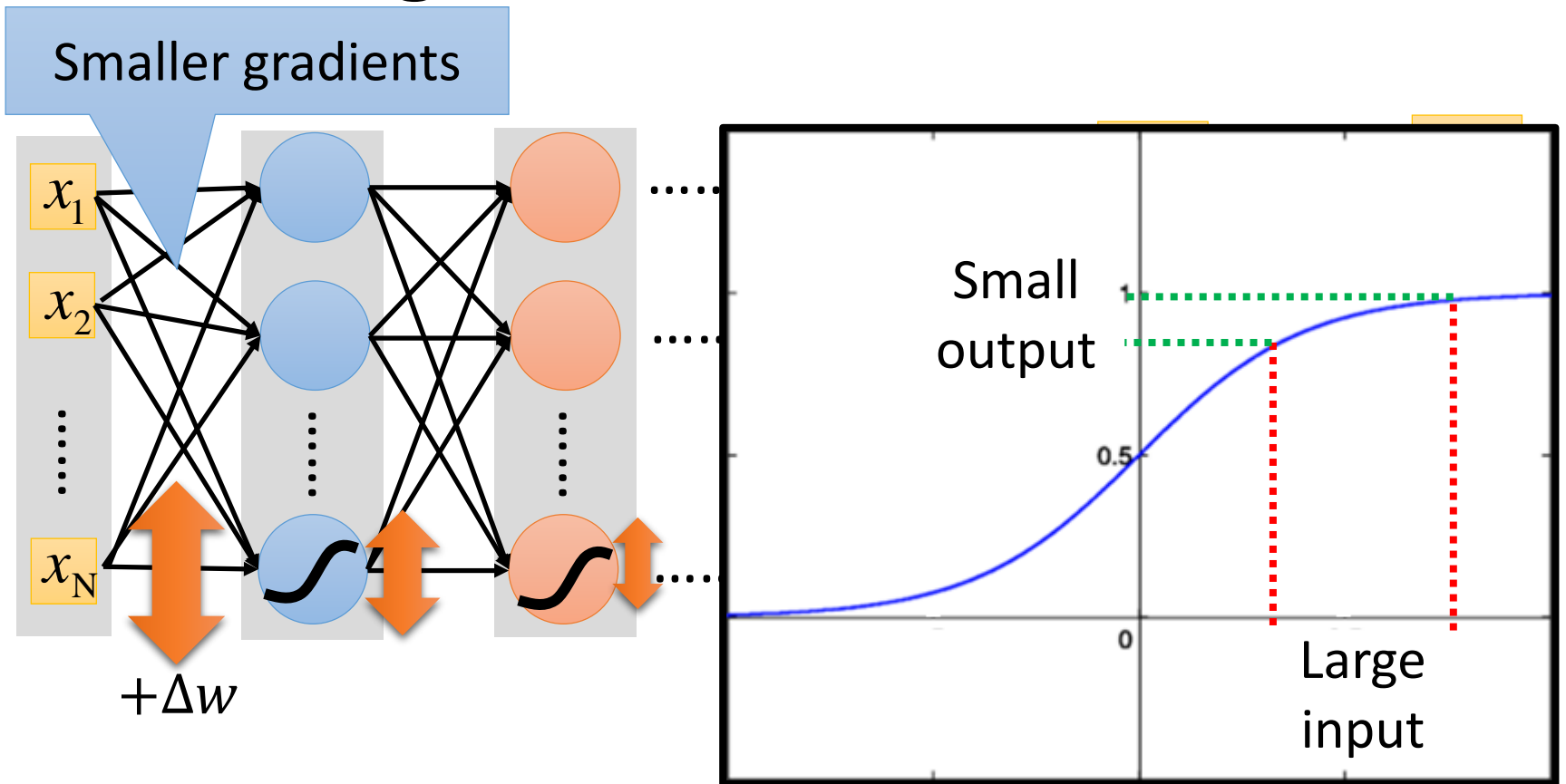
	Accuracy
3 layers	0.84
9 layers	0.11



# Vanishing Gradient Problem



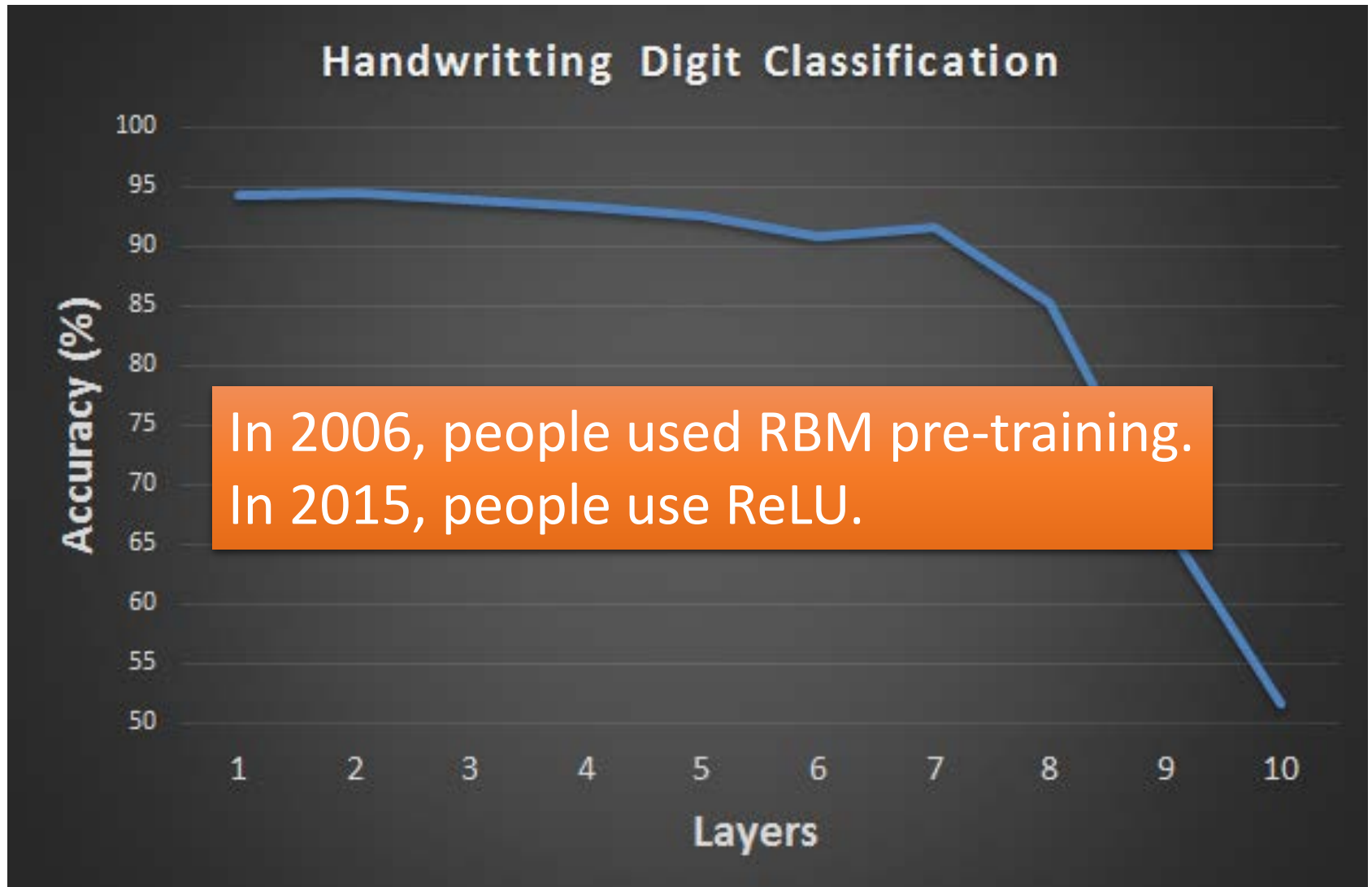
# Vanishing Gradient Problem



Intuitive way to compute the derivatives ...

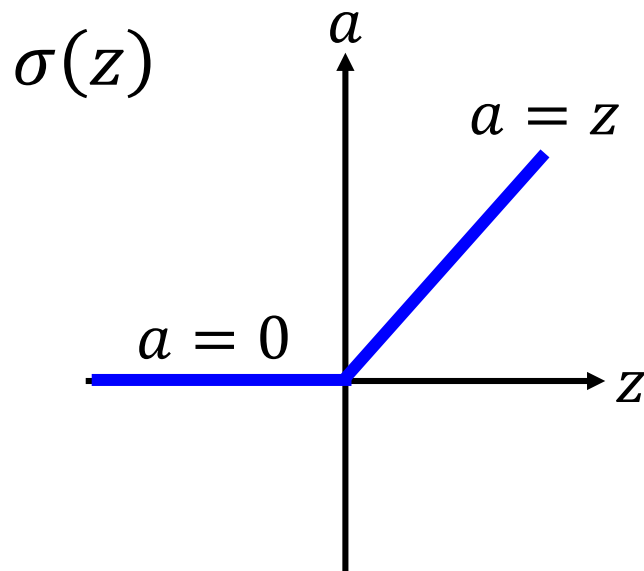
$$\frac{\partial l}{\partial w} = ? \quad \frac{\Delta l}{\Delta w}$$

# Hard to get the power of Deep ...



# ReLU

- Rectified Linear Unit (ReLU)

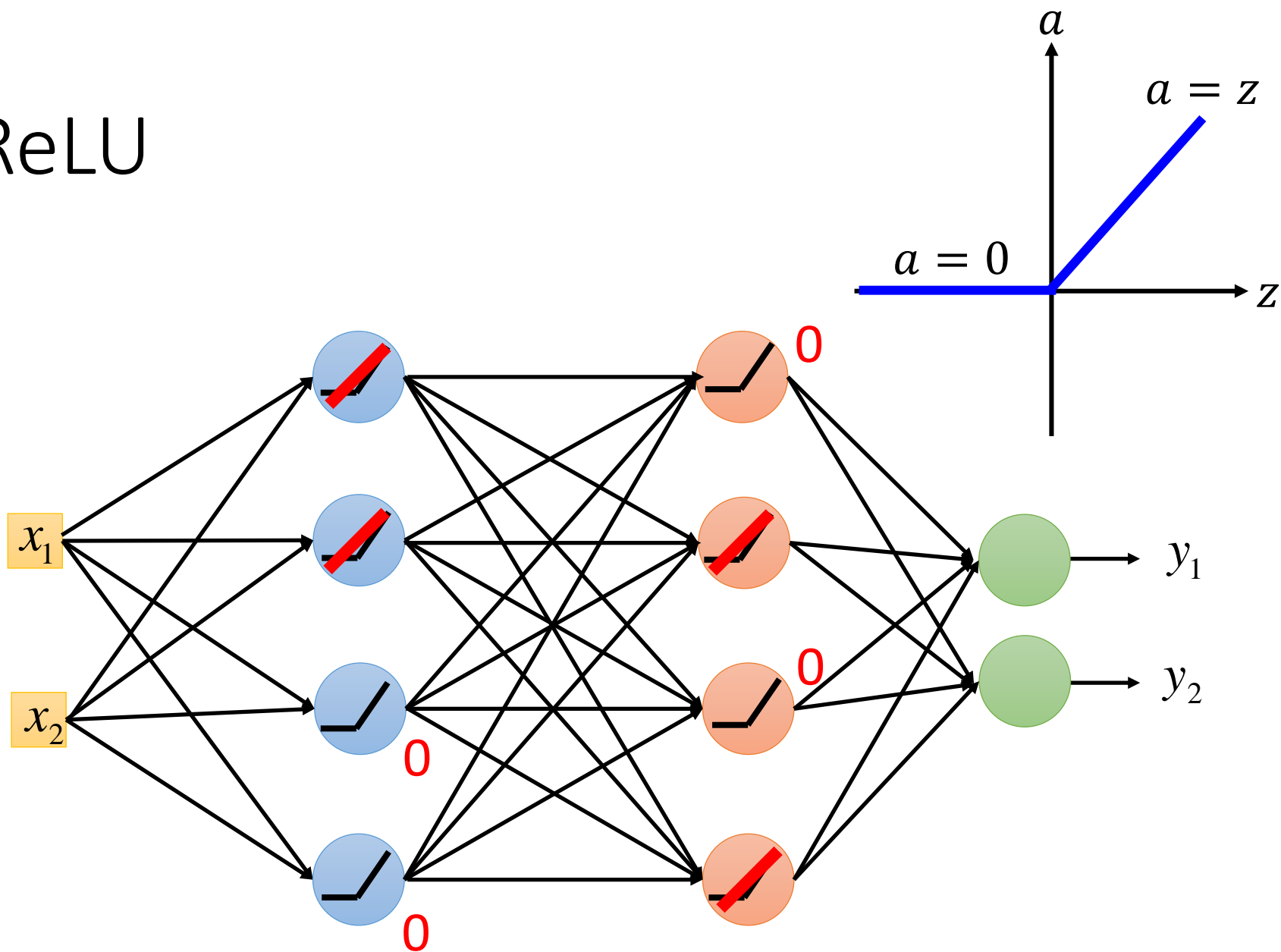


[Xavier Glorot, AISTATS'11]  
[Andrew L. Maas, ICML'13]  
[Kaiming He, arXiv'15]

## Reason:

1. Fast to compute
2. Biological reason
3. Infinite sigmoid with different biases
4. Vanishing gradient problem

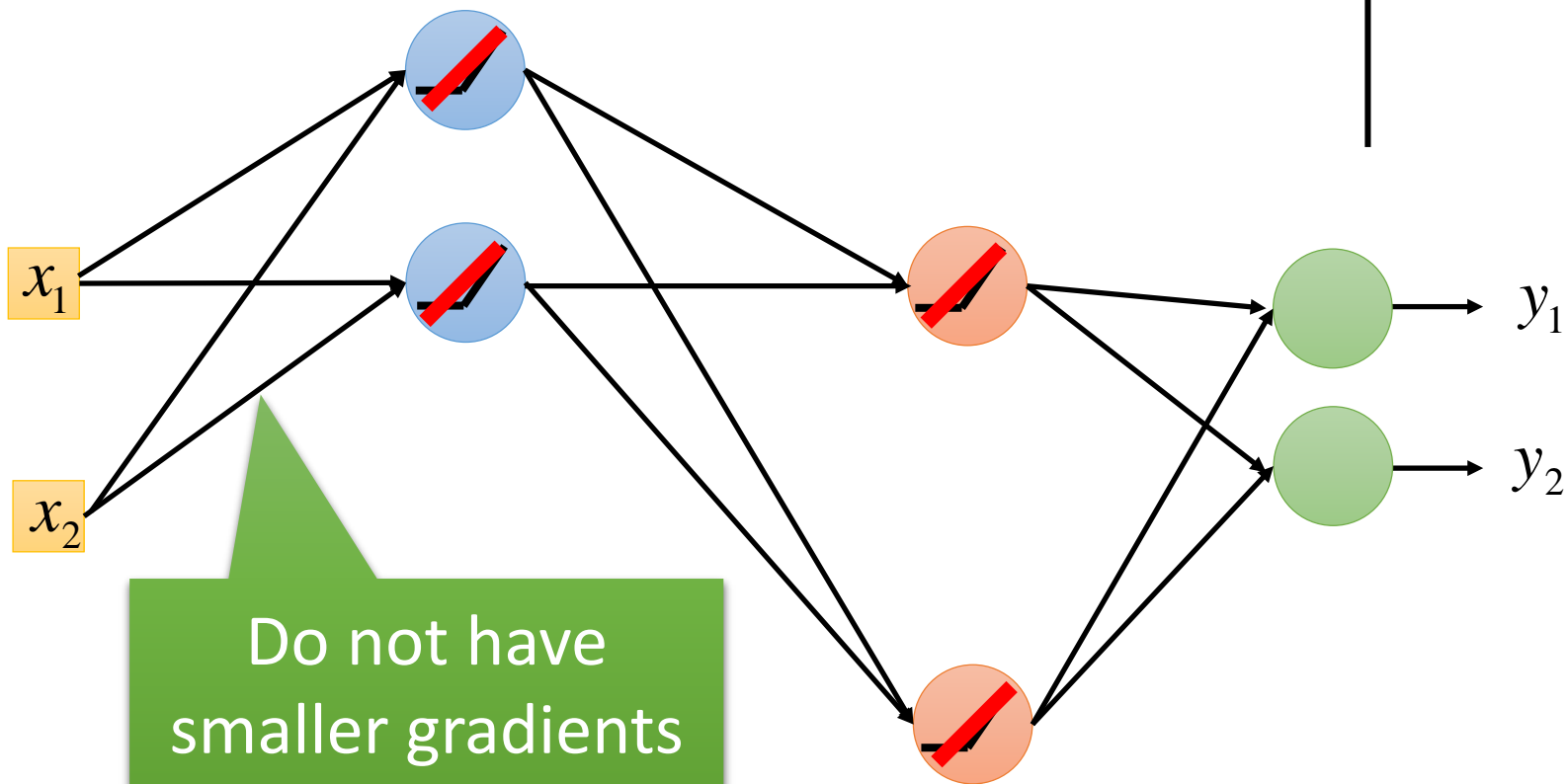
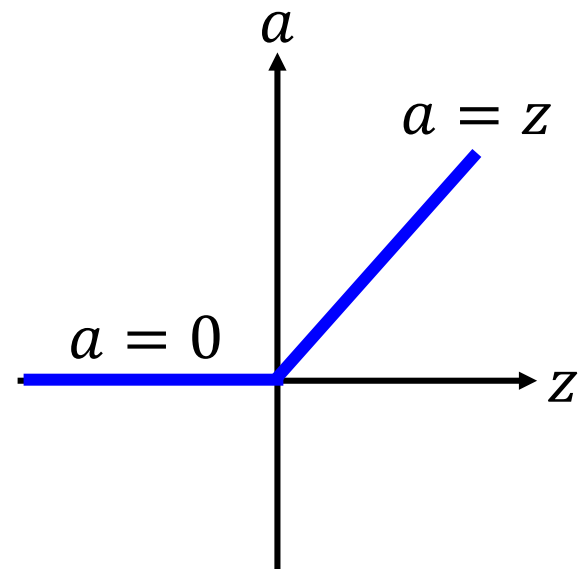
# ReLU





# ReLU

A Thinner linear network



Let's try it

```
model.add( Activation('sigmoid') )
```



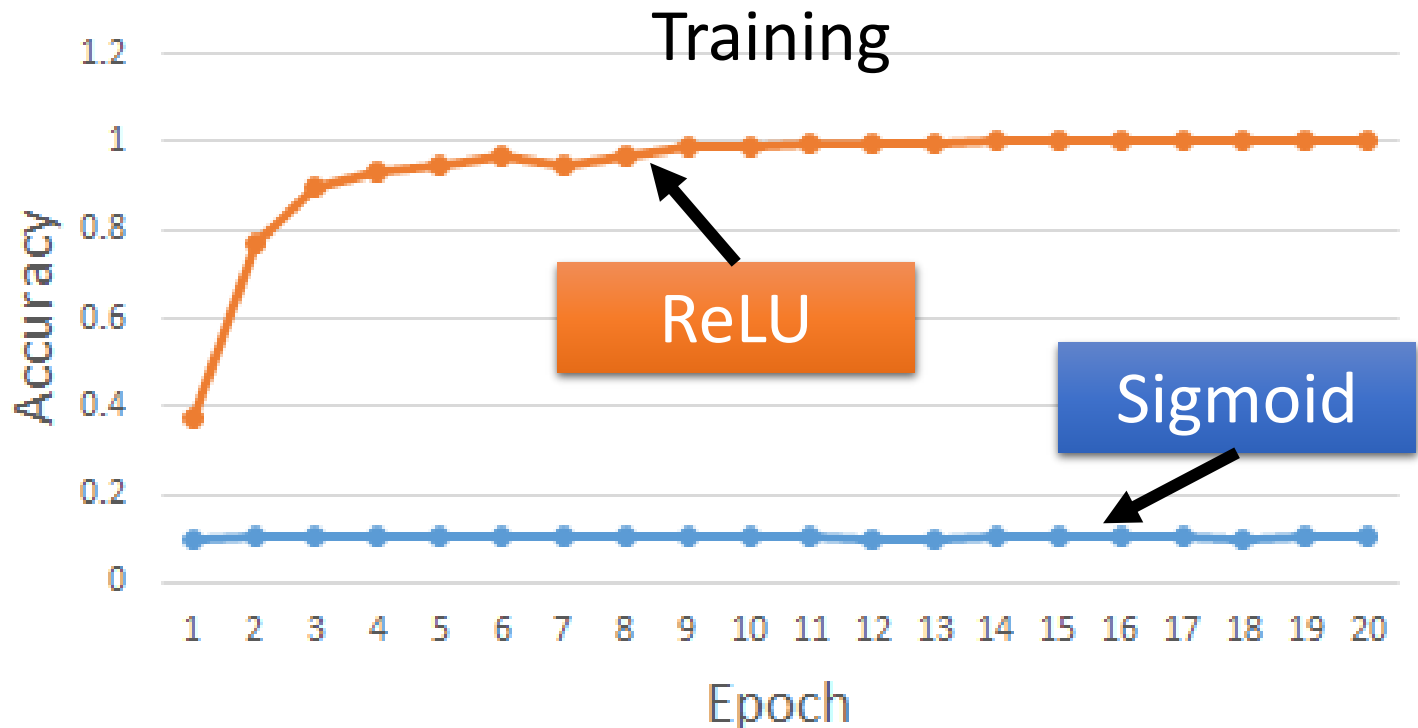
```
model.add( Activation('relu') )
```

# Let's try it

- 9 layers

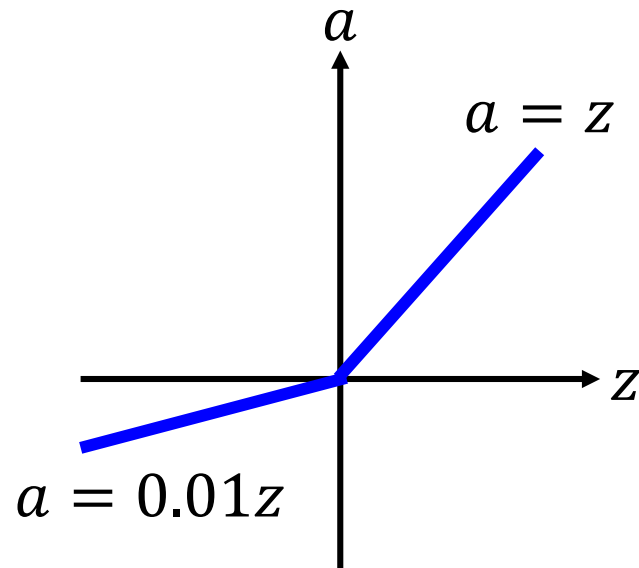
Testing:

9 layers	Accuracy
Sigmoid	0.11
ReLU	0.96

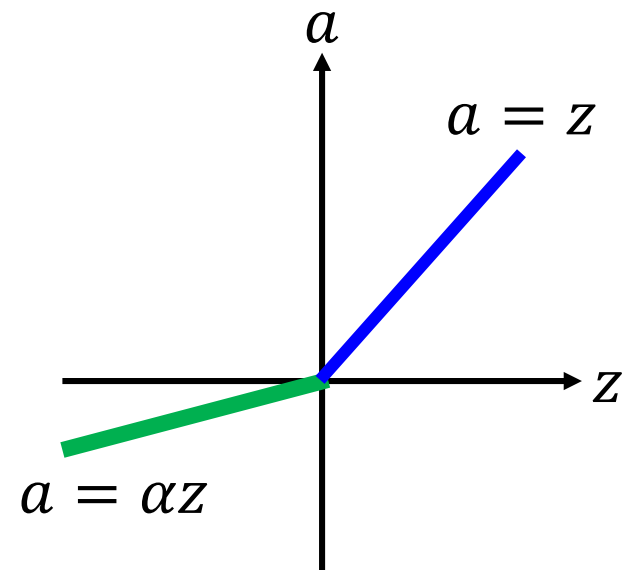


# ReLU - variant

*Leaky ReLU*



*Parametric ReLU*

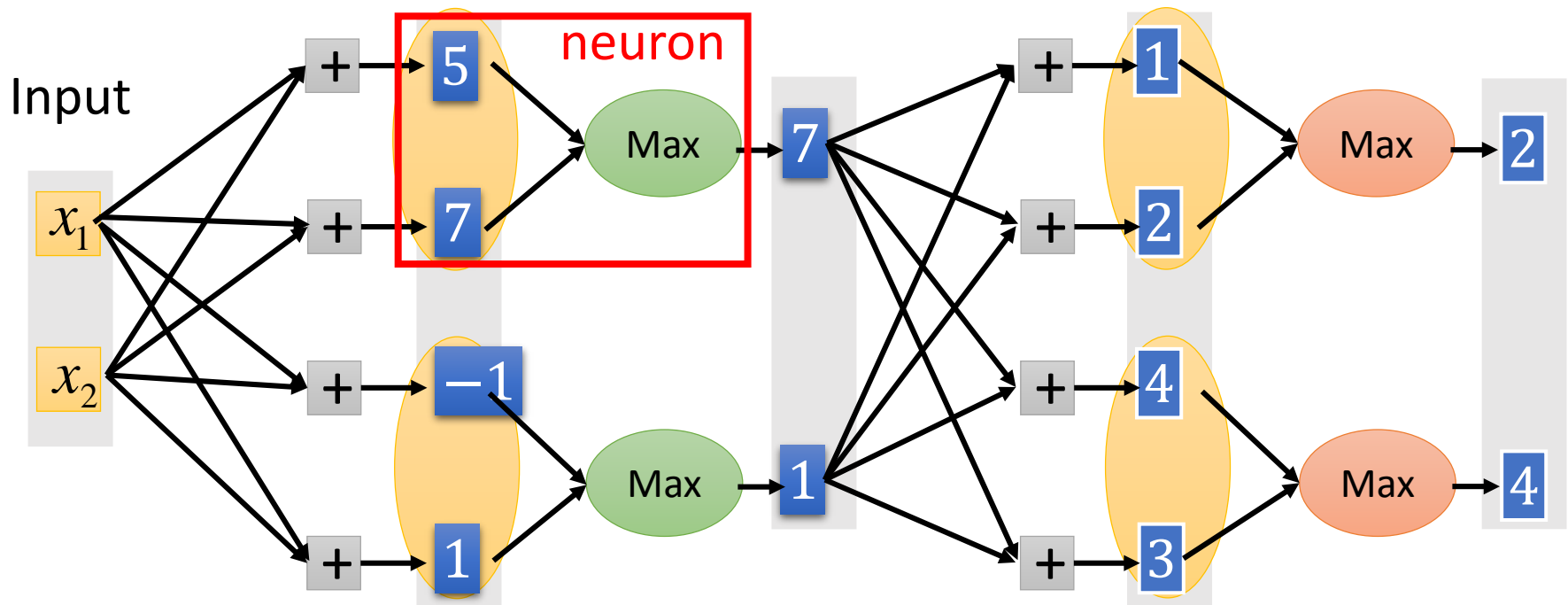


$\alpha$  also learned by  
gradient descent

# Maxout

ReLU is a special cases of Maxout

- Learnable activation function [Ian J. Goodfellow, ICML'13]



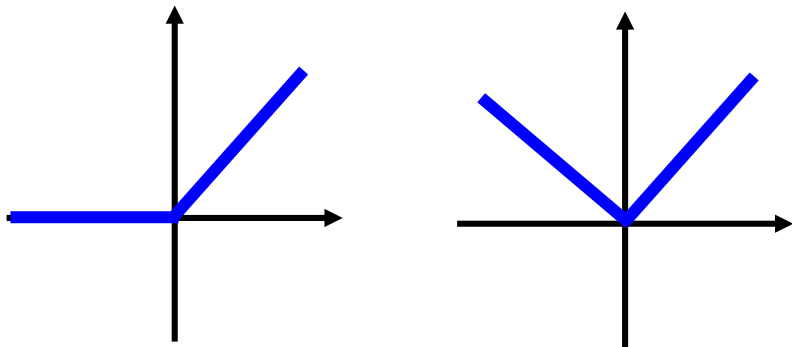
You can have more than 2 elements in a group.

# Maxout

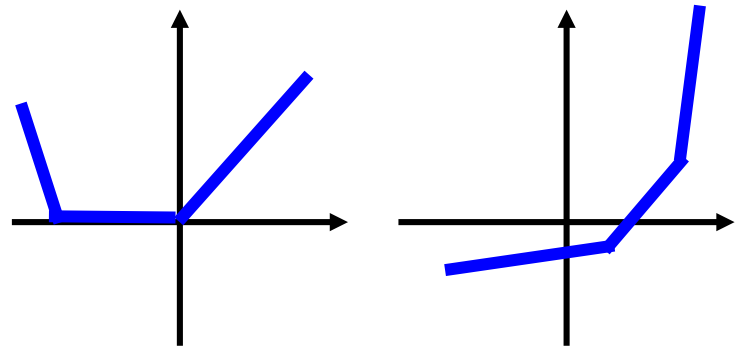
ReLU is a special cases of Maxout

- Learnable activation function [\[Ian J. Goodfellow, ICML'13\]](#)
  - Activation function in maxout network can be any piecewise linear convex function
  - How many pieces depending on how many elements in a group

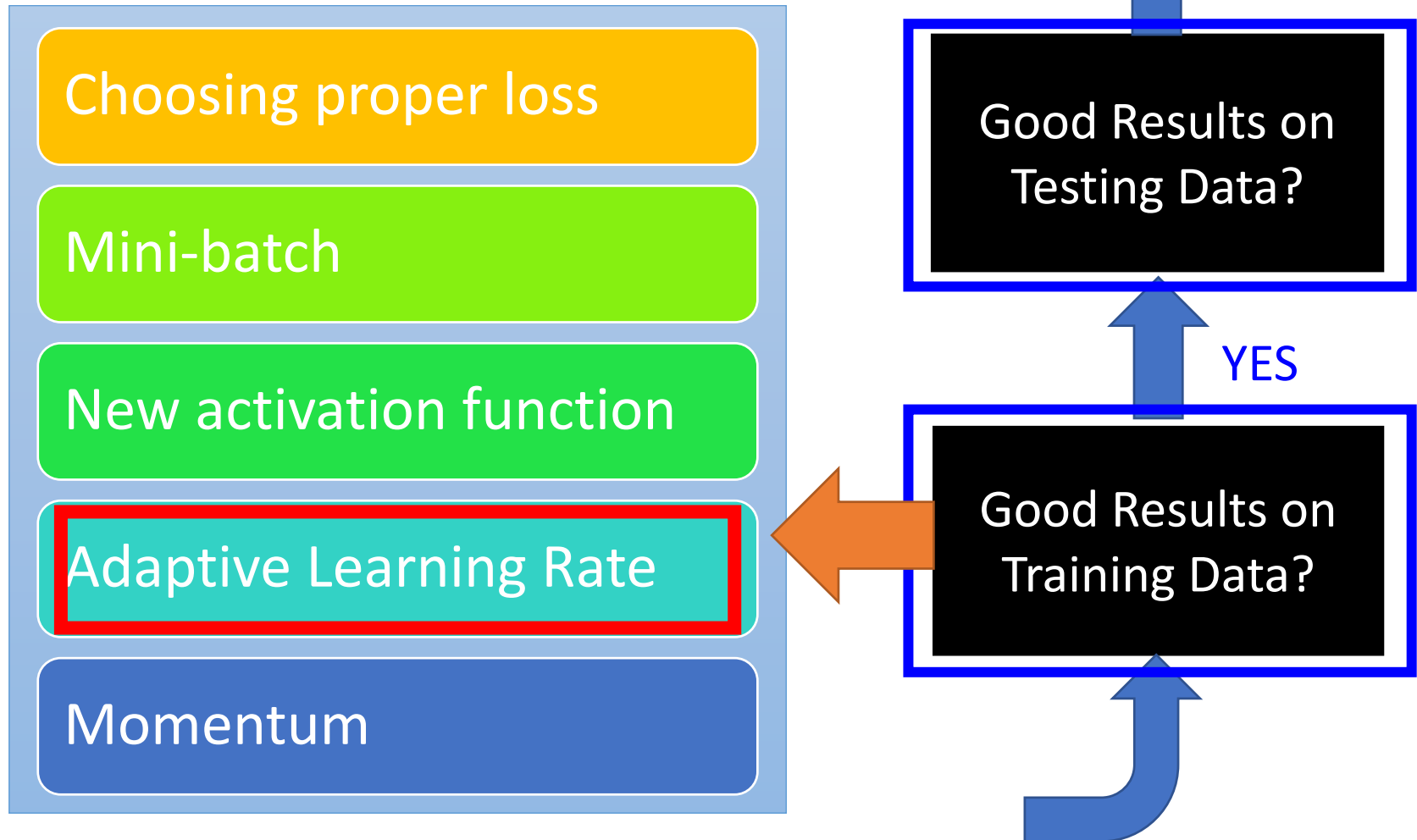
2 elements in a group



3 elements in a group

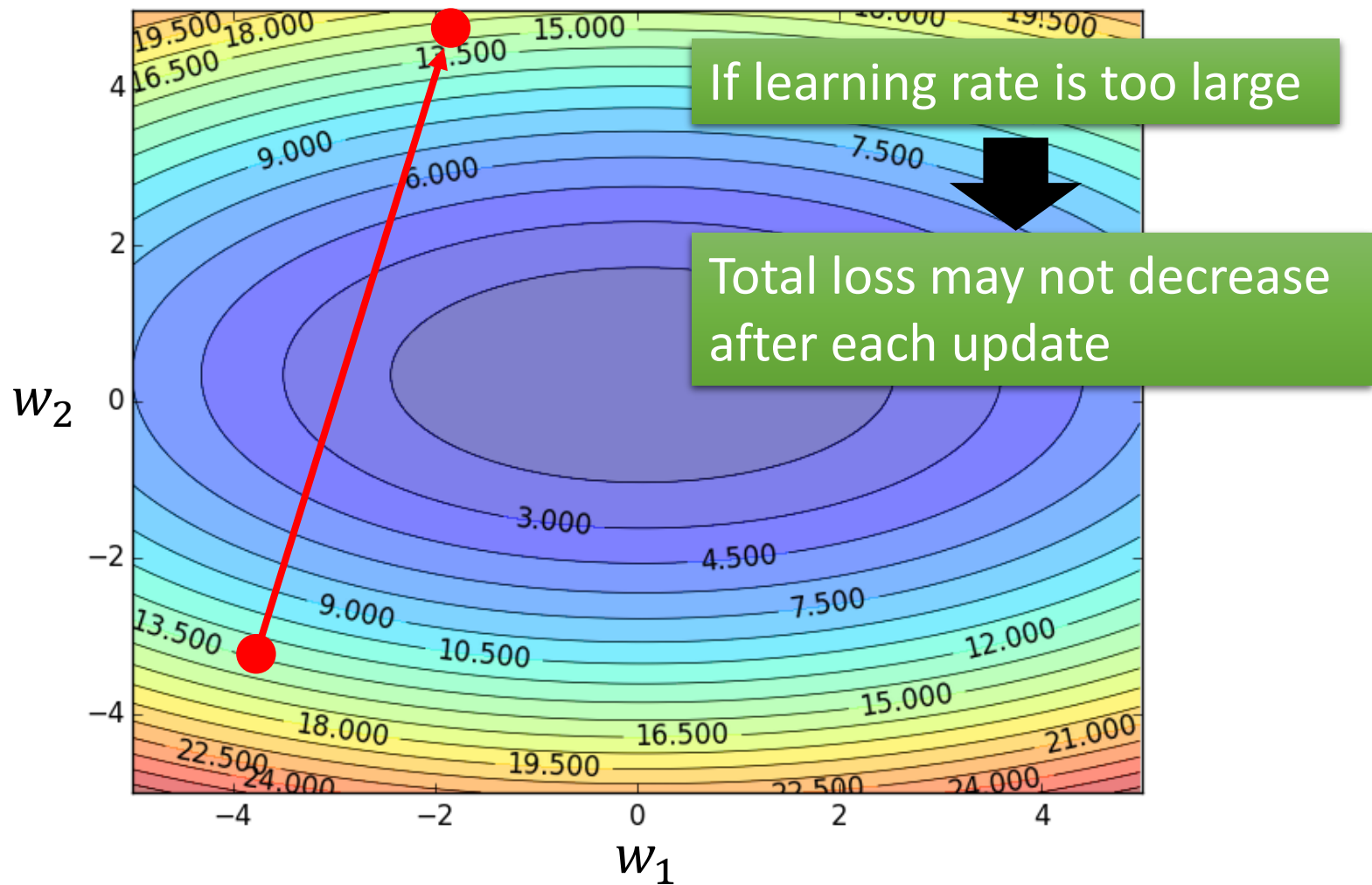


# Recipe of Deep Learning



# Learning Rates

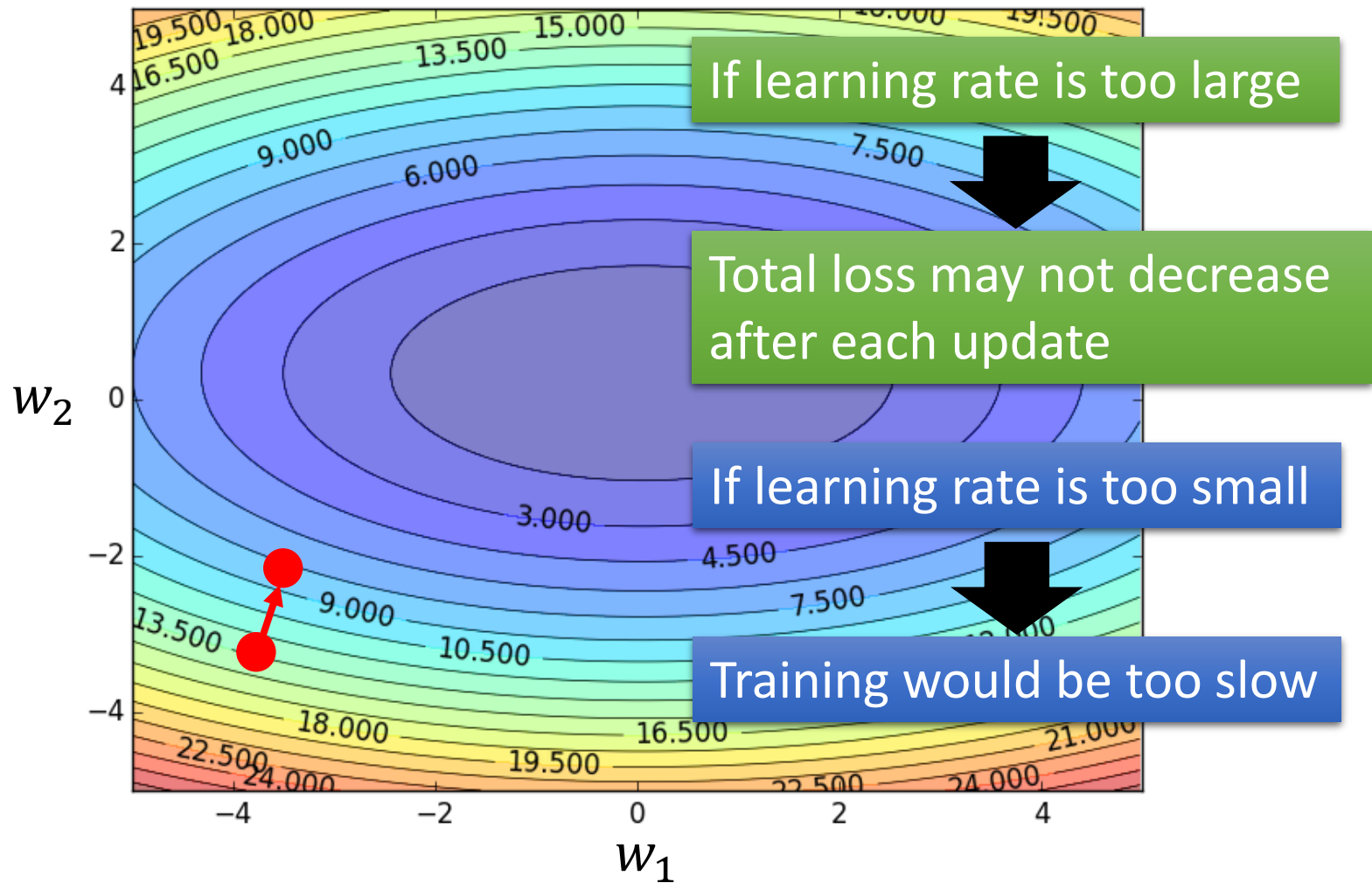
Set the learning rate  $\eta$  carefully





# Learning Rates

Set the learning rate  $\eta$  carefully



# Learning Rates

- Popular & Simple Idea: Reduce the learning rate by some factor every few epochs.
  - At the beginning, we are far from the destination, so we use larger learning rate
  - After several epochs, we are close to the destination, so we reduce the learning rate
  - E.g. 1/t decay:  $\eta^t = \eta / \sqrt{t + 1}$
- Learning rate cannot be one-size-fits-all
  - Giving different parameters different learning rates

# Adagrad

Original:  $w \leftarrow w - \eta \partial L / \partial w$

Adagrad:  $w \leftarrow w - \eta_w \partial L / \partial w$

Parameter dependent learning rate

$$\eta_w = \frac{\eta}{\sqrt{\sum_{i=0}^t (g^i)^2}}$$

constant

$g^i$  is  $\partial L / \partial w$  obtained at the i-th update

Summation of the square of the previous derivatives

# Adagrad

$$\eta_w = \frac{\eta}{\sqrt{\sum_{i=0}^t (g^i)^2}}$$

$$w_1 \begin{array}{|c|} \hline g^0 \\ \hline 0.1 \\ \hline \end{array}$$

Learning rate:

$$\frac{\eta}{\sqrt{0.1^2}}$$

$$= \frac{\eta}{0.1}$$

$$\frac{\eta}{\sqrt{0.1^2 + 0.2^2}}$$

$$= \frac{\eta}{0.22}$$



$$w_2 \begin{array}{|c|} \hline g^0 \\ \hline 20.0 \\ \hline \end{array}$$

Learning rate:

$$\frac{\eta}{\sqrt{20^2}}$$

$$= \frac{\eta}{20}$$

$$\frac{\eta}{\sqrt{20^2 + 10^2}}$$

$$= \frac{\eta}{22}$$

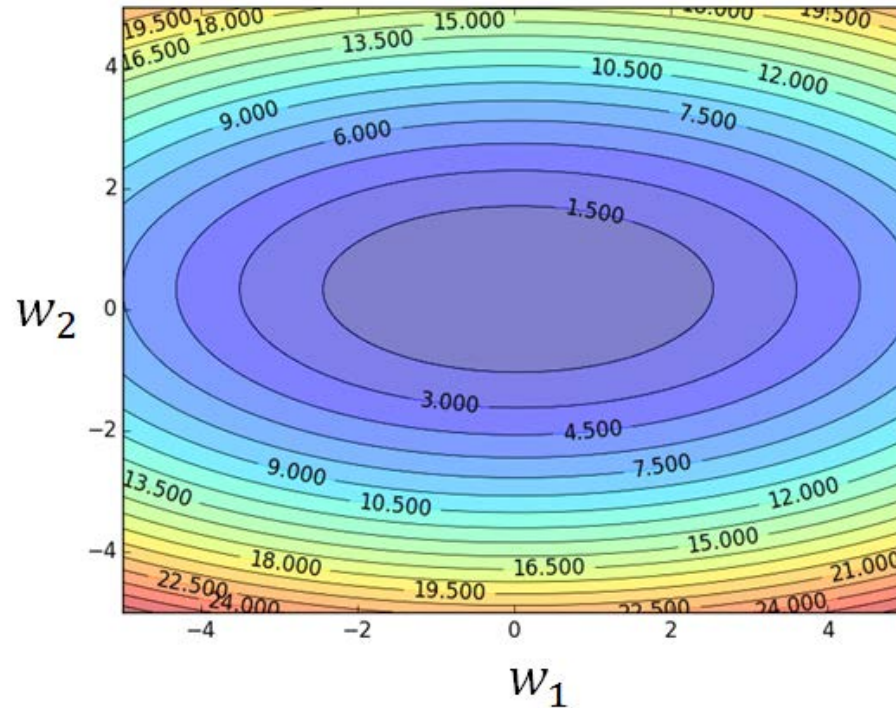


- Observation:**
1. Learning rate is smaller and smaller for all parameters
  2. Smaller derivatives, larger learning rate, and vice versa

Why?

Larger  
derivatives

Smaller  
Learning Rate



Smaller Derivatives



Larger Learning Rate

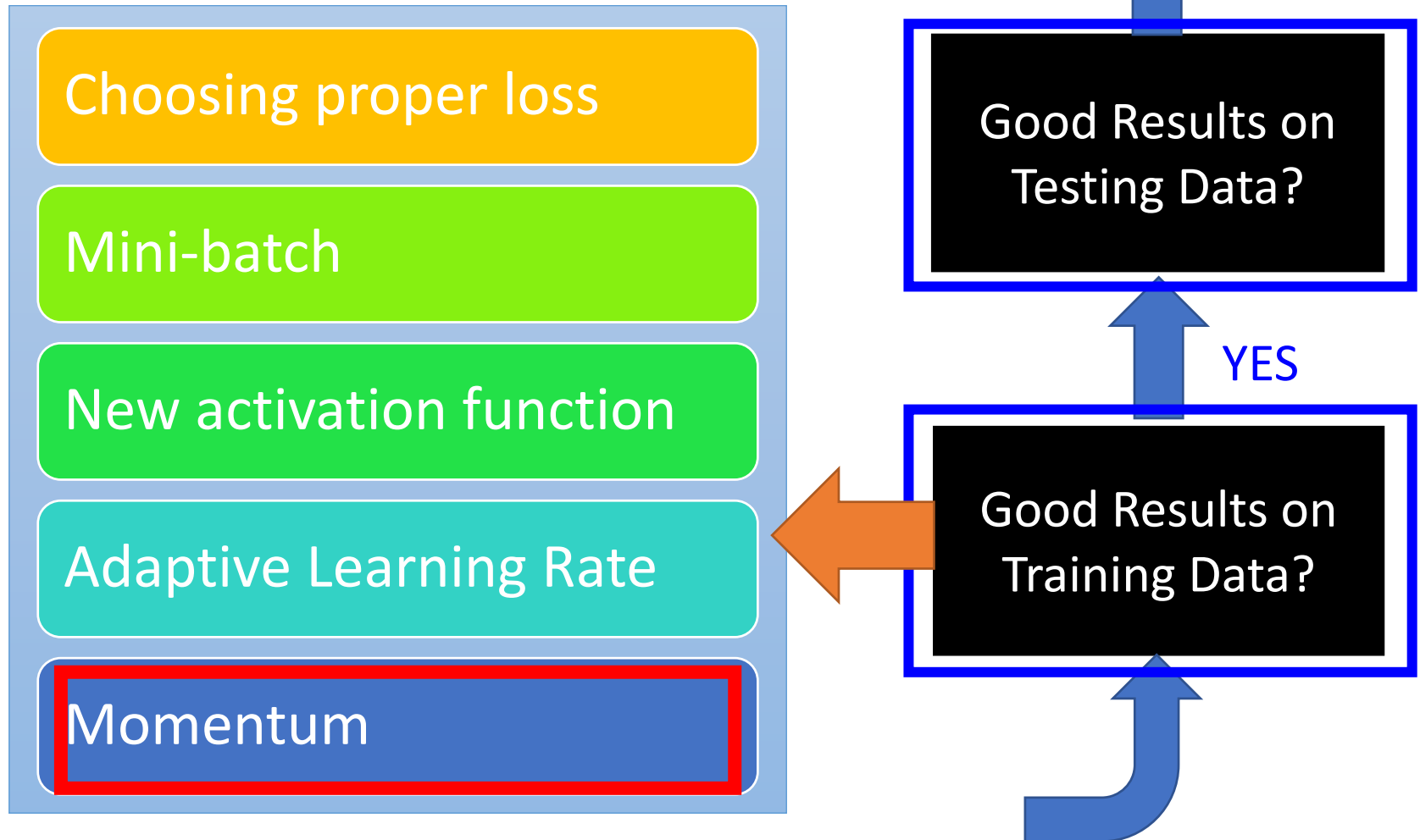
2. Smaller derivatives, larger  
learning rate, and vice versa

Why?

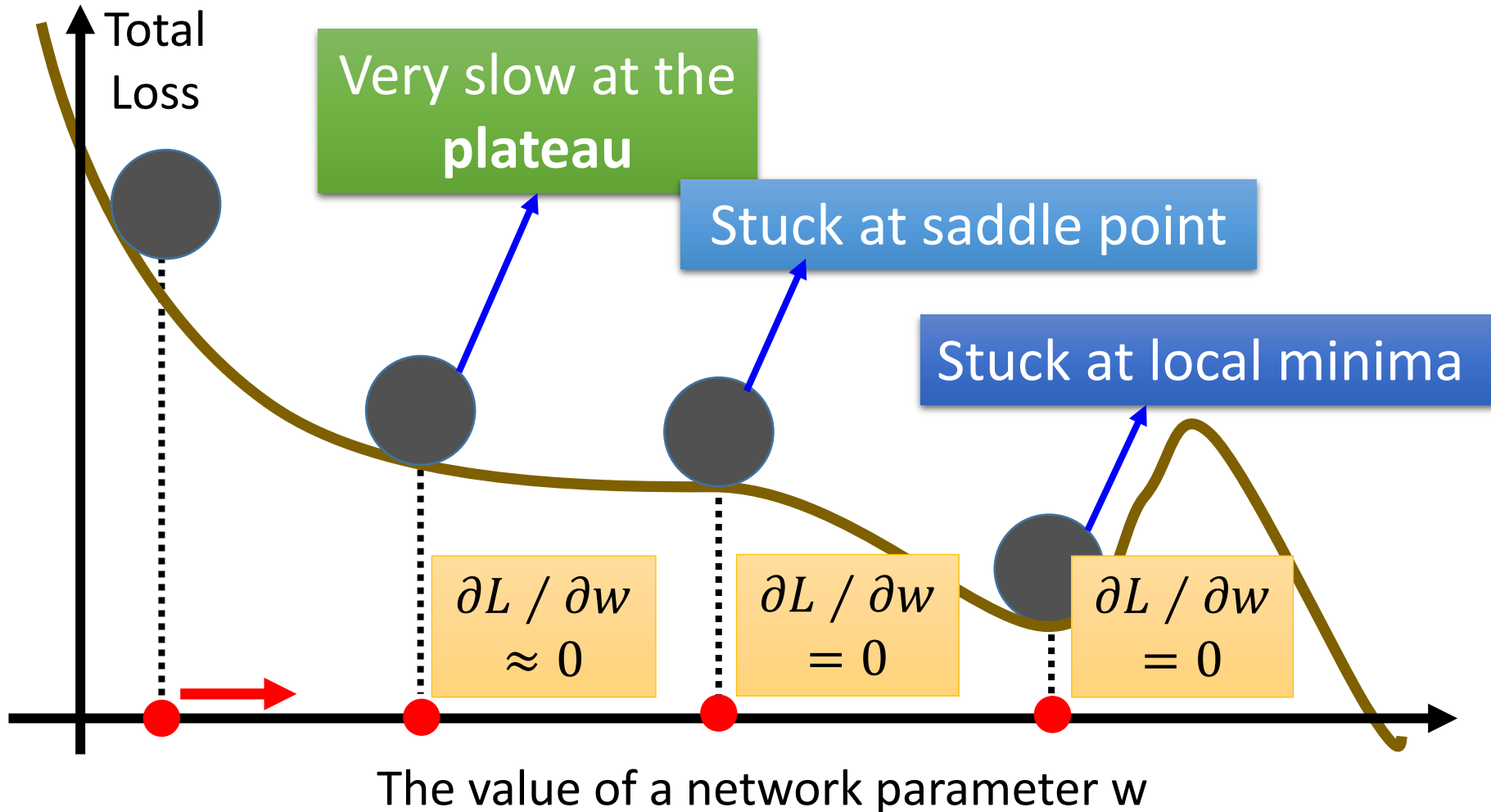
# Not the whole story .....

- Adagrad [John Duchi, JMLR'11]
- RMSprop
  - <https://www.youtube.com/watch?v=O3sxAc4hxZU>
- Adadelta [Matthew D. Zeiler, arXiv'12]
- “No more pesky learning rates” [Tom Schaul, arXiv'12]
- AdaSecant [Caglar Gulcehre, arXiv'14]
- Adam [Diederik P. Kingma, ICLR'15]
- Nadam
  - [http://cs229.stanford.edu/proj2015/054\\_report.pdf](http://cs229.stanford.edu/proj2015/054_report.pdf)

# Recipe of Deep Learning



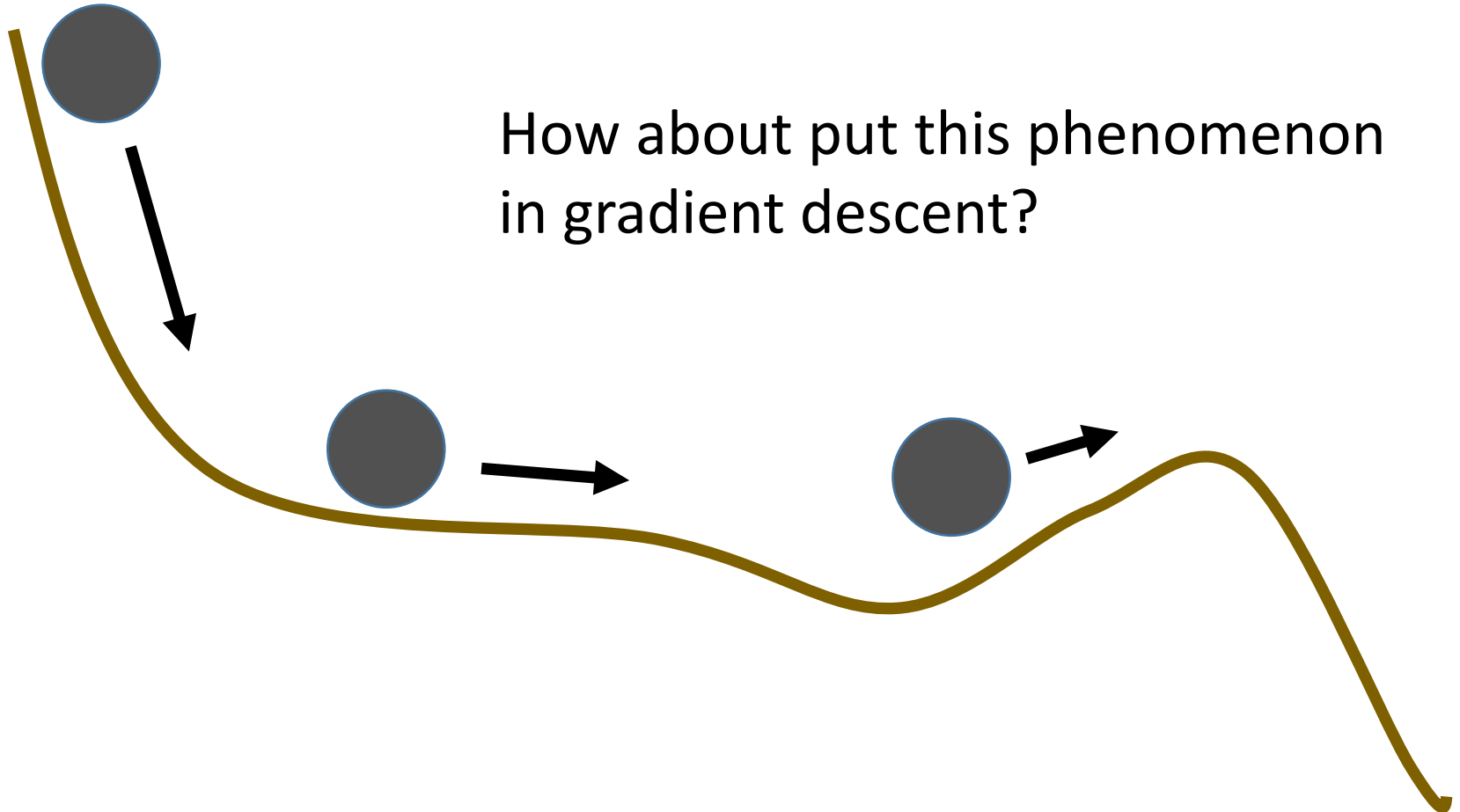
# Hard to find optimal network parameters





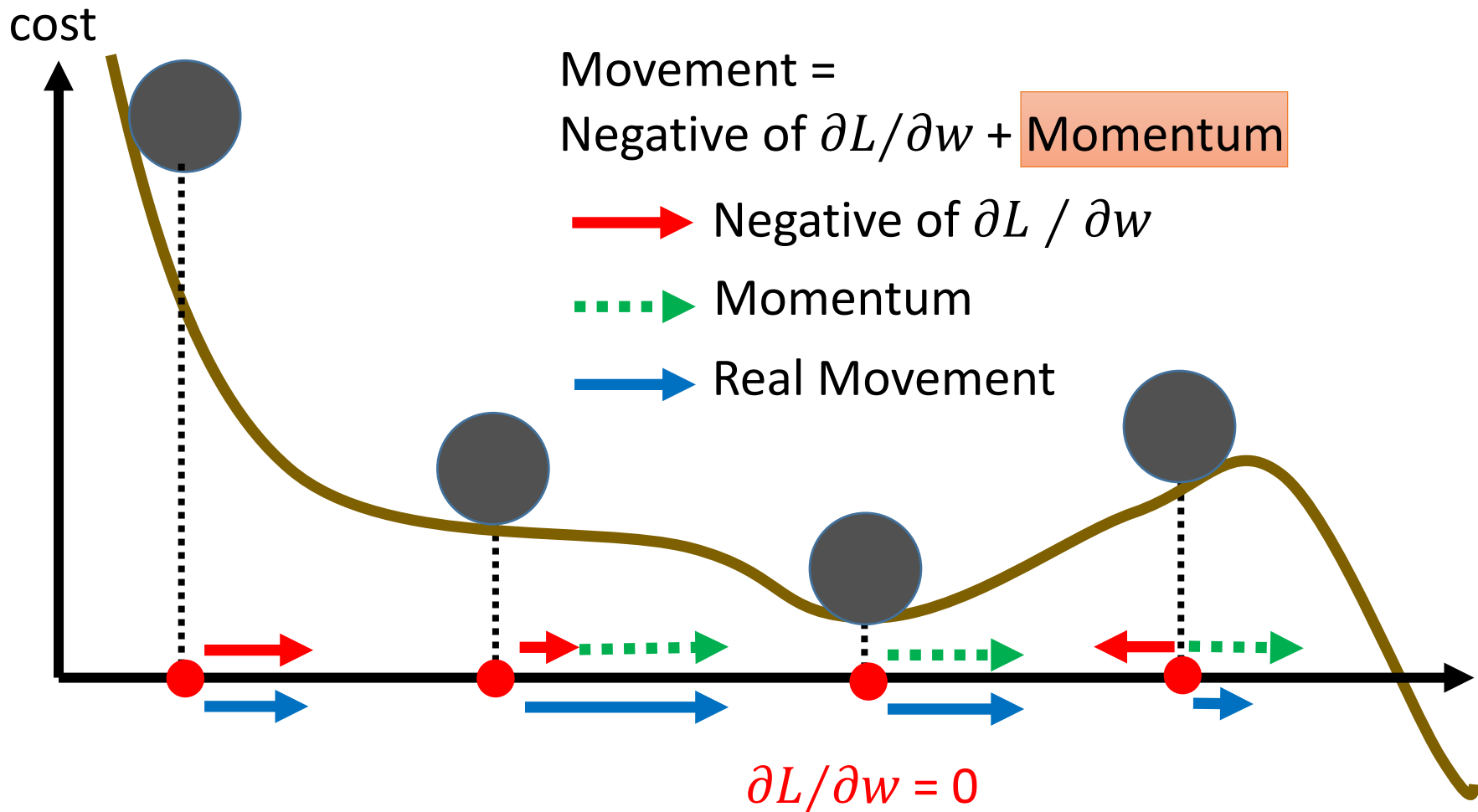
# In physical world .....

- Momentum



# Momentum

Still not guarantee reaching global minima, but give some hope .....



# Adam

## RMSProp (Advanced Adagrad) + Momentum

```
model.compile(loss='categorical_crossentropy',  
              optimizer=SGD(lr=0.1),  
              metrics=['accuracy'])
```

```
model.compile(loss='categorical_crossentropy',  
              optimizer=Adam(),  
              metrics=['accuracy'])
```

**Algorithm 1:** *Adam*, our proposed algorithm for stochastic optimization. See section 2 for details, and for a slightly more efficient (but less clear) order of computation.  $g_t^2$  indicates the elementwise square  $g_t \odot g_t$ . Good default settings for the tested machine learning problems are  $\alpha = 0.001$ ,  $\beta_1 = 0.9$ ,  $\beta_2 = 0.999$  and  $\epsilon = 10^{-8}$ . All operations on vectors are element-wise. With  $\beta_1^t$  and  $\beta_2^t$  we denote  $\beta_1$  and  $\beta_2$  to the power  $t$ .

**Require:**  $\alpha$ : Stepsize

**Require:**  $\beta_1, \beta_2 \in [0, 1)$ : Exponential decay rates for the moment estimates

**Require:**  $f(\theta)$ : Stochastic objective function with parameters  $\theta$

**Require:**  $\theta_0$ : Initial parameter vector

$m_0 \leftarrow 0$  (Initialize 1<sup>st</sup> moment vector)

$v_0 \leftarrow 0$  (Initialize 2<sup>nd</sup> moment vector)

$t \leftarrow 0$  (Initialize timestep)

**while**  $\theta_t$  not converged **do**

$t \leftarrow t + 1$

$g_t \leftarrow \nabla_{\theta} f_t(\theta_{t-1})$  (Get gradients w.r.t. stochastic objective at timestep  $t$ )

$m_t \leftarrow \beta_1 \cdot m_{t-1} + (1 - \beta_1) \cdot g_t$  (Update biased first moment estimate)

$v_t \leftarrow \beta_2 \cdot v_{t-1} + (1 - \beta_2) \cdot g_t^2$  (Update biased second raw moment estimate)

$\hat{m}_t \leftarrow m_t / (1 - \beta_1^t)$  (Compute bias-corrected first moment estimate)

$\hat{v}_t \leftarrow v_t / (1 - \beta_2^t)$  (Compute bias-corrected second raw moment estimate)

$\theta_t \leftarrow \theta_{t-1} - \alpha \cdot \hat{m}_t / (\sqrt{\hat{v}_t} + \epsilon)$  (Update parameters)

**end while**

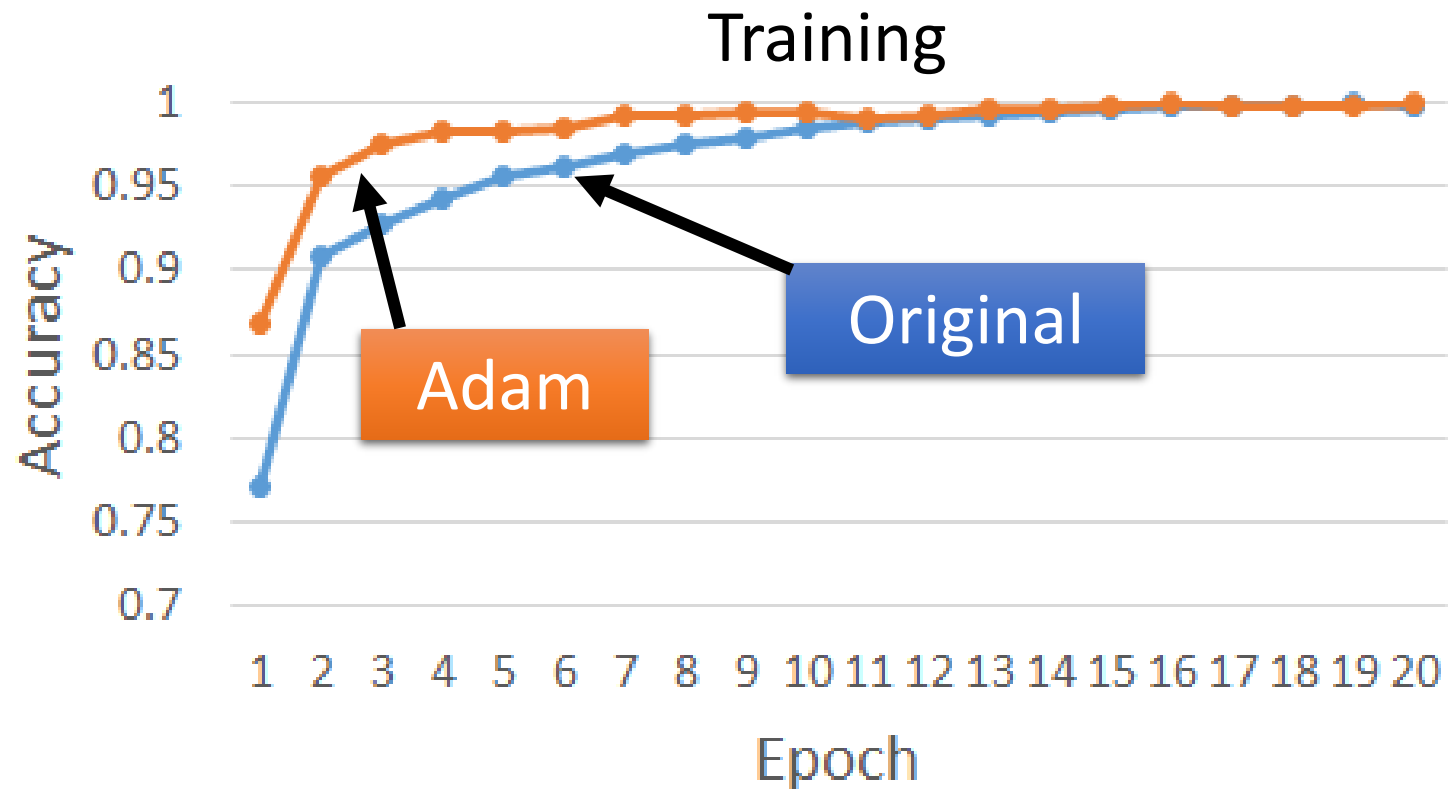
**return**  $\theta_t$  (Resulting parameters)

# Let's try it

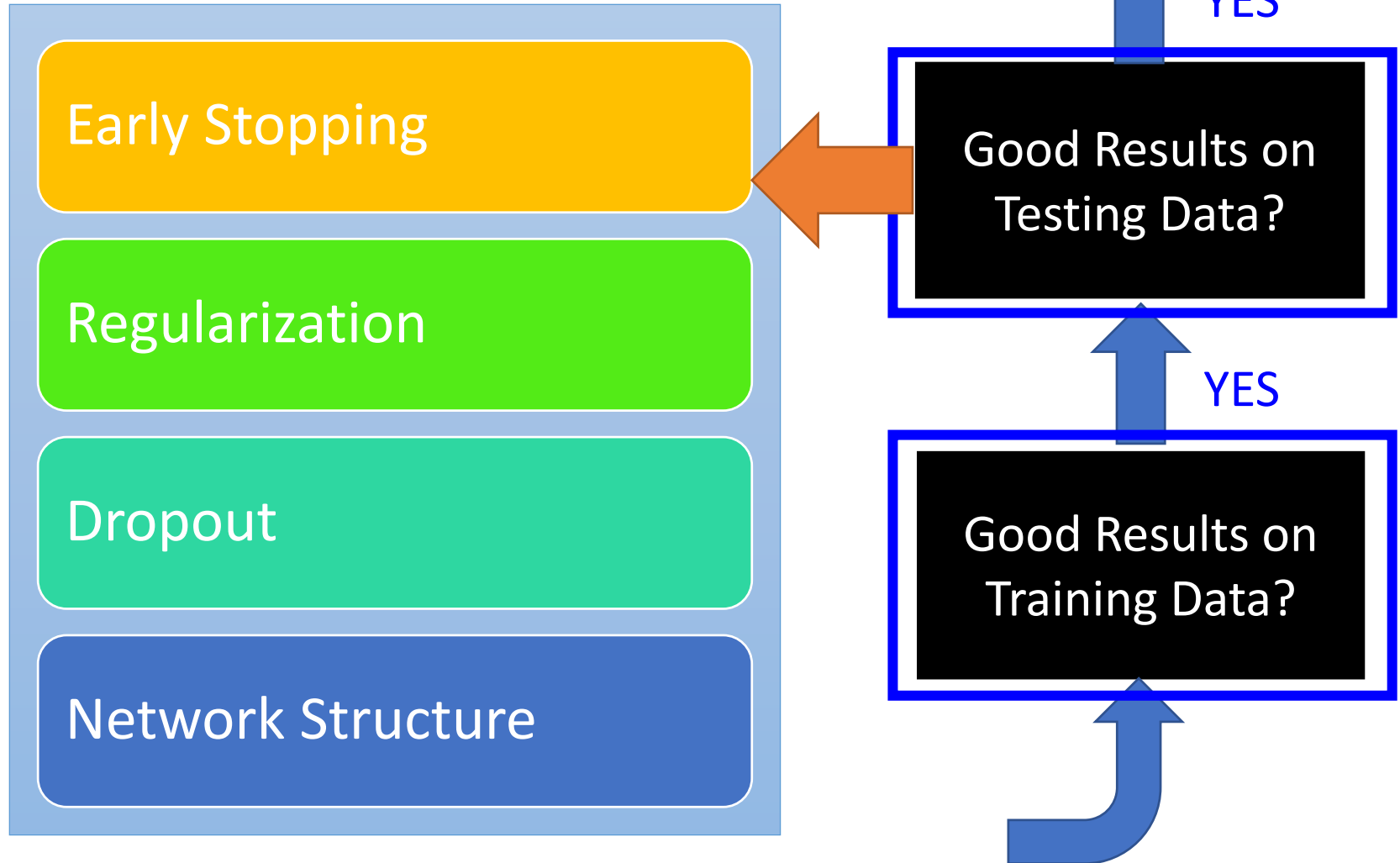
- ReLU, 3 layer

Testing:

	Accuracy
Original	0.96
Adam	0.97



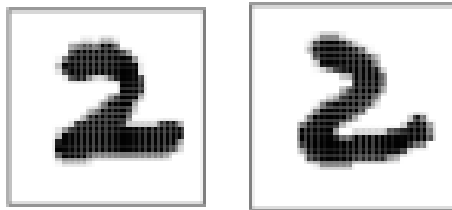
# Recipe of Deep Learning



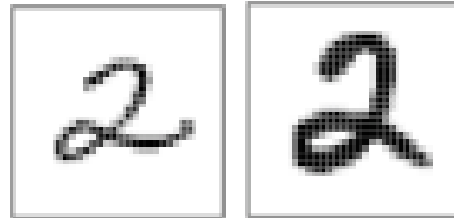
# Why Overfitting?

- Training data and testing data can be different.

Training Data:



Testing Data:



Learning target is defined by the training data.

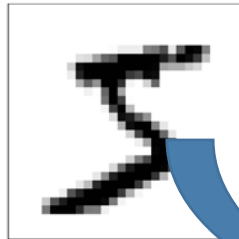
The parameters achieving the learning target do not necessary have good results on the testing data.

# Panacea for Overfitting

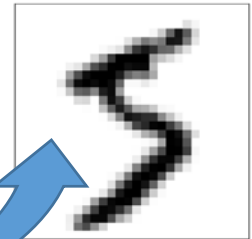
- Have more training data
- **Create** more training data (?)

Handwriting recognition:

Original  
Training Data:



Created  
Training Data:



Shift 15 °

# Why Overfitting?

- For experiments, we added some noises to the testing data

```
-1.36230370e-01, 1.03749340e-01, 1.15432226e-01,  
2.58670464e-01, 1.48774333e+00, 1.92885328e+00,  
1.70038673e+00, 2.46242981e+00, 1.21244572e+00,  
-9.28660713e-01, 3.63209761e-01, -1.81327713e+00,  
-1.97910760e-01, 4.32874592e-01, -5.40565788e-01,  
2.95630655e-01, 2.07984424e+00, -1.84243292e+00,  
-5.11166017e-01, -5.80935128e-01, 1.06273647e+00,  
1.80551097e-02, 2.27983997e-02, -1.67979148e+00,  
8.12423001e-01, -6.25888706e-01, -1.25027082e+00,  
6.15135458e-01, -1.21394611e-01, -1.28089527e+00,  
3.24609806e-01, 6.70569391e-01, 1.49161323e-01,  
8.01573609e-01, 6.43116741e-01, -9.37629233e-02,  
1.74677366e+00, 6.80996008e-01, -7.03717611e-01,  
1.02079749e-01, 1.19505614e+00, -2.77959386e-01,  
-5.21652916e-02, 3.53683601e-01, -4.08310762e-01,  
-1.81042967e+00, -9.03308062e-01, 1.05404509e+00,  
-9.80876877e-01, 3.52078891e-01, 6.65981840e-01,  
1.06550150e+00, -2.28433613e-01, 3.64483904e-01,  
-1.51484666e+00, -7.52612872e-02, -2.97058082e-01,  
-7.27414382e-01, -2.45875340e-01, -1.27948942e-01,  
-3.69310620e-01, -2.62300428e+00, 2.11585073e+00,  
6.85561585e-01, -1.57443985e-01, 1.38128777e+00,  
6.84265587e-02, 3.12536292e-01, 4.54253185e-01,  
-7.88471875e-01, -6.58403343e-02, -1.41847985e+00,  
-1.39753340e-01, -5.55354856e-01, -5.01917779e-01,  
6.93118522e-01, -2.45360497e-01, -1.26943186e+00,  
-2.62323855e-01])  
  
n [3]: x_test[0]
```



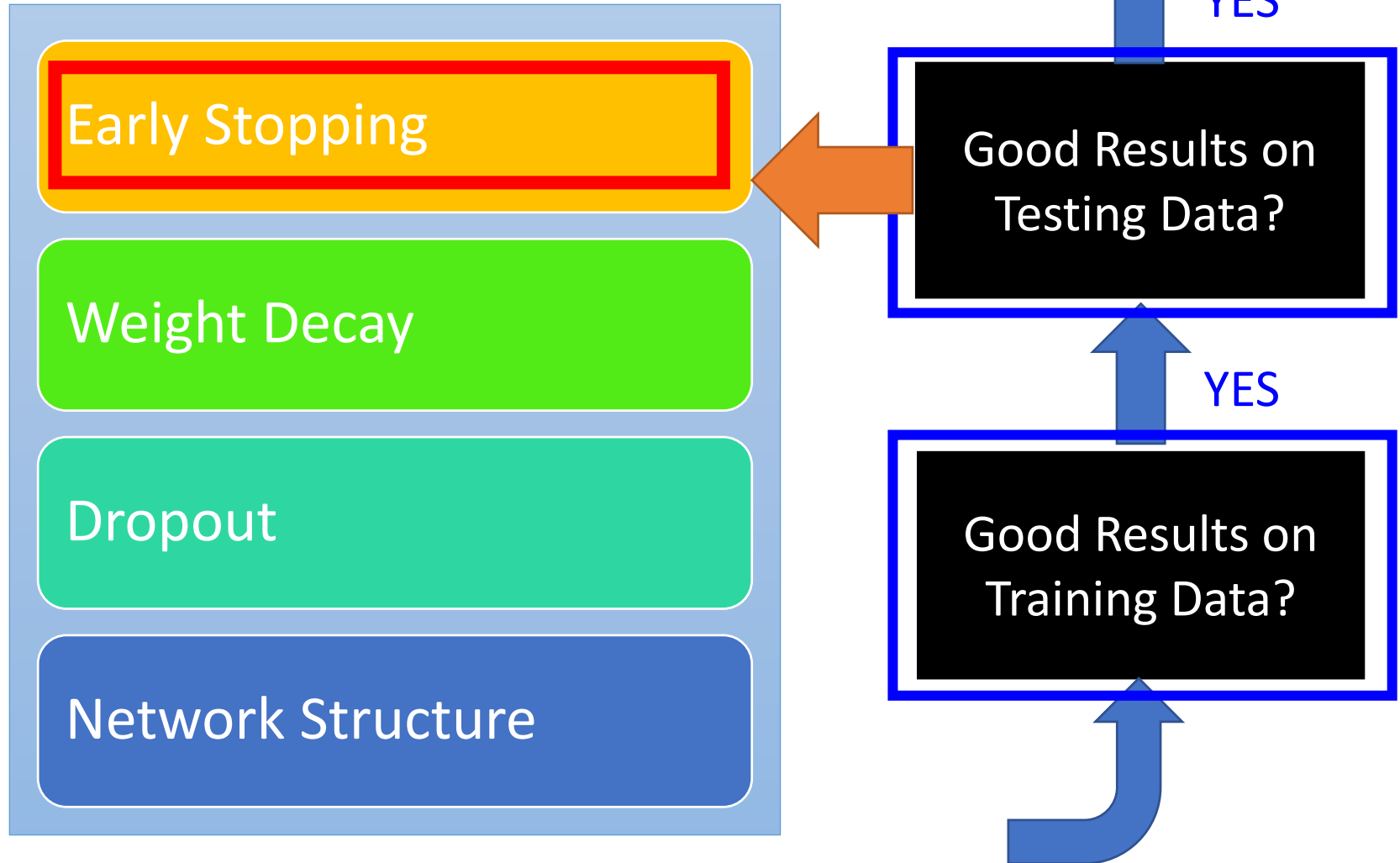
# Why Overfitting?

- For experiments, we added some noises to the testing data

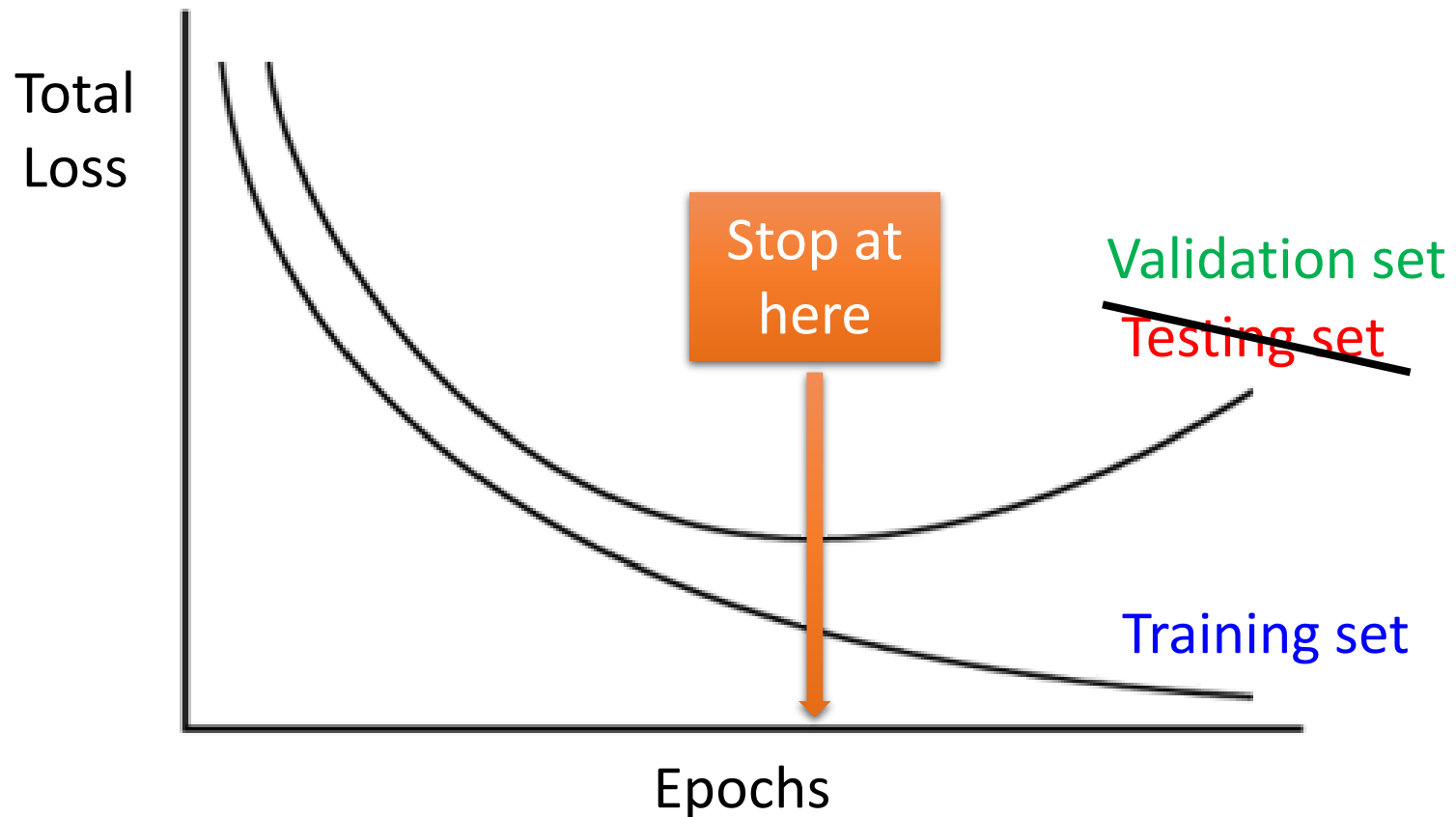
Testing:		<b>Accuracy</b>
	Clean	0.97
	Noisy	0.50

Training is not influenced.

# Recipe of Deep Learning

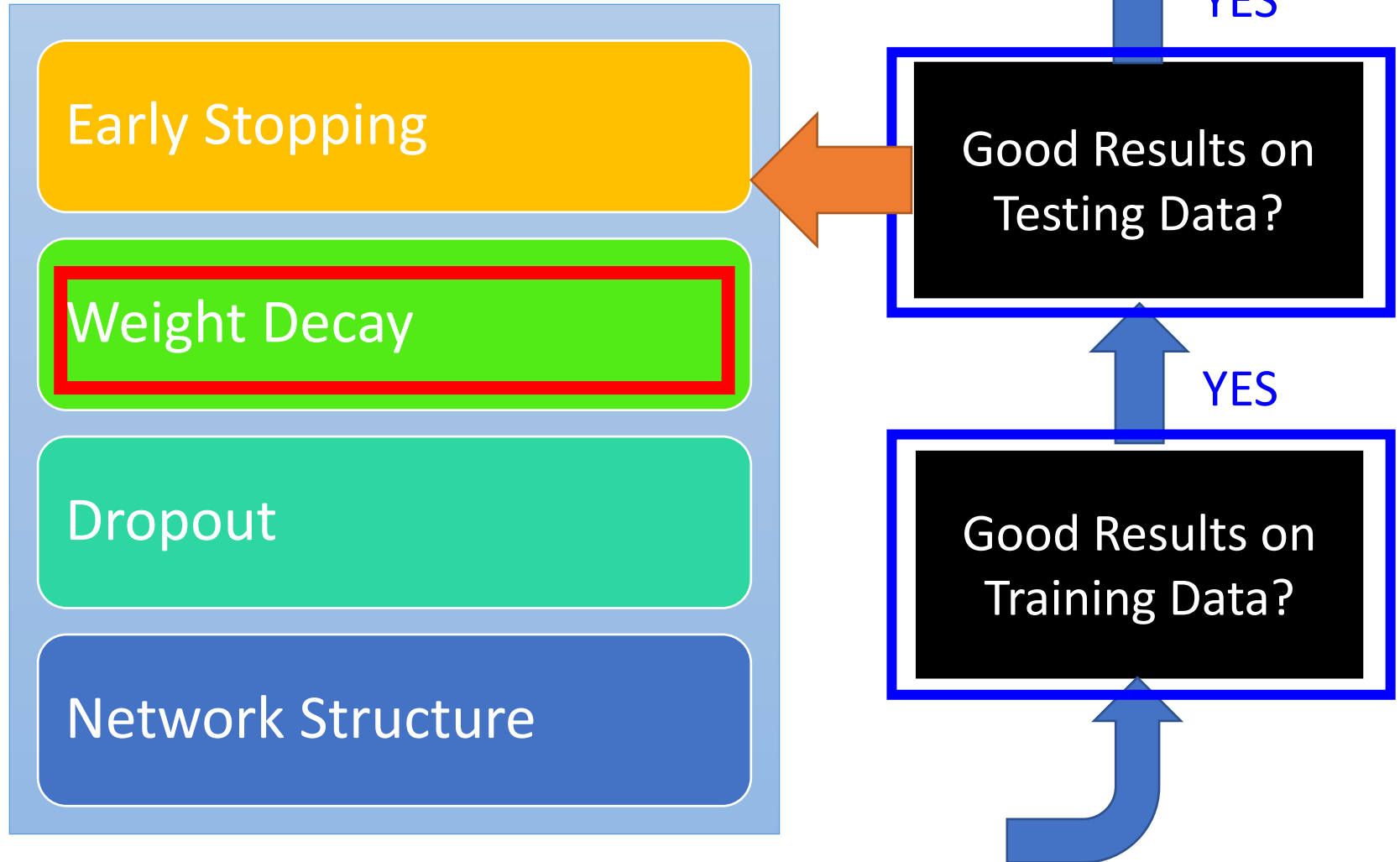


# Early Stopping



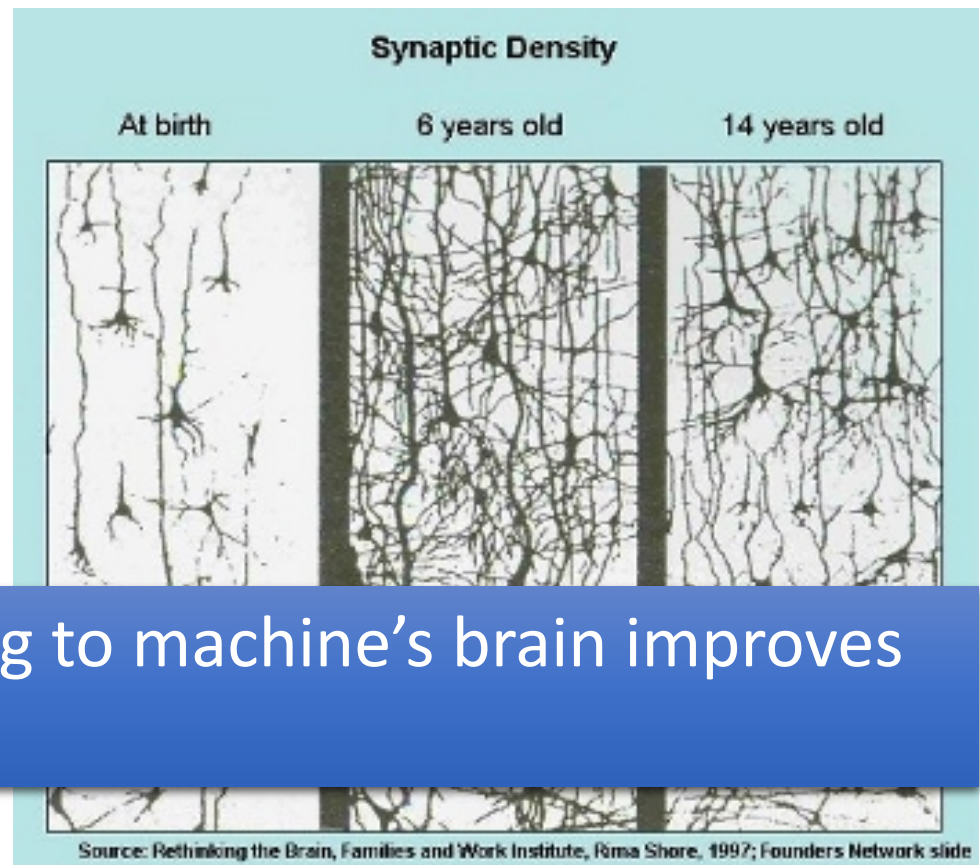
Keras: <http://keras.io/getting-started/faq/#how-can-i-interrupt-training-when-the-validation-loss-isnt-decreasing-anymore>

# Recipe of Deep Learning



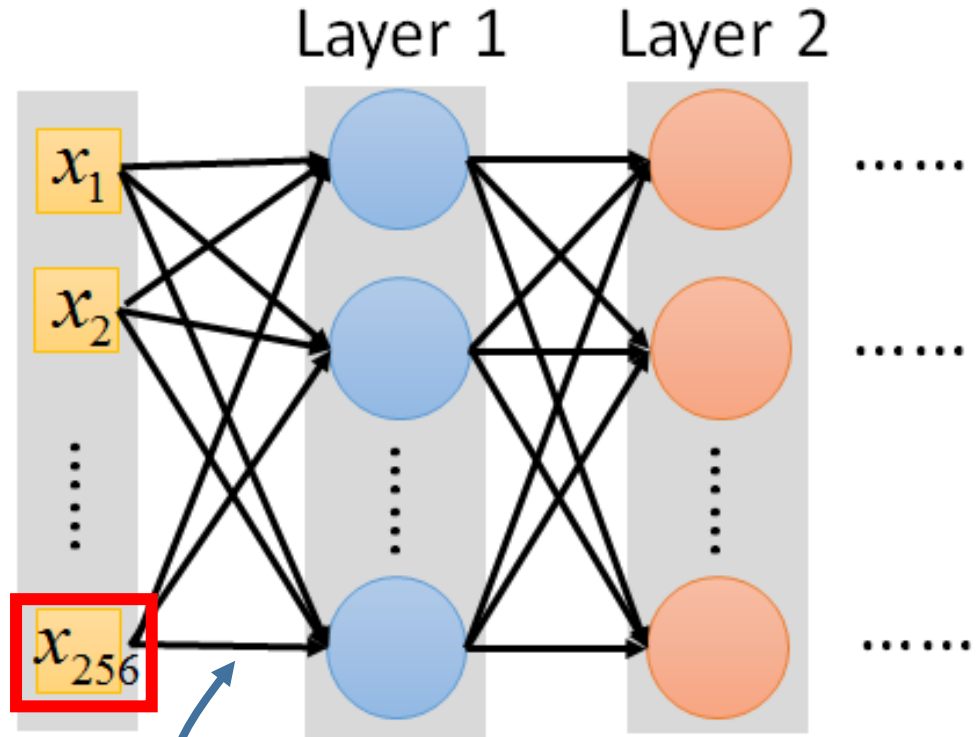
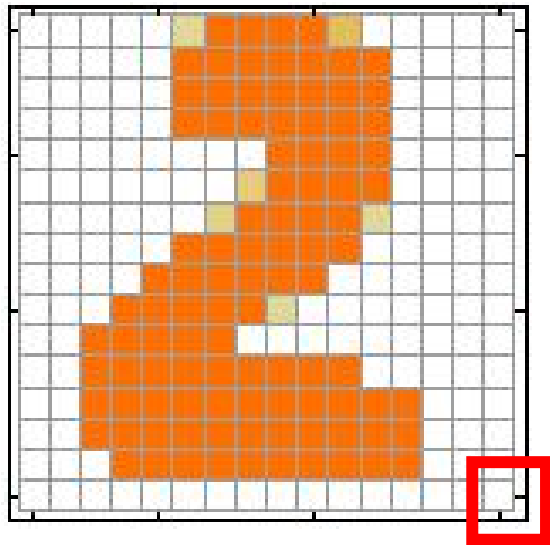
# Weight Decay

- Our brain prunes out the useless link between neurons.



Doing the same thing to machine's brain improves the performance.

# Weight Decay



Weight decay is one kind of regularization

Useless

Close to zero (萎縮了)

# Weight Decay

- Implementation

Original:  $w \leftarrow w - \eta \frac{\partial L}{\partial w}$

$$\lambda = 0.01$$

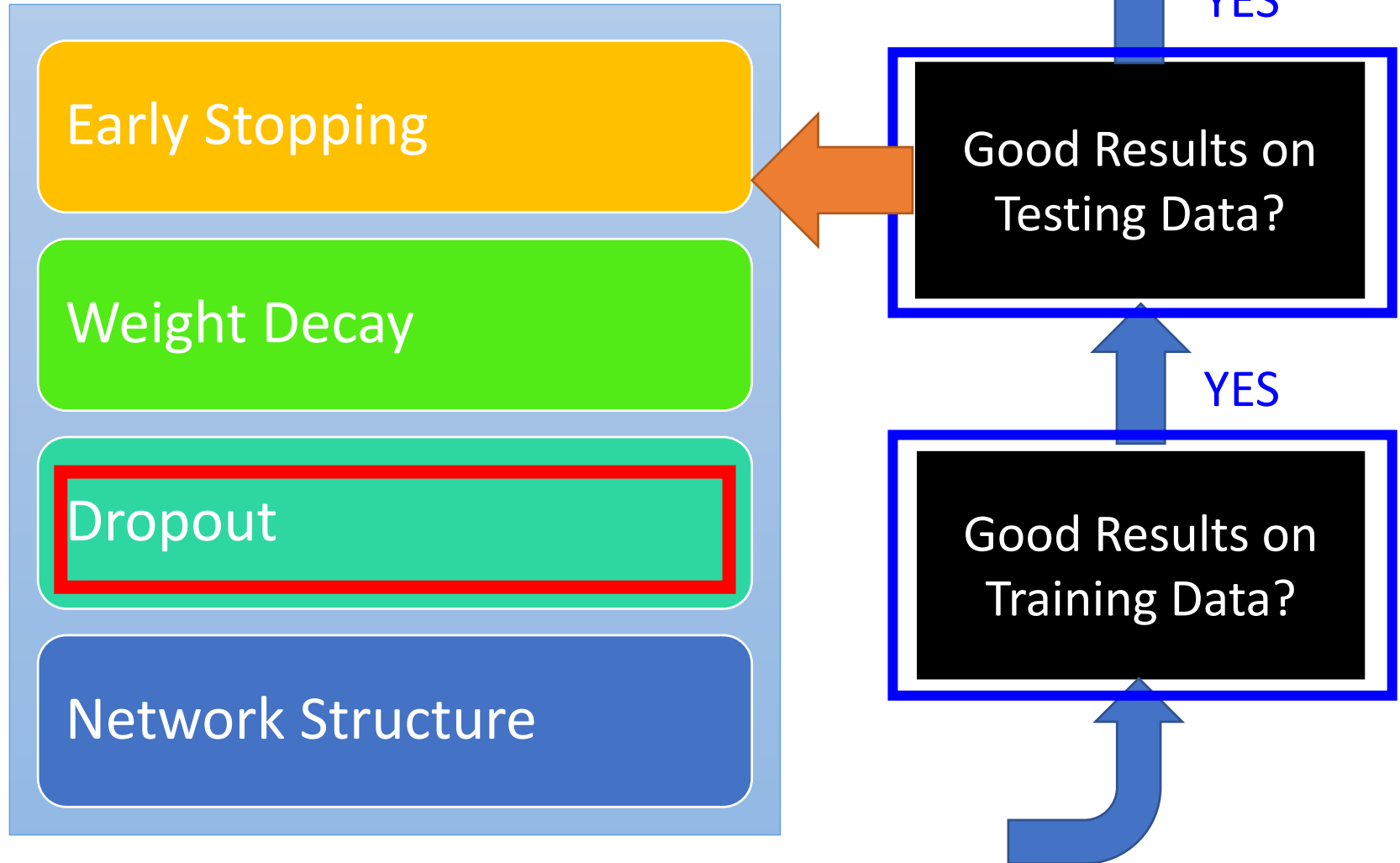
Weight Decay:

$$w \leftarrow \underbrace{0.99}_{\downarrow} w - \eta \frac{\partial L}{\partial w}$$

Smaller and smaller

Keras: <http://keras.io/regularizers/>

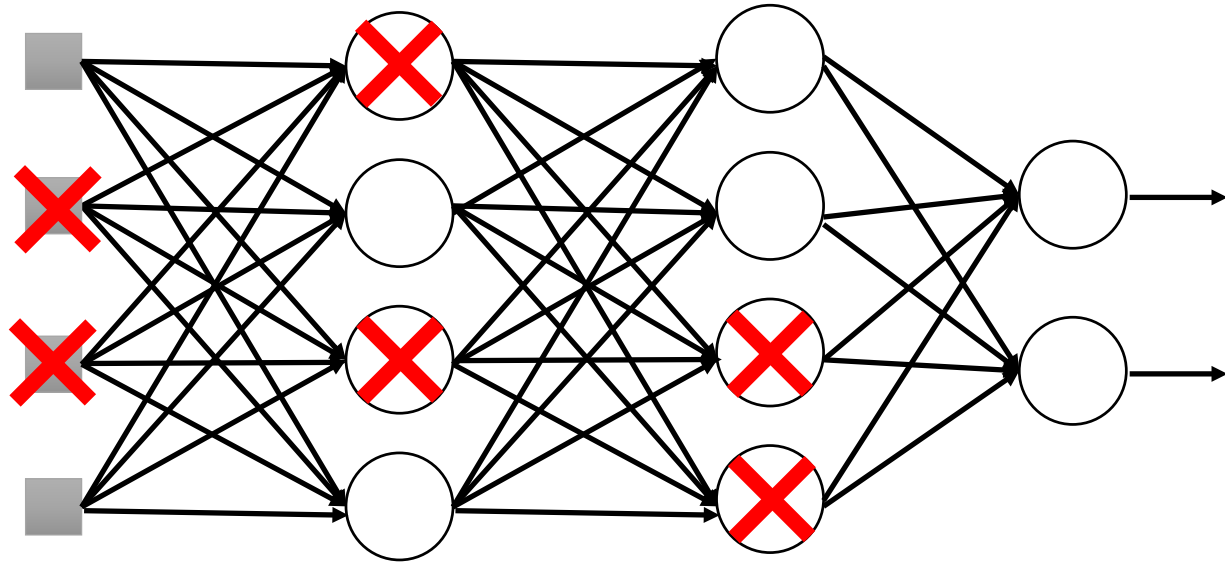
# Recipe of Deep Learning





# Dropout

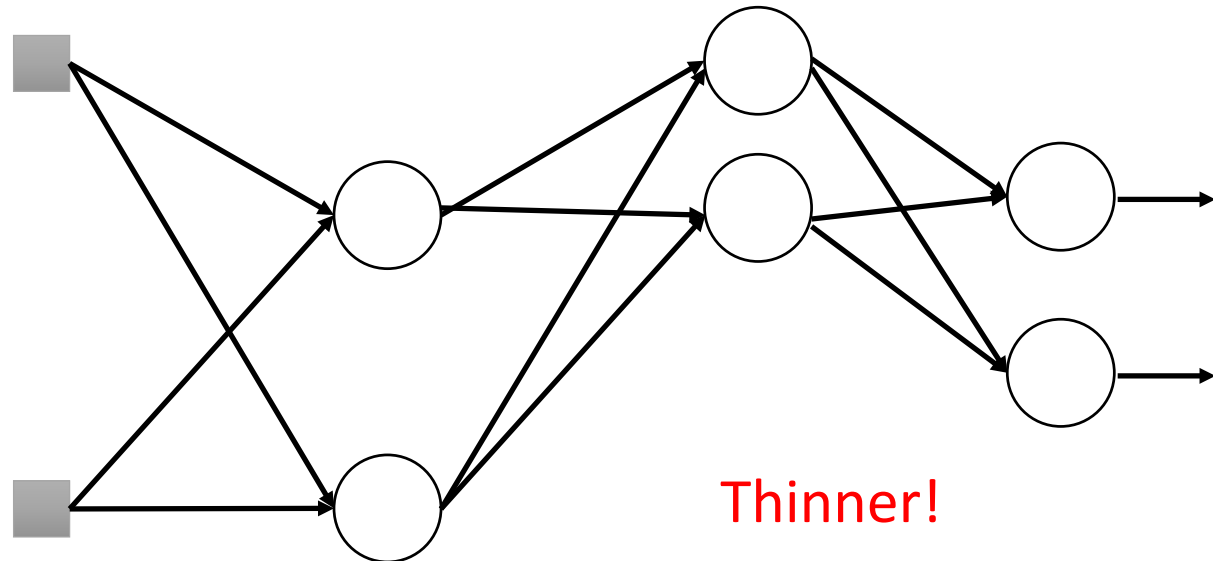
Training:



- **Each time before updating the parameters**
  - Each neuron has  $p\%$  to dropout

# Dropout

Training:

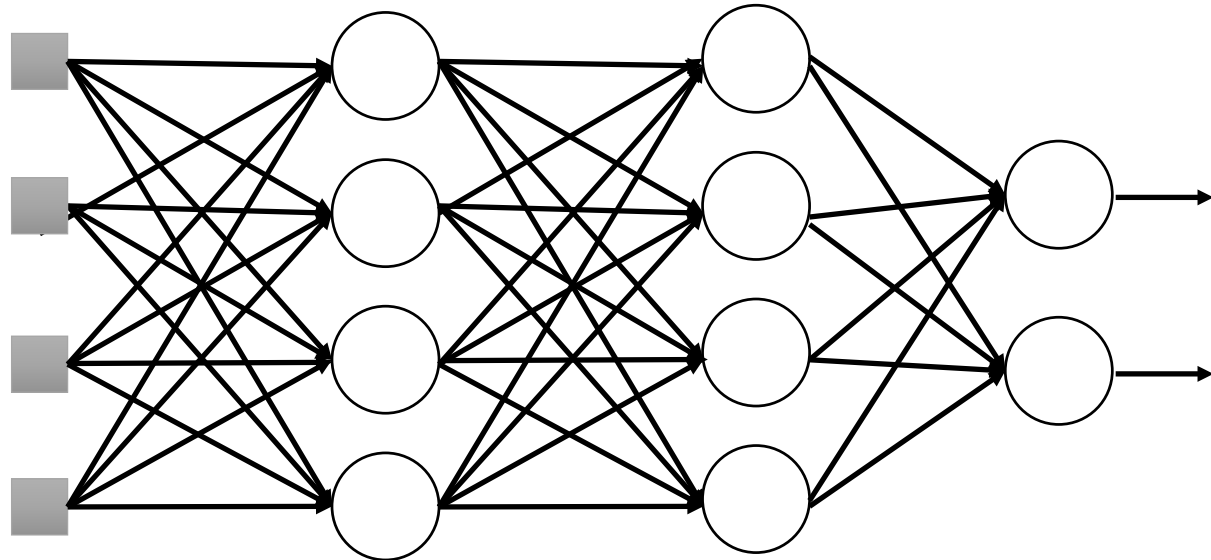


- **Each time before updating the parameters**
  - Each neuron has  $p\%$  to dropout
    - ➡ **The structure of the network is changed.**
  - Using the new network for training

For each mini-batch, we resample the dropout neurons

# Dropout

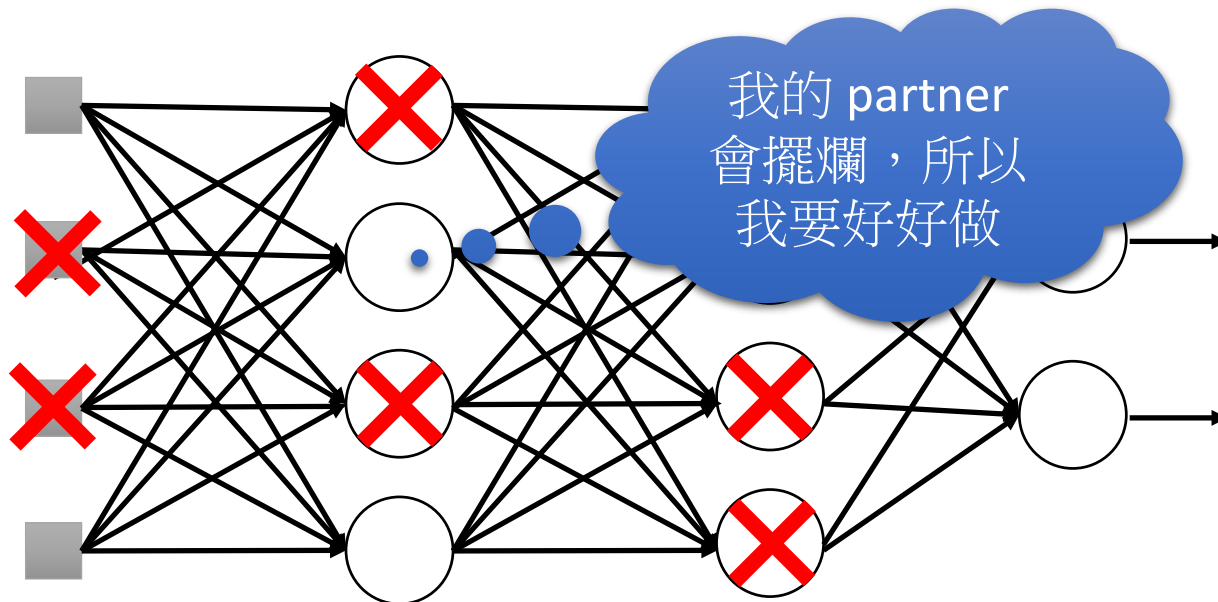
Testing:



## ➤ No dropout

- If the dropout rate at training is  $p\%$ , all the weights times  $(1-p)\%$
- Assume that the dropout rate is 50%.  
If a weight  $w = 1$  by training, set  $w = 0.5$  for testing.

# Dropout - Intuitive Reason



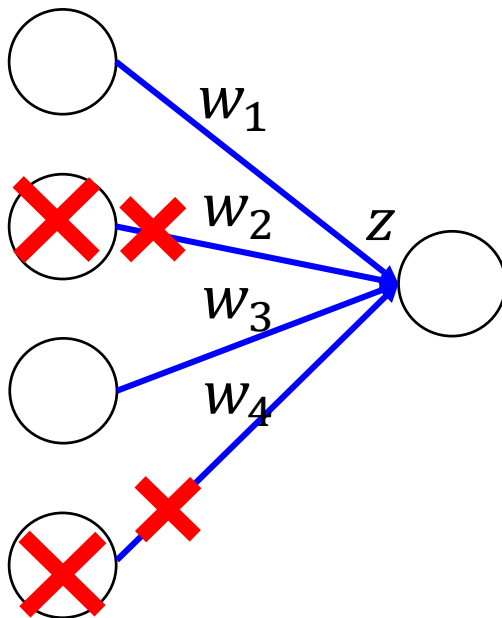
- When teams up, if everyone expect the partner will do the work, nothing will be done finally.
- However, if you know your partner will dropout, you will do better.
- When testing, no one dropout actually, so obtaining good results eventually.

# Dropout - Intuitive Reason

- Why the weights should multiply (1-p)% (dropout rate) when testing?

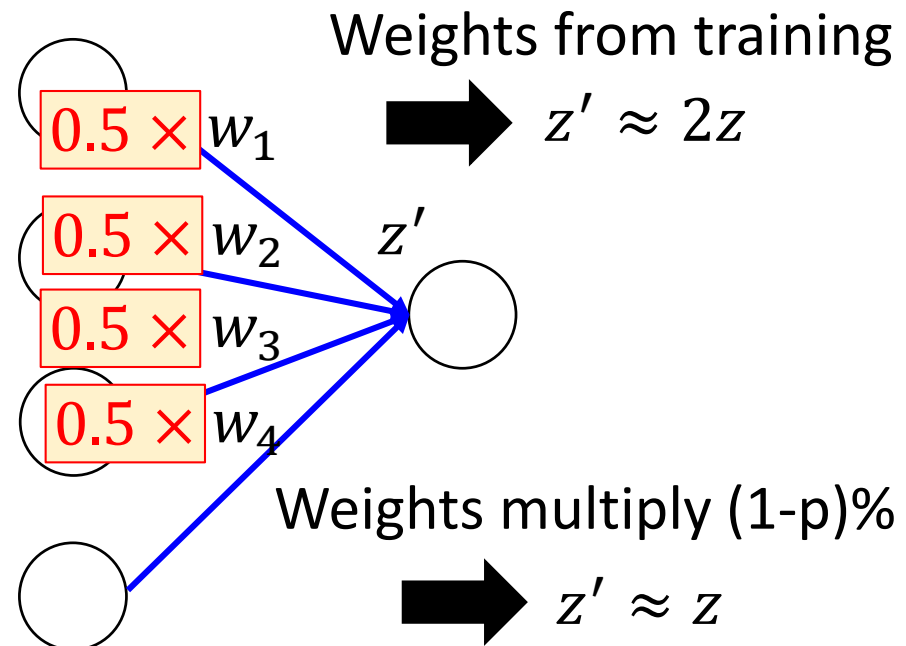
## Training of Dropout

Assume dropout rate is 50%



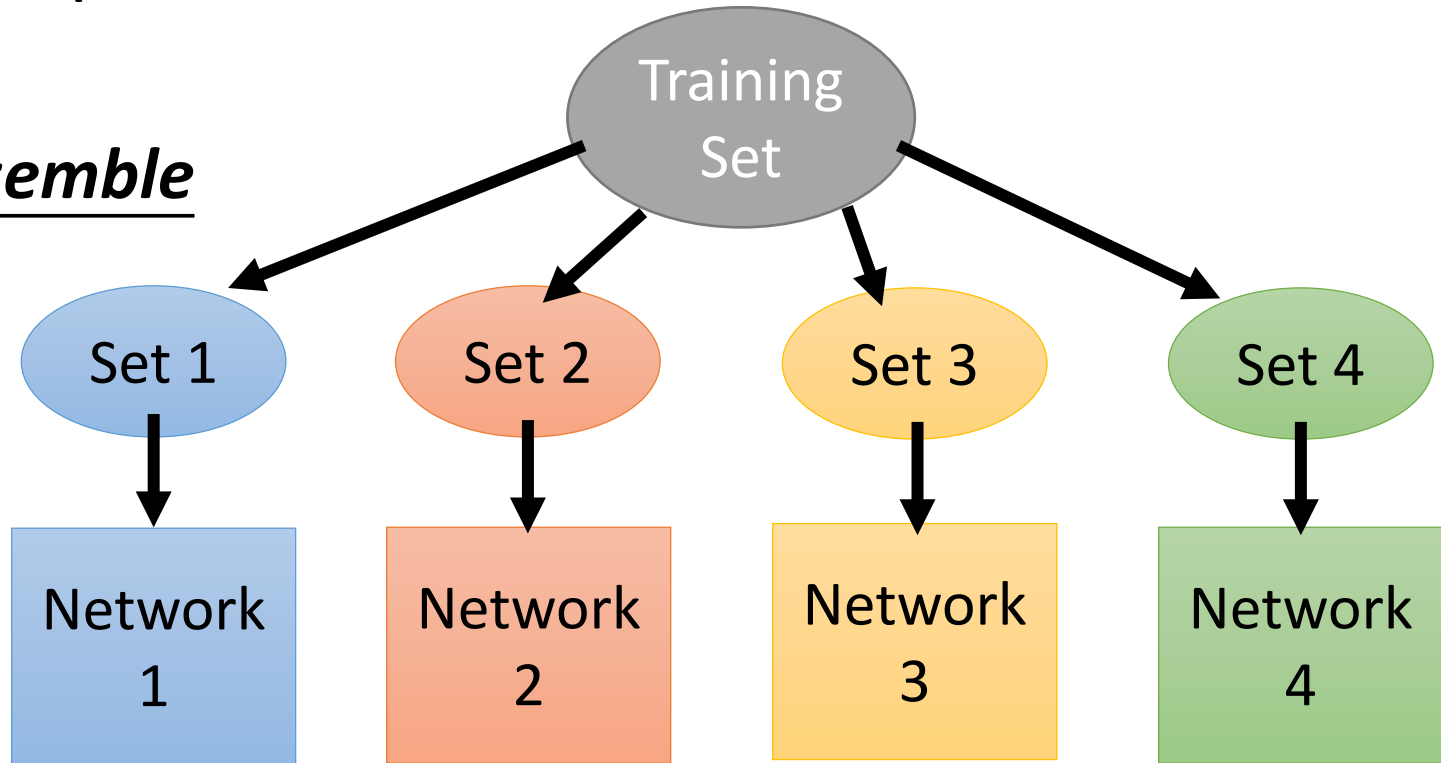
## Testing of Dropout

No dropout



# Dropout is a kind of ensemble.

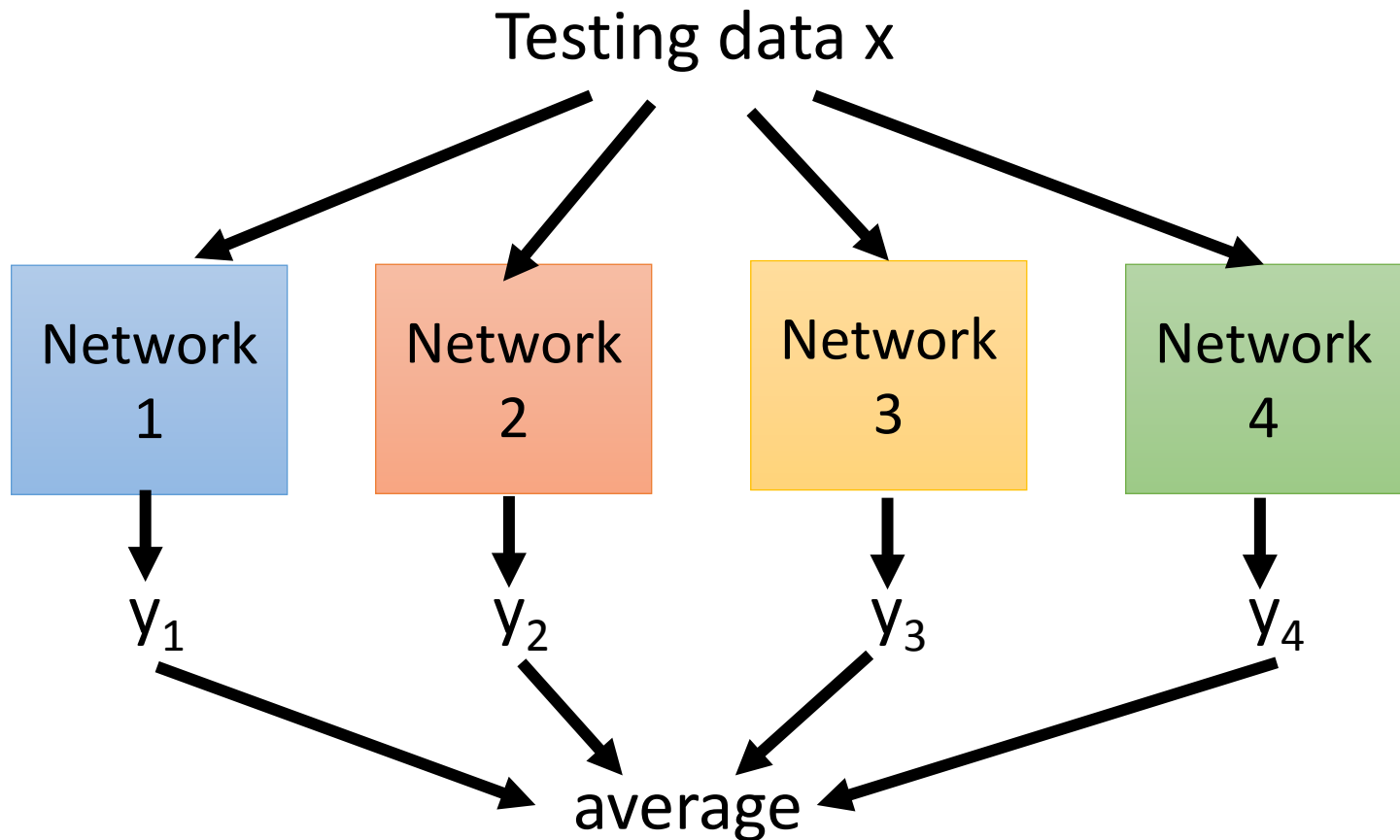
**Ensemble**



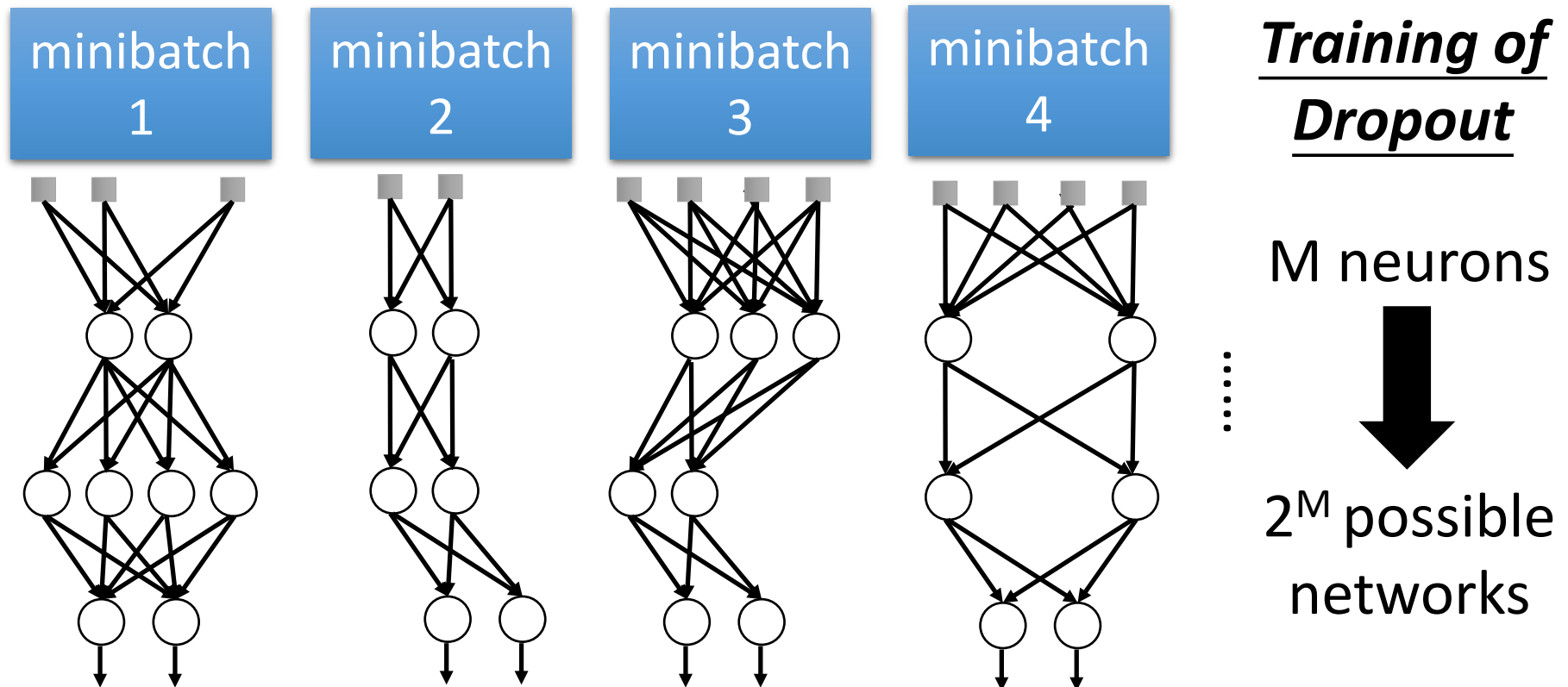
Train a bunch of networks with different structures

# Dropout is a kind of ensemble.

## Ensemble



# Dropout is a kind of ensemble.

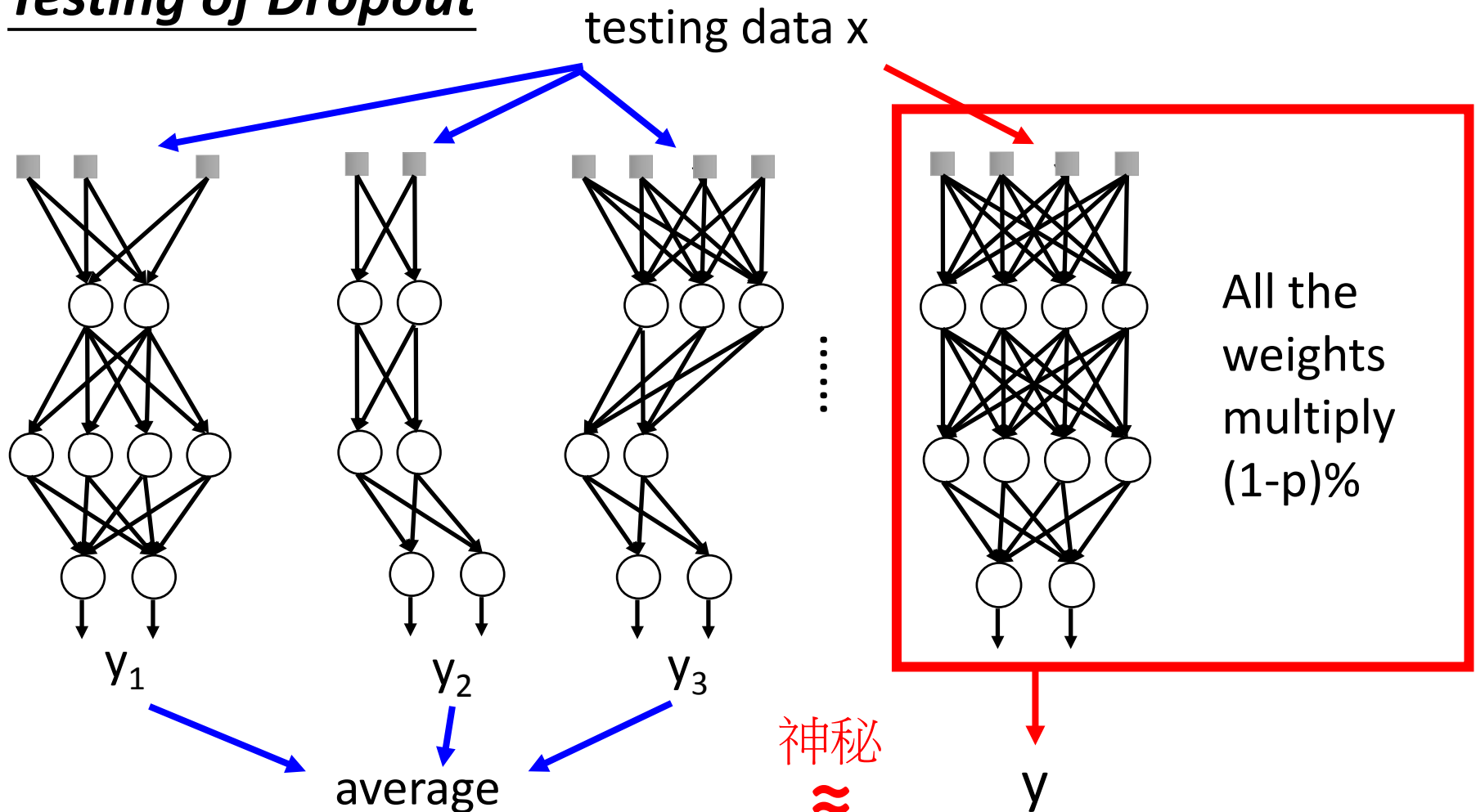


- Using one mini-batch to train one network
- Some parameters in the network are shared



# Dropout is a kind of ensemble.

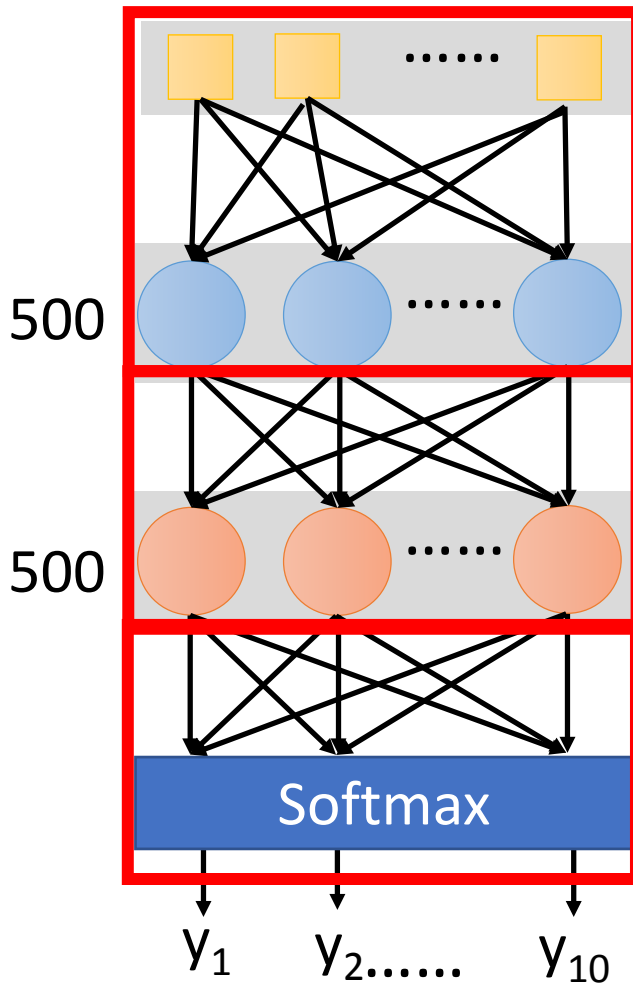
## Testing of Dropout



# More about dropout

- More reference for dropout [[Nitish Srivastava, JMLR'14](#)] [[Pierre Baldi, NIPS'13](#)][[Geoffrey E. Hinton, arXiv'12](#)]
- Dropout works better with Maxout [[Ian J. Goodfellow, ICML'13](#)]
- Dropconnect [[Li Wan, ICML'13](#)]
  - Dropout delete neurons
  - Dropconnect deletes the connection between neurons
- Annealed dropout [[S.J. Rennie, SLT'14](#)]
  - Dropout rate decreases by epochs
- Standout [[J. Ba, NISP'13](#)]
  - Each neural has different dropout rate

# Let's try it



```
model = Sequential()
```

```
model.add( Dense( input_dim=28*28,  
                  output_dim=500 ) )  
model.add( Activation('sigmoid') )
```

```
model.add( dropout(0.8) )
```

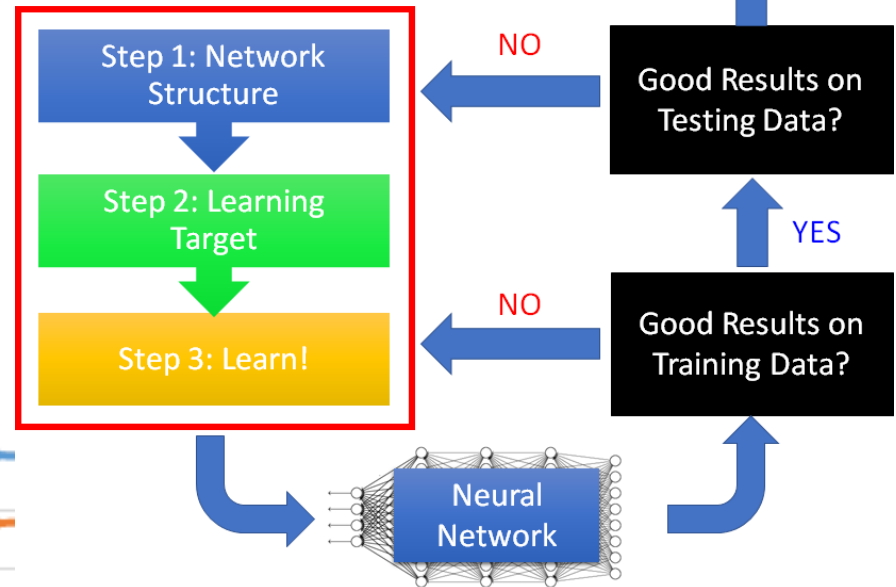
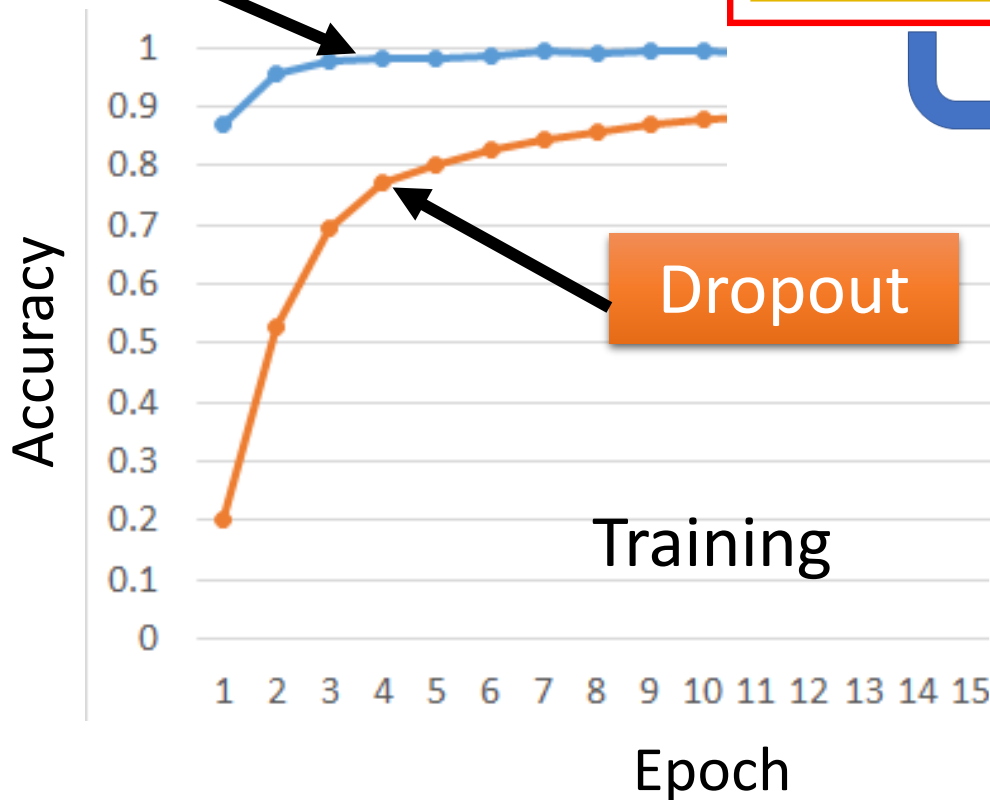
```
model.add( Dense( output_dim=500 ) )  
model.add( Activation('sigmoid') )
```

```
model.add( dropout(0.8) )
```

```
model.add( Dense( output_dim=10 ) )  
model.add( Activation('softmax') )
```

# Let's try it

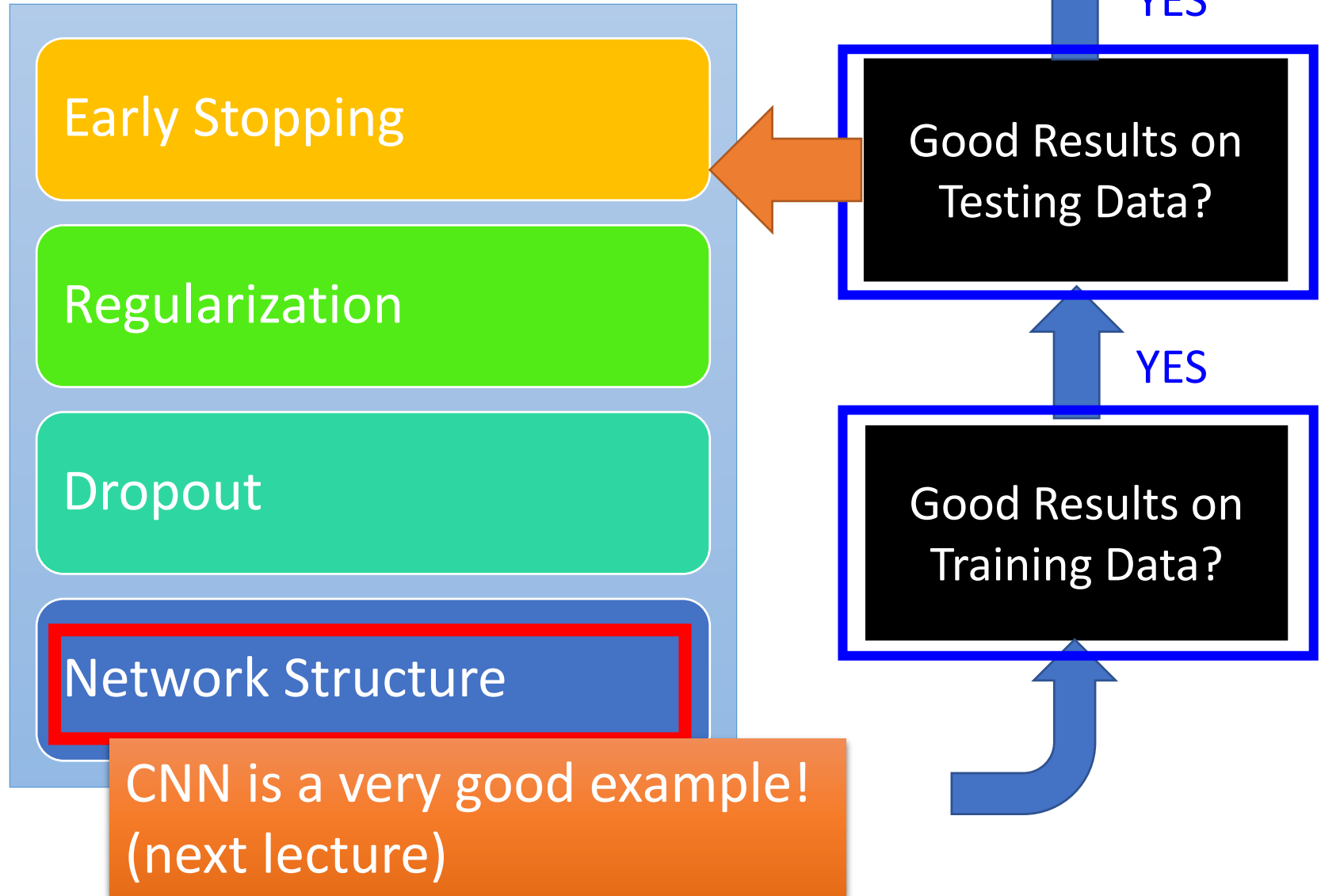
No Dropout



Testing:

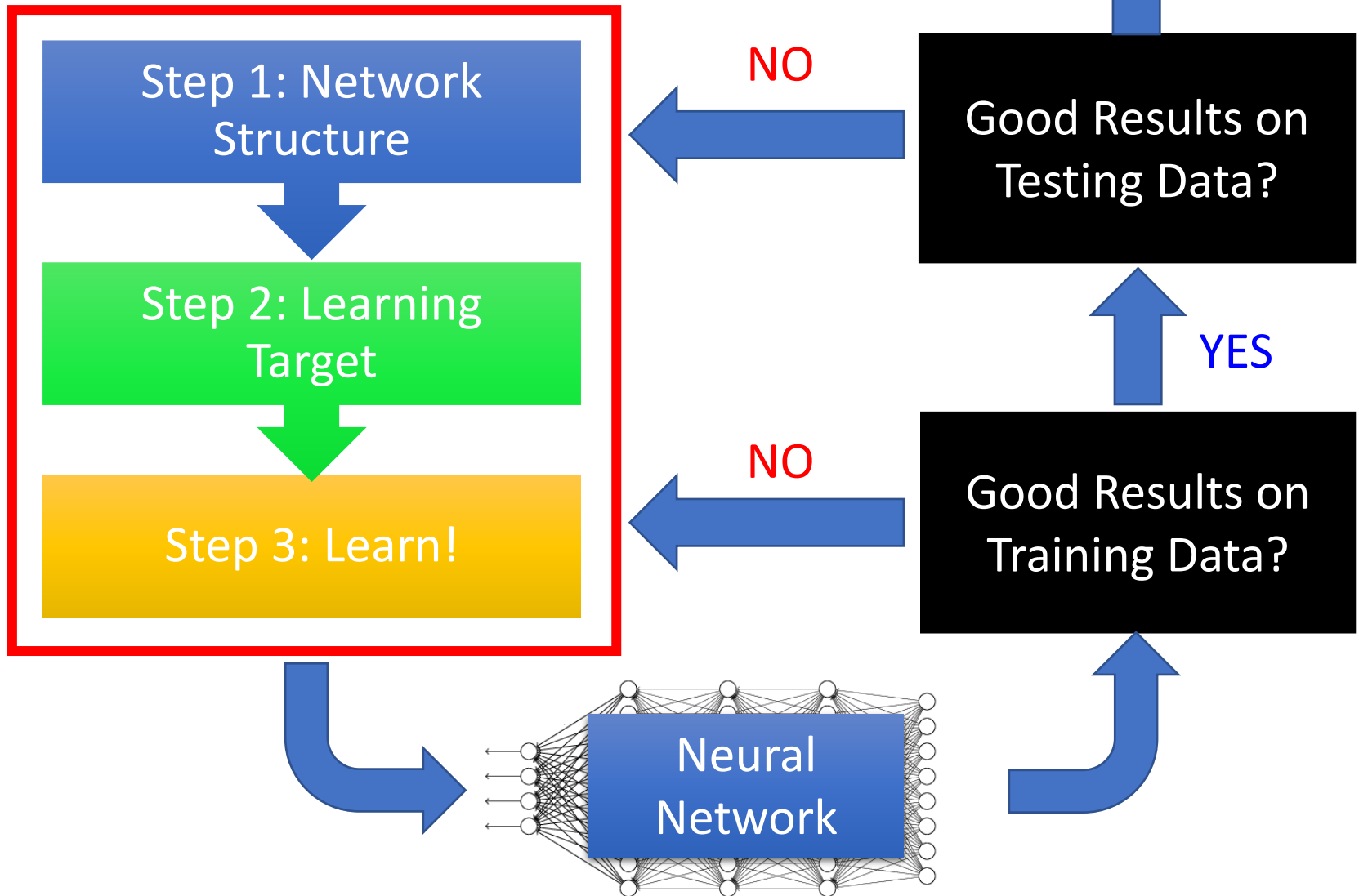
	Accuracy
Noisy	0.50
+ dropout	0.63

# Recipe of Deep Learning



# Concluding Remarks of Lecture II

# Recipe of Deep Learning



Lecture III:  
Variants of Neural  
Networks



# Variants of Neural Networks

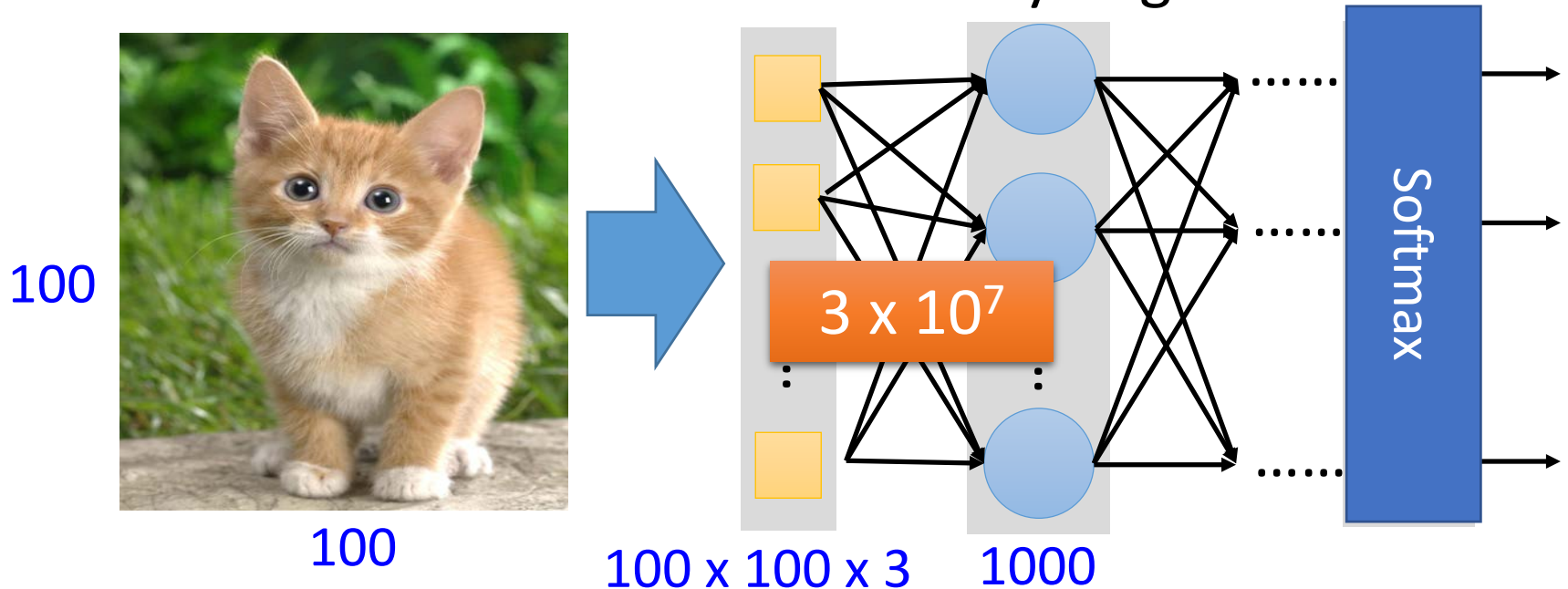
Convolutional Neural  
Network (CNN)

Consdiering the  
property of images

Recurrent Neural Network  
(RNN)

# Why CNN for Image?

- When processing image, the first layer of fully connected network would be very large



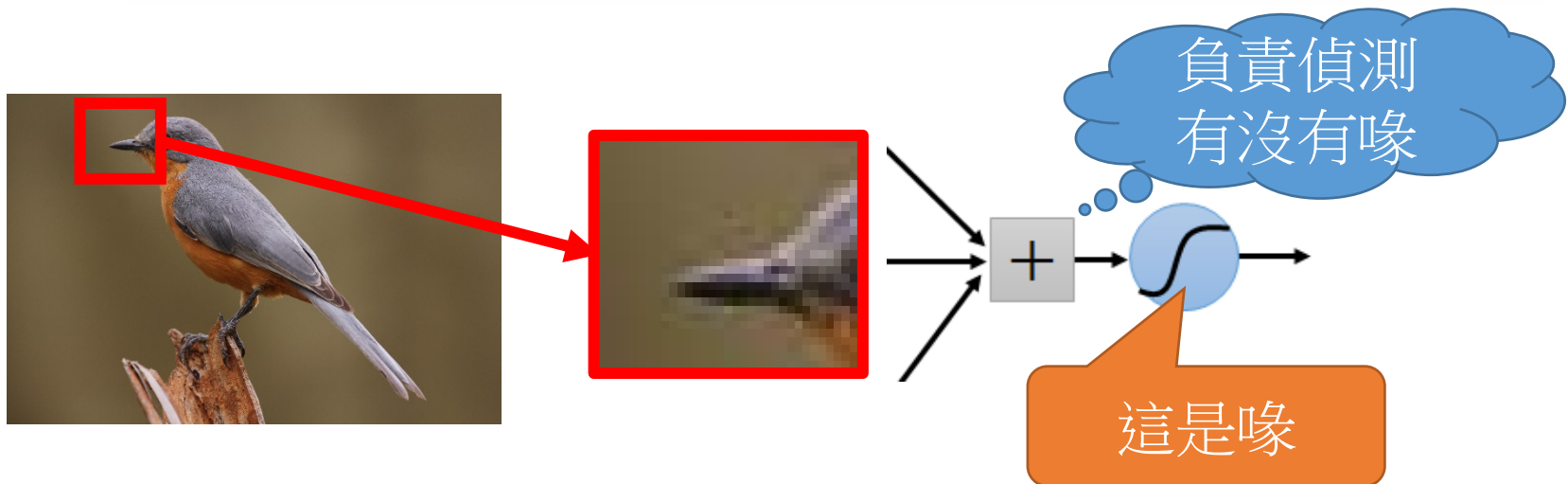
Can the fully connected network be simplified by considering the properties of image recognition?

# Why CNN for Image

- Some patterns are much smaller than the whole image

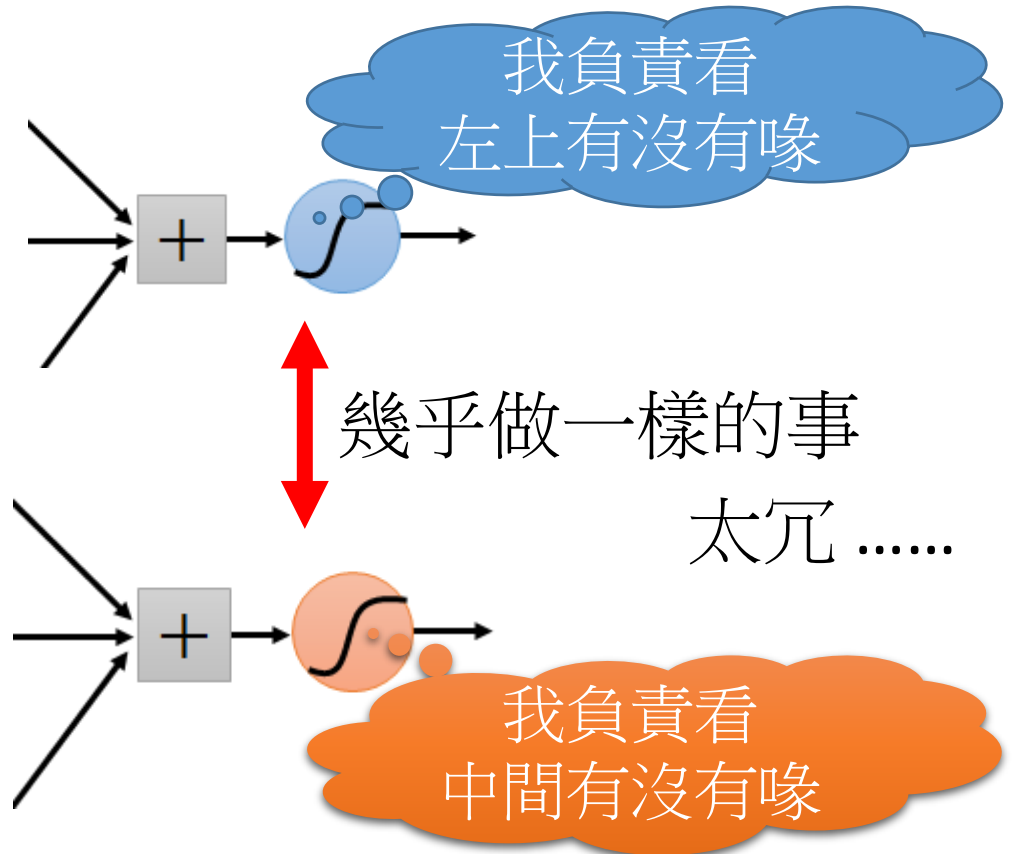
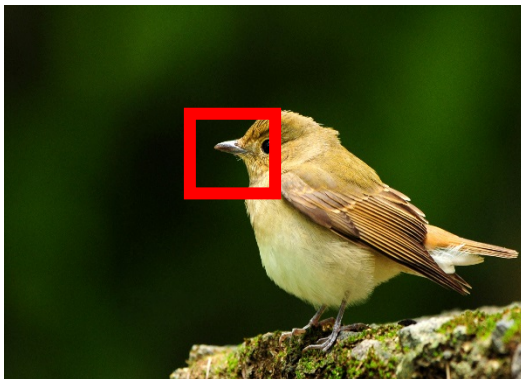
A neuron does not have to see the whole image to discover the pattern.

Connecting to small region with less parameters



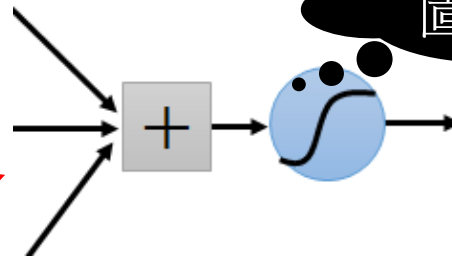
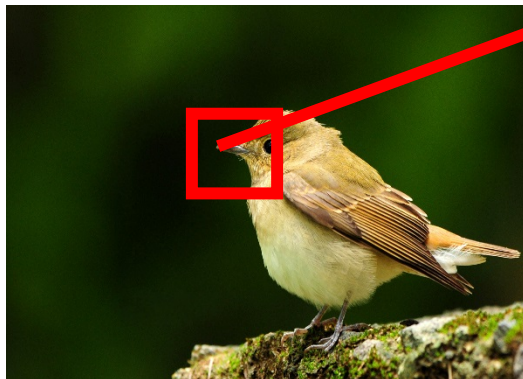
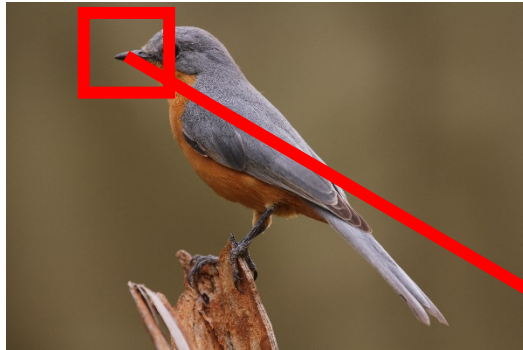
# Why CNN for Image

- The same patterns appear in different regions.



# Why CNN for Image

- The same patterns appear in different regions.

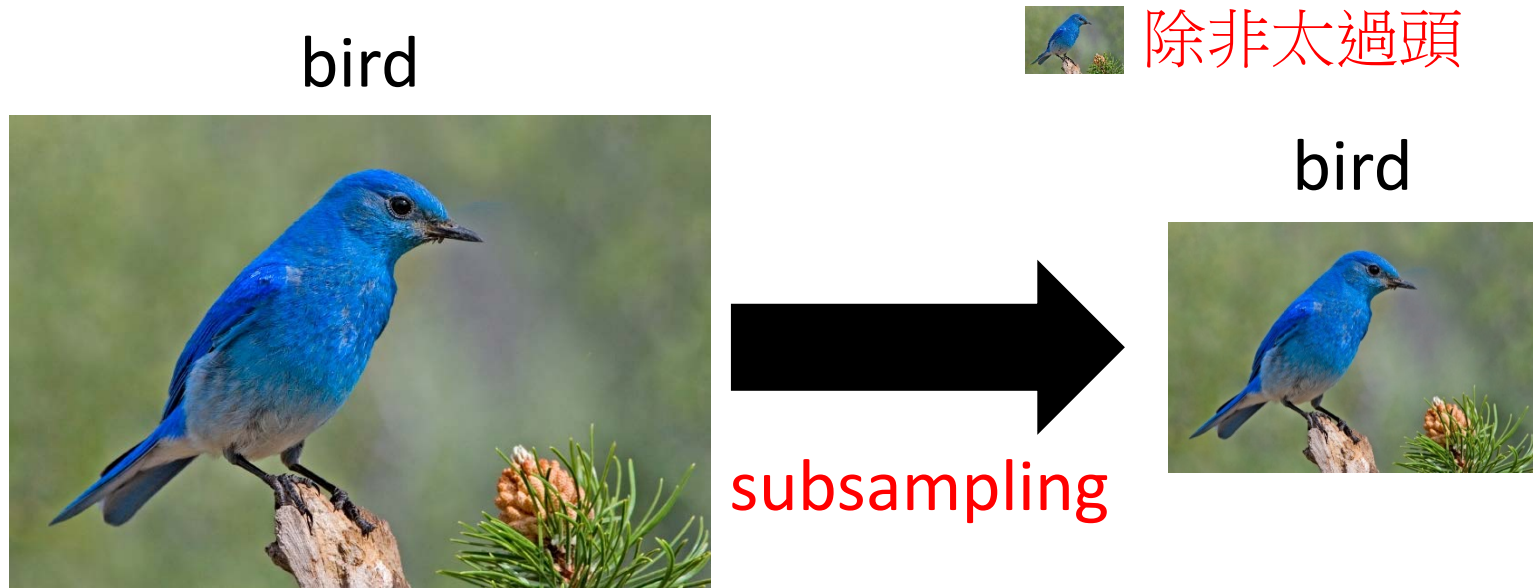


我負責看  
圖中有沒有喙

一個 neuron 就夠了 .....

# Why CNN for Image

- Subsampling the pixels will not change the object



We can subsample the pixels to make image smaller

➡ Less parameters for the network to process the image

# Convolutional Neural Network

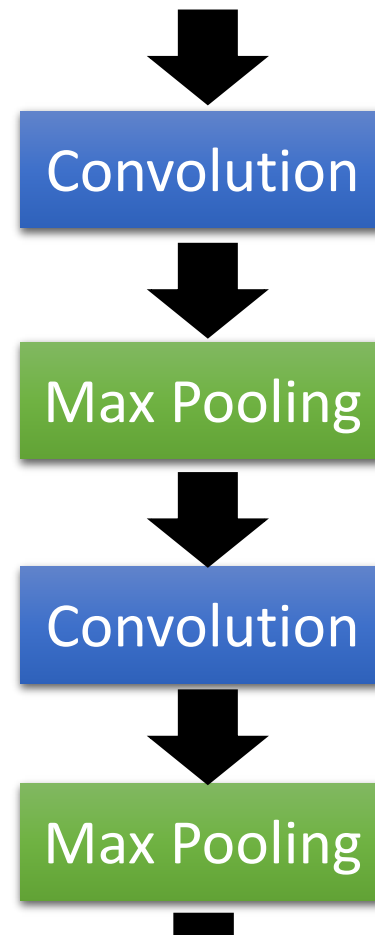
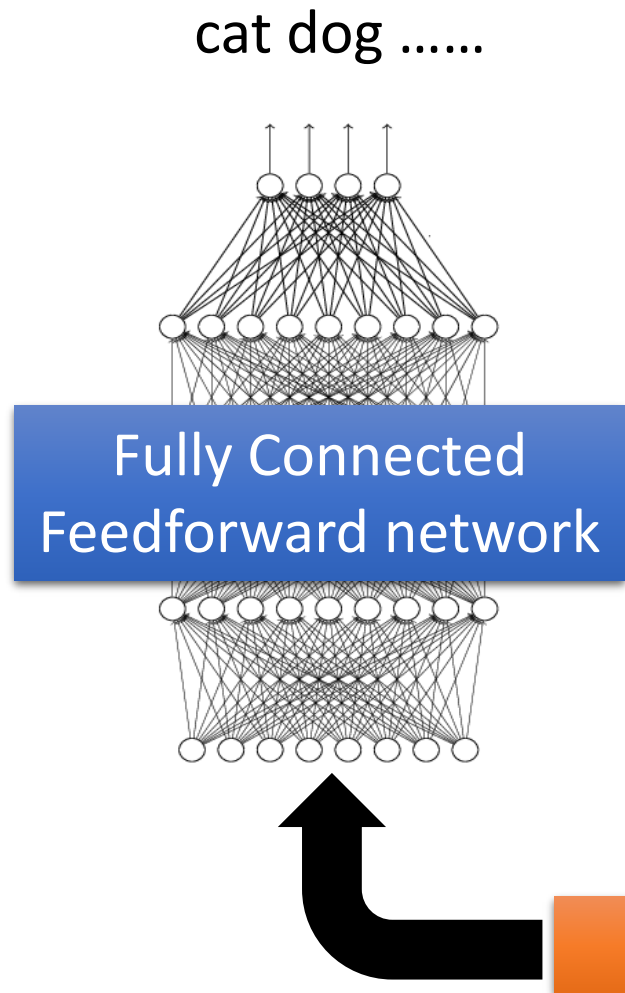


天生的腦





# The whole CNN



Can repeat many times



# The whole CNN

## Property 1

- Some patterns are much smaller than the whole image

## Property 2

- The same patterns appear in different regions.

## Property 3

- Subsampling the pixels will not change the object



Convolution

Max Pooling

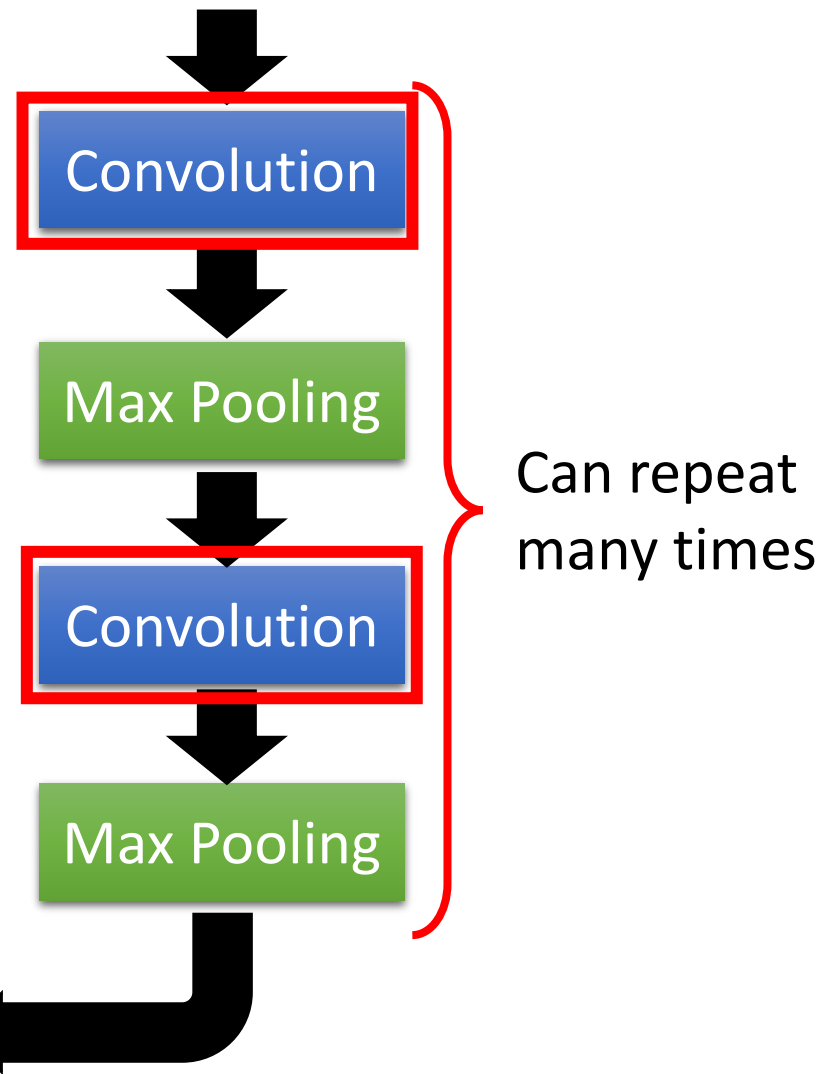
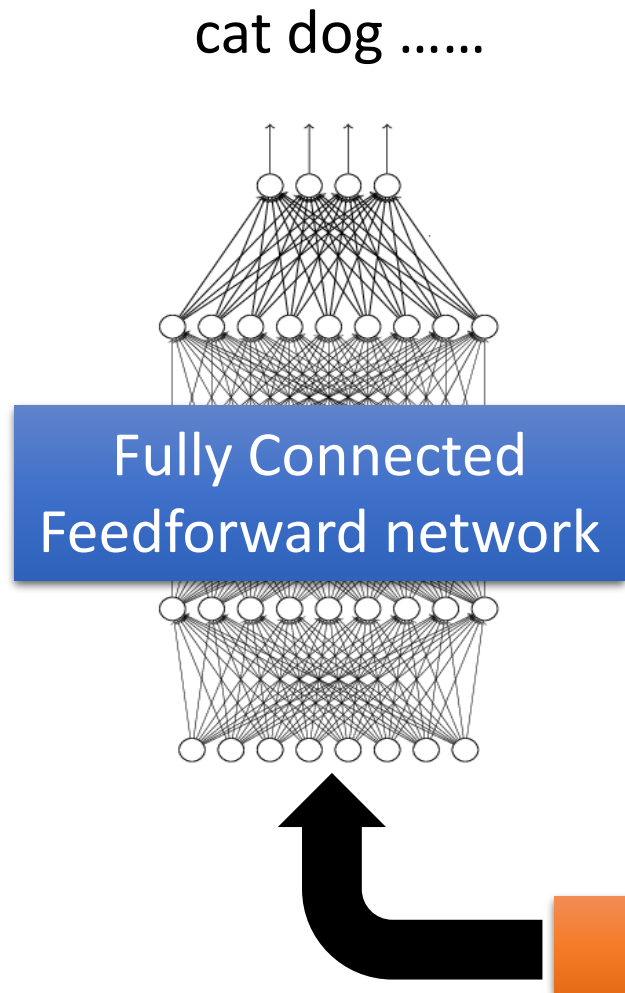
Convolution

Max Pooling

Flatten

Can repeat many times

# The whole CNN



# CNN – Convolution

Those are the network parameters to be learned.

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

1	-1	-1
-1	1	-1
-1	-1	1

Filter 1  
Matrix

-1	1	-1
-1	1	-1
-1	1	-1

Filter 2  
Matrix

⋮

Property 1

Each filter detects a small pattern (3 x 3).

# CNN – Convolution

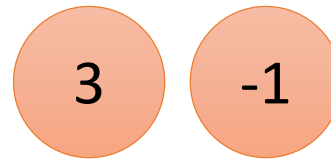
1	-1	-1
-1	1	-1
-1	-1	1

Filter 1

stride=1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image



# CNN – Convolution

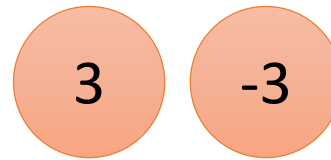
1	-1	-1
-1	1	-1
-1	-1	1

Filter 1

If stride=2

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image



We set stride=1 below

# CNN – Convolution

stride=1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

1	-1	-1
-1	1	-1
-1	-1	1

Filter 1

3	-1	-3	-1
-3	1	0	-3
-3	-3	0	1
3	-2	-2	-1

Property 2

# CNN – Convolution

-1	1	-1
-1	1	-1
-1	1	-1

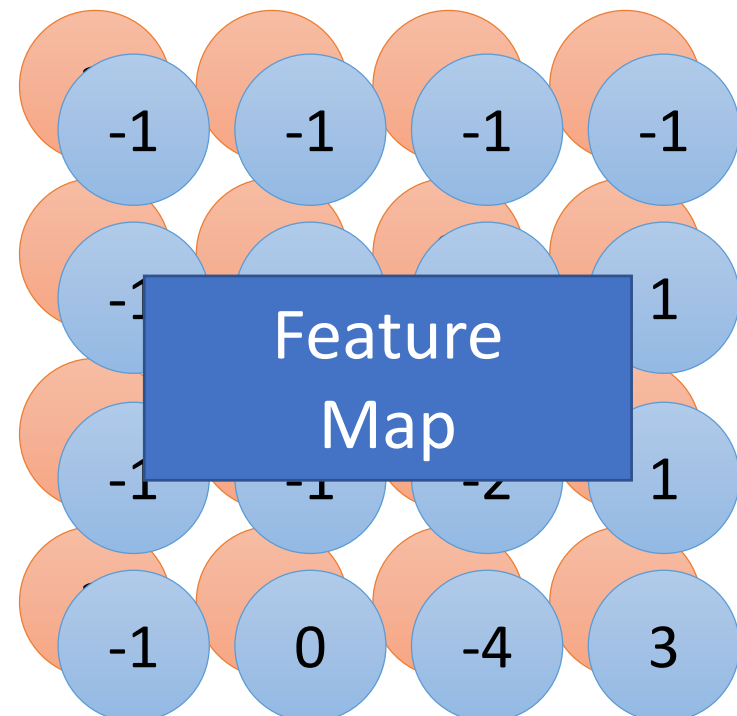
Filter 2

stride=1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

Do the same process for every filter



4 x 4 image

# CNN – Zero Padding

1	-1	-1
-1	1	-1
-1	-1	1

Filter 1

0	0	0				
0	1	0	0	0	0	1
0	0	1	0	0	1	0
	0	0	1	1	0	0
	1	0	0	0	1	0
	0	1	0	0	1	0
	0	0	1	0	1	0
						0
						0
						0

6 x 6 image

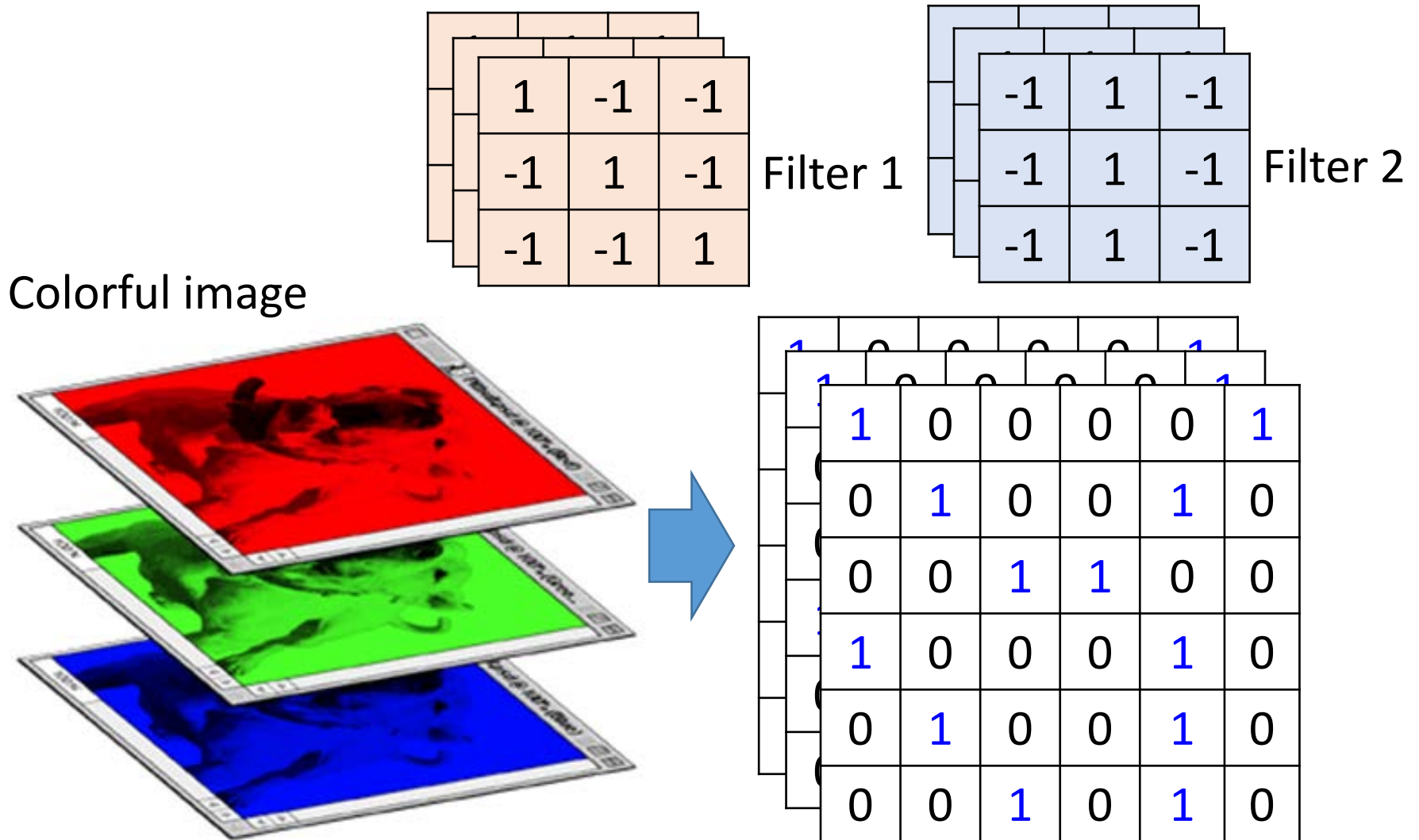
You will get another 6 x 6 images in this way



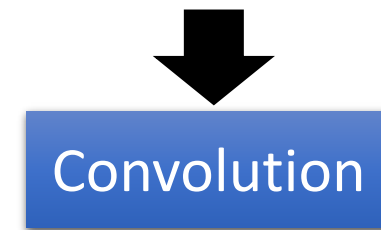
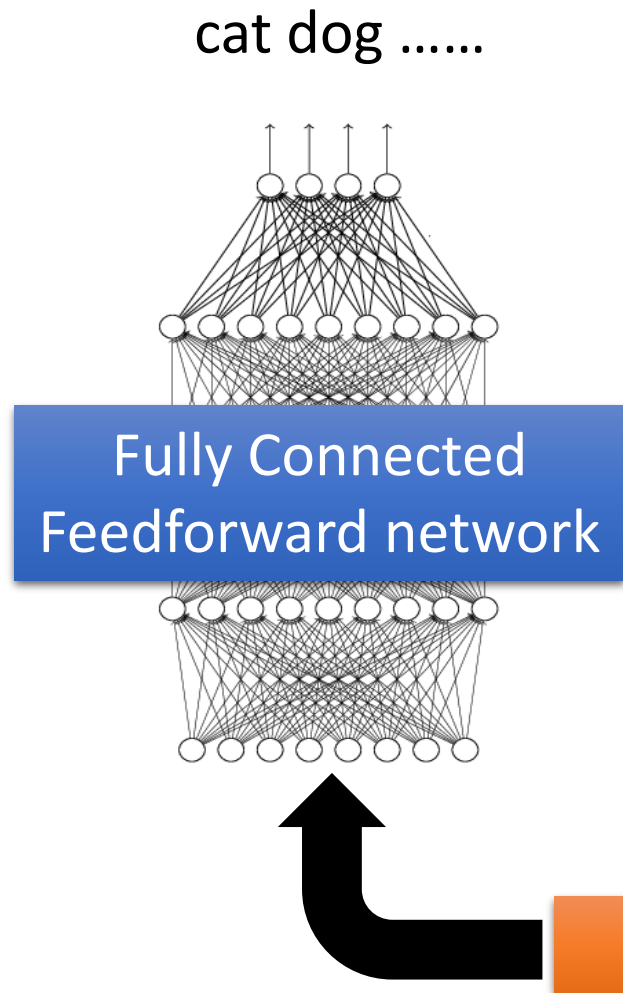
Zero padding



# CNN – Colorful image



# The whole CNN



Can repeat many times



# CNN – Max Pooling

1	-1	-1
-1	1	-1
-1	-1	1

Filter 1

-1	1	-1
-1	1	-1
-1	1	-1

Filter 2

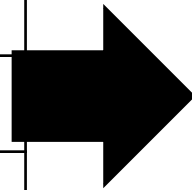
3	-1	-3	-1
-3	1	0	-3
-3	-3	0	1
3	-2	-2	-1

-1	-1	-1	-1
-1	-1	-2	1
-1	-1	-2	1
-1	0	-4	3

# CNN – Max Pooling

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

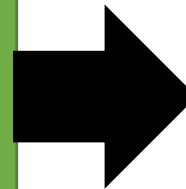
6 x 6 image



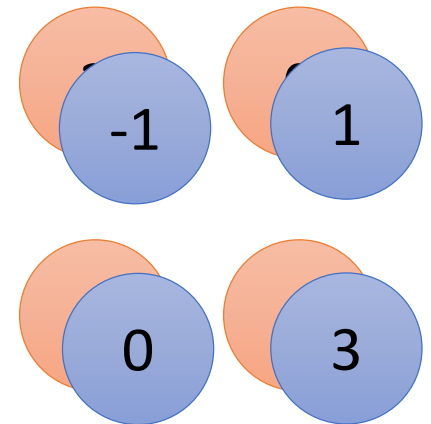
Conv



Max  
Pooling



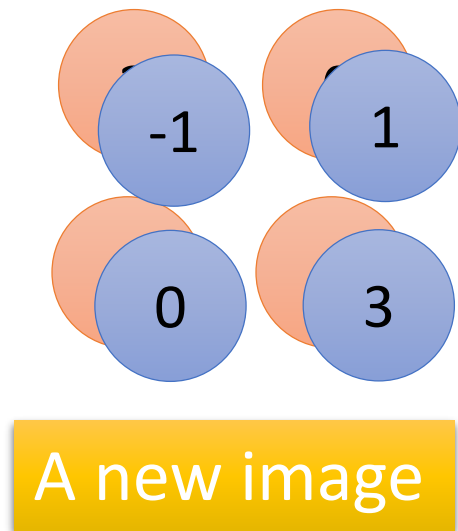
New image  
but smaller



2 x 2 image

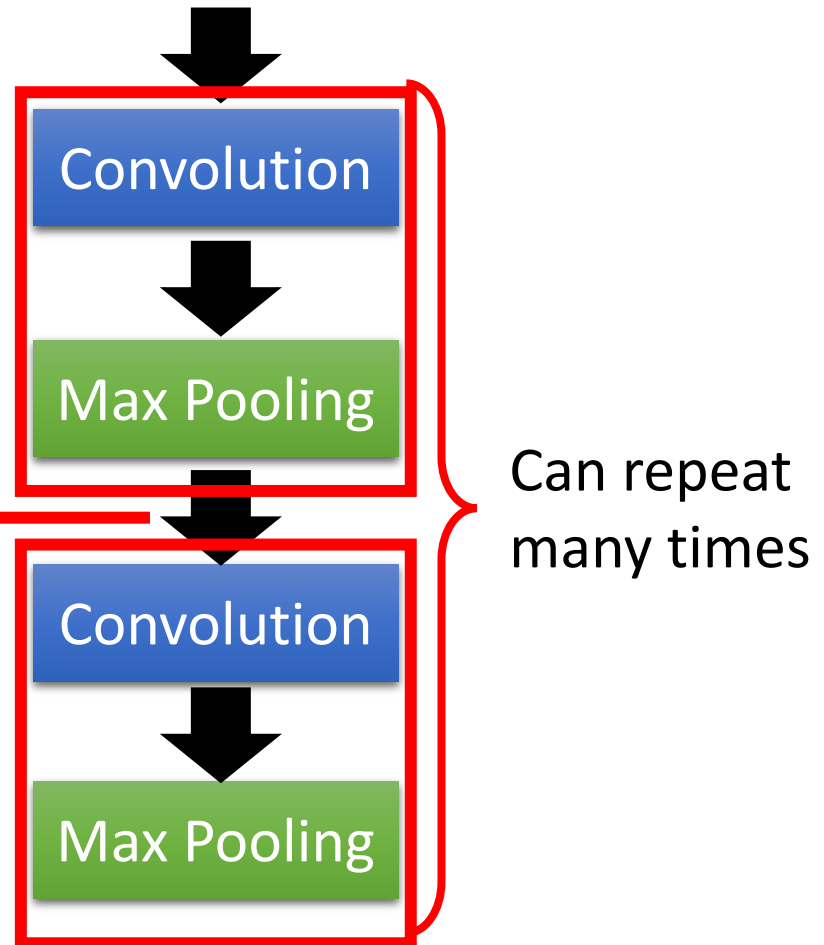
Each filter  
is a channel

# The whole CNN



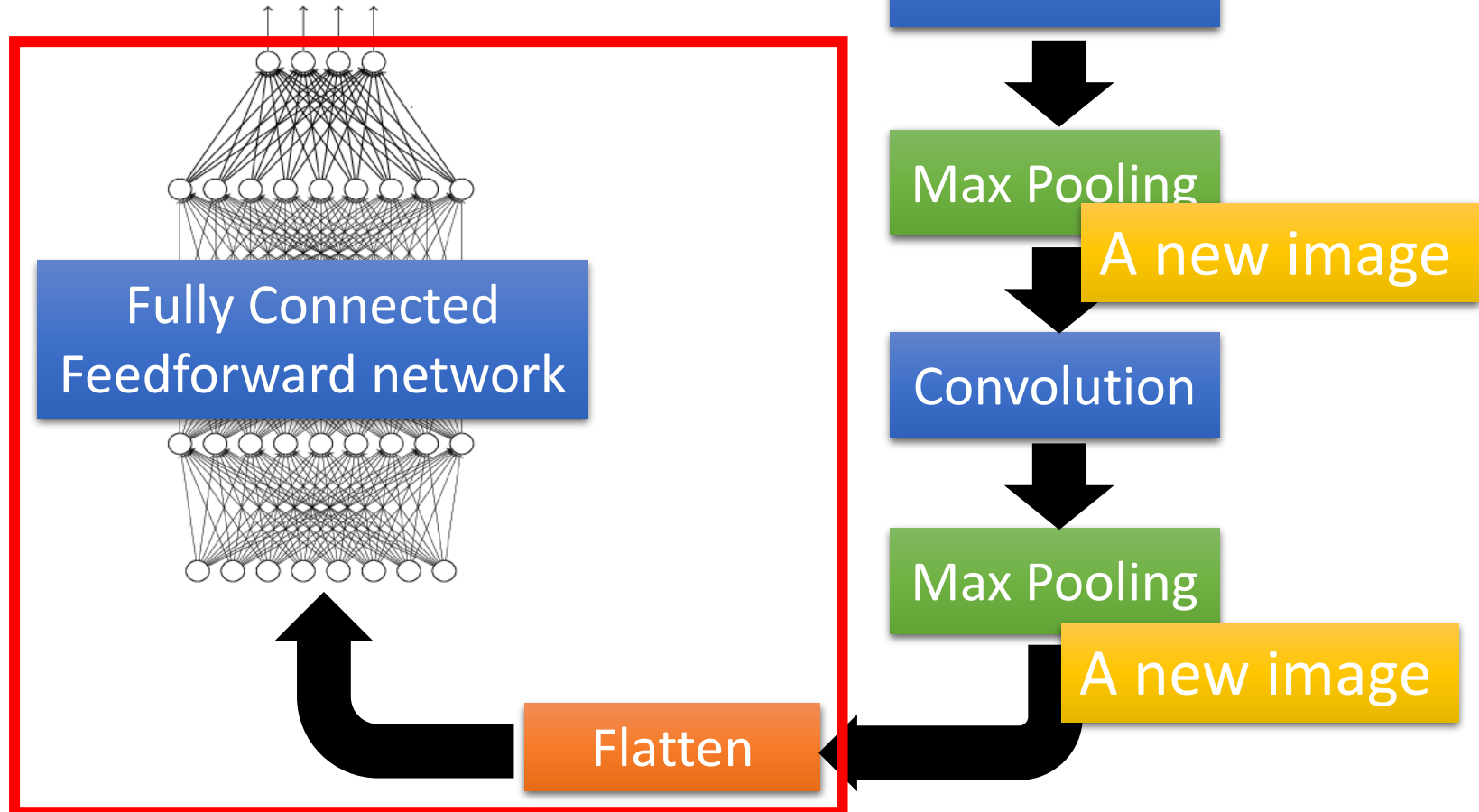
Smaller than the original image

The number of the channel is the number of filters

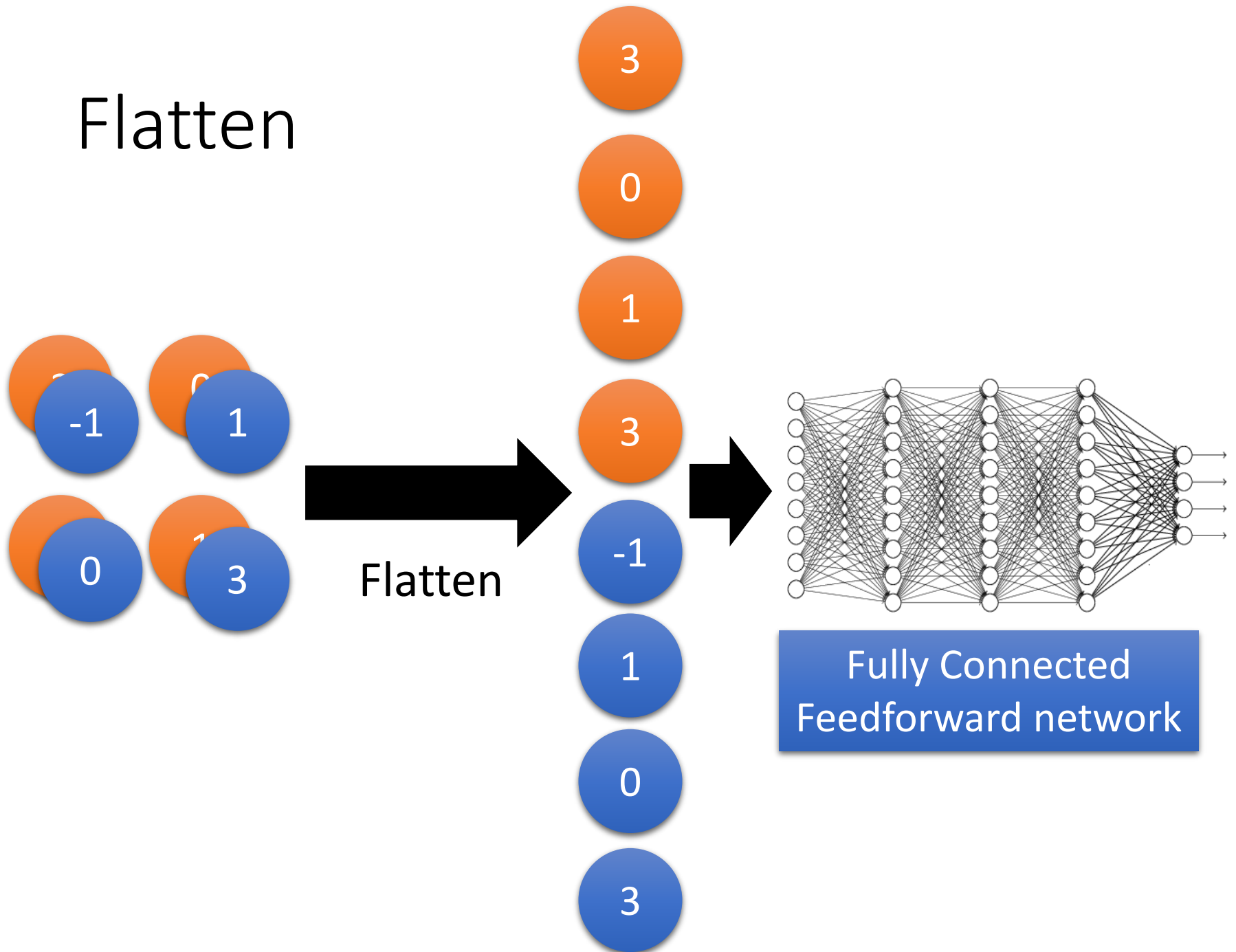


# The whole CNN

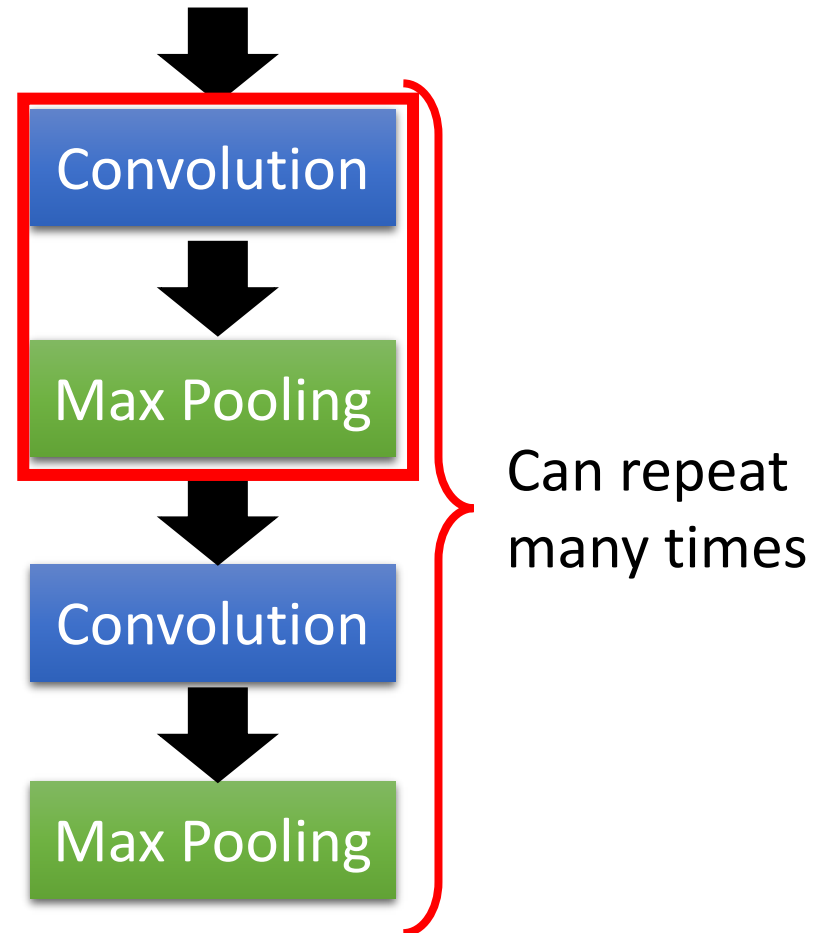
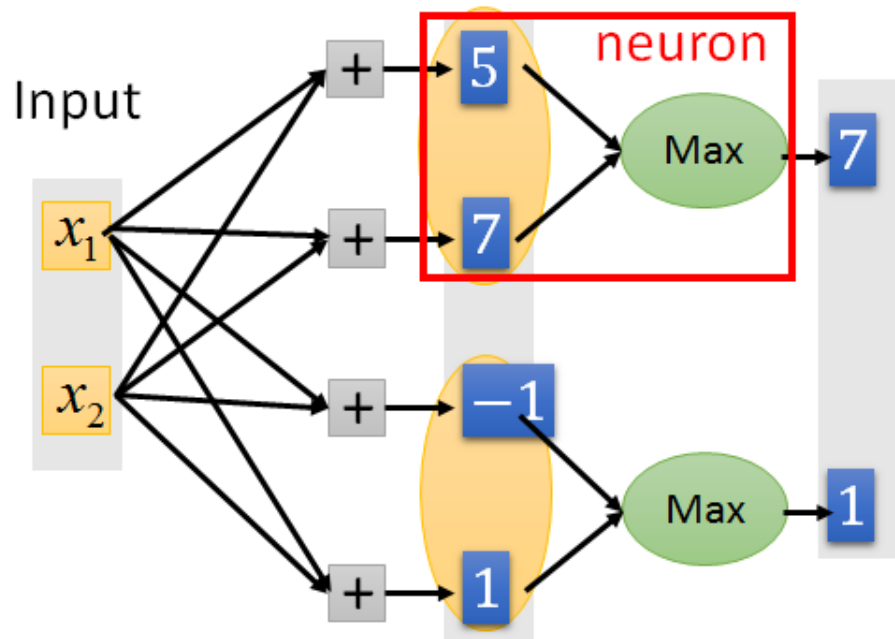
cat dog .....



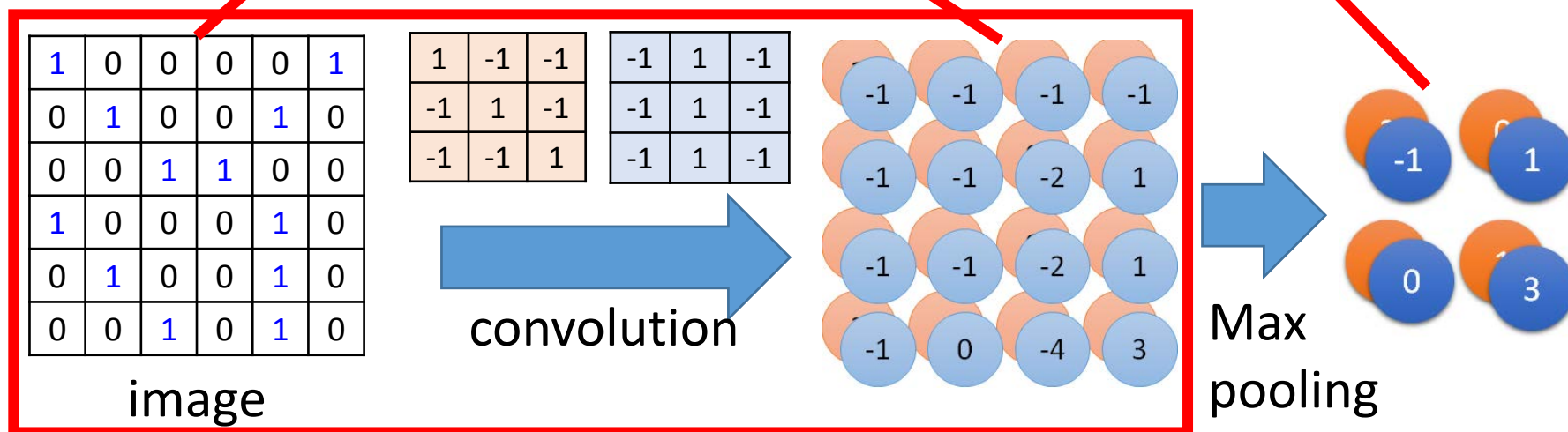
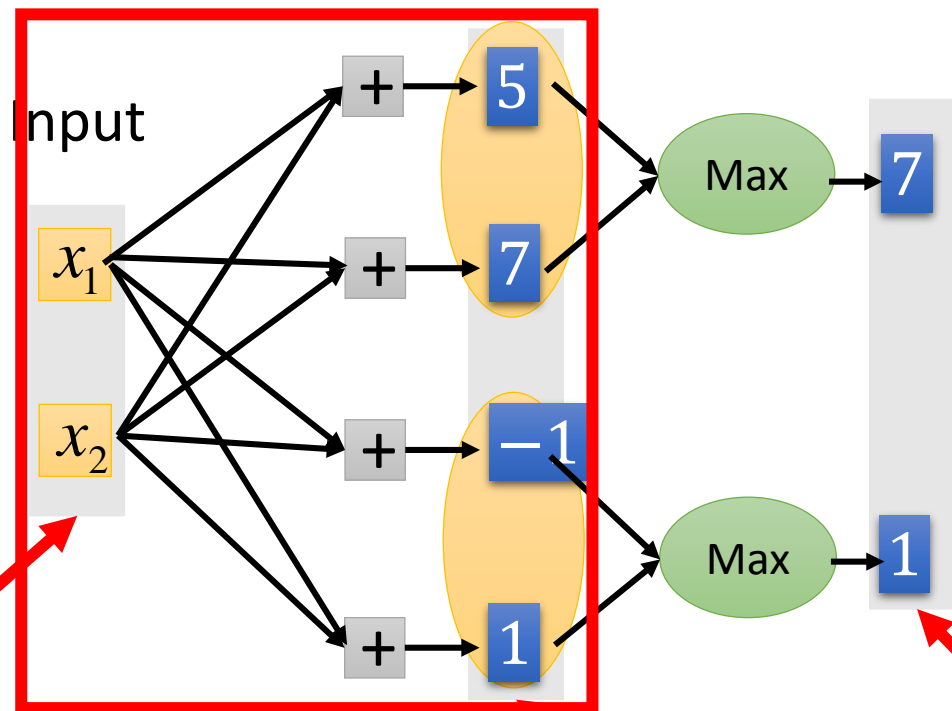
# Flatten



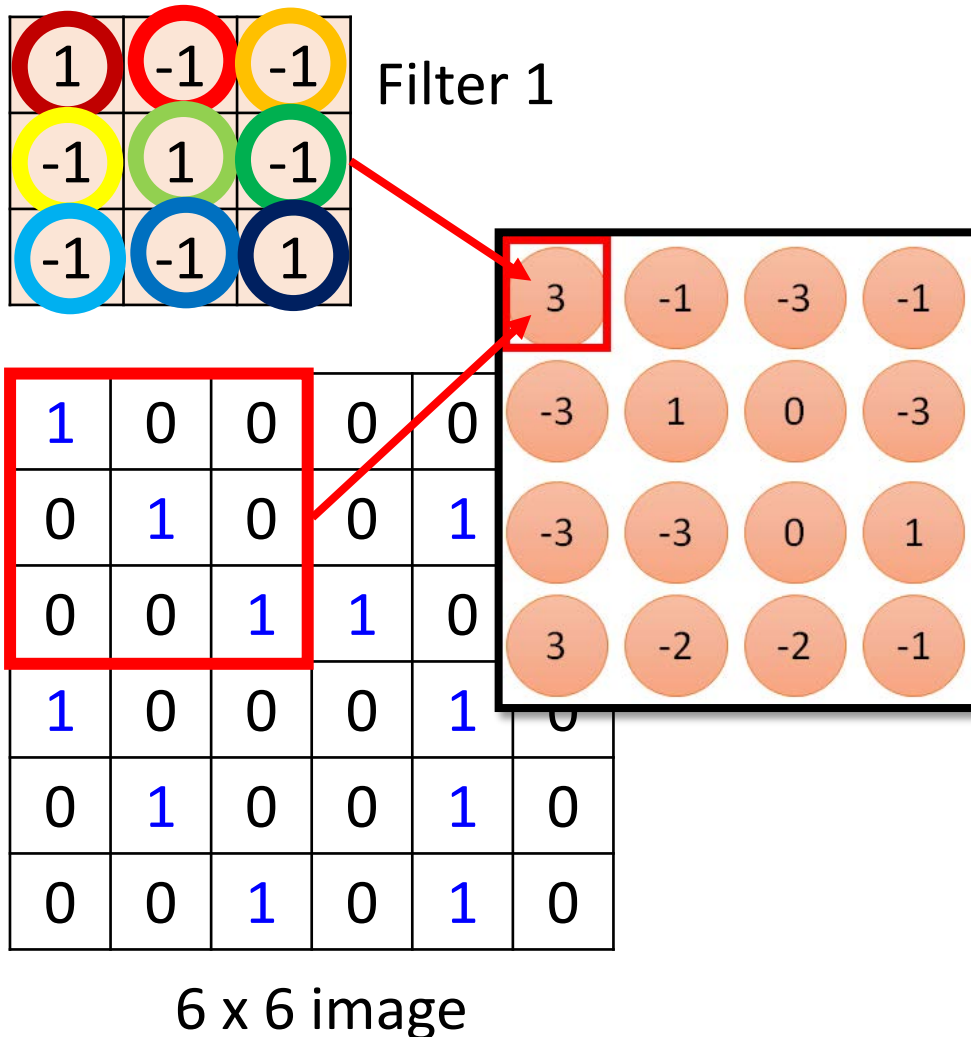
# The whole CNN



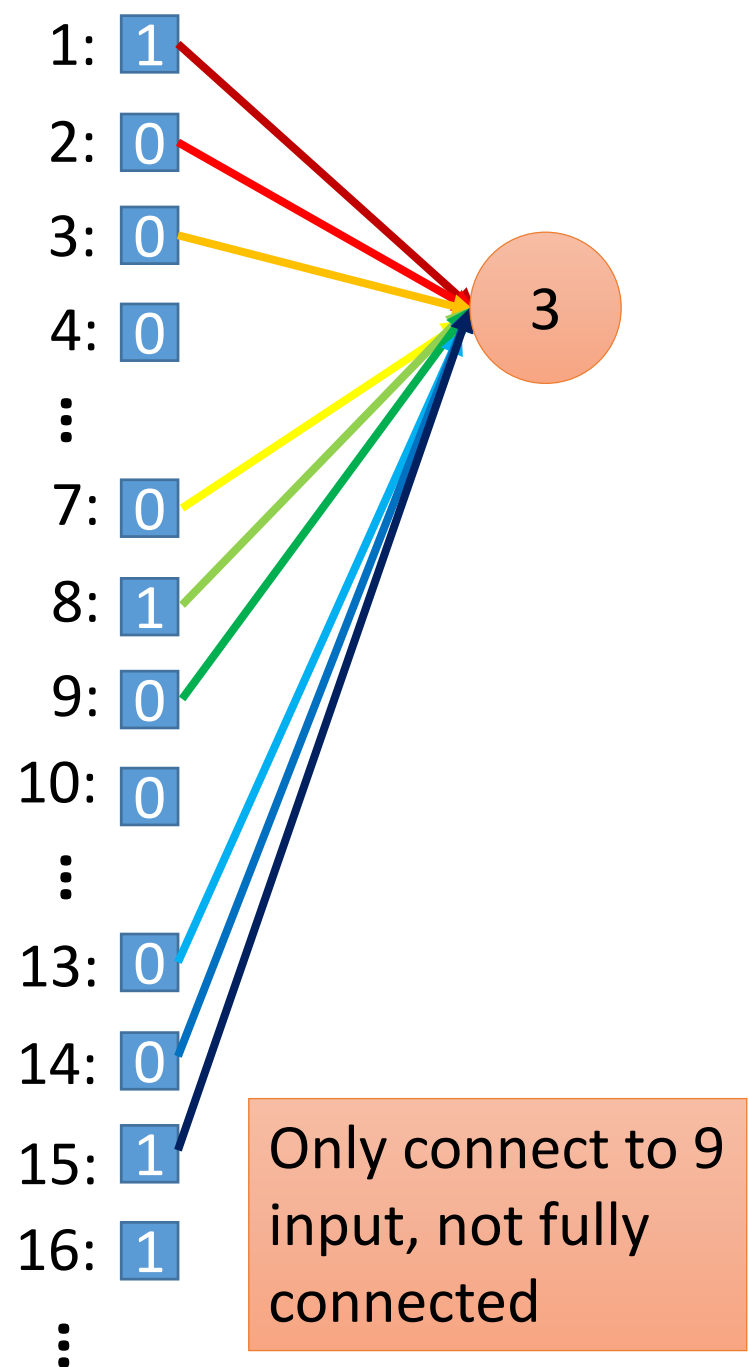


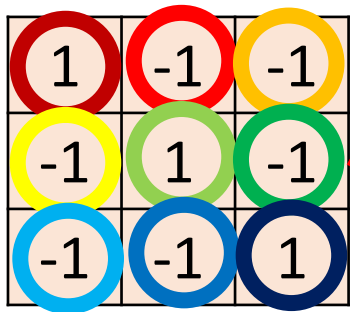


(Ignoring the non-linear activation function after the convolution.)

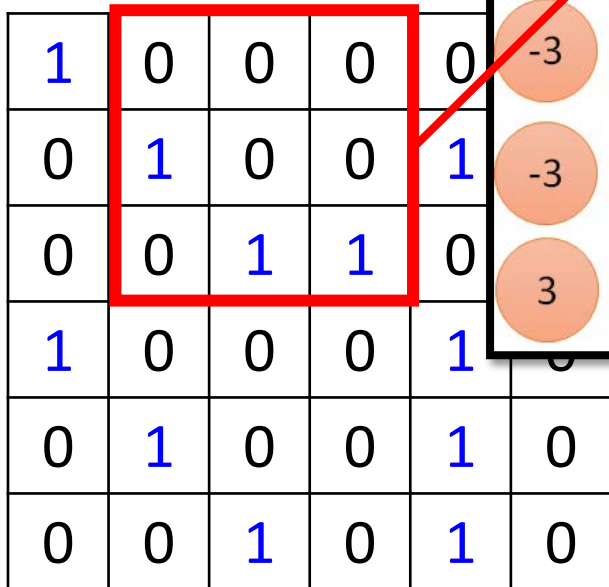


Less parameters!

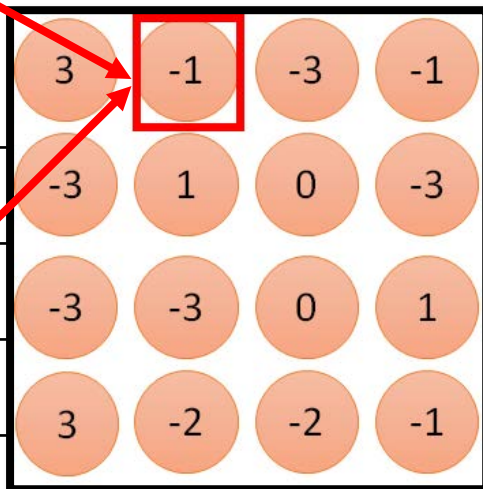




Filter 1

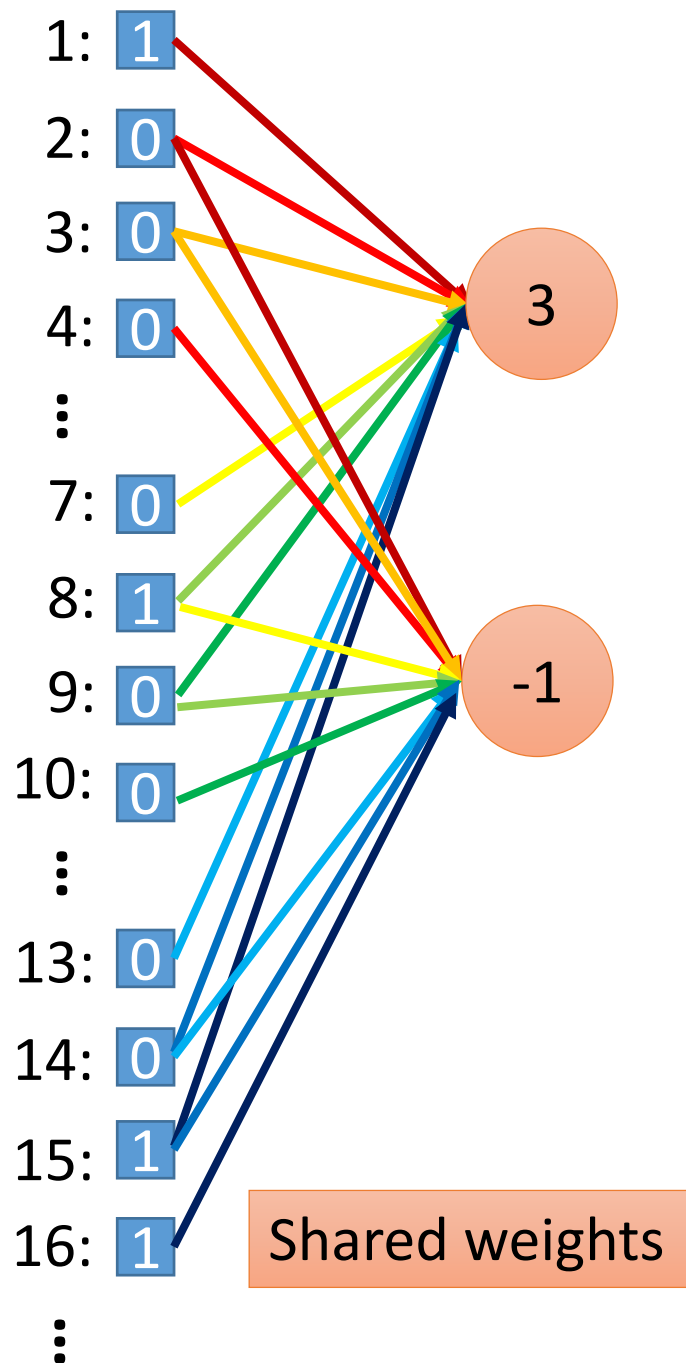


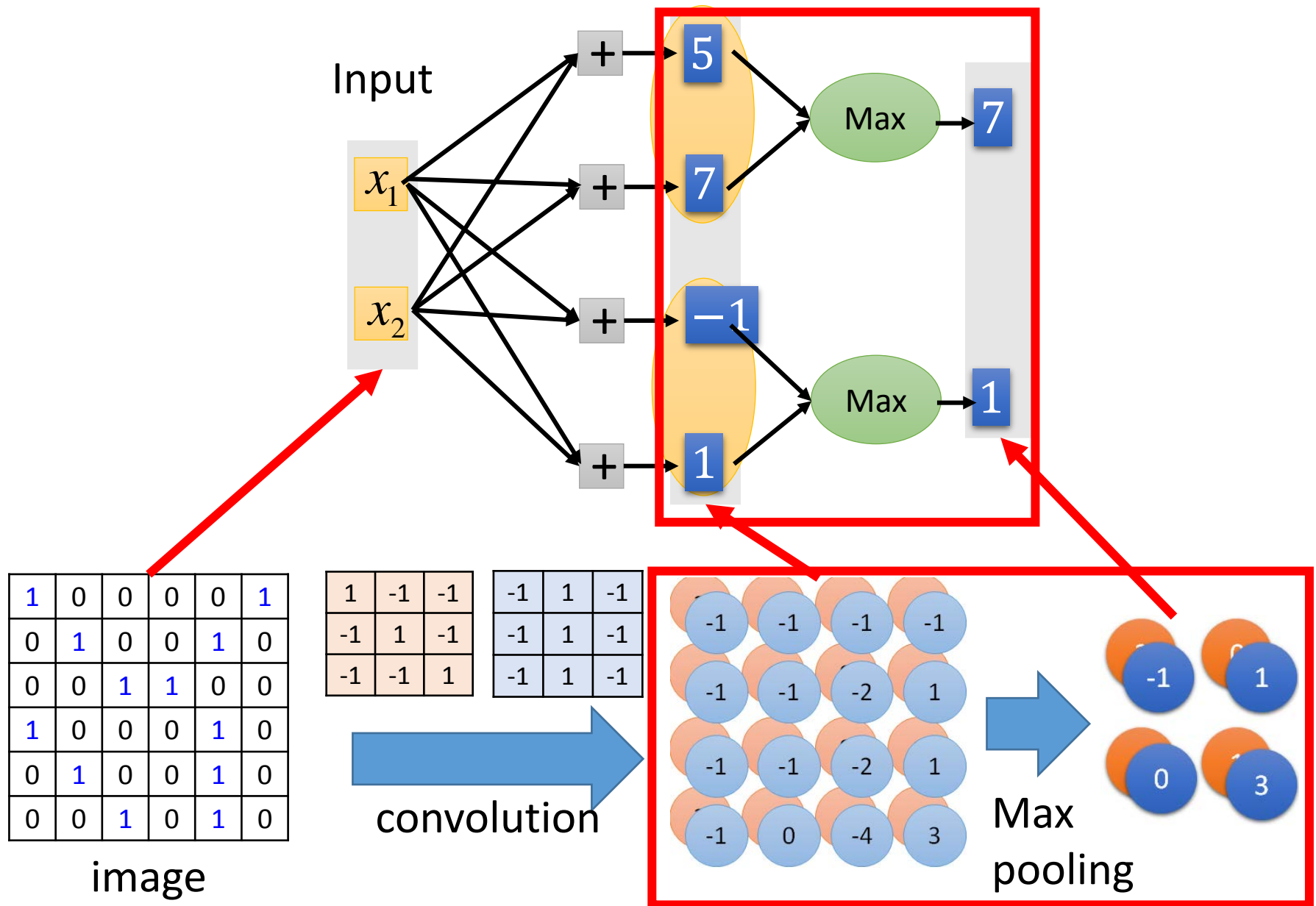
6 x 6 image



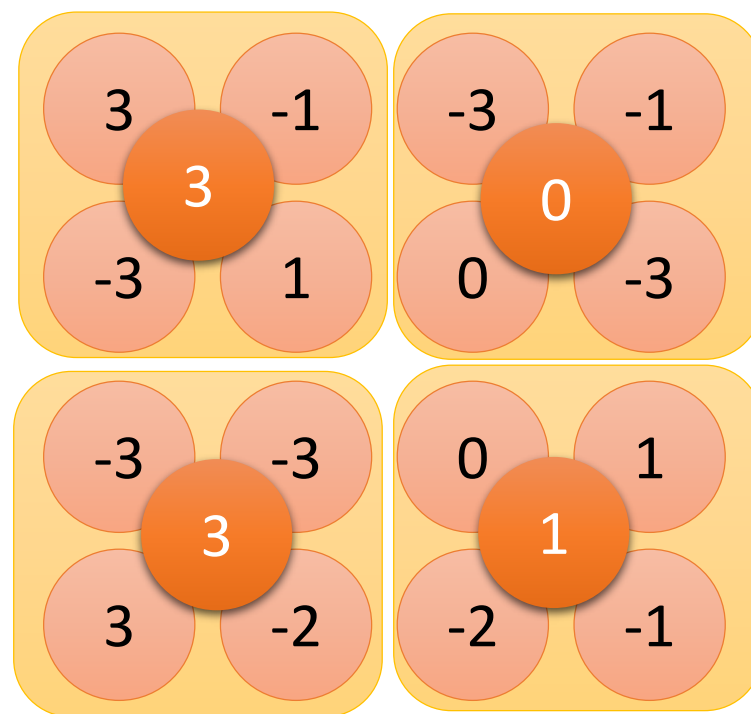
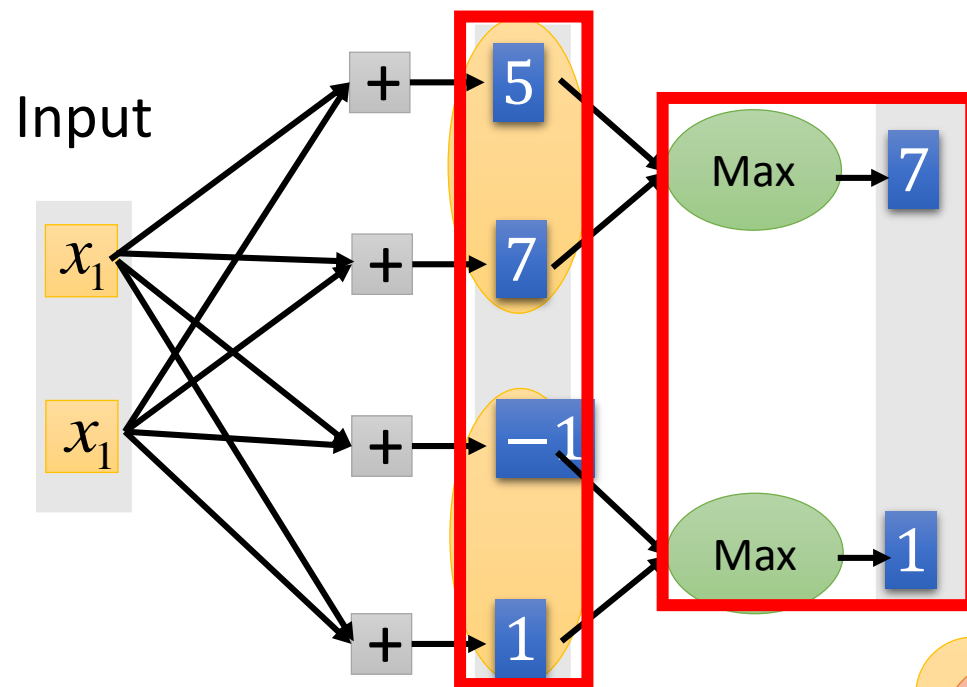
Less parameters!

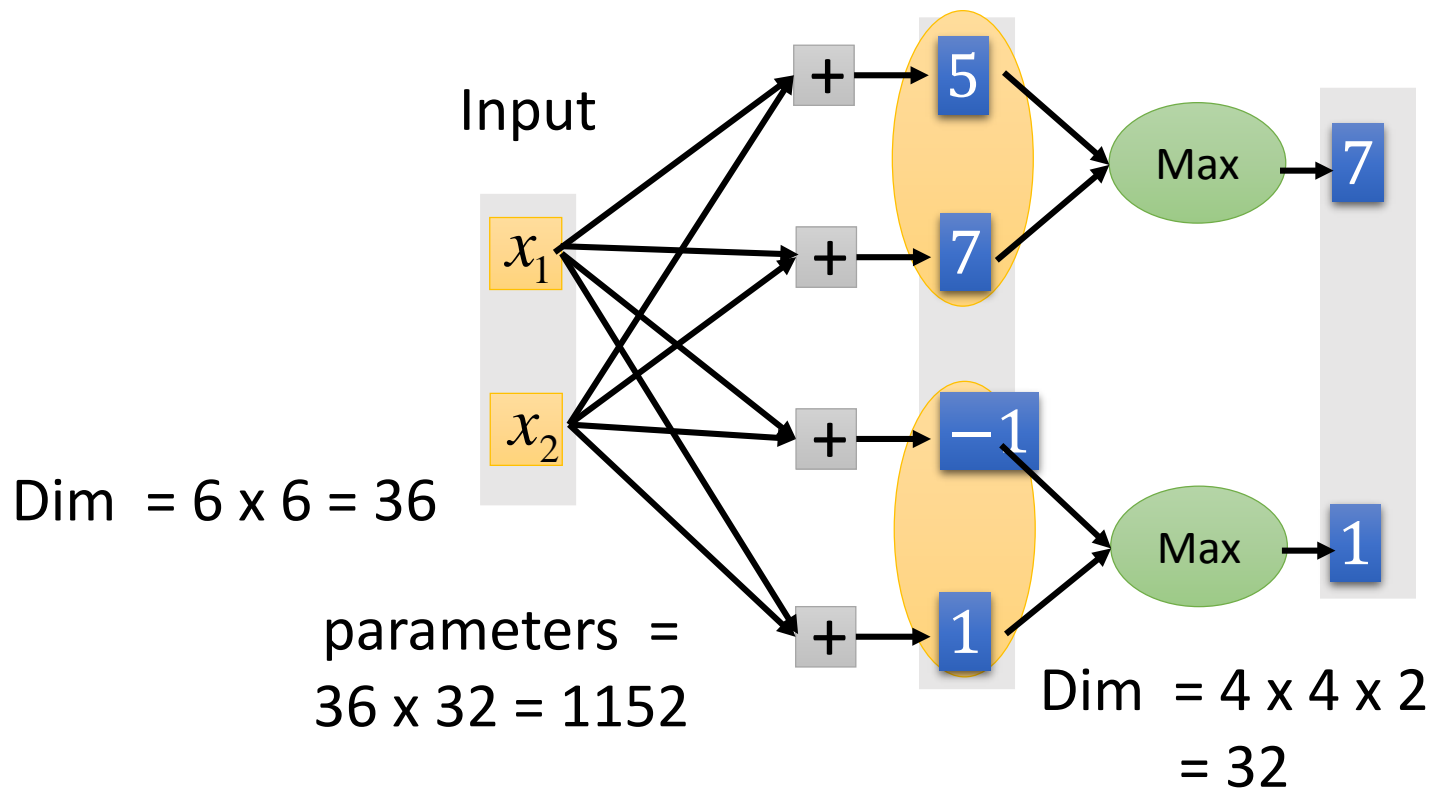
Even less parameters!





(Ignoring the non-linear activation function after the convolution.)



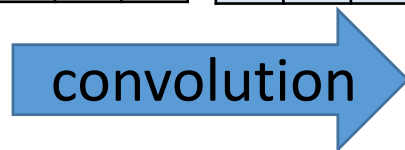


1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

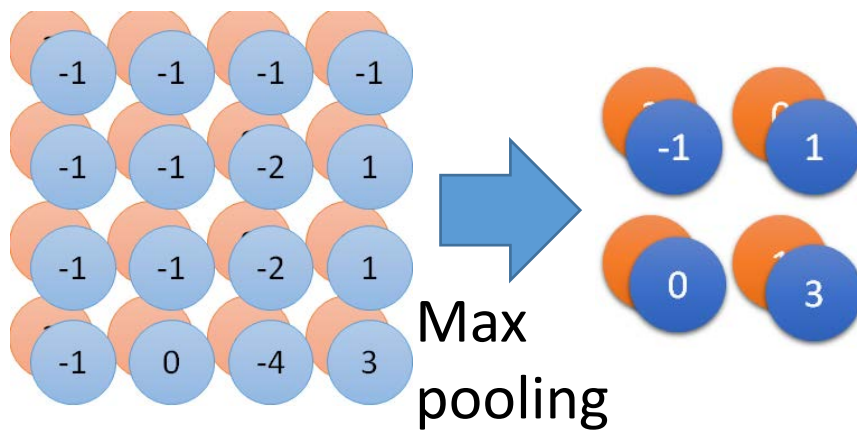
image

1	-1	-1
-1	1	-1
-1	-1	1

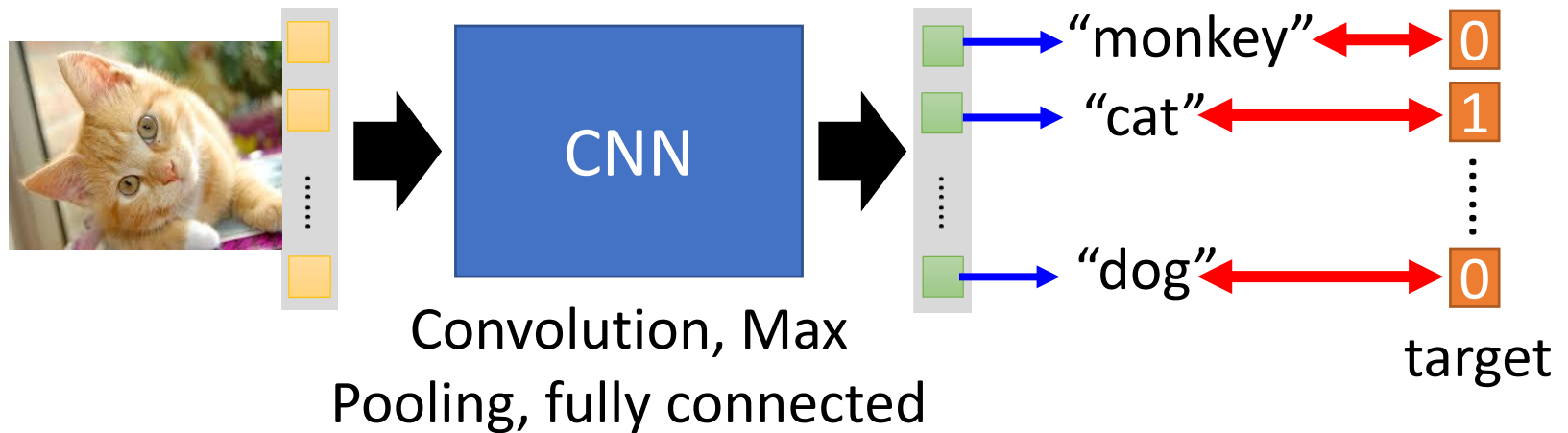
-1	1	-1
-1	1	-1
-1	1	-1



Only  $9 \times 2 = 18$   
parameters



# Convolutional Neural Network




Learning: Nothing special, just gradient descent .....


# CNN in Keras

Only modified the network structure


```
model.add(Convolution2D(32, 3, 3,  
    border_mode='same',  
    input_shape=(3, 32, 32)))  
model.add(Activation('relu'))
```




```
model.add(Convolution2D(32, 3, 3))  
model.add(Activation('relu'))
```




```
model.add(MaxPooling2D(pool_size=(2, 2)))  
model.add(Dropout(0.25))
```



```
model.add(Convolution2D(64, 3, 3,  
    border_mode='same'))  
model.add(Activation('relu'))
```



```
model.add(Convolution2D(64, 3, 3))  
model.add(Activation('relu'))
```

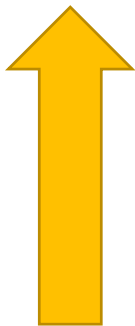


```
model.add(MaxPooling2D(pool_size=(2, 2)))  
model.add(Dropout(0.25))
```


Code:

[https://github.com/fchollet/keras/blob/master/examples/cifar10\\_cnn.py](https://github.com/fchollet/keras/blob/master/examples/cifar10_cnn.py)


```
model.add(Dense(10))  
model.add(Activation('softmax'))
```



```
model.add(Dense(512))  
model.add(Activation('relu'))  
model.add(Dropout(0.5))
```



```
model.add(Flatten())
```

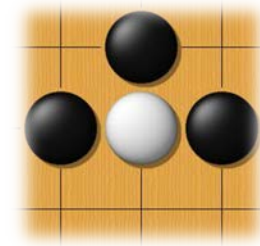




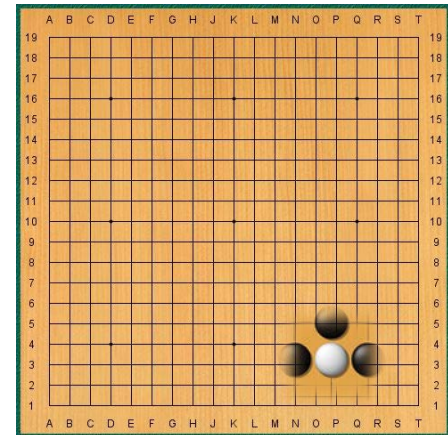
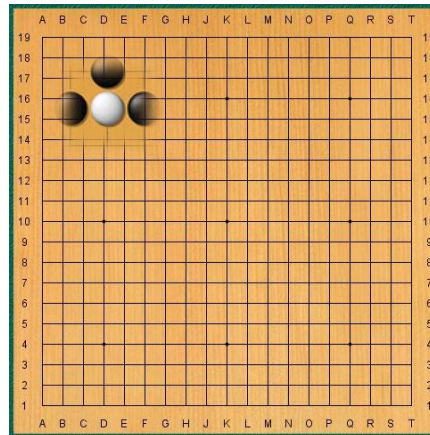
# Why CNN for playing Go?

- Some patterns are much smaller than the whole image

Alpha Go uses 5 x 5 for first layer



- The same patterns appear in different regions.



# Why CNN for playing Go?

- Subsampling the pixels will not change the object



Max Pooling

How to explain this???

**Neural network architecture.** The input to the policy network is a  $19 \times 19 \times 48$  image stack consisting of 48 feature planes. The first hidden layer zero pads the input into a  $23 \times 23$  image, then convolves  $k$  filters of kernel size  $5 \times 5$  with stride 1 with the input image and applies a rectifier nonlinearity. Each of the subsequent hidden layers 2 to 12 zero pads the respective previous hidden layer into a  $21 \times 21$  image, then convolves  $k$  filters of kernel size  $3 \times 3$  with stride 1, again followed by a rectifier nonlinearity. The final layer convolves 1 filter of kernel size  $1 \times 1$  with stride 1, with a different bias for each position, and applies a softmax function. The

Alpha Go does not use Max Pooling ..... Extended Data Table 3 additionally show the results of training with  $k = 128, 256$  and 384 filters.

# Variants of Neural Networks

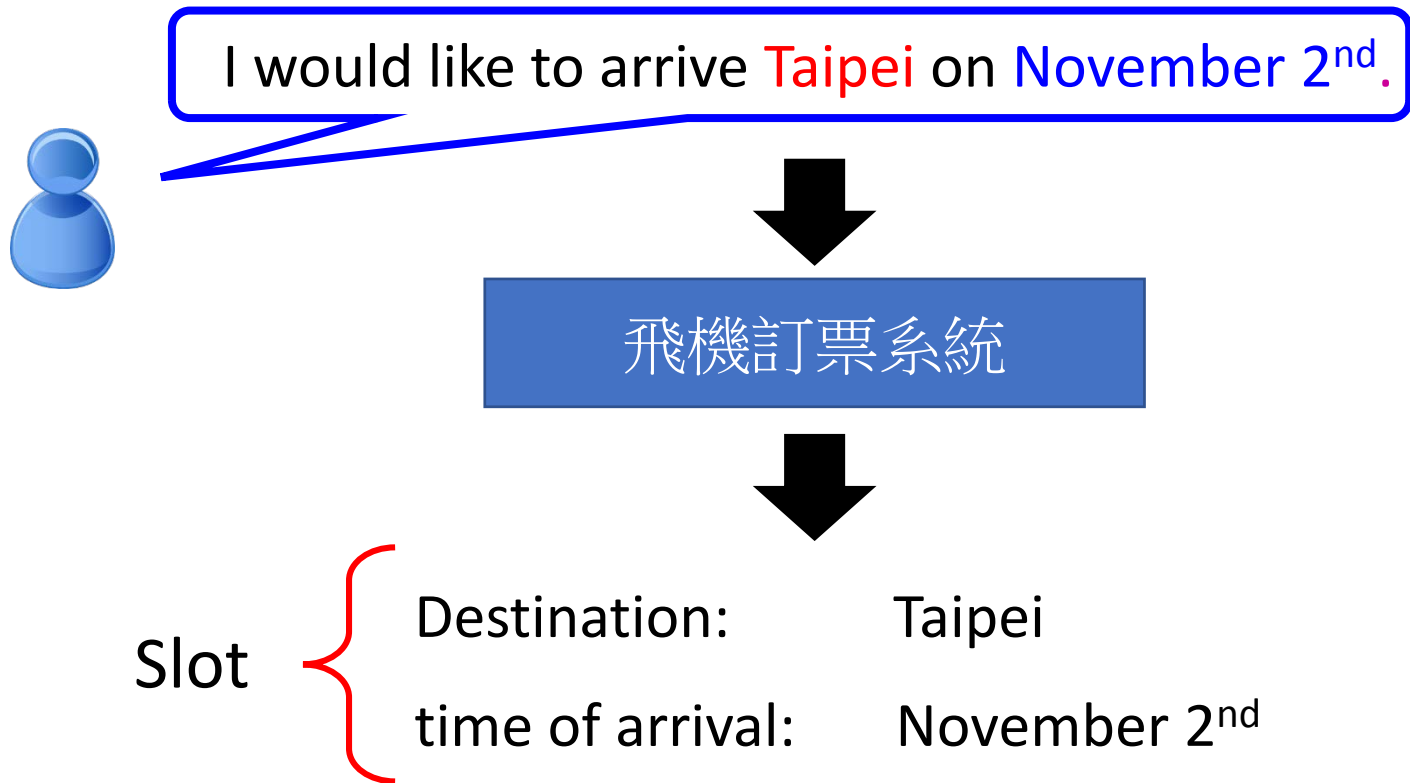
Convolutional Neural  
Network (CNN)

Recurrent Neural Network  
(RNN)

Neural Network with Memory

# Example Application

- Slot Filling

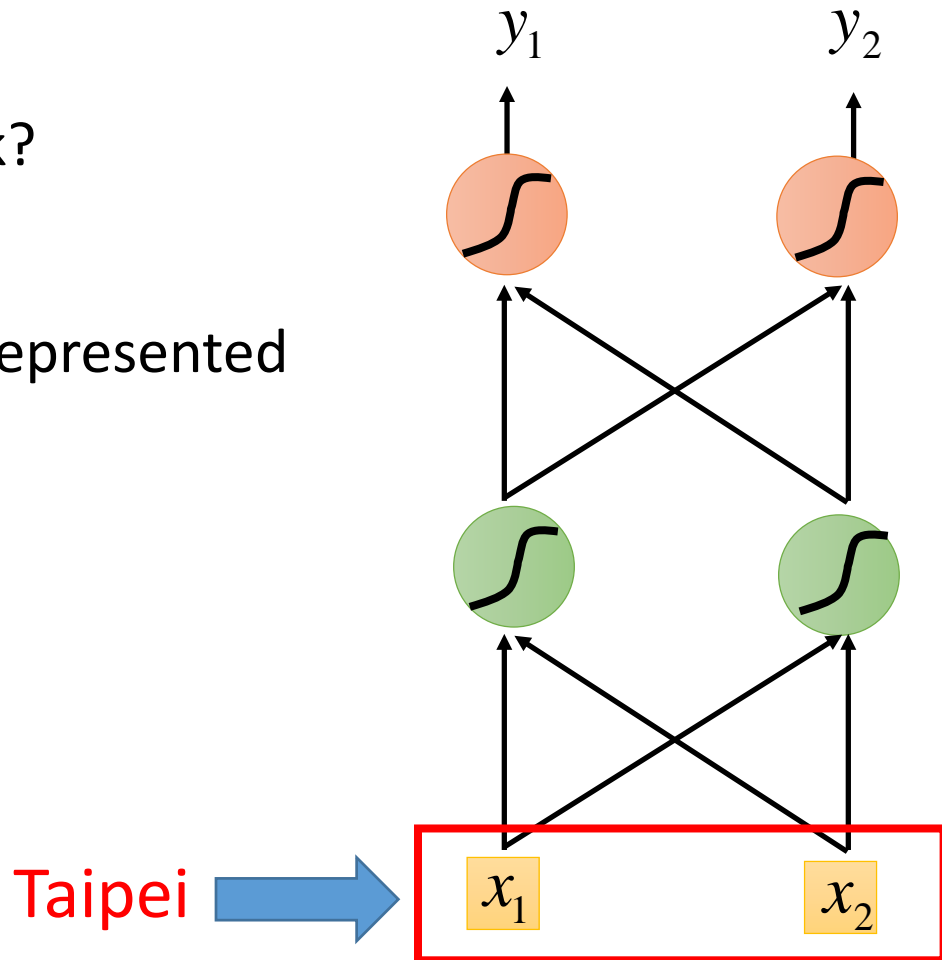


# Example Application

Solving slot filling by  
Feedforward network?

Input: a word

(Each word is represented  
as a vector)



# 1-of-N encoding

How to represent each word as a vector?

**1-of-N Encoding**    lexicon = {apple, bag, cat, dog, elephant}

The vector is lexicon size.

Each dimension corresponds  
to a word in the lexicon

The dimension for the word  
is 1, and others are 0

apple = [ 1   0   0   0   0 ]

bag    = [ 0   1   0   0   0 ]

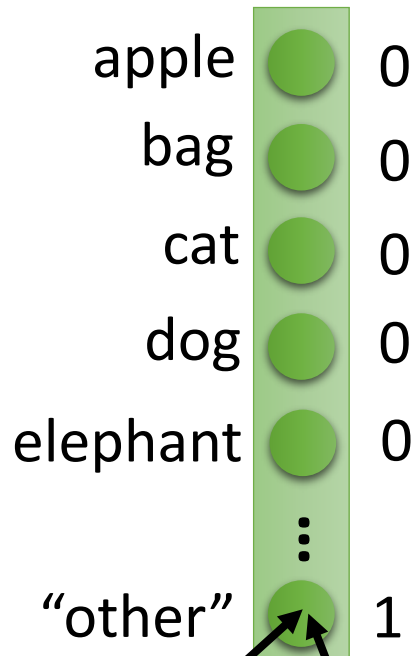
cat    = [ 0   0   1   0   0 ]

dog    = [ 0   0   0   1   0 ]

elephant = [ 0   0   0   0   1 ]

# Beyond 1-of-N encoding

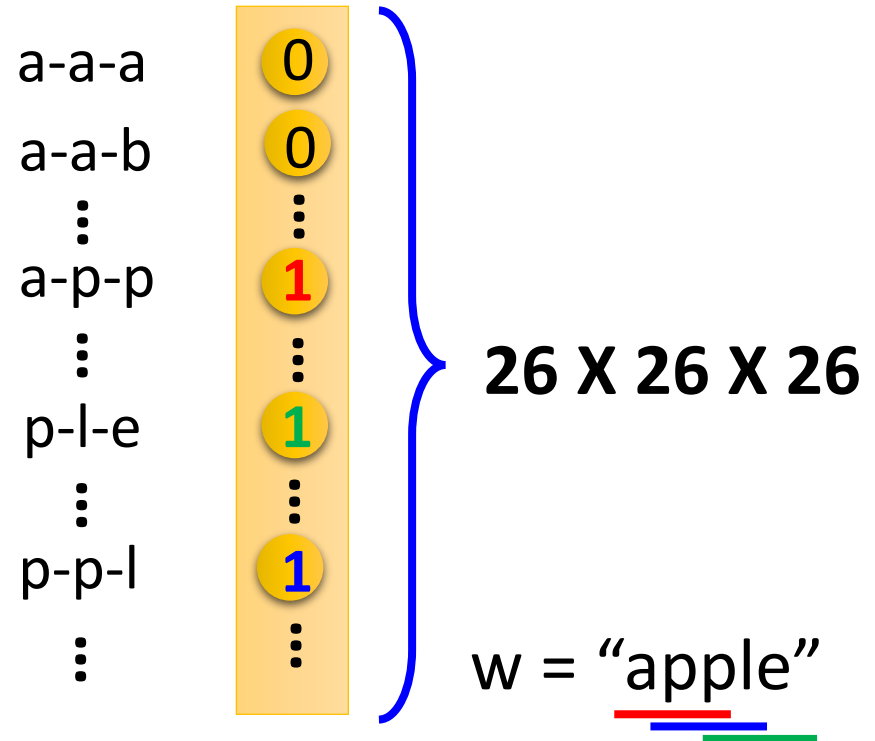
## Dimension for “Other”



w = “Gandalf”

w = “Sauron”

## Word hashing



# Example Application

Solving slot filling by  
Feedforward network?

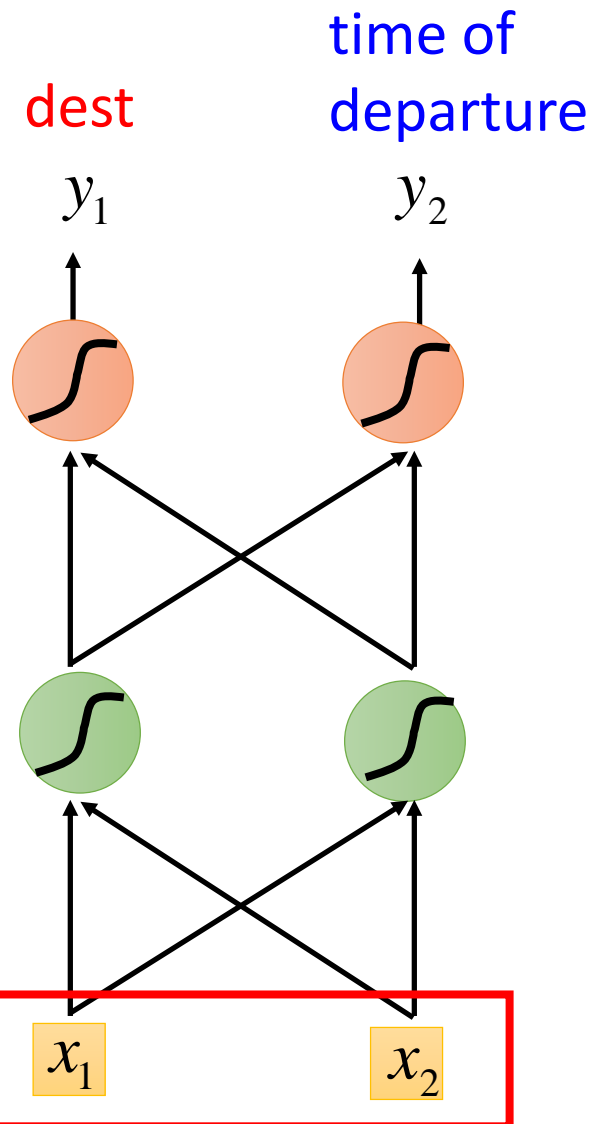
Input: a word

(Each word is represented  
as a vector)

Output:

Probability distribution that  
the input word belonging to  
the slots

Taipei





# Example Application

arrive Taipei on November 2<sup>nd</sup>

other

dest

other

time

time

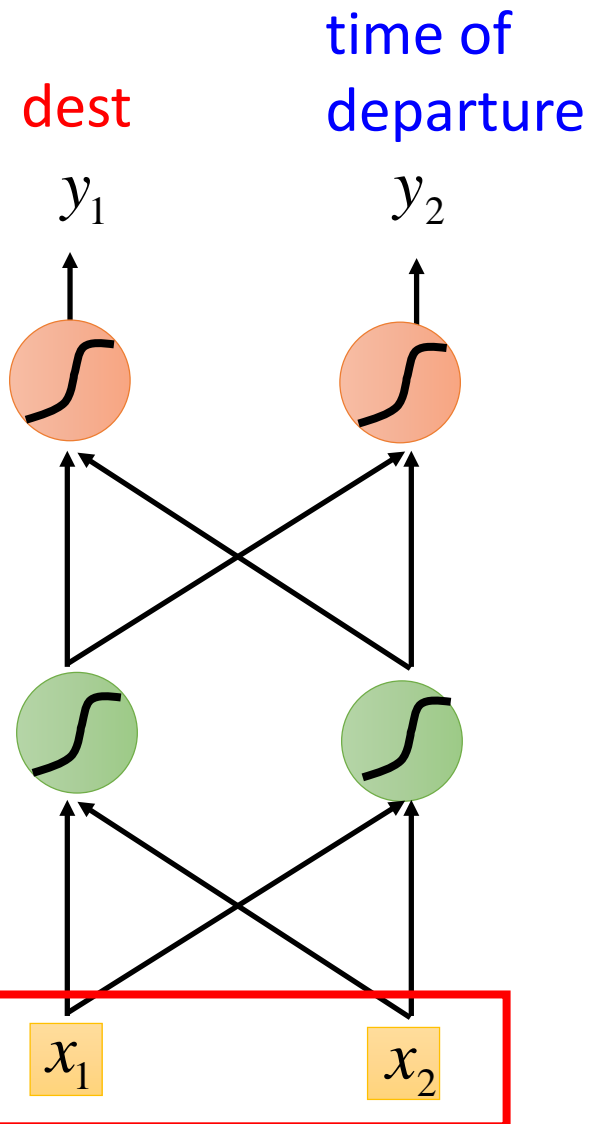
Problem?

leave Taipei on November 2<sup>nd</sup>

place of departure

Neural network  
needs memory!

Taipei



# Recurrent Neural Network

Step 1:  
Neural  
Network

Step 2:  
Learning  
Target

Step 3:  
Learn!

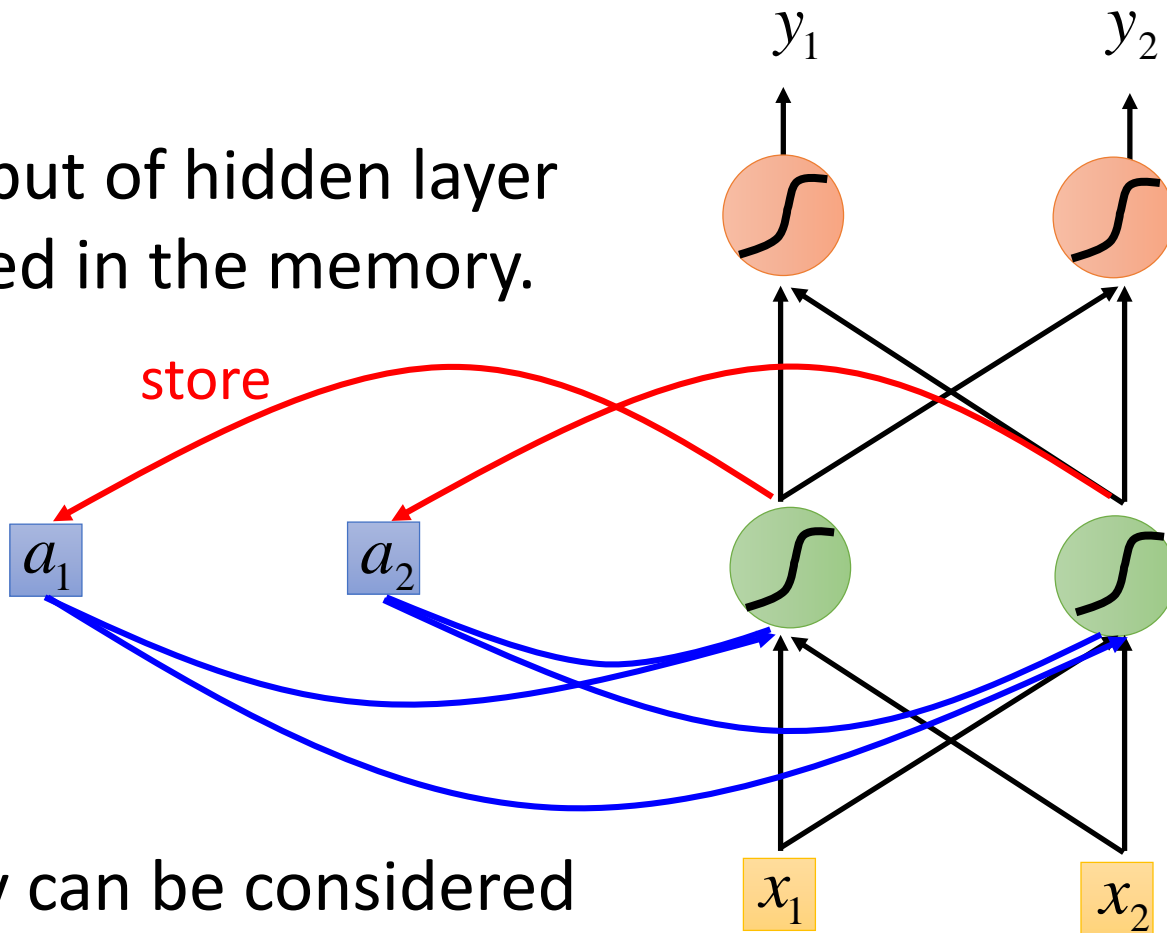
Recurrent Neural  
Network (RNN)

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# Recurrent Neural Network (RNN)

The output of hidden layer are stored in the memory.



Memory can be considered as another input.

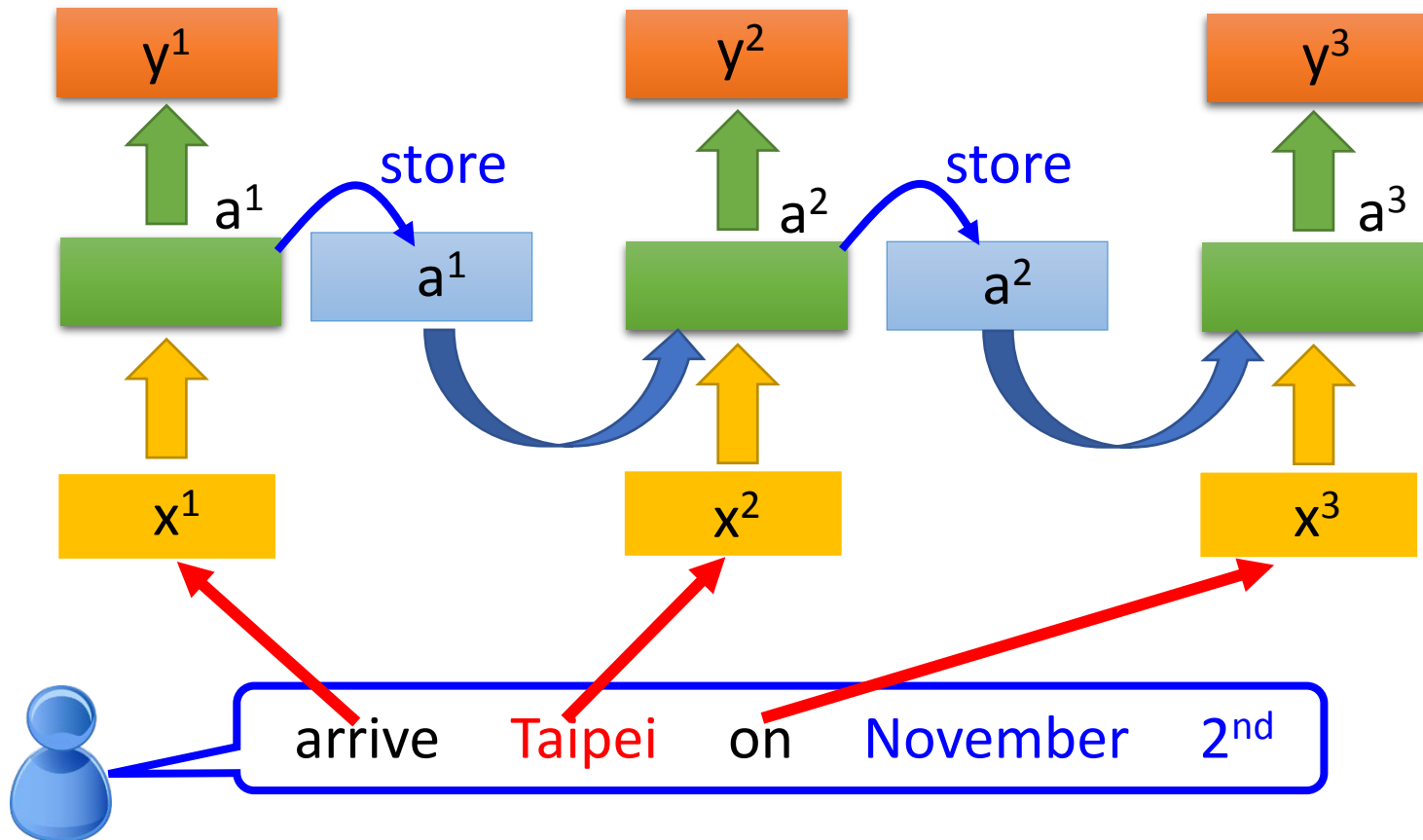
# RNN

The same network is used again and again.

Probability of  
“arrive” in each slot

Probability of  
“**Taipei**” in each slot

Probability of  
“on” in each slot



# RNN

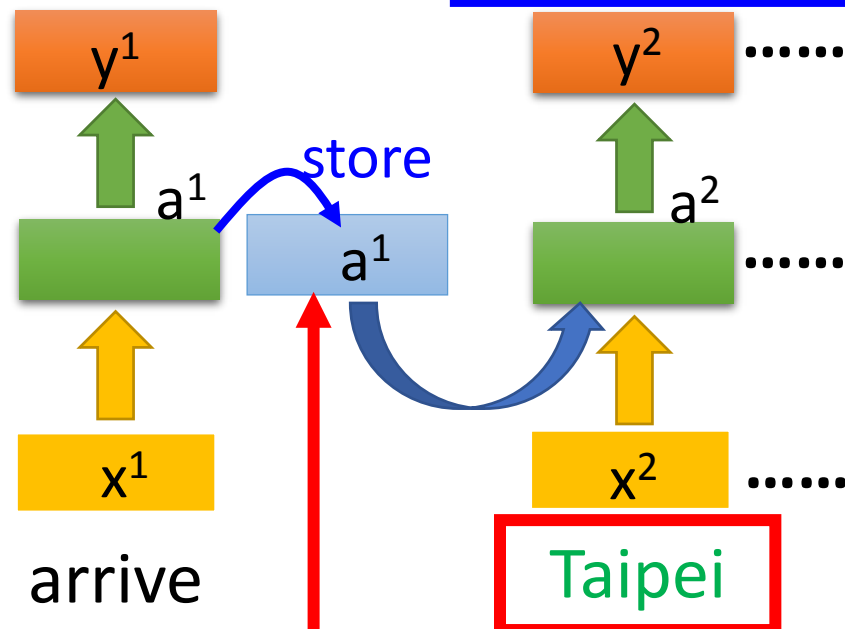
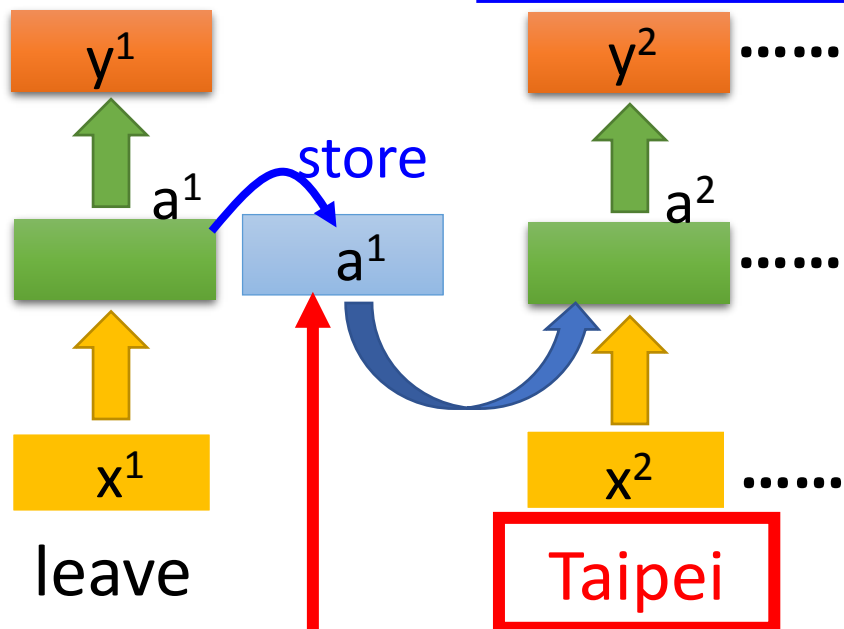
Different

Prob of “leave”  
in each slot

Prob of “**Taipei**”  
in each slot

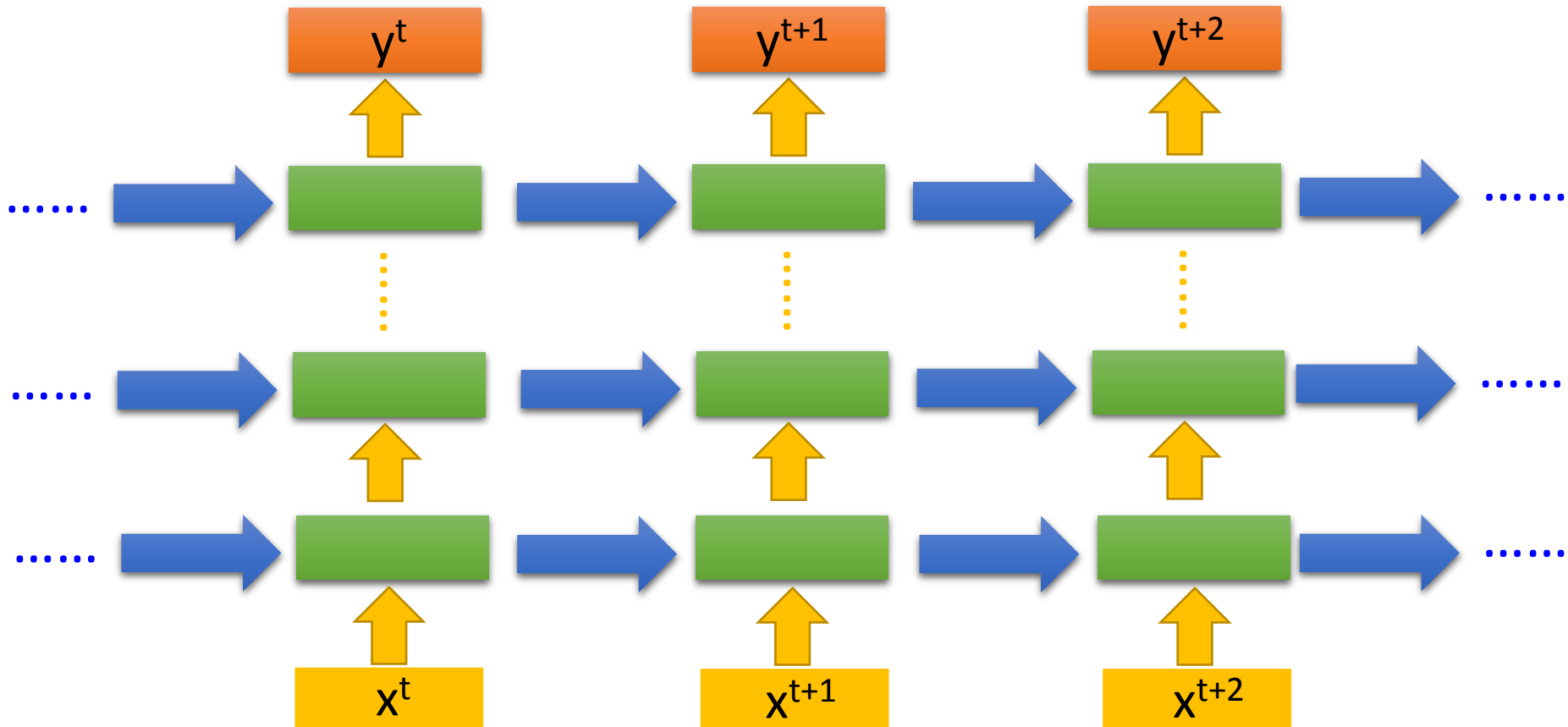
Prob of “arrive”  
in each slot

Prob of “**Taipei**”  
in each slot

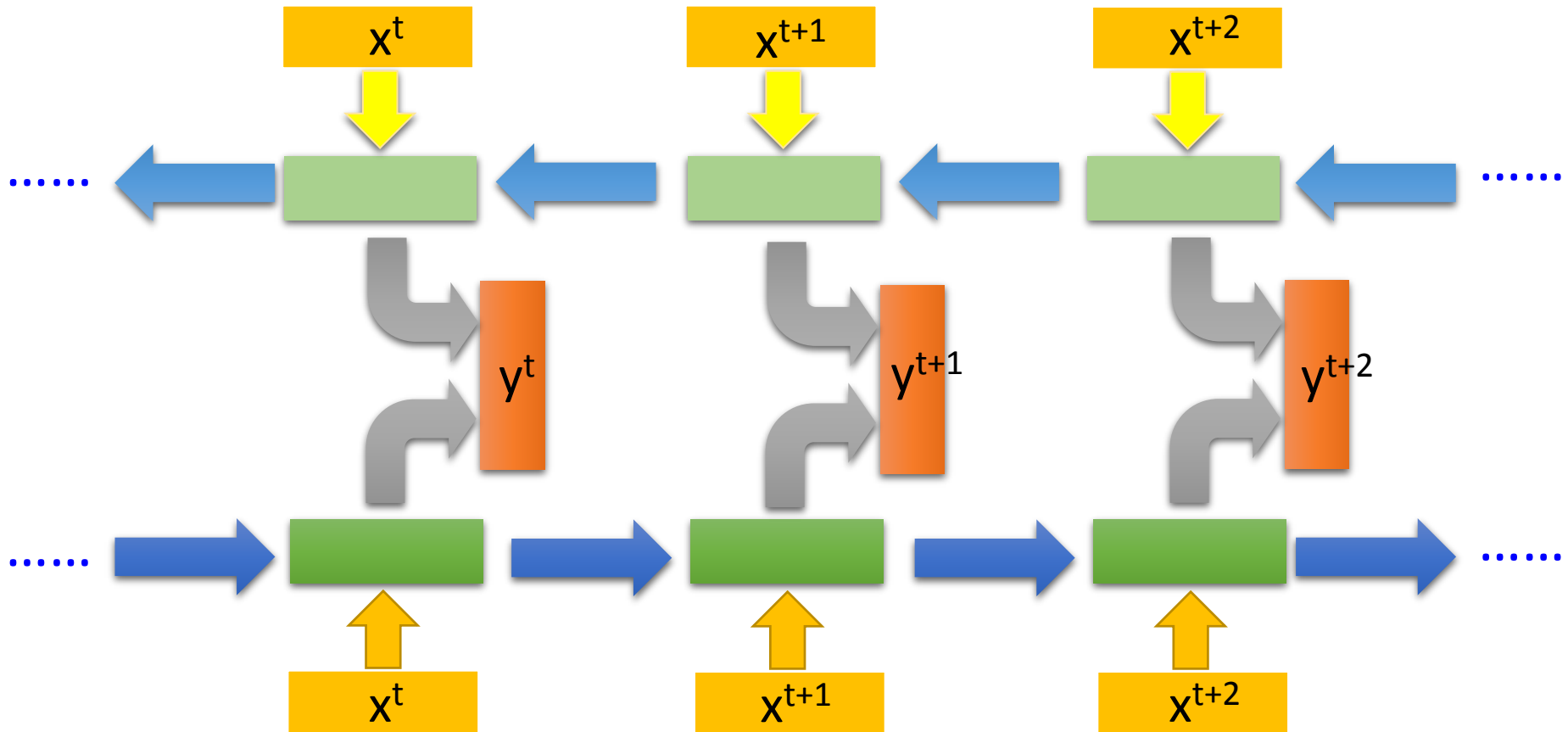


The values stored in the memory is different.

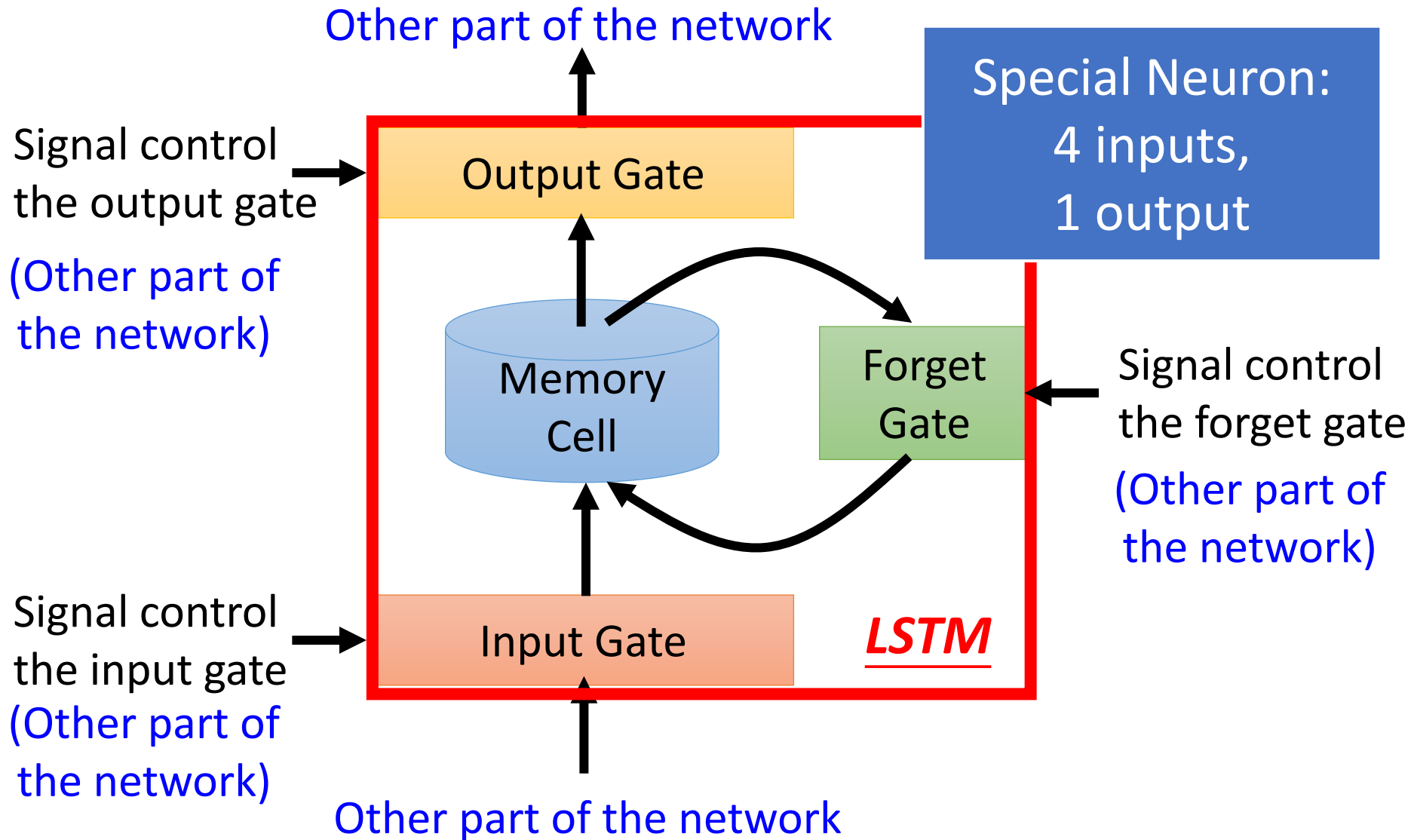
Of course it can be deep ...



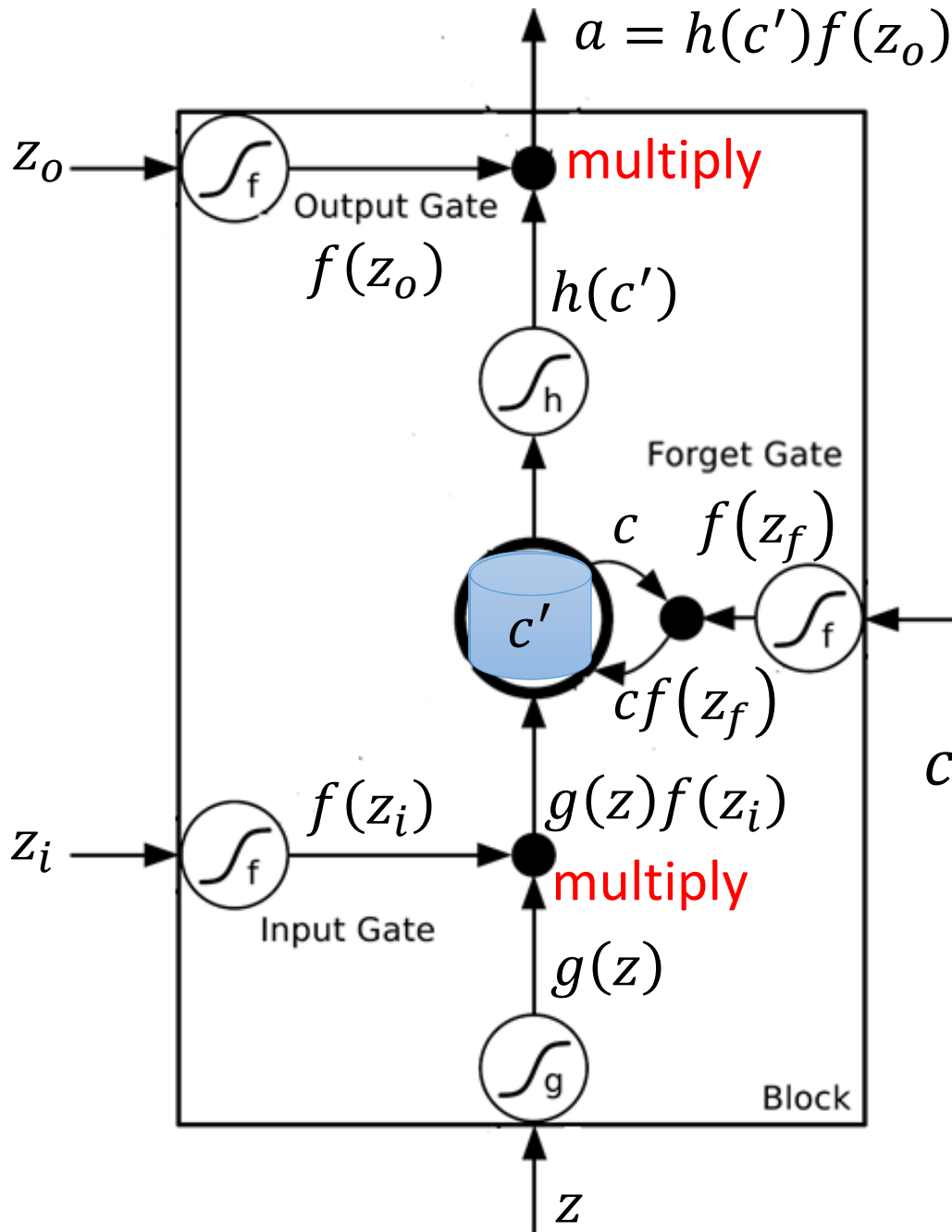
# Bidirectional RNN



# Long Short-term Memory (LSTM)





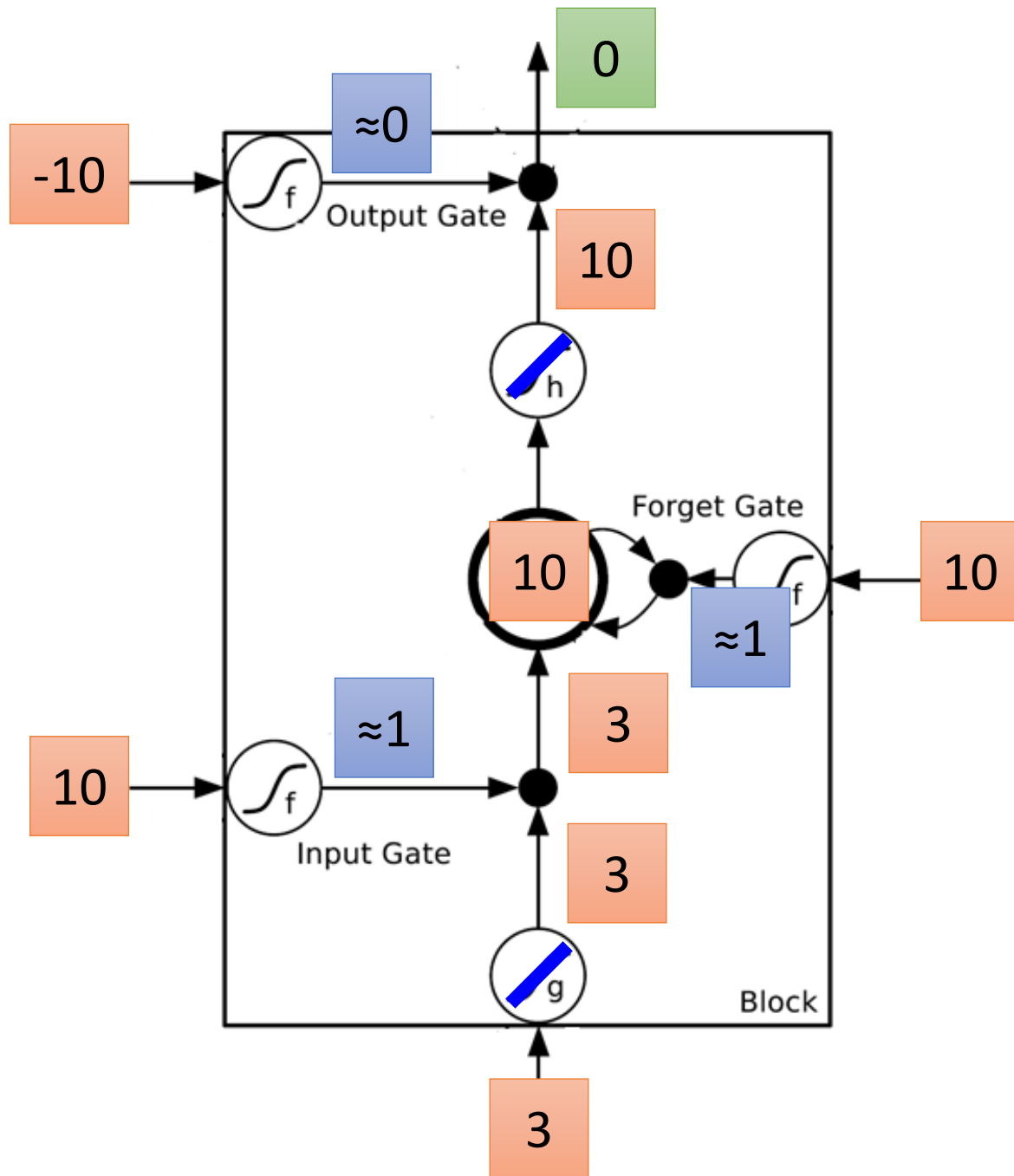


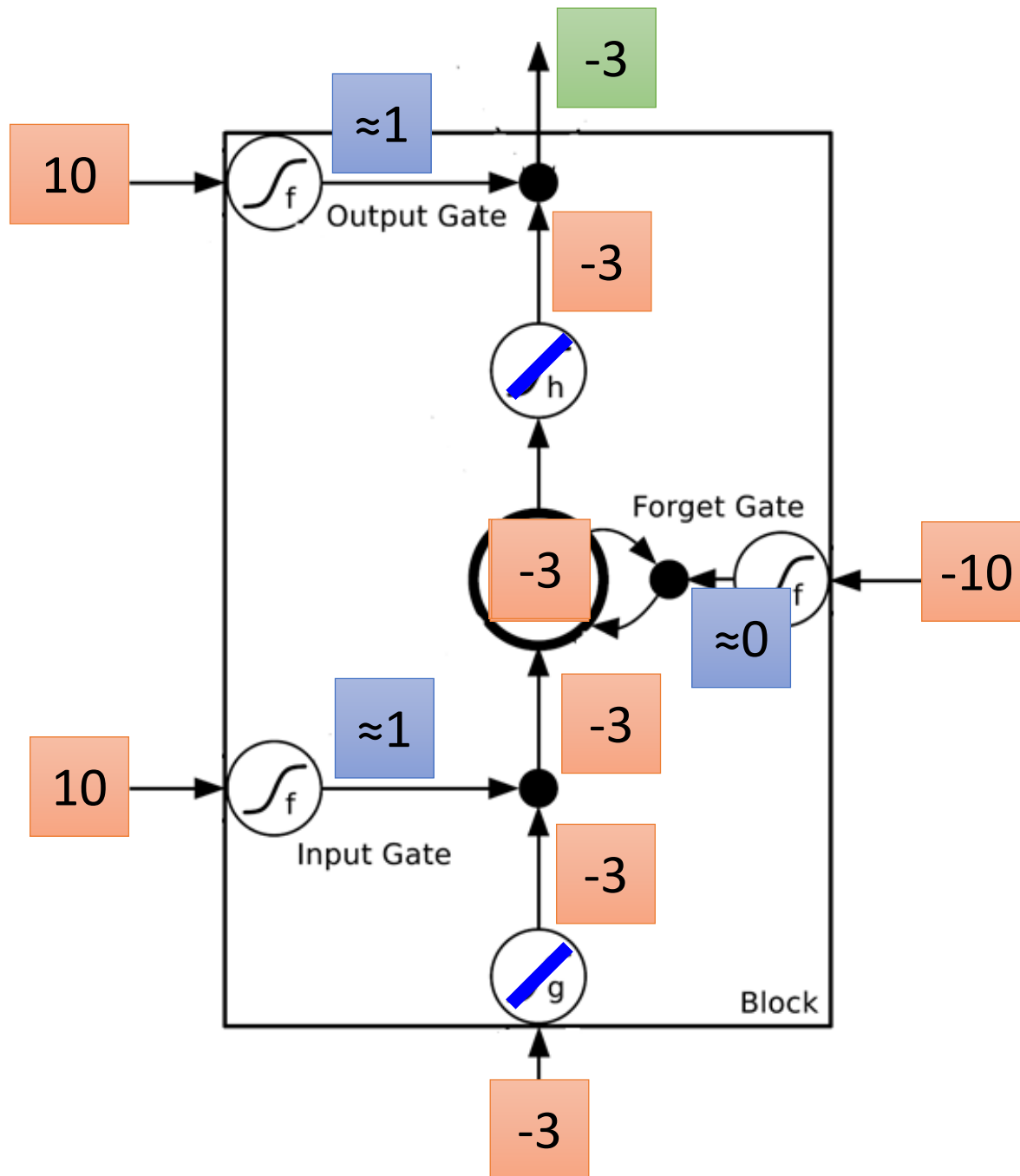
Activation function  $f$  is usually a sigmoid function

Between 0 and 1

Mimic open and close gate

$$c' = g(z)f(z_i) + cf(z_f)$$

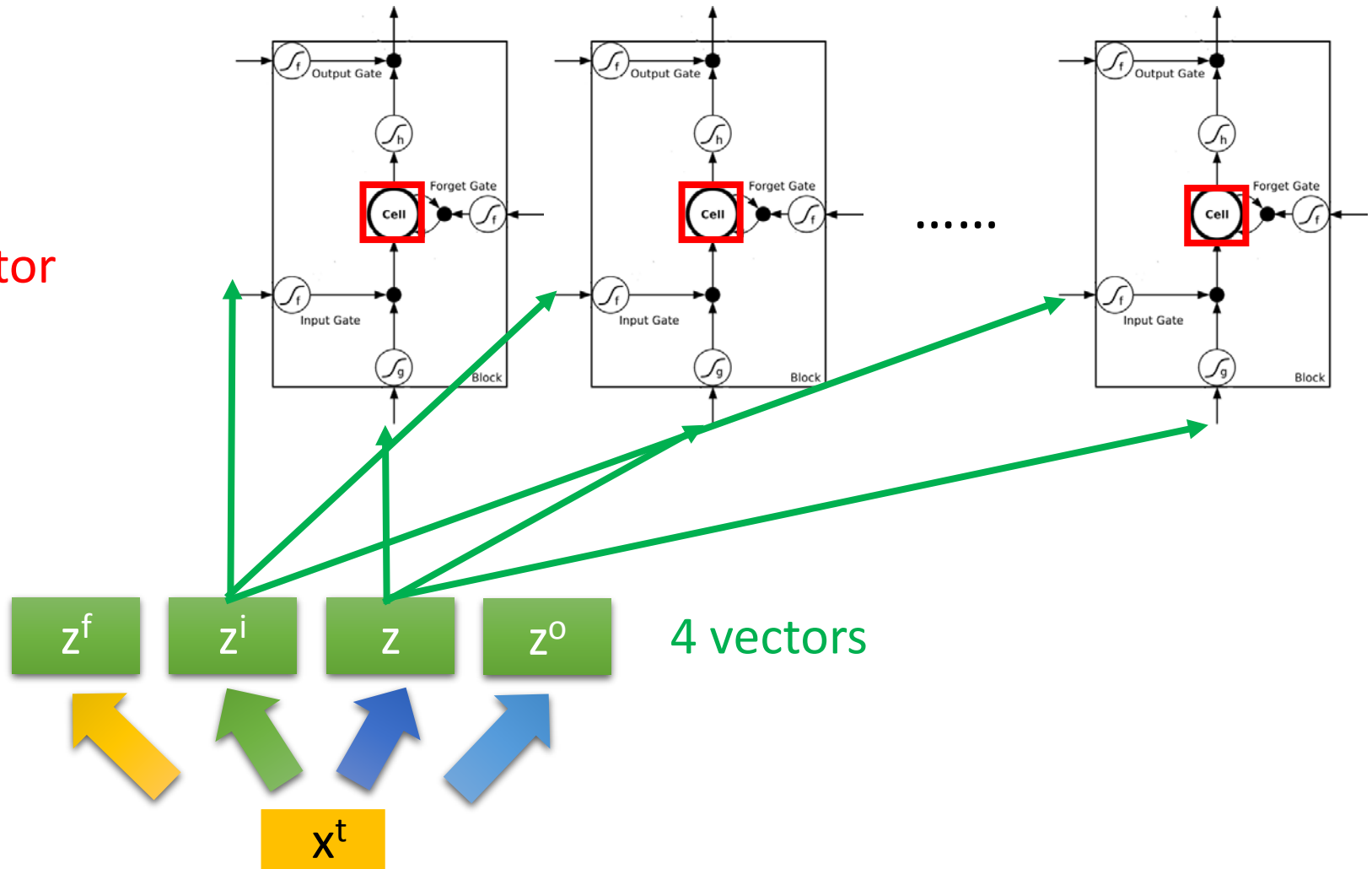




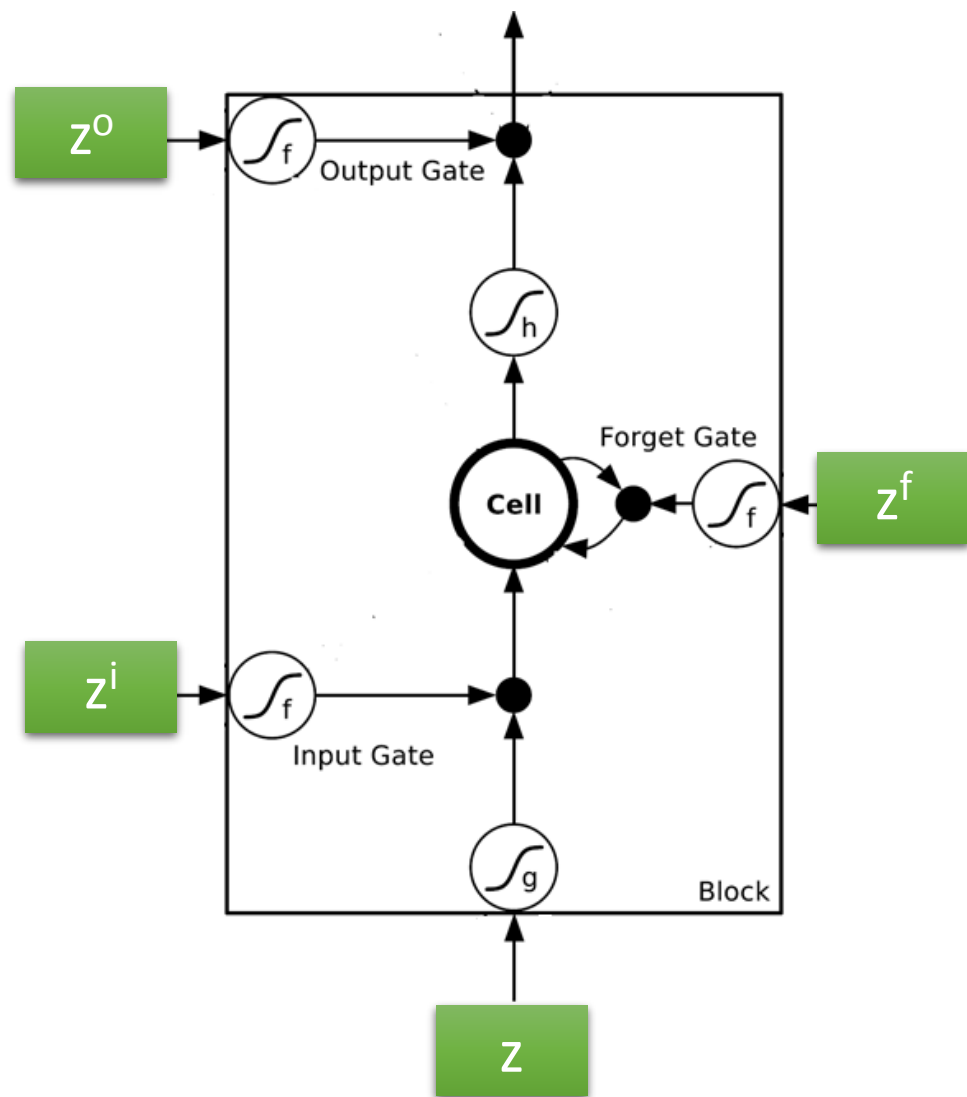
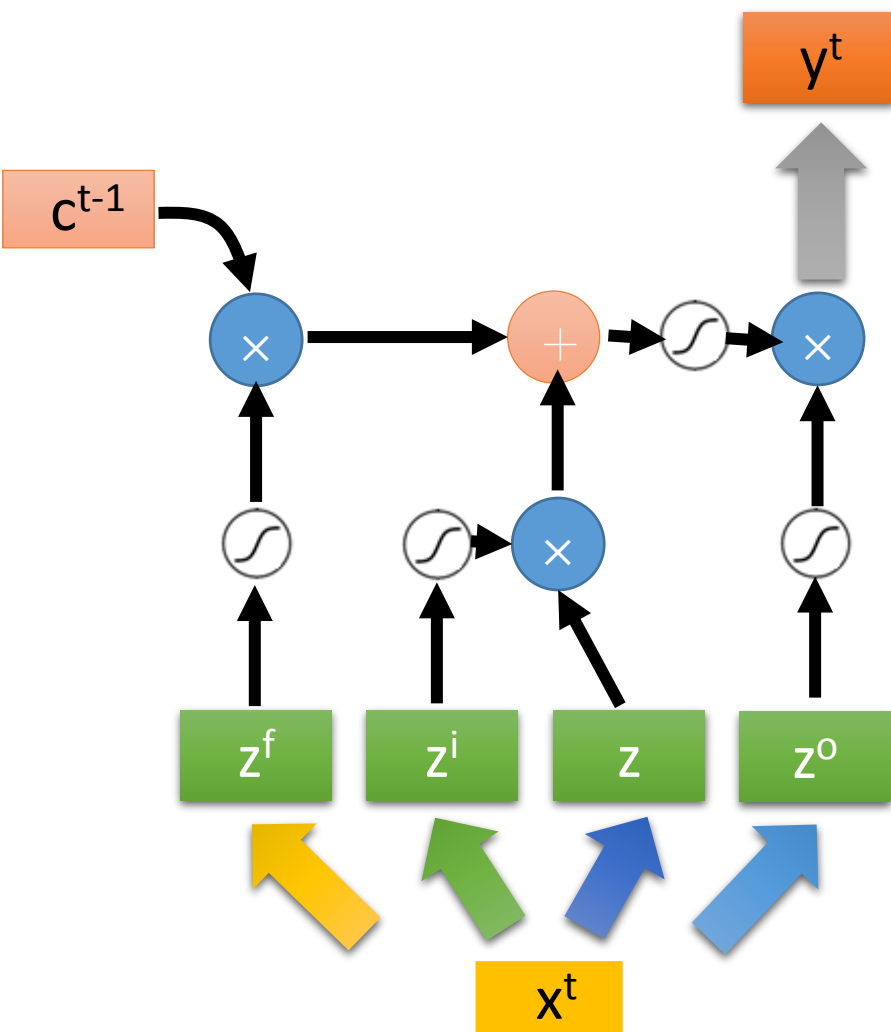
# LSTM

 $C^{t-1}$ 

vector

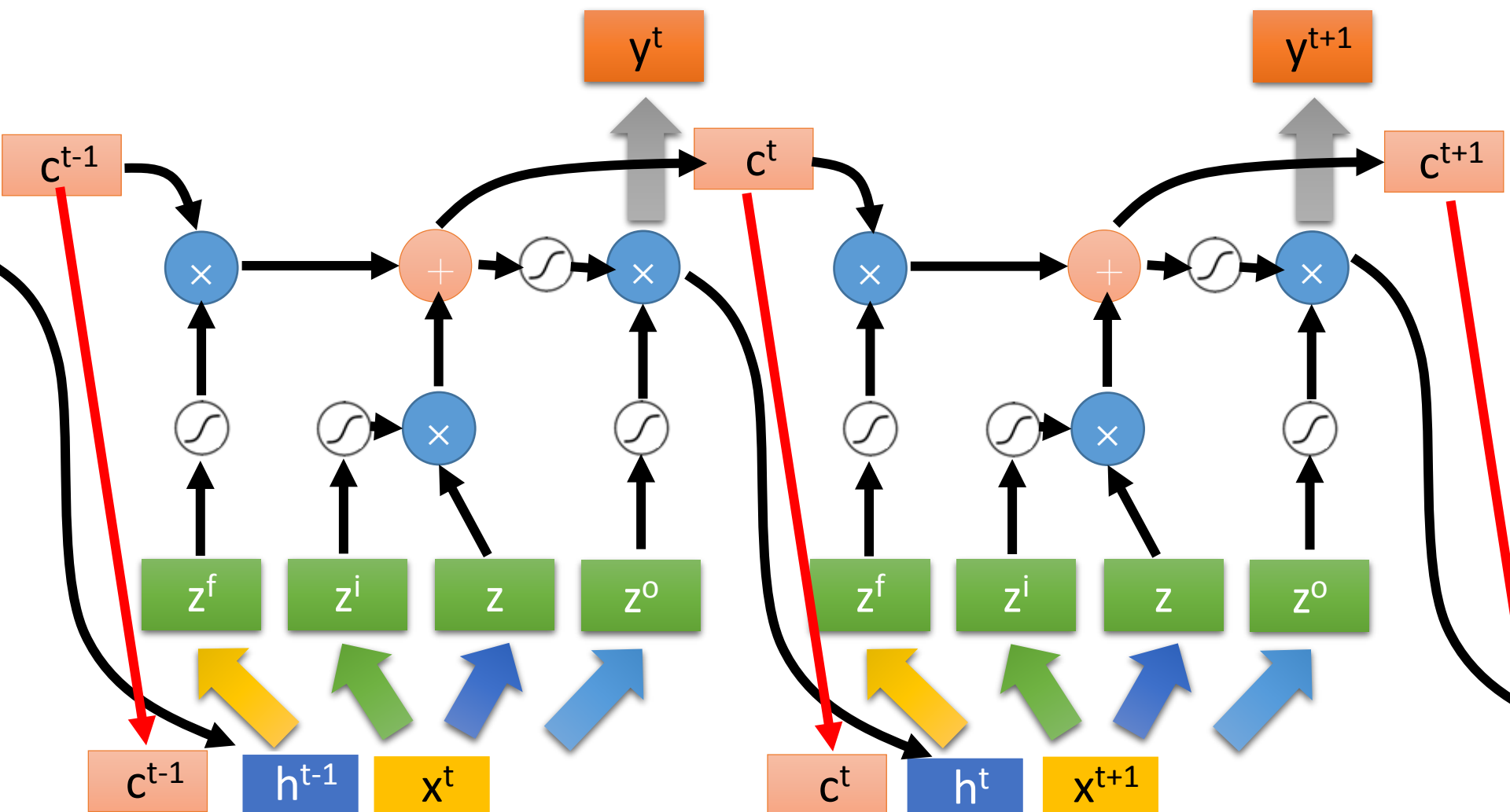


# LSTM

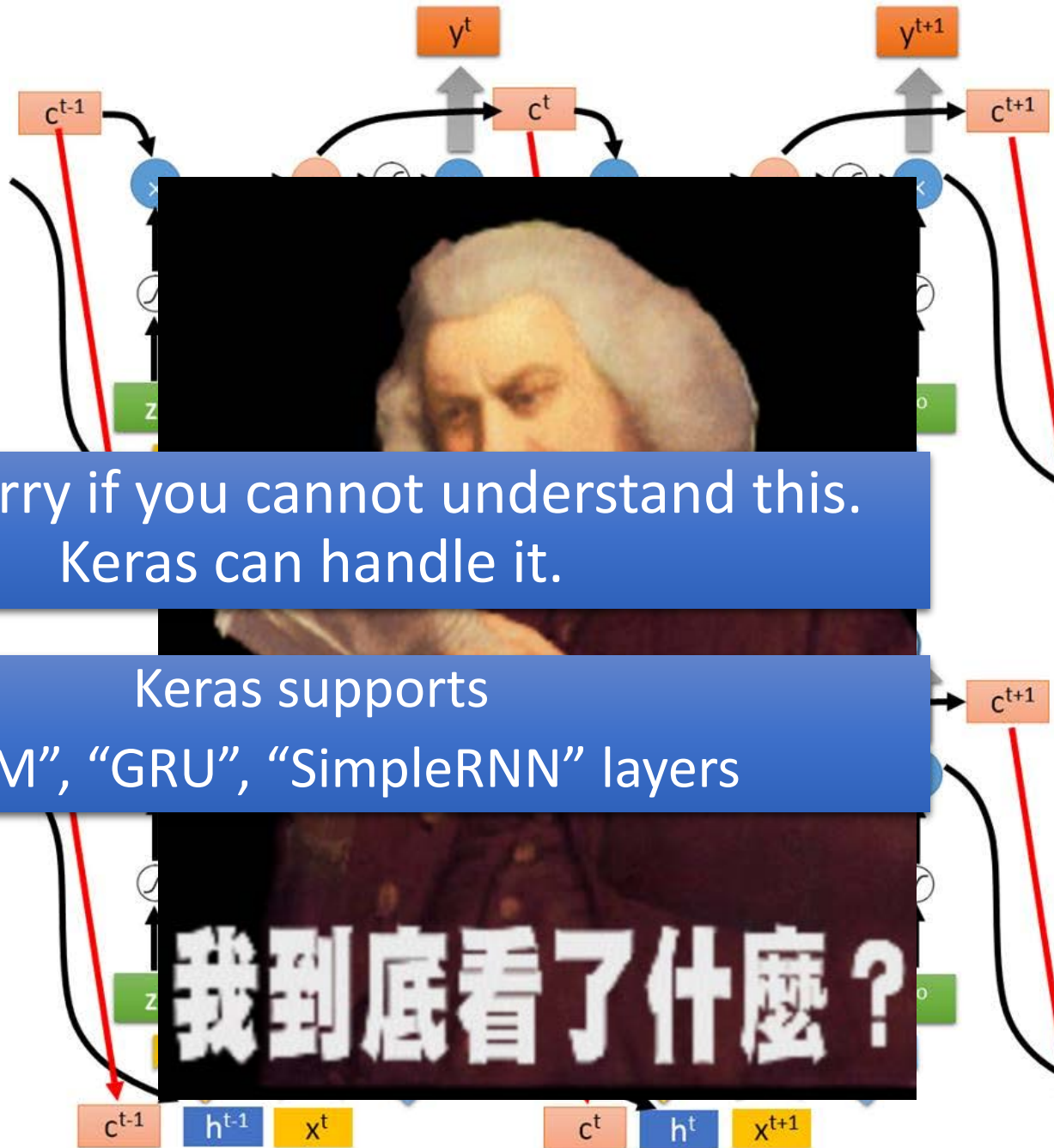


# LSTM

Extension: "peephole"



# Multiple-layer LSTM



Don't worry if you cannot understand this.  
Keras can handle it.

Keras supports  
"LSTM", "GRU", "SimpleRNN" layers

This is quite  
standard now.

# Recurrent Neural Network

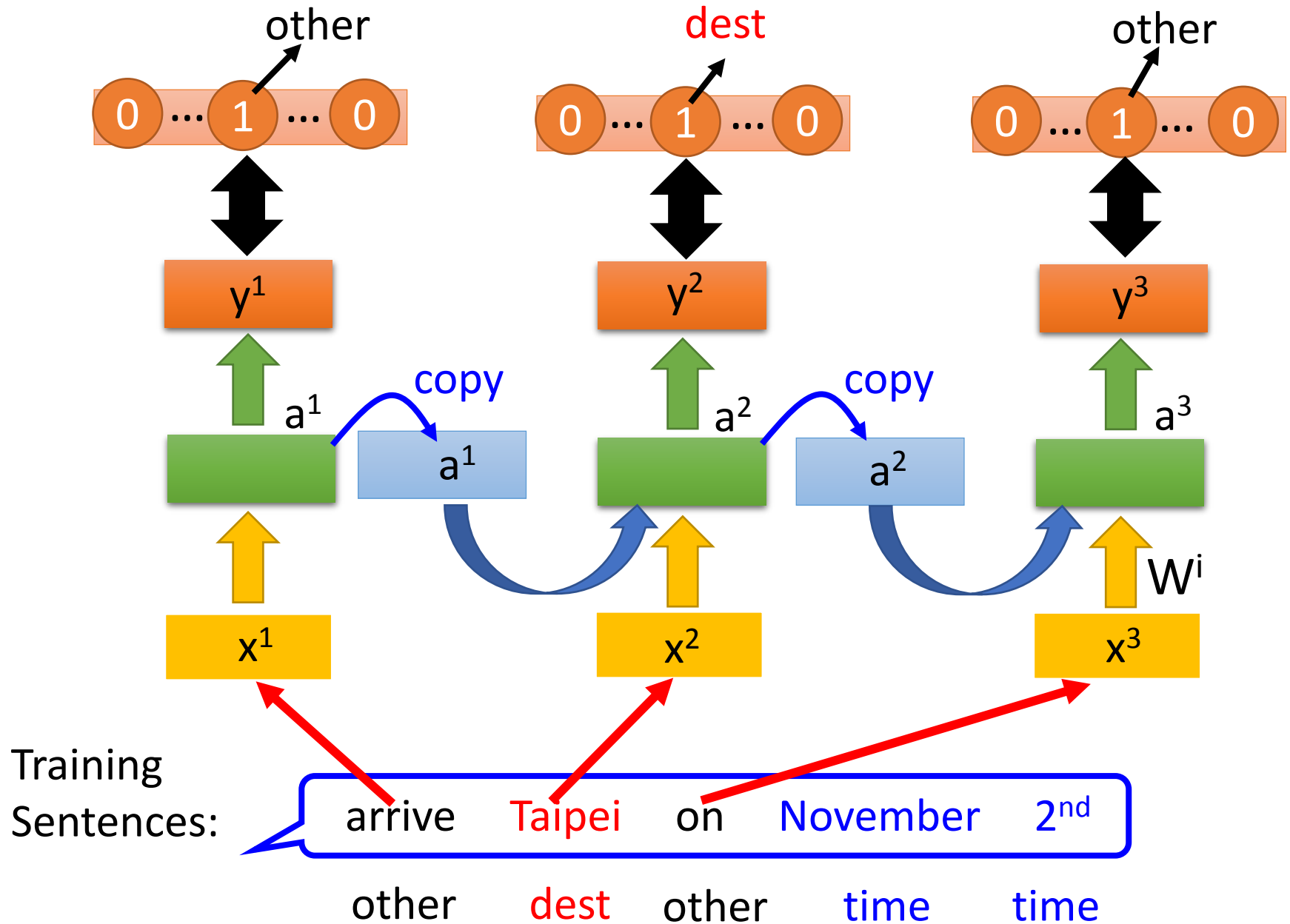


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# Learning Target



# Recurrent Neural Network

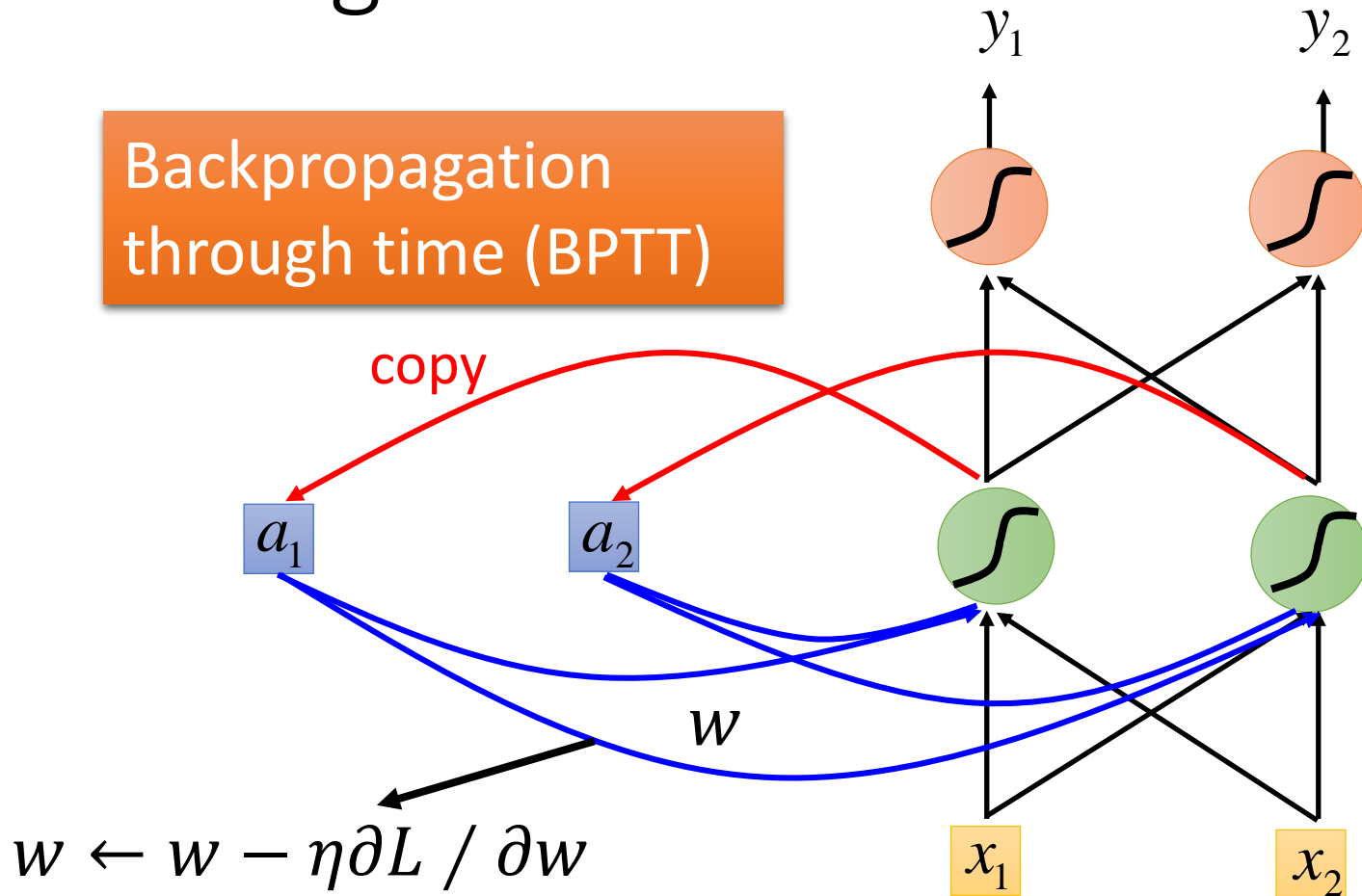


天生的腦



# Learning

Backpropagation  
through time (BPTT)

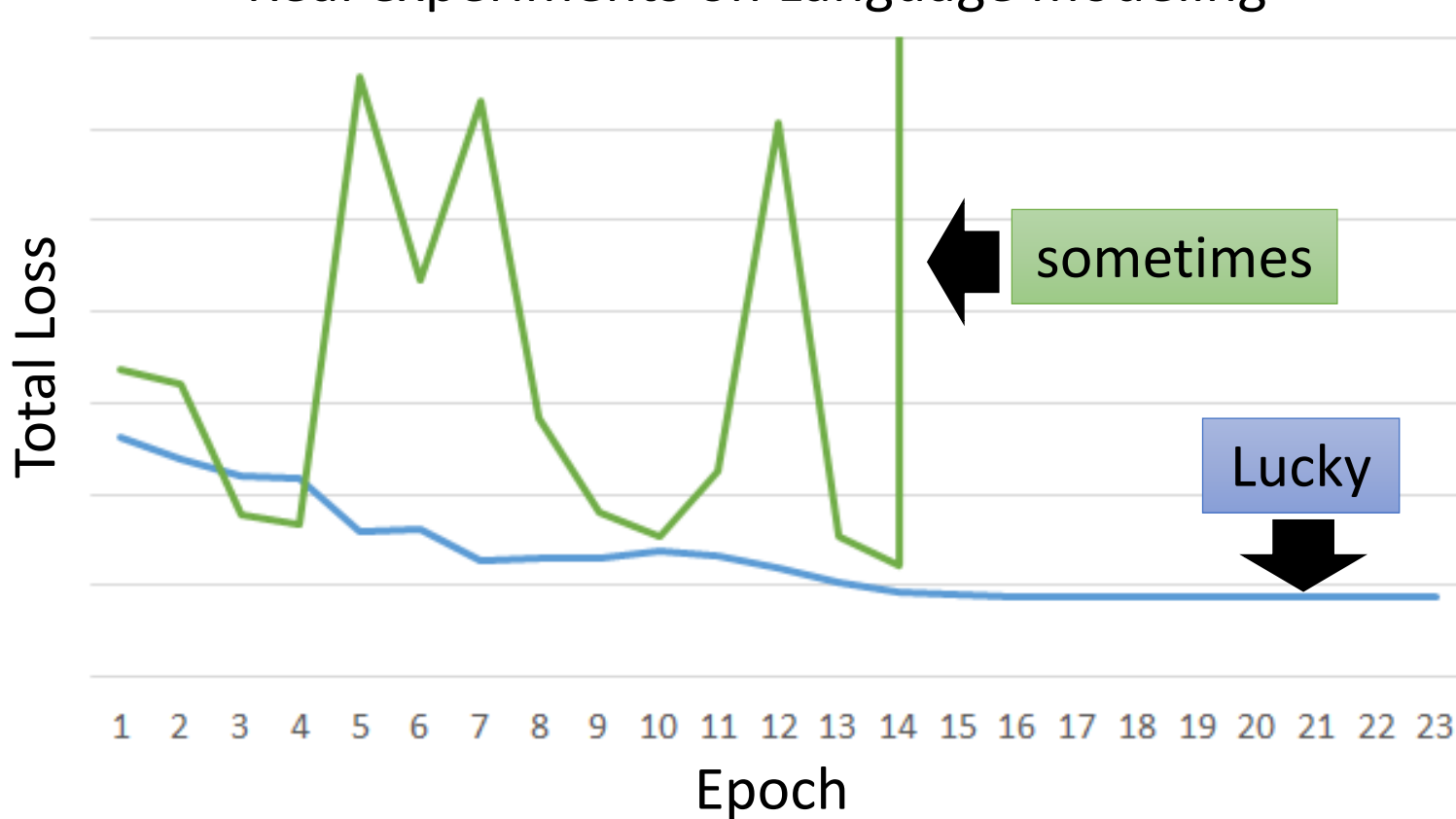


RNN Learning is very difficult in practice.

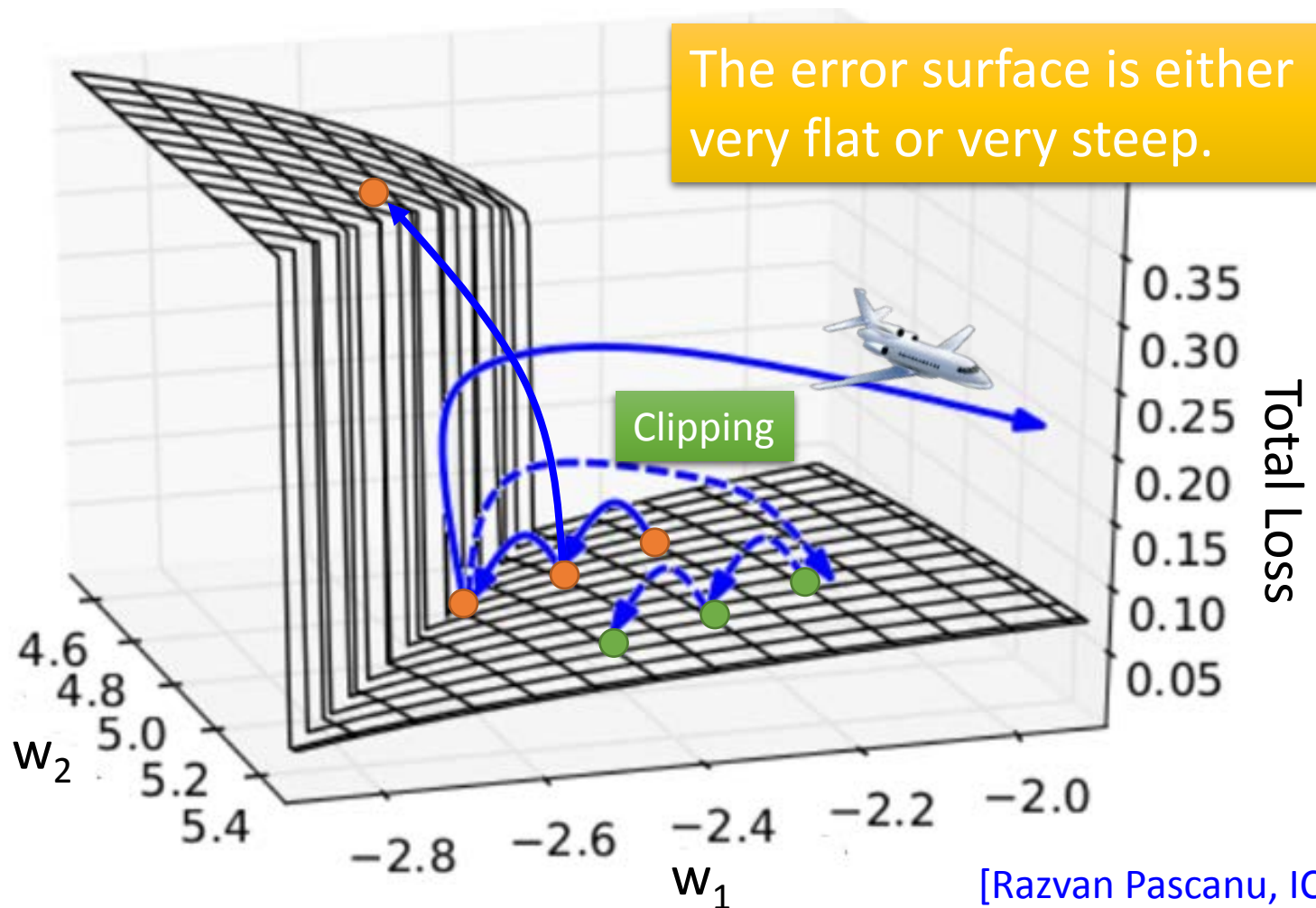
# Unfortunately .....

- RNN-based network is not always easy to learn

Real experiments on Language modeling



# The error surface is rough.



[Razvan Pascanu, ICML'13]

# Why?

$$\begin{array}{ll} w = 1 & \longrightarrow y^{1000} = 1 \\ w = 1.01 & \longrightarrow y^{1000} \approx 20000 \end{array}$$

$$\begin{array}{ll} w = 0.99 & \longrightarrow y^{1000} \approx 0 \\ w = 0.01 & \longrightarrow y^{1000} \approx 0 \end{array}$$

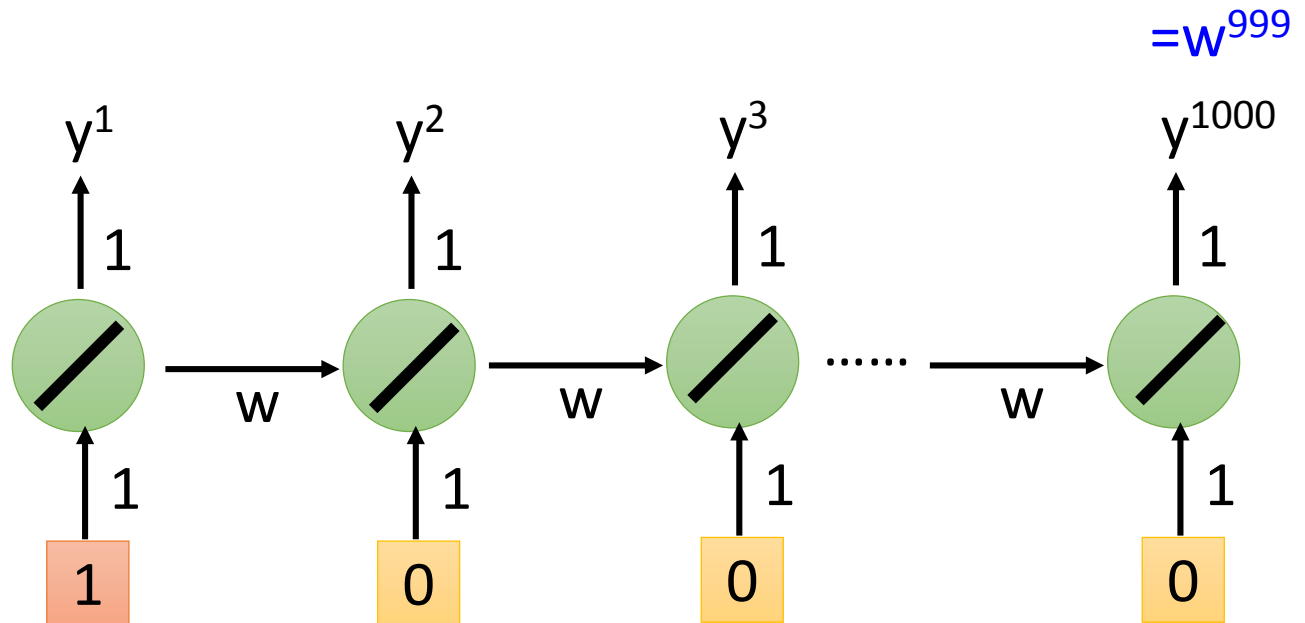
Large  
 $\partial L / \partial w$

Small  
Learning rate?

small  
 $\partial L / \partial w$

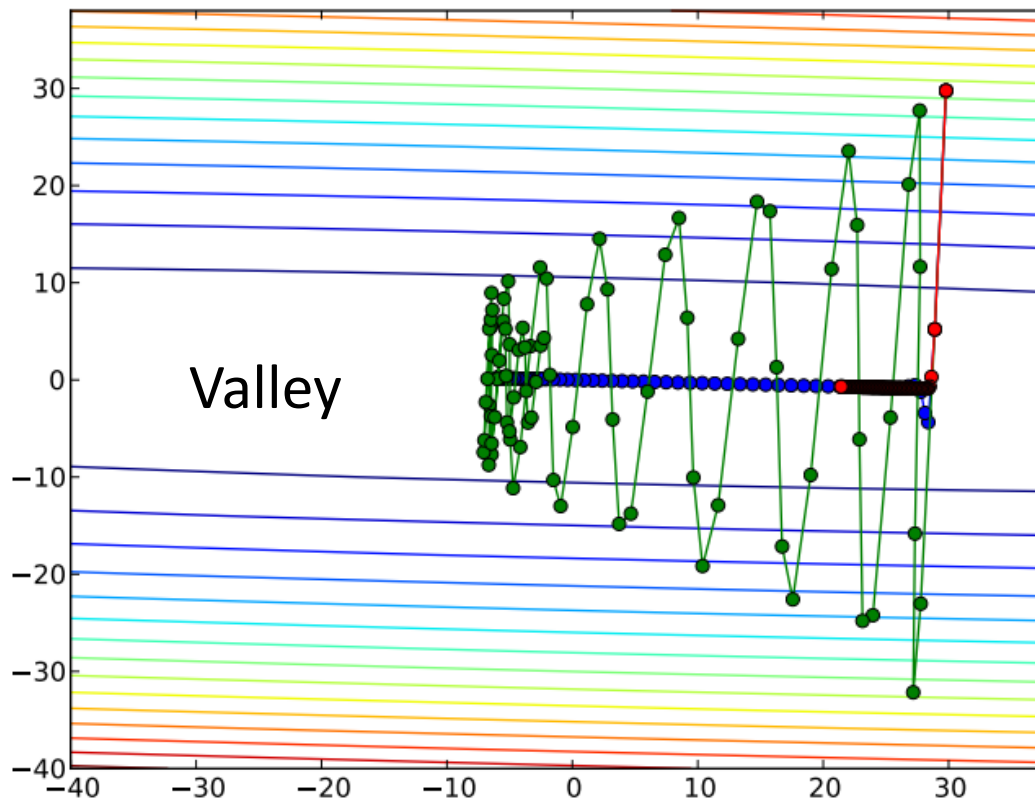
Large  
Learning rate?

## Toy Example






# Helpful Techniques

- Advance momentum method
  - Nesterov's Accelerated Gradient (NAG)



## Methods:

-  Gradient descent
-  Momentum
-  Nesterov's Accelerated Gradient (NAG)

Source:

<http://www.cs.toronto.edu/~fritz/absps/momentum.pdf>

# Helpful Techniques

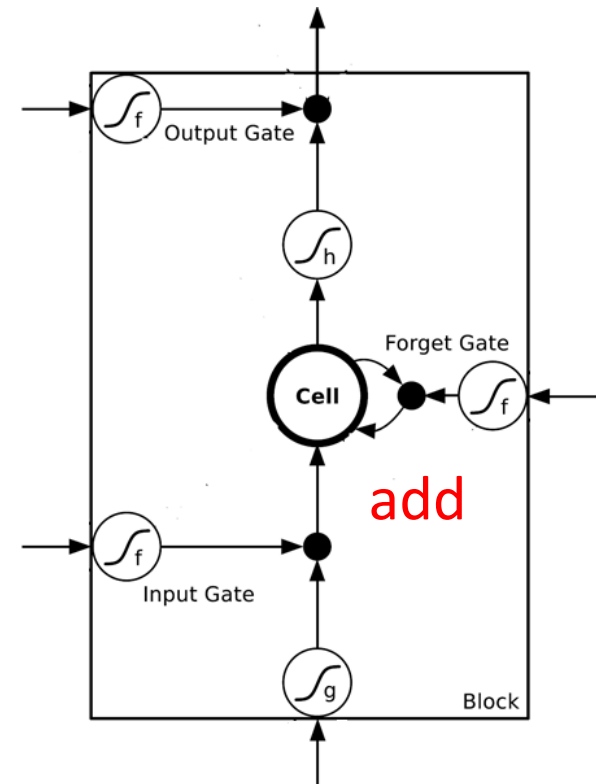
- Long Short-term Memory (LSTM)

- Can deal with gradient vanishing (not gradient explode)

➤ Memory and input are **added**

➤ The influence never disappears unless forget gate is closed

➡ No Gradient vanishing  
(If forget gate is opened.)





# Helpful Techniques

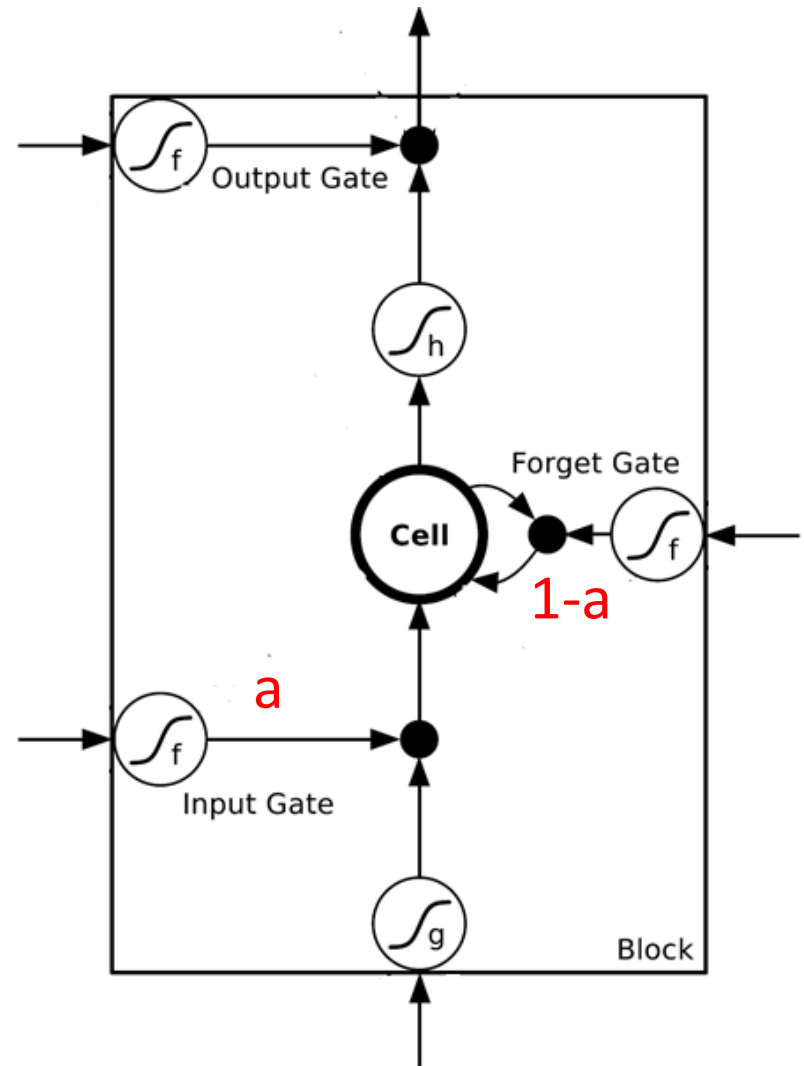
- Gated Recurrent Unit (GRU)

## Simplified LSTM

[Cho, EMNLP'14]

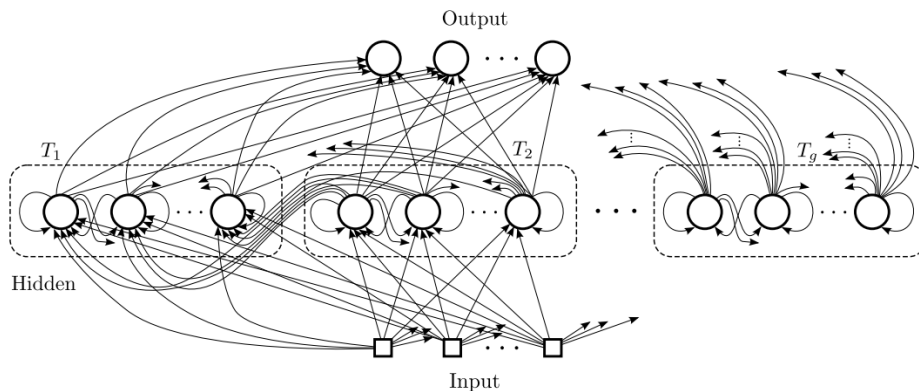
舊的不去、新的不來

GRU has less parameters  
than LSTM



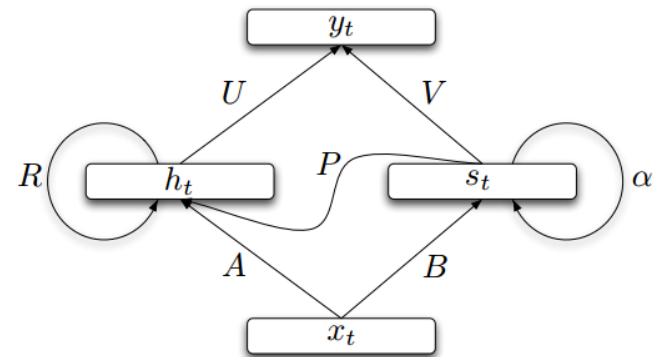
# Helpful Techniques

## Clockwise RNN



[Jan Koutnik, JMLR'14]

## Structurally Constrained Recurrent Network (SCRN)



[Tomas Mikolov, ICLR'15]

Vanilla RNN Initialized with Identity matrix + ReLU activation function [Quoc V. Le, arXiv'15]

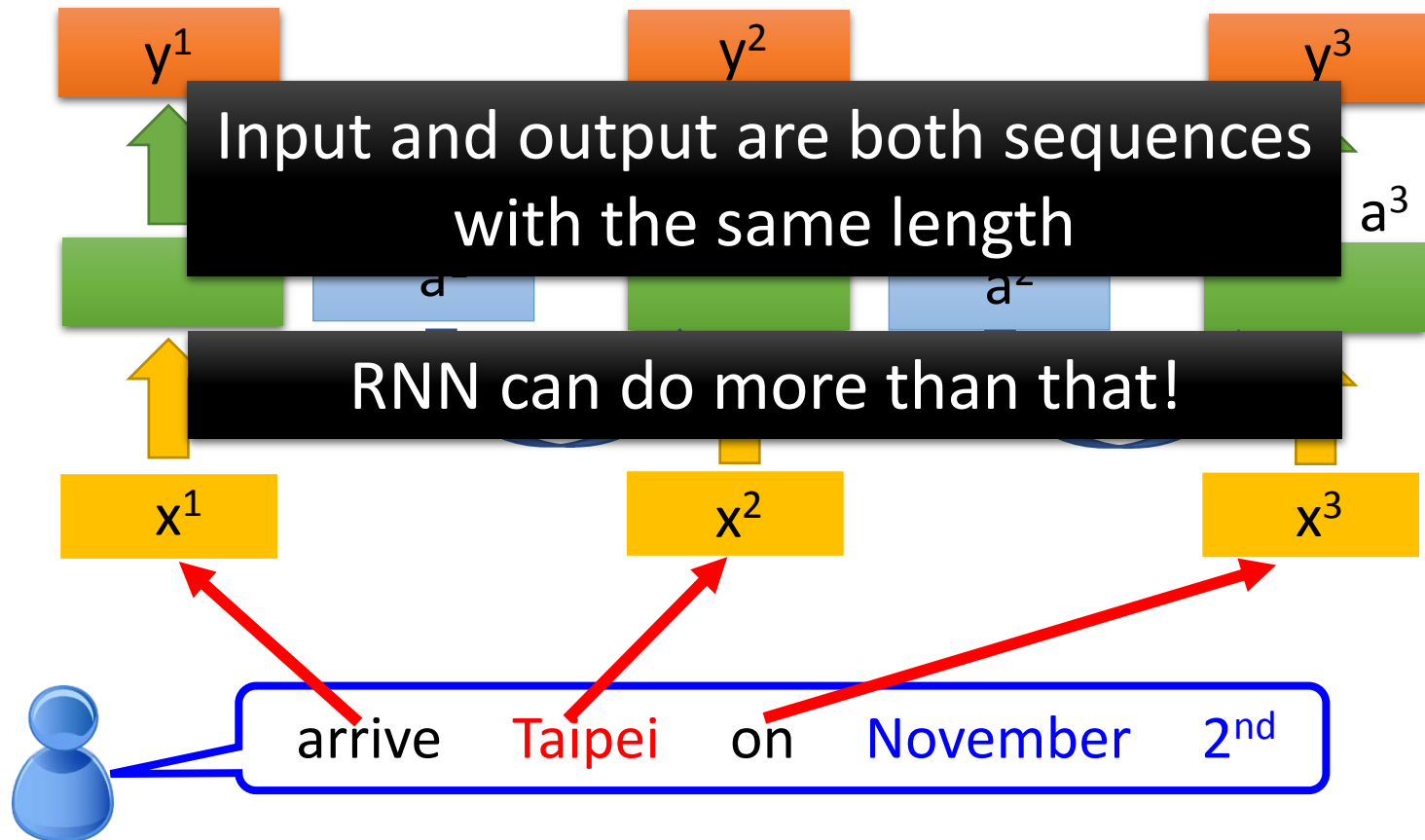
➤ Outperform or be comparable with LSTM in 4 different tasks

# More Applications .....

Probability of  
“arrive” in each slot

Probability of  
“**Taipei**” in each slot

Probability of  
“on” in each slot



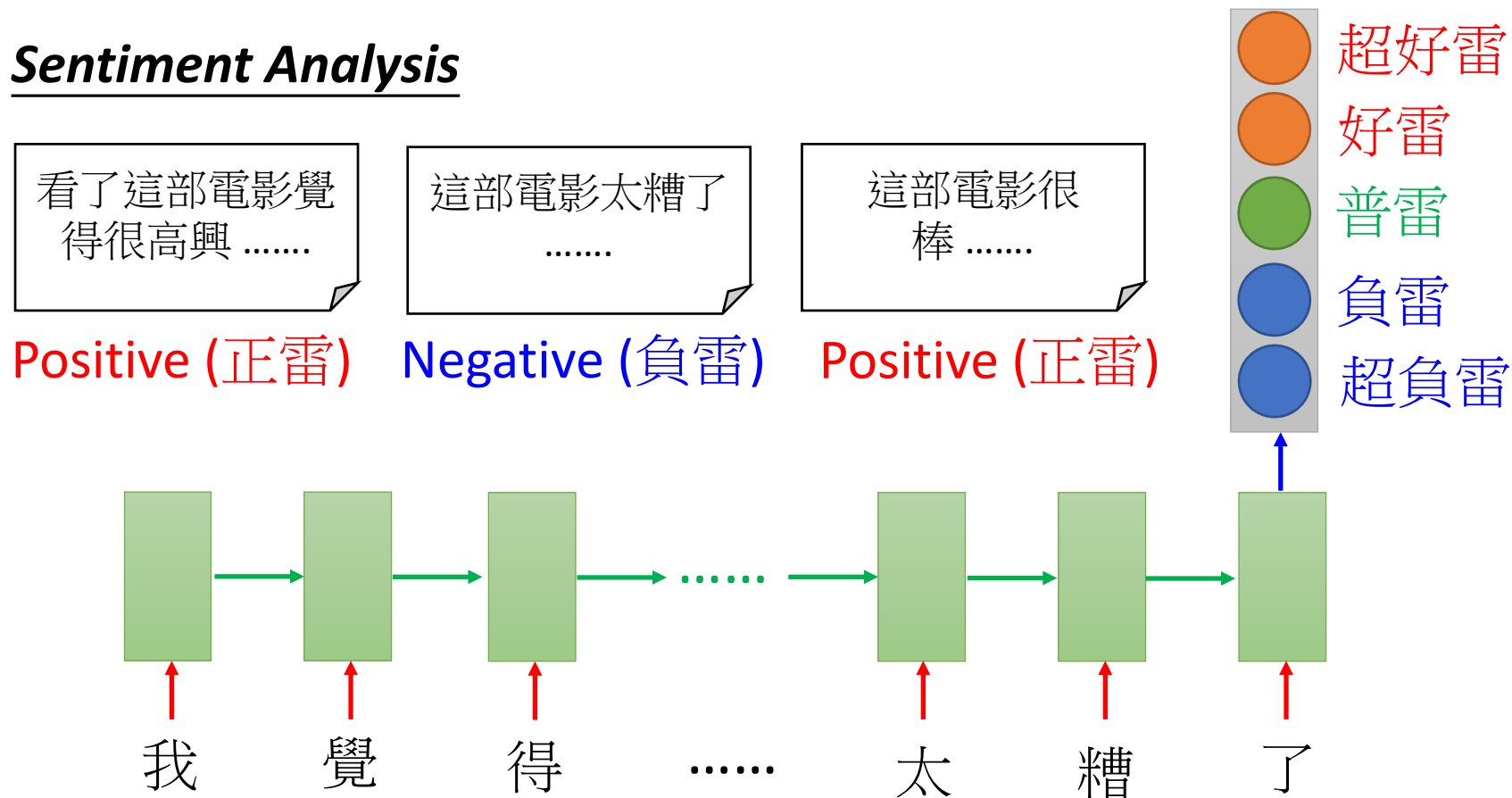
# Many to one

Keras Example:

[https://github.com/fchollet/keras/blob/master/examples/imdb\\_lstm.py](https://github.com/fchollet/keras/blob/master/examples/imdb_lstm.py)

- Input is a vector sequence, but output is only one vector

## Sentiment Analysis



# Many to Many (Output is shorter)

- Both input and output are both sequences, **but the output is shorter.**
  - E.g. Speech Recognition

Problem?

Why can't it be  
“好棒棒”

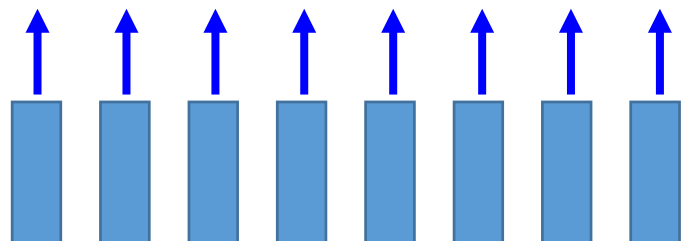
Output: “好棒” (character sequence)



Trimming

好 好 好 棒 棒 棒 棒 棒

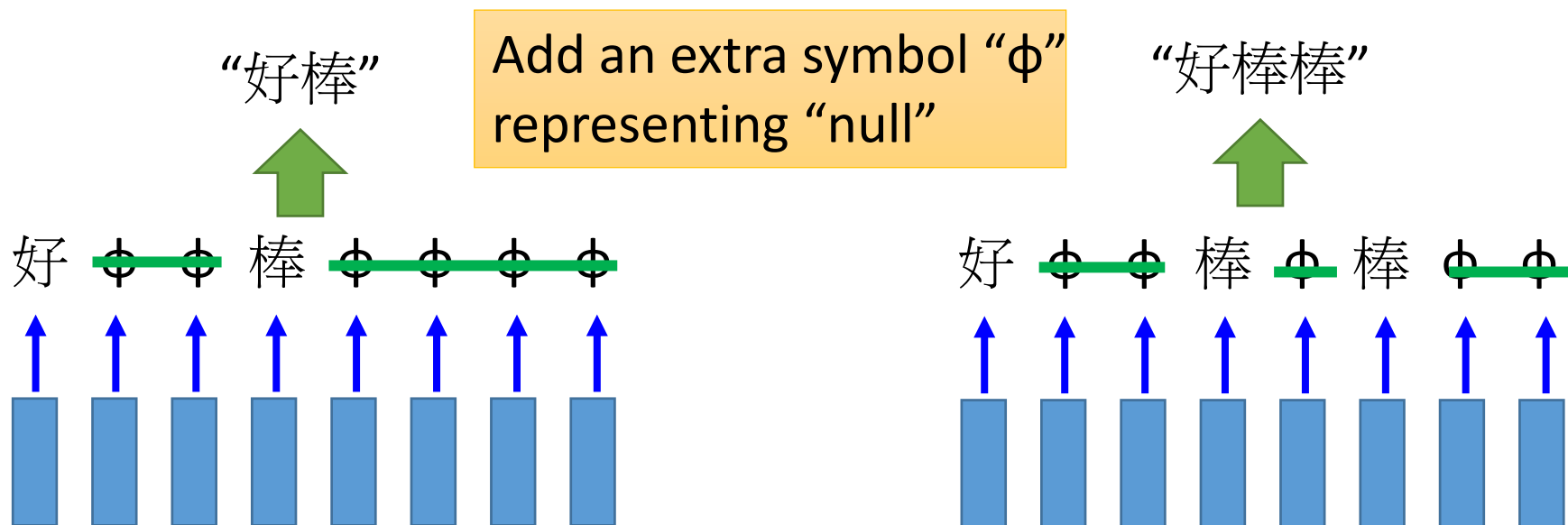
Input:



(vector  
sequence)

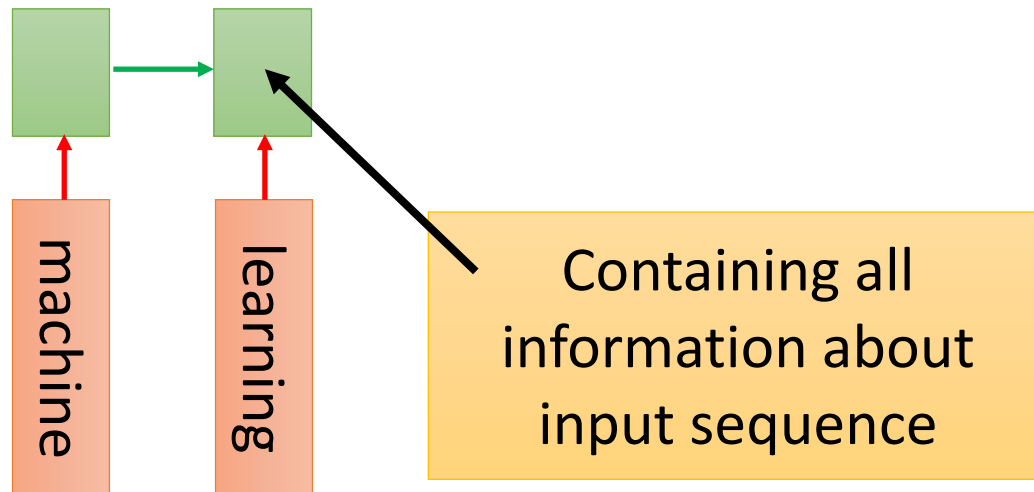
# Many to Many (Output is shorter)

- Both input and output are both sequences, **but the output is shorter.**
- Connectionist Temporal Classification (CTC) [Alex Graves, ICML'06][Alex Graves, ICML'14][Haşim Sak, Interspeech'15][Jie Li, Interspeech'15][Andrew Senior, ASRU'15]



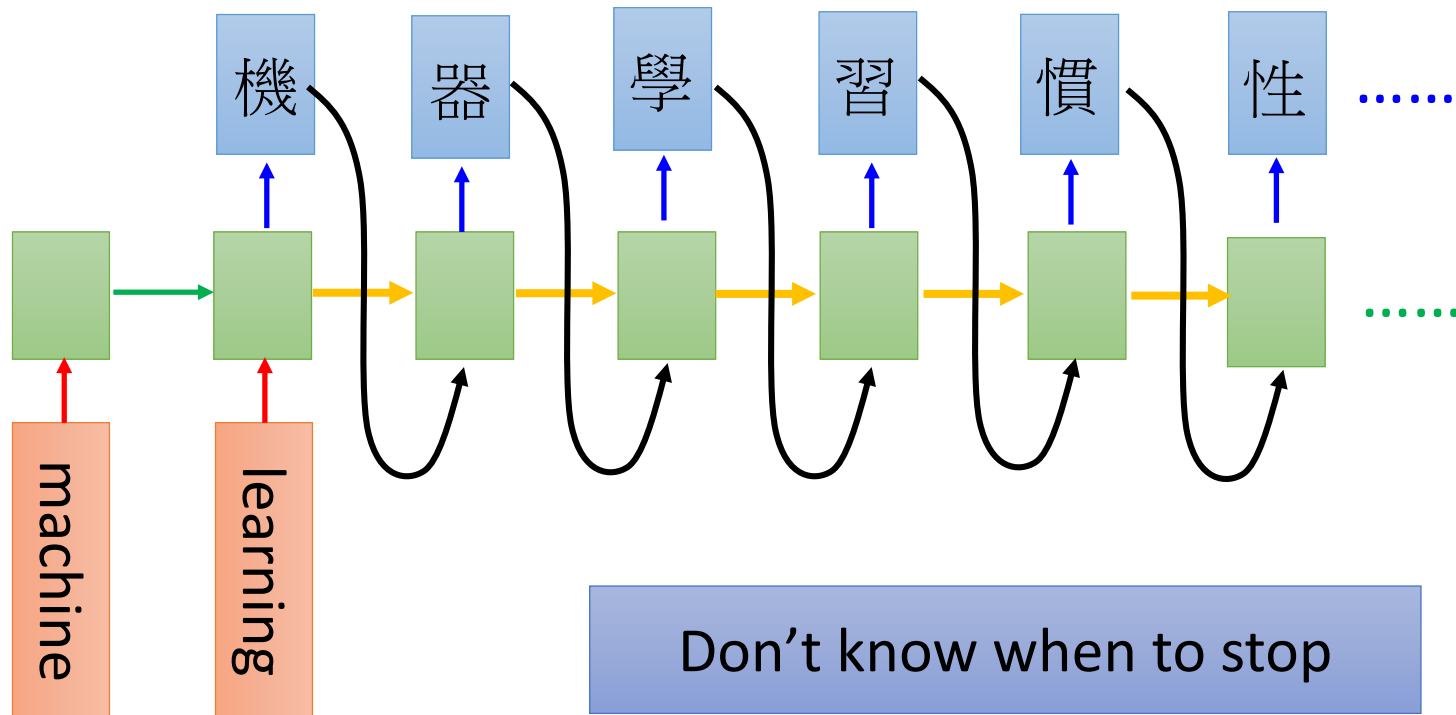
# Many to Many (No Limitation)

- Both input and output are both sequences **with different lengths.** → **Sequence to sequence learning**
  - E.g. **Machine Translation** (machine learning → 機器學習)



# Many to Many (No Limitation)

- Both input and output are both sequences **with different lengths**. → **Sequence to sequence learning**
  - E.g. **Machine Translation** (machine learning → 機器學習)





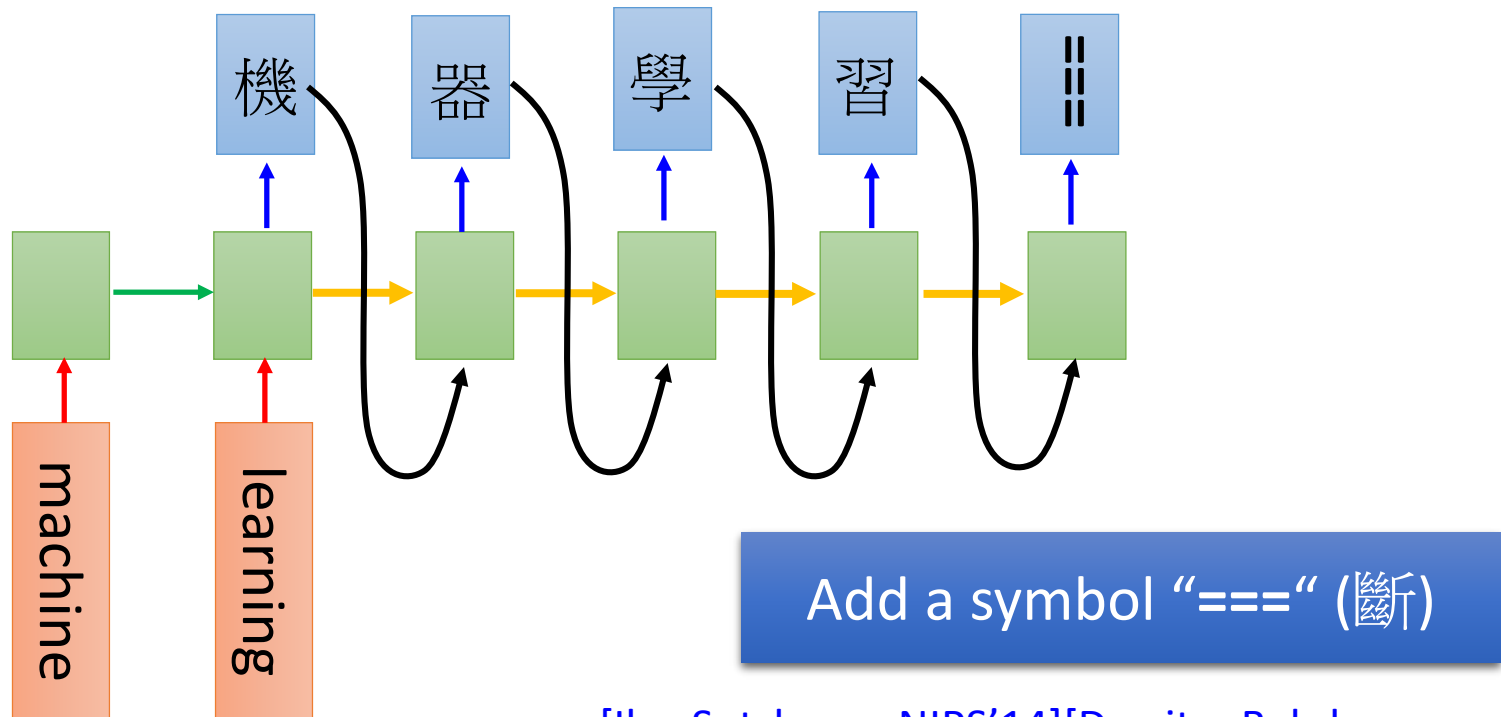
# Many to Many (No Limitation)

推		:	超	06/12 10:39
推		n:	人	06/12 10:40
推		tion:	正	06/12 10:41
→		host:	大	06/12 10:47
推		:	中	06/12 10:59
推		403:	天	06/12 11:11
推		:	外	06/12 11:13
推		527:	飛	06/12 11:17
→		990b:	仙	06/12 11:32
→		512:	草	06/12 12:15
推	tlkagk:	=====	斷	=====

Ref:<http://zh.pttpedia.wikia.com/wiki/%E6%8E%A5%E9%BE%8D%E6%8E%A8%E6%96%87> (鄉民百科)

# Many to Many (No Limitation)

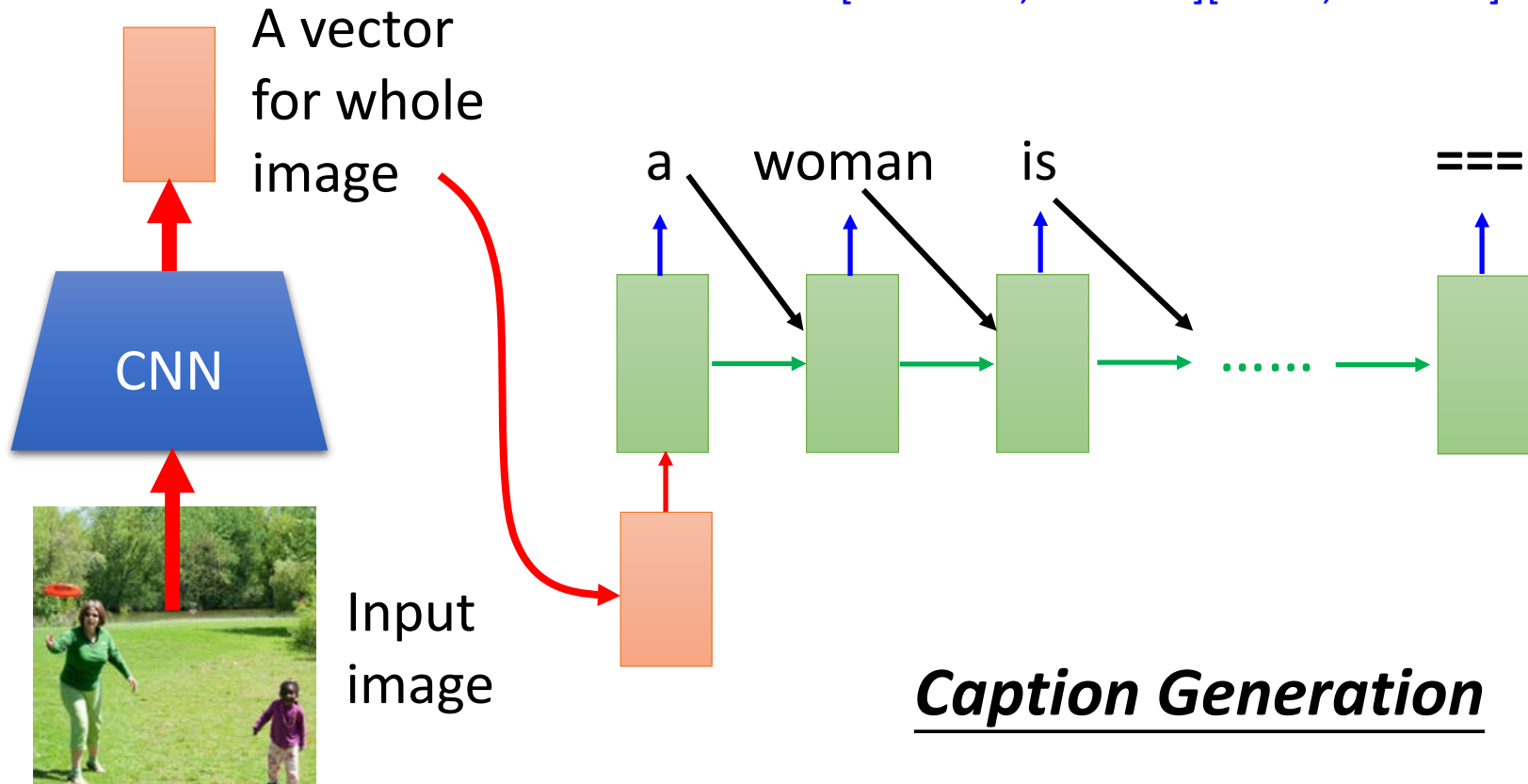
- Both input and output are both sequences **with different lengths**. → **Sequence to sequence learning**
  - E.g. **Machine Translation** (machine learning → 機器學習)



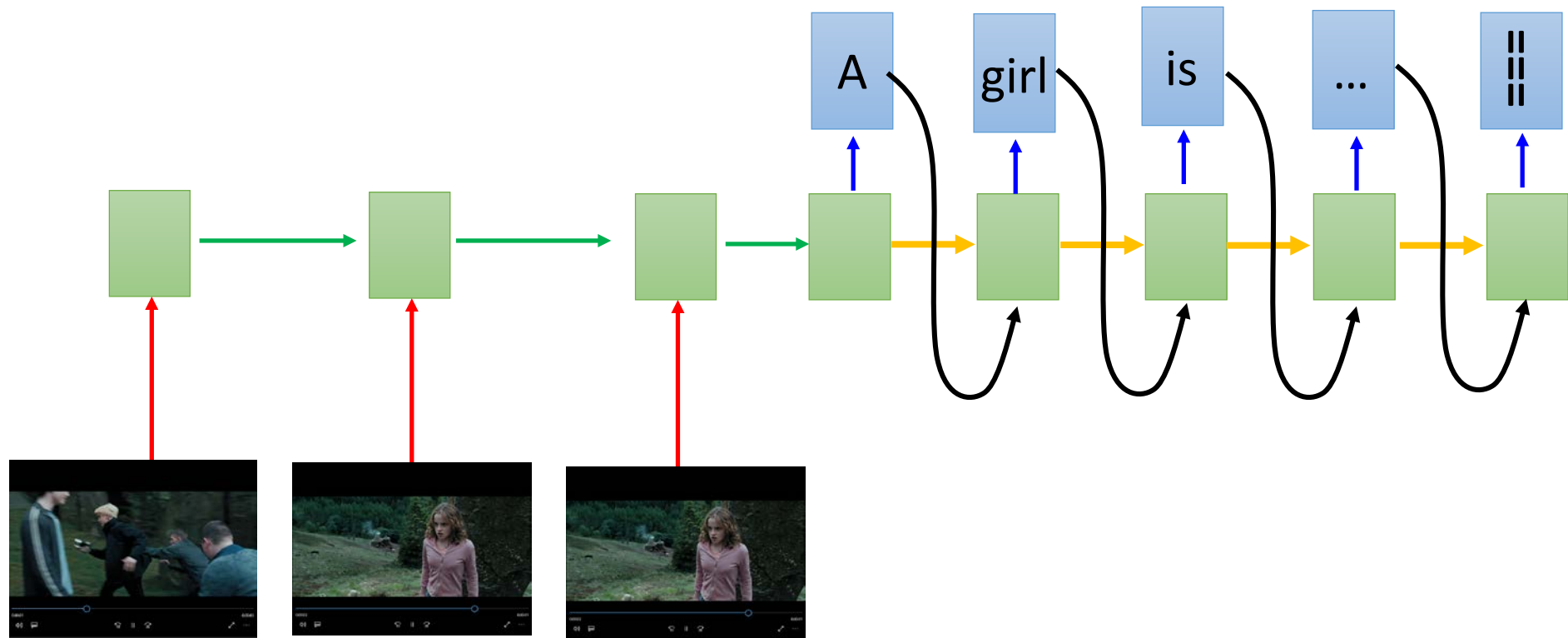
# One to Many

- Input an image, but output a sequence of words

[Kelvin Xu, arXiv'15][Li Yao, ICCV'15]



# Video Caption Generation



Video frames

# Concluding Remarks

Convolutional Neural  
Network (CNN)

Recurrent Neural Network  
(RNN)

# Lecture IV:

## Next Wave

# Outline

Ultra Deep Network

Attention Model

Reinforcement Learning

Realizing what the World Looks Like

Understanding the Meaning of Words

Why Deep?

# Ultra Deep Network

Worry about overfitting?

Worry about achieving target first!

This ultra deep network have special structure.

152 layers

3.57%

16.4%

7.3%

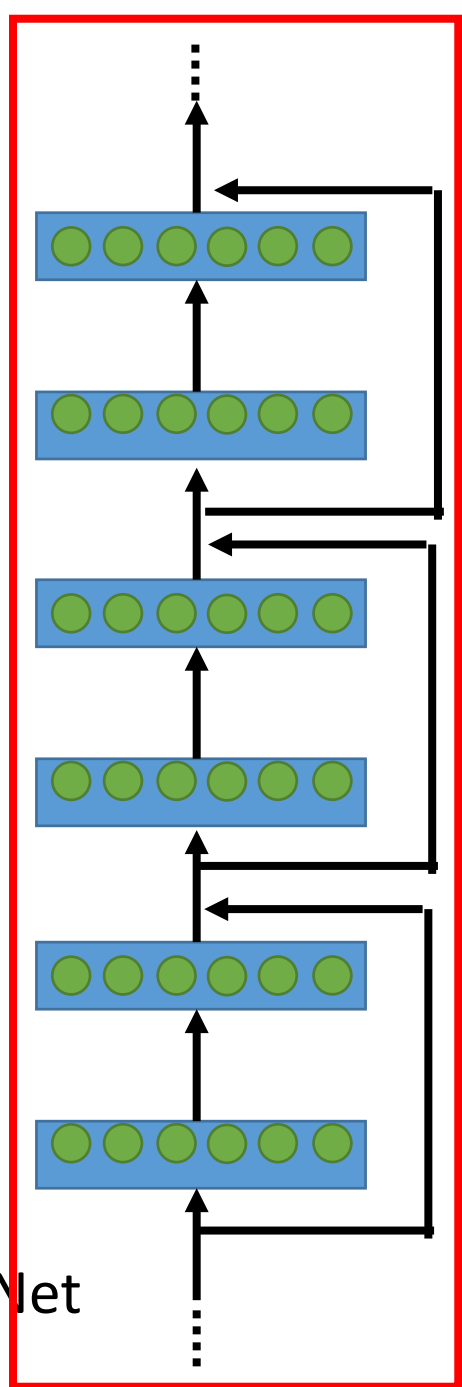
6.7%

AlexNet  
(2012)

VGG  
(2014)

GoogleNet  
(2014)

Residual Net  
(2015)





# Ultra Deep Network

- Ultra deep network is the ensemble of many networks with different depth.

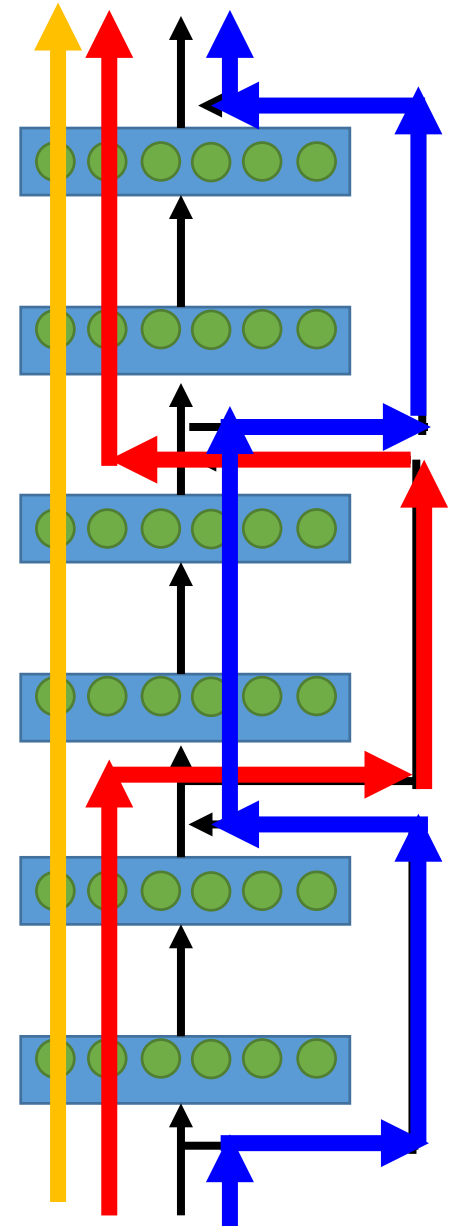
**Ensemble**

**6 layers**

**4 layers**

**2 layers**

Residual Networks are Exponential  
Ensembles of Relatively Shallow Networks  
<https://arxiv.org/abs/1605.06431>



# Ultra Deep Network

- FractalNet

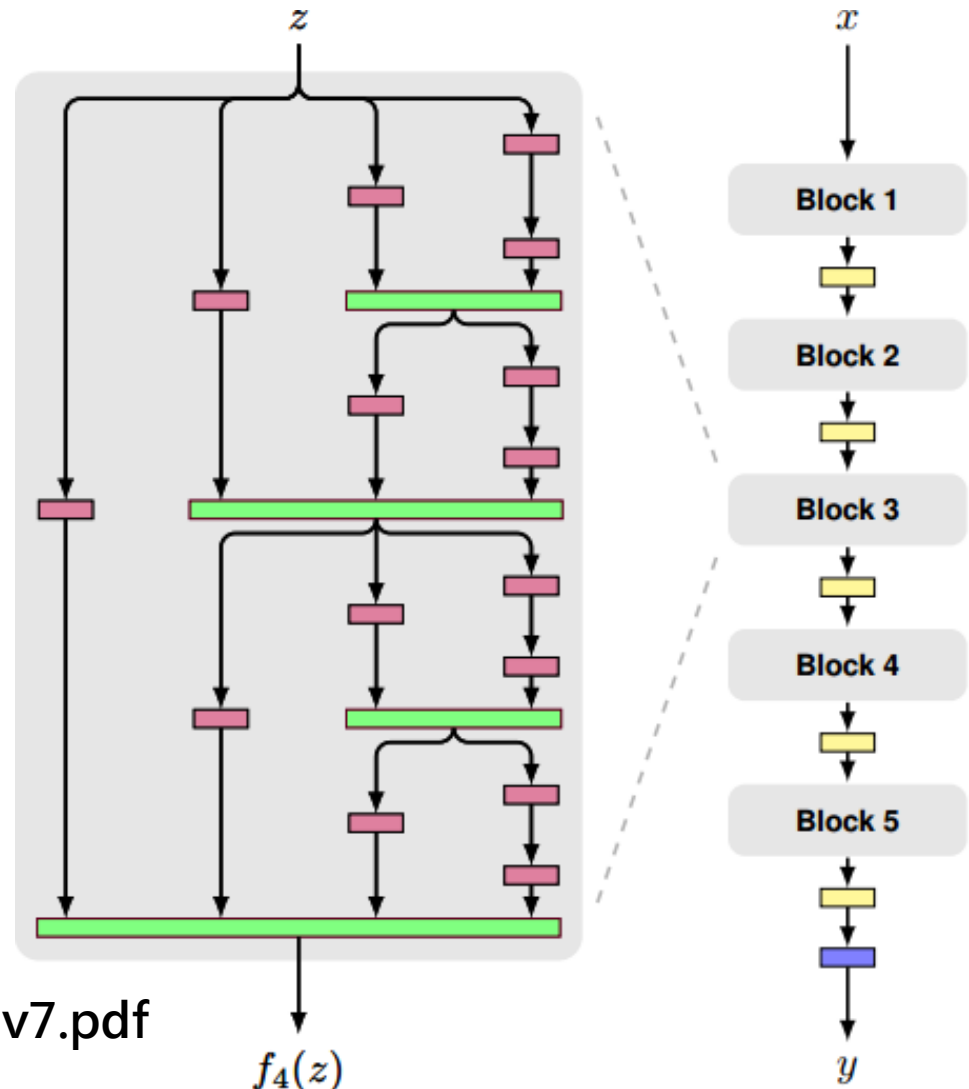
FractalNet: Ultra-Deep Neural Networks without Residuals  
<https://arxiv.org/abs/1605.07648>

## Resnet in Resnet

Resnet in Resnet:  
Generalizing Residual Architectures  
<https://arxiv.org/abs/1603.08029>

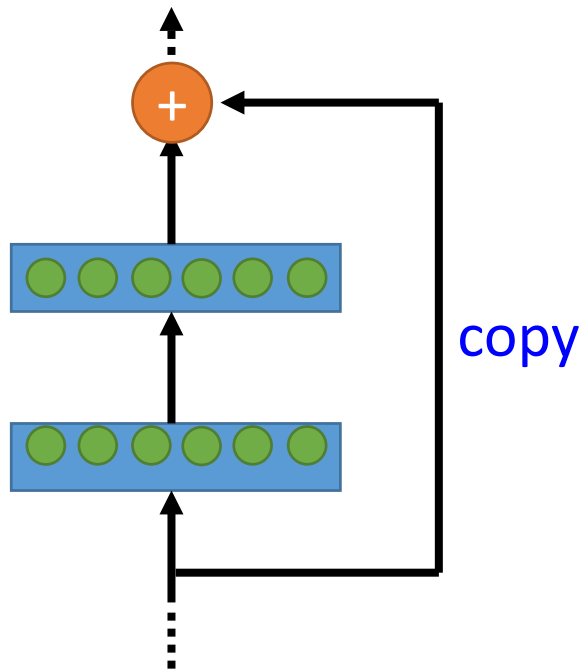
Good Initialization?

All you need is a good init  
<http://arxiv.org/pdf/1511.06422v7.pdf>



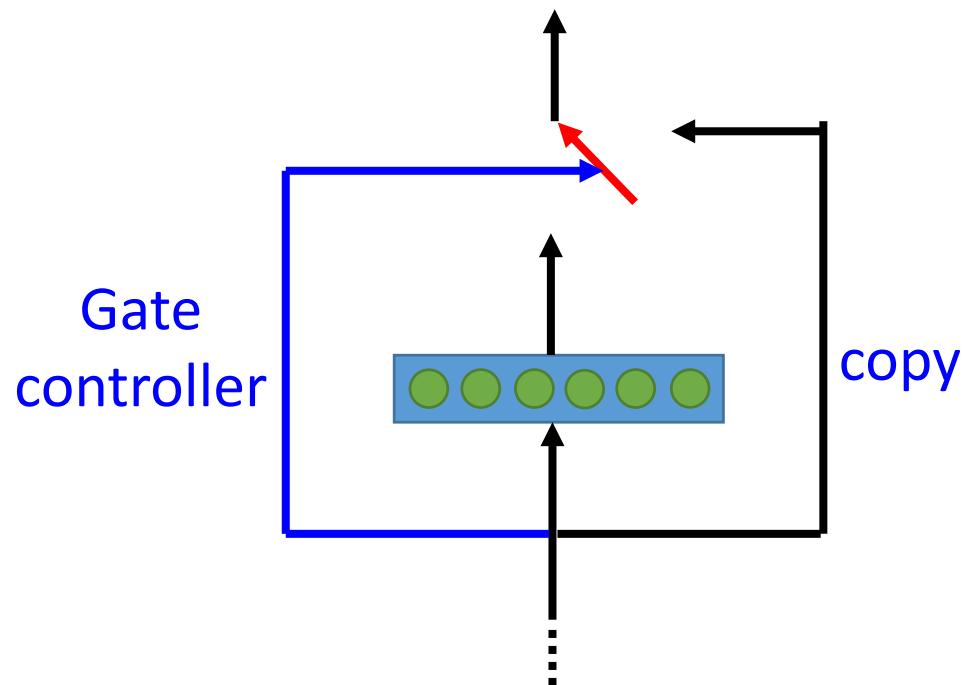
# Ultra Deep Network

- Residual Network

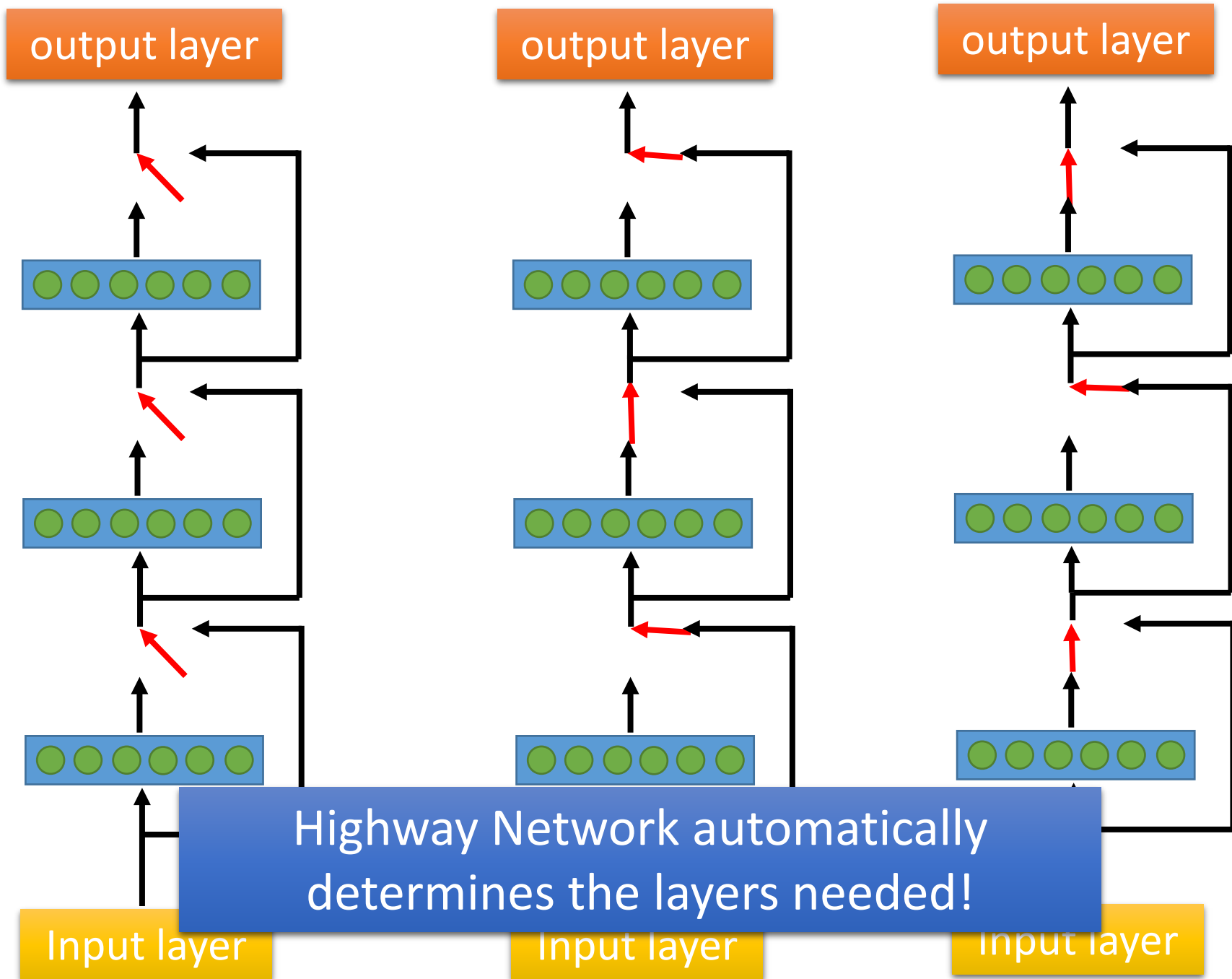


Deep Residual Learning for Image Recognition  
<http://arxiv.org/abs/1512.03385>

- Highway Network



Training Very Deep Networks  
<https://arxiv.org/pdf/1507.06228v2.pdf>



# Outline

Ultra Deep Network

Attention Model

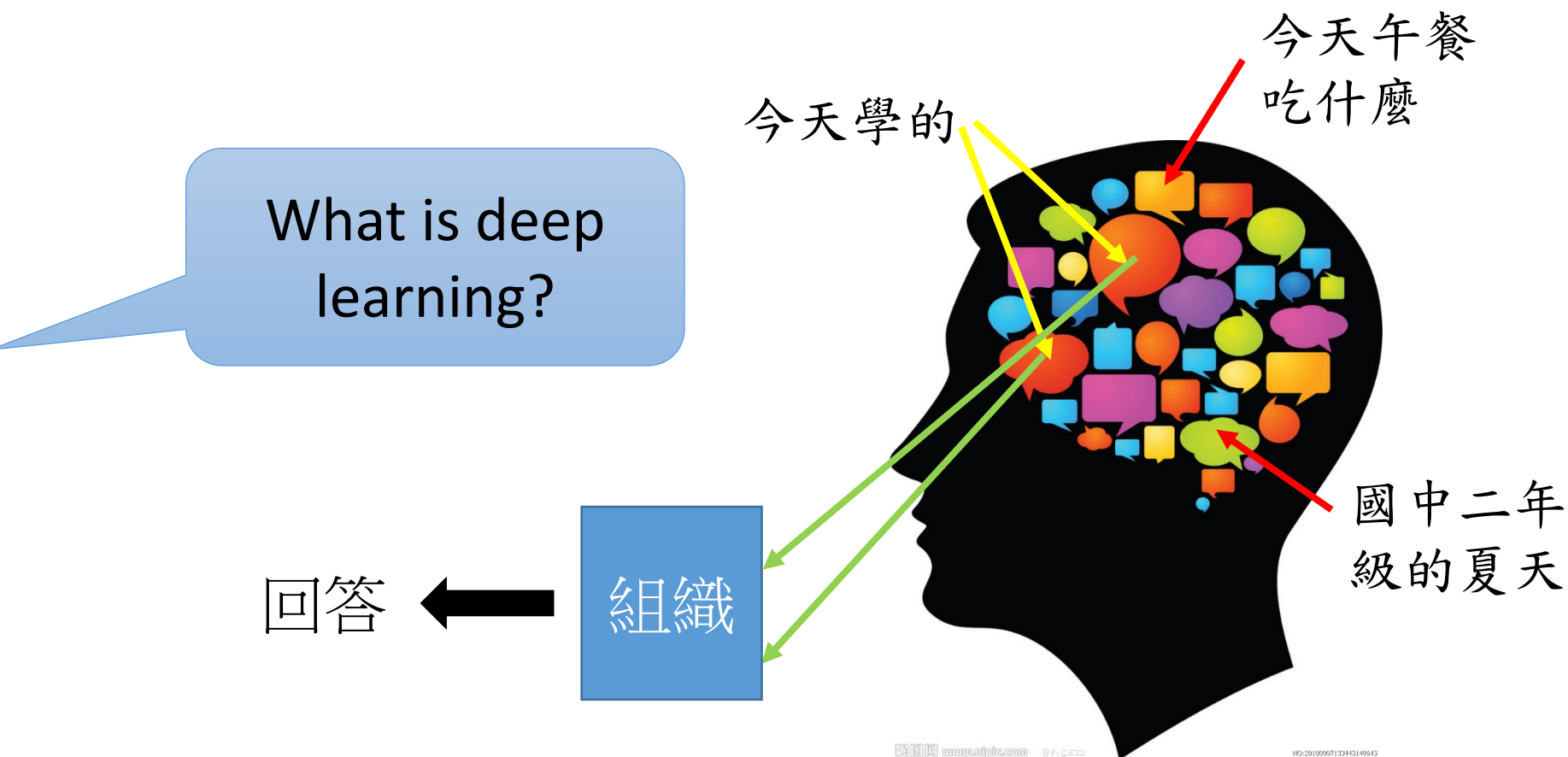
Reinforcement Learning

Realizing what the World Looks Like

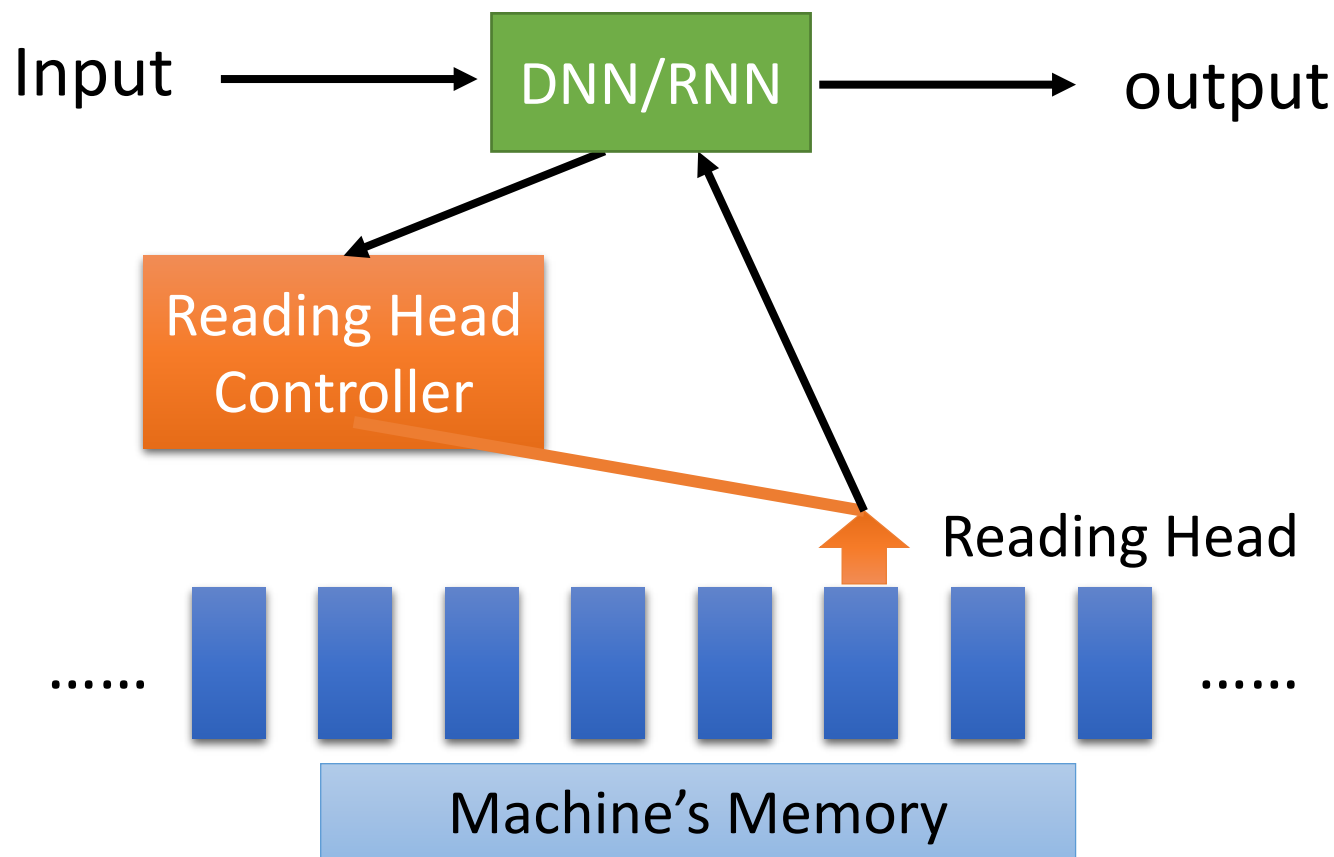
Understanding the Meaning of Words

Why Deep?

# Attention-based Model



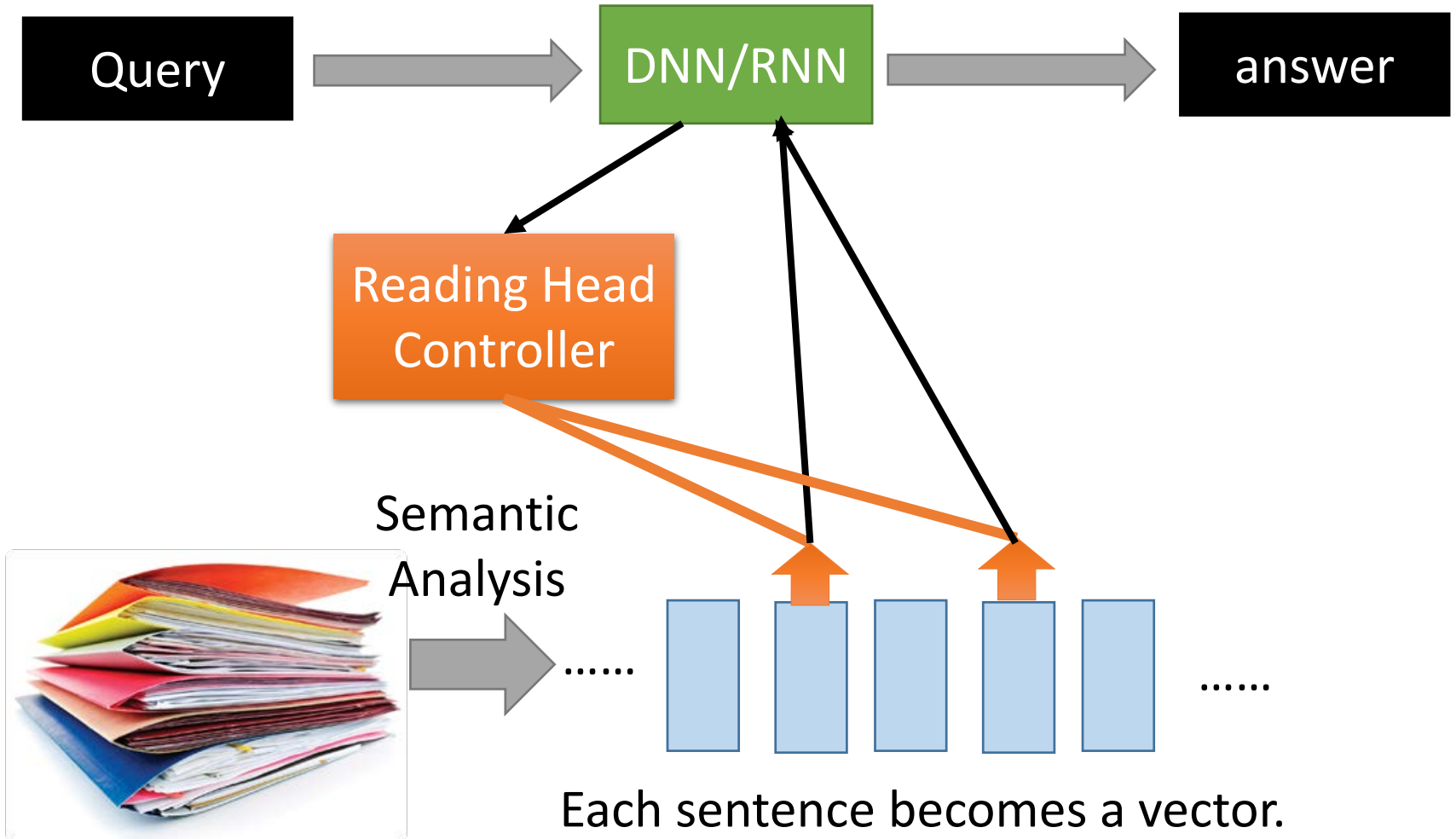
# Attention-based Model



Ref:

[http://speech.ee.ntu.edu.tw/~tlkagk/courses/MLDS\\_2015\\_2/Lecture/Attain%20\(v3\).e cm.mp4/index.html](http://speech.ee.ntu.edu.tw/~tlkagk/courses/MLDS_2015_2/Lecture/Attain%20(v3).e cm.mp4/index.html)

# Reading Comprehension





# Reading Comprehension

- End-To-End Memory Networks. S. Sukhbaatar, A. Szlam, J. Weston, R. Fergus. NIPS, 2015.

The position of reading head:

Story (16: basic induction)	Support	Hop 1	Hop 2	Hop 3
Brian is a frog.	yes	0.00	0.98	0.00
Lily is gray.		0.07	0.00	0.00
Brian is yellow.	yes	0.07	0.00	1.00
Julius is green.		0.06	0.00	0.00
Greg is a frog.	yes	0.76	0.02	0.00
What color is Greg? Answer: yellow Prediction: yellow				

Keras has example:

[https://github.com/fchollet/keras/blob/master/examples/babi\\_memnn.py](https://github.com/fchollet/keras/blob/master/examples/babi_memnn.py)

# Visual Question Answering



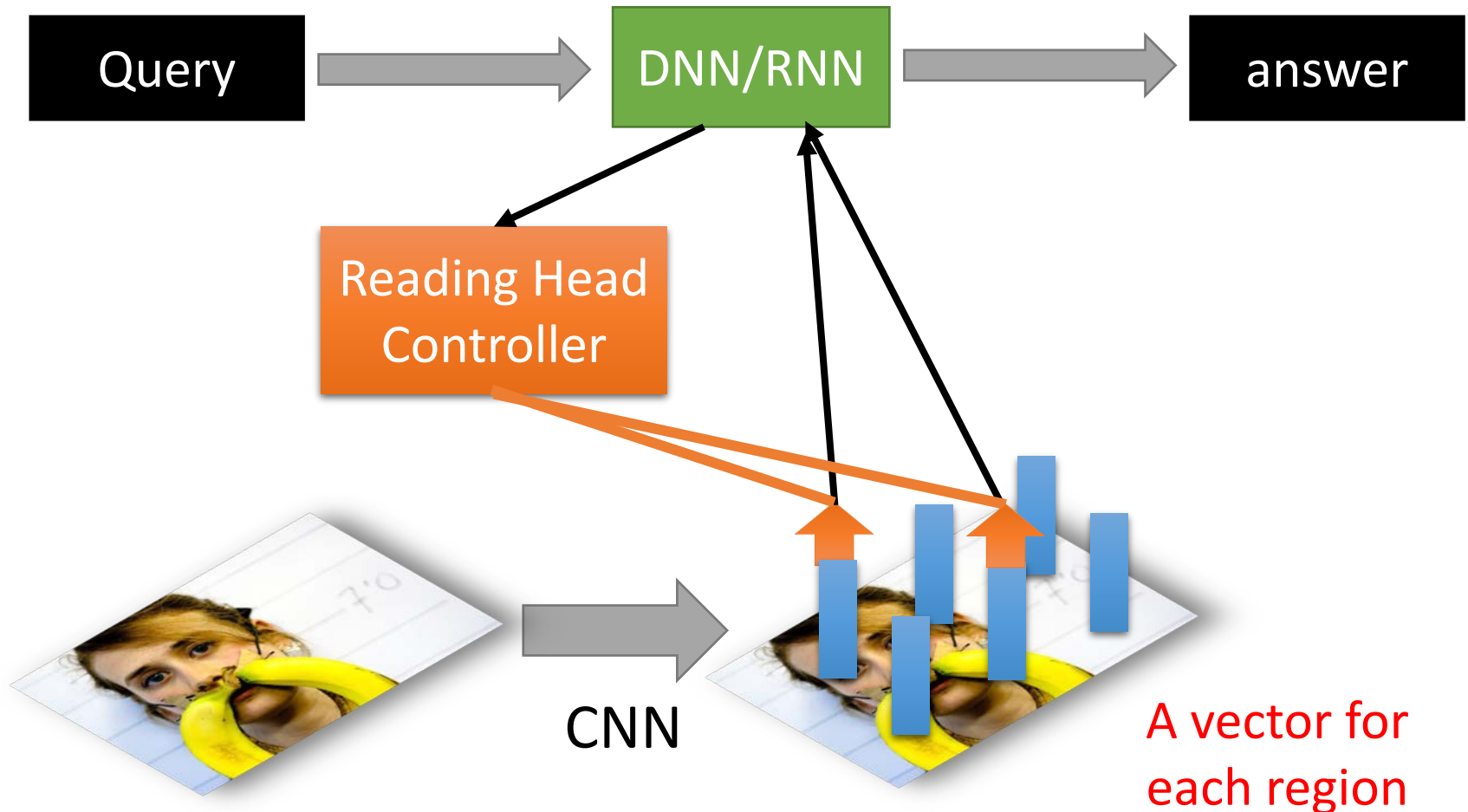
What is the mustache  
made of?

AI System

bananas

source: <http://visualqa.org/>

# Visual Question Answering



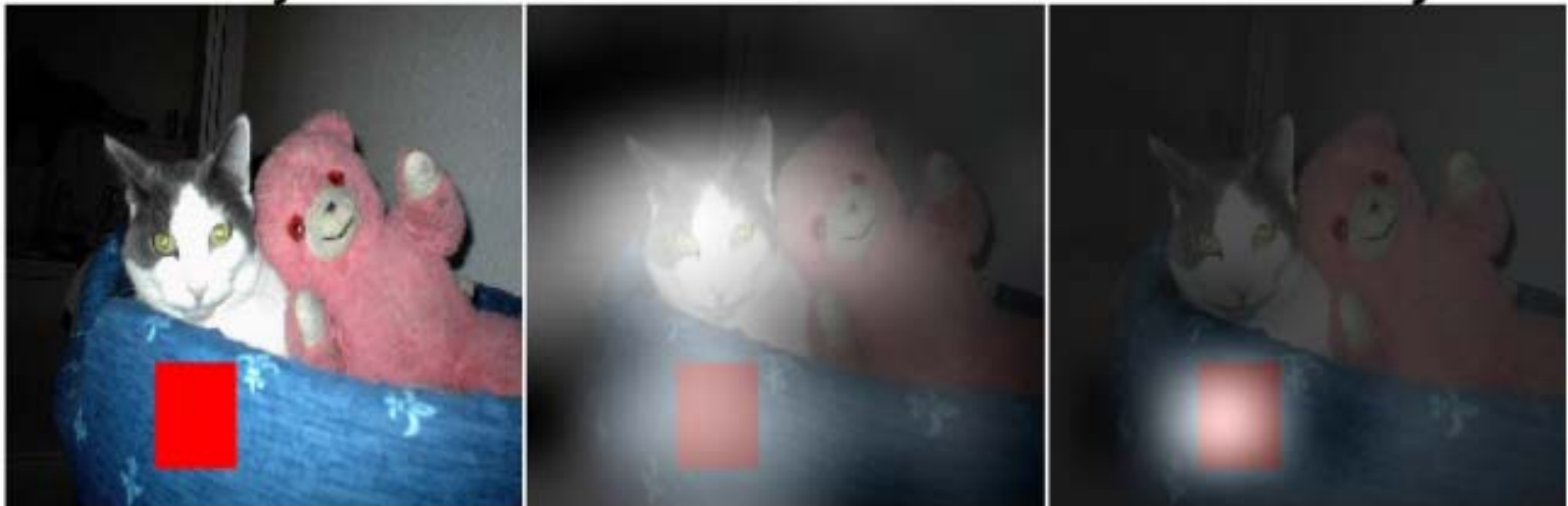
# Visual Question Answering

- Huijuan Xu, Kate Saenko. Ask, Attend and Answer: Exploring Question-Guided Spatial Attention for Visual Question Answering. arXiv Pre-Print, 2015

**Is there a red square on the bottom of the cat?**

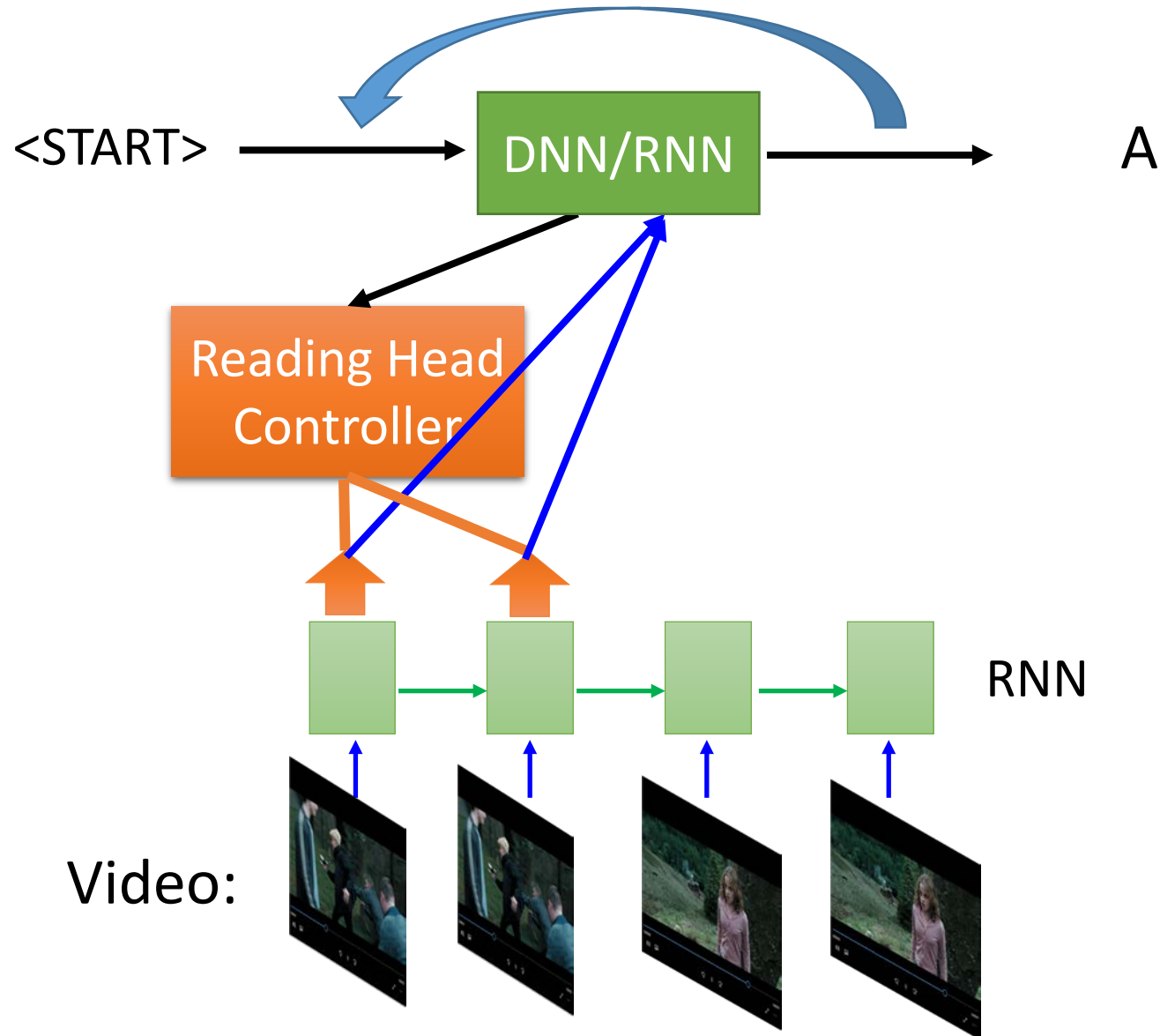
**GT: yes**

**Prediction: yes**



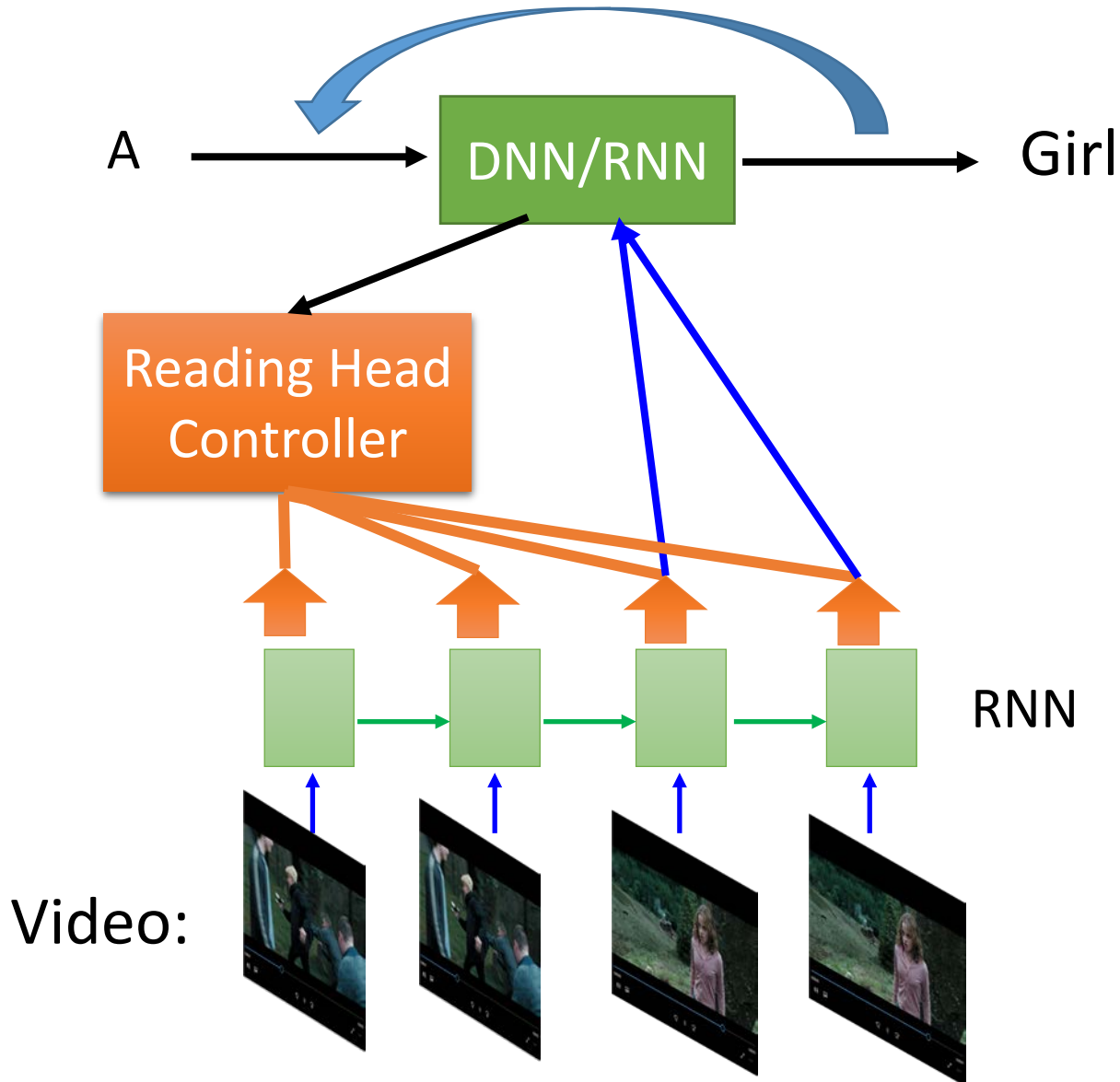
# Video Caption Generation

Memory: video frames  
Output: video description



# Video Caption Generation

Memory: video frames  
Output: video description



# Video Caption Generation

- Demo: 曾柏翔、盧宏宗、吳柏瑜

# Outline

Ultra Deep Network

Attention Model

Reinforcement Learning

Realizing what the World Looks Like

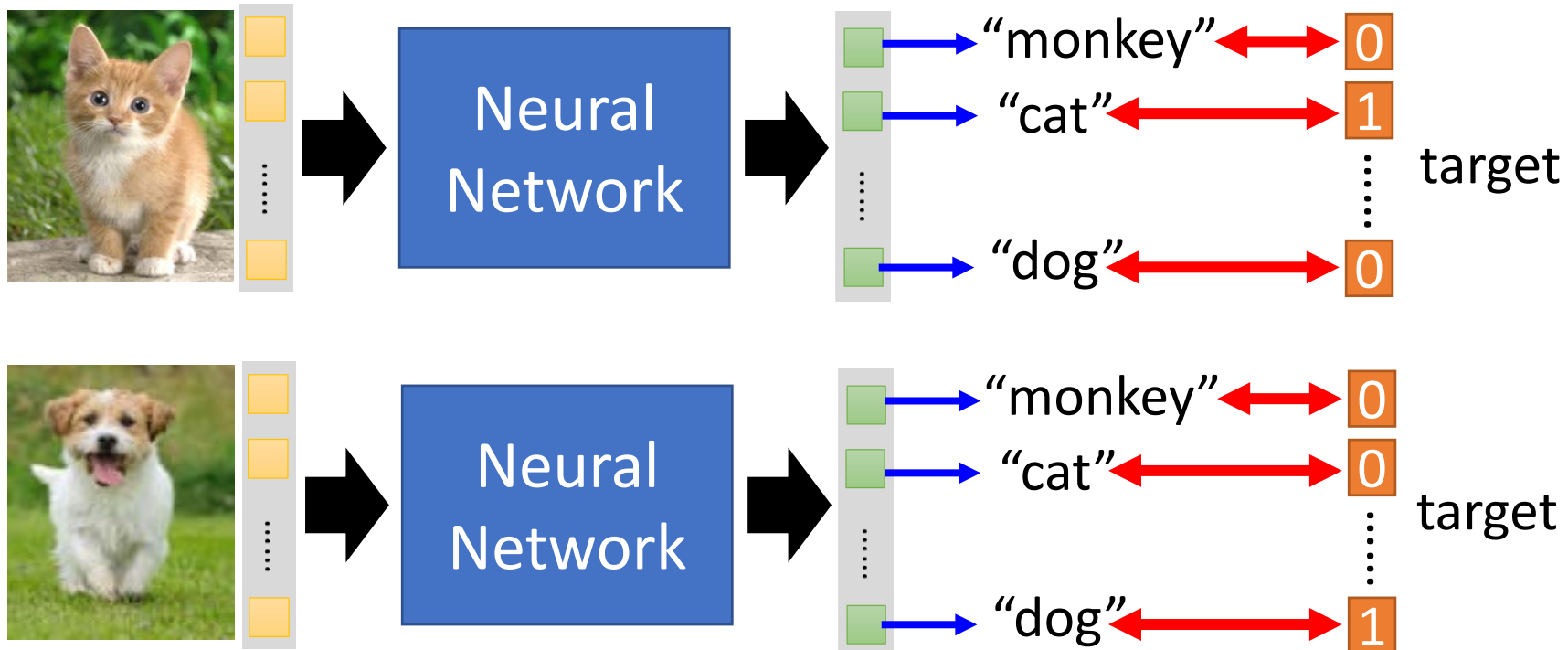
Understanding the Meaning of Words

Why Deep?



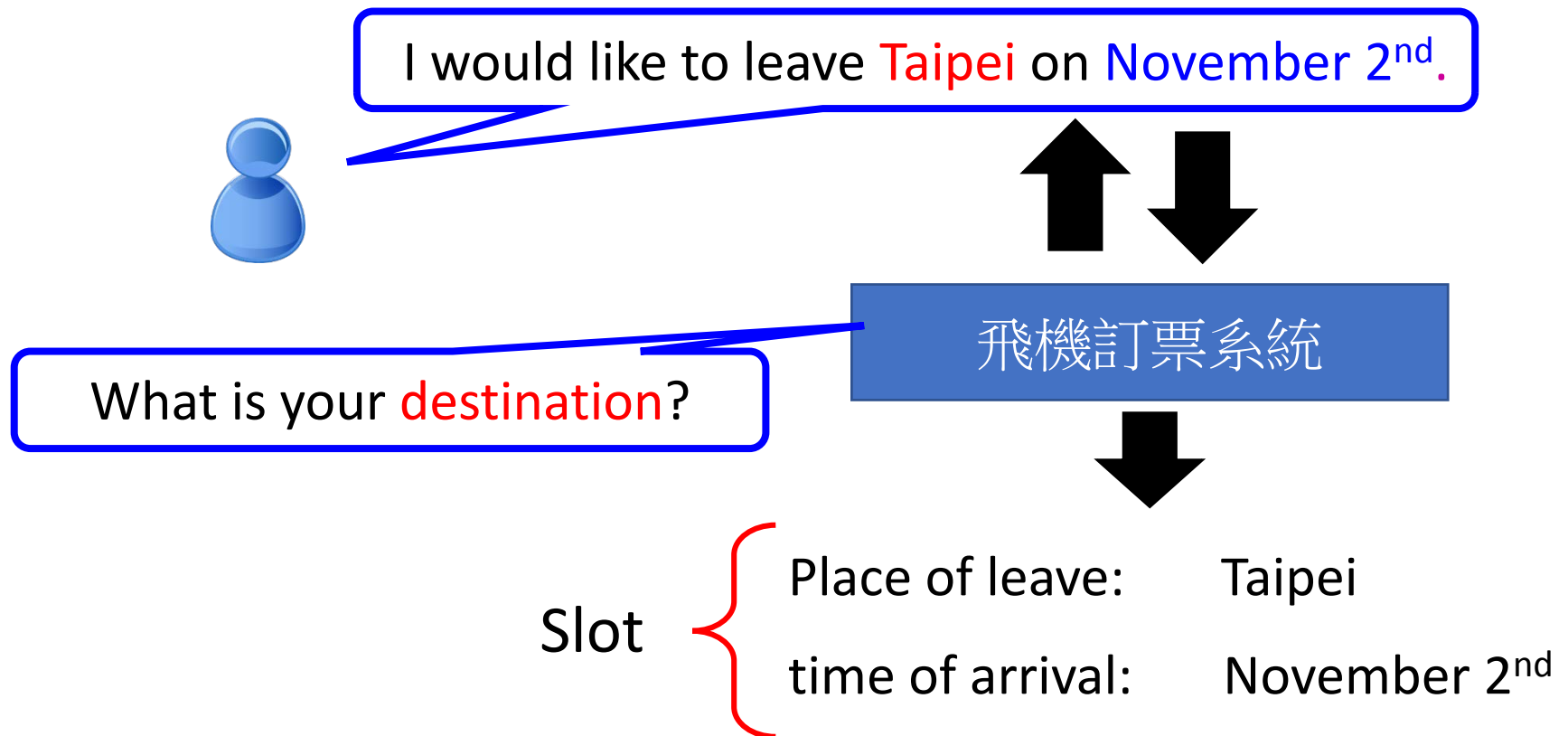
# Only Supervised Learning until now .....

- Network is a function. In supervised learning, the input-output pair is given in the training data



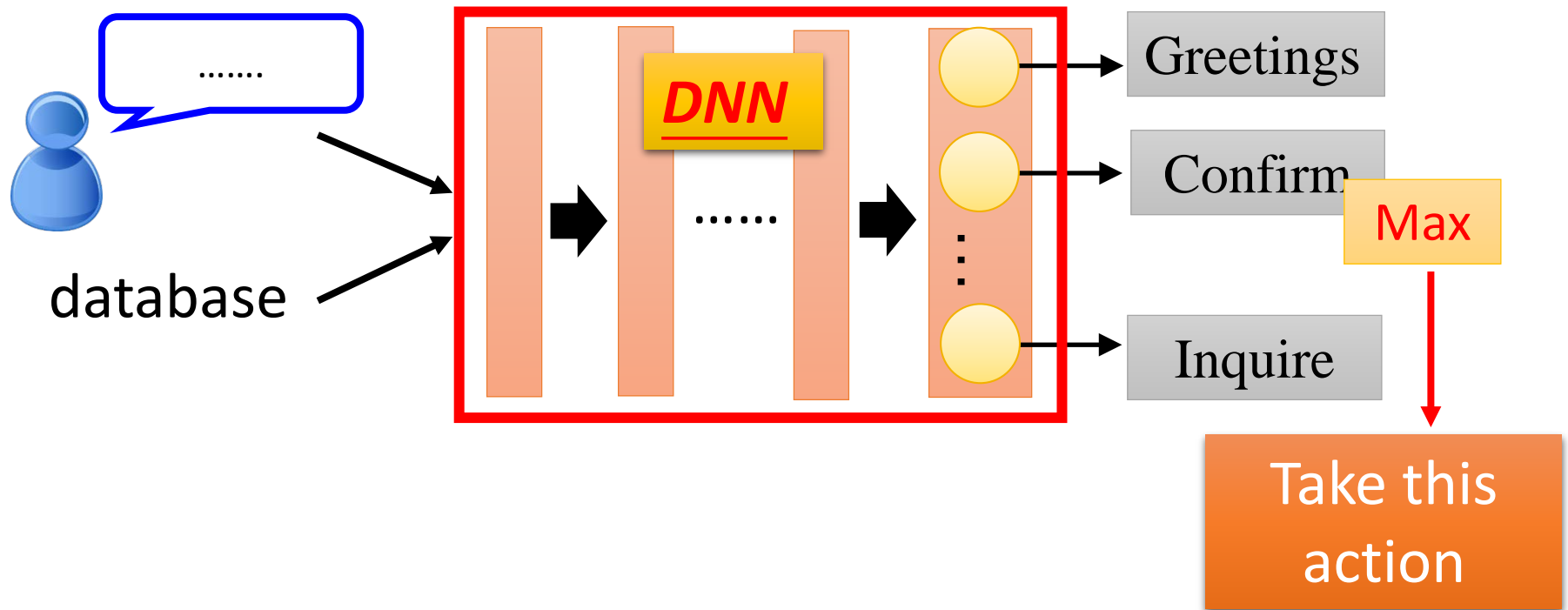
# Supervised v.s. Reinforcement

- Example: Dialogue Agent for 訂票系統







# Supervised v.s. Reinforcement

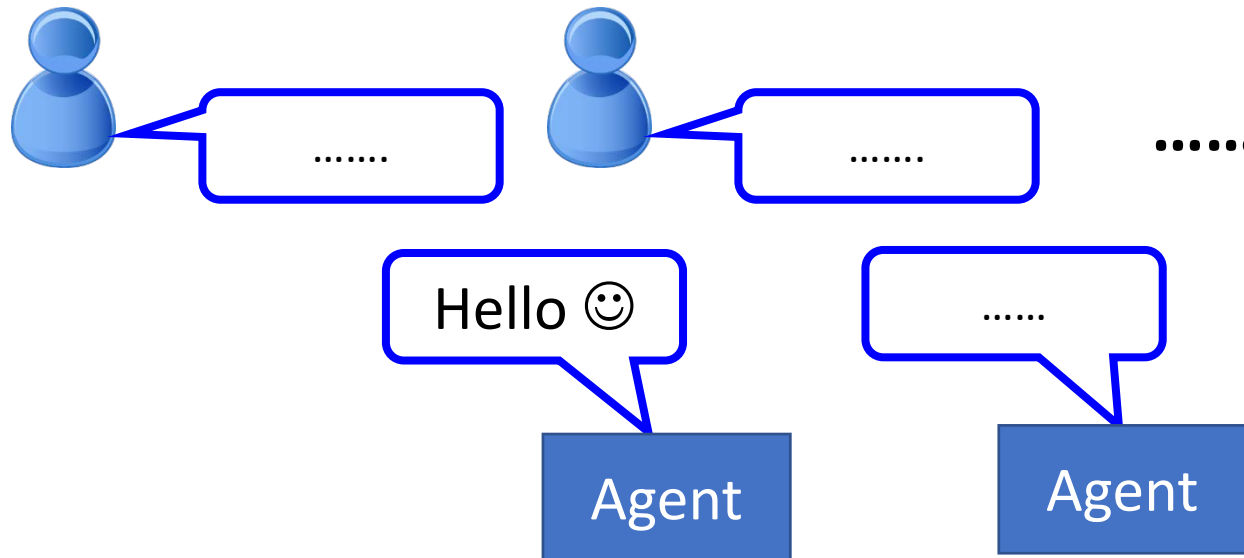
- Example: Dialogue Agent for 訂票系統



# Supervised v.s. Reinforcement

- Supervised
  -   You have to “greeting”
  -   You have to “confirm”

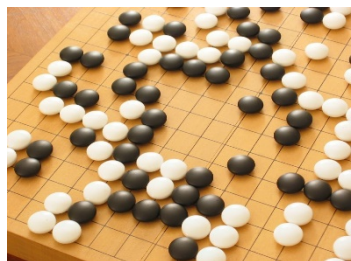
- Reinforcement



Bad

# Supervised v.s. Reinforcement

- Playing GO
  - Supervised: 看著棋譜學



下一步:  
“5-5”



下一步:  
“3-3”

- Reinforcement Learning

初手天元 ➡ .....下了好幾百手 ..... ➡ Win!

Alpha Go is supervised learning + reinforcement learning.

# To learn deep reinforcement learning .....

- Lectures of David Silver
  - <http://www0.cs.ucl.ac.uk/staff/D.Silver/web/Teaching.html>
  - 10 堂課 (1:30 each)
- Deep Reinforcement Learning
  - [http://videolectures.net/rldm2015\\_silver\\_reinforcement\\_learning/](http://videolectures.net/rldm2015_silver_reinforcement_learning/)

# Outline

Ultra Deep Network

Attention Model

Reinforcement Learning

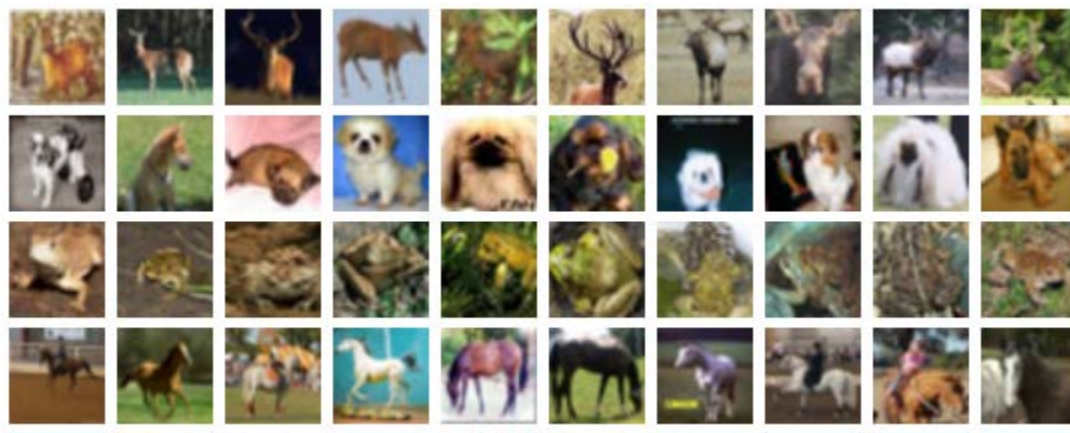
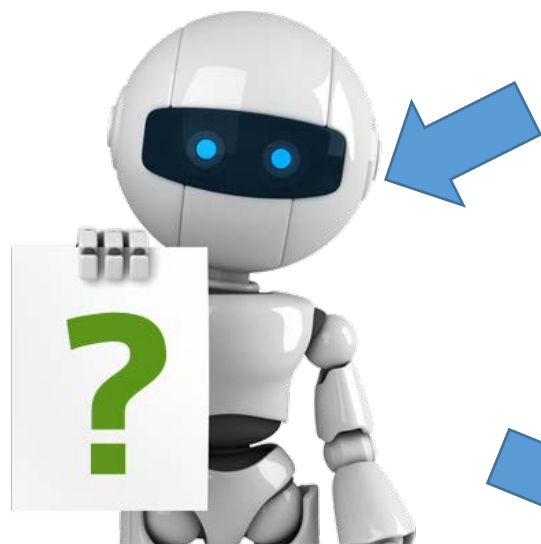
Realizing what the World Looks Like

Understanding the Meaning of Words

Why Deep?

# Does machine know what the world look like?

Ref: <https://openai.com/blog/generative-models/>



Draw something!



# Deep Dream

- Given a photo, machine adds what it sees .....



<http://deepdreamgenerator.com/>

# Deep Dream

- Given a photo, machine adds what it sees .....

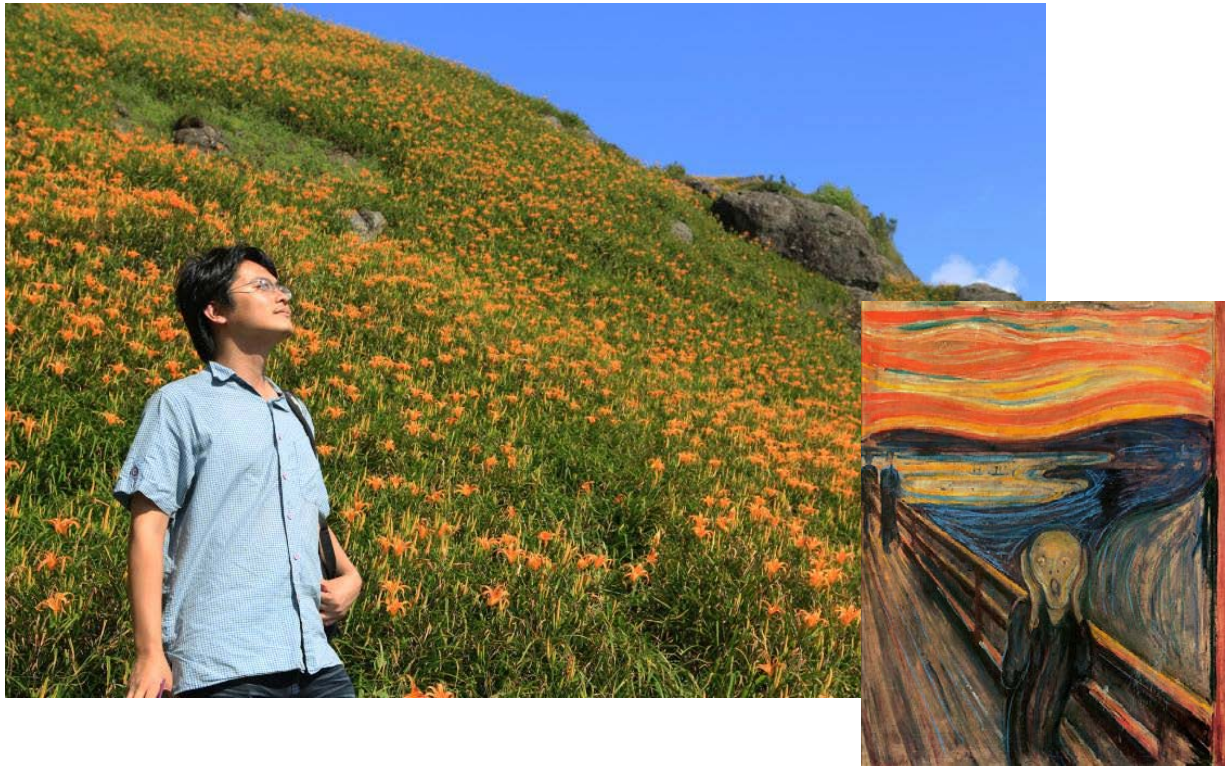


<http://deepdreamgenerator.com/>



# Deep Style

- Given a photo, make its style like famous paintings



<https://dreamscopeapp.com/>

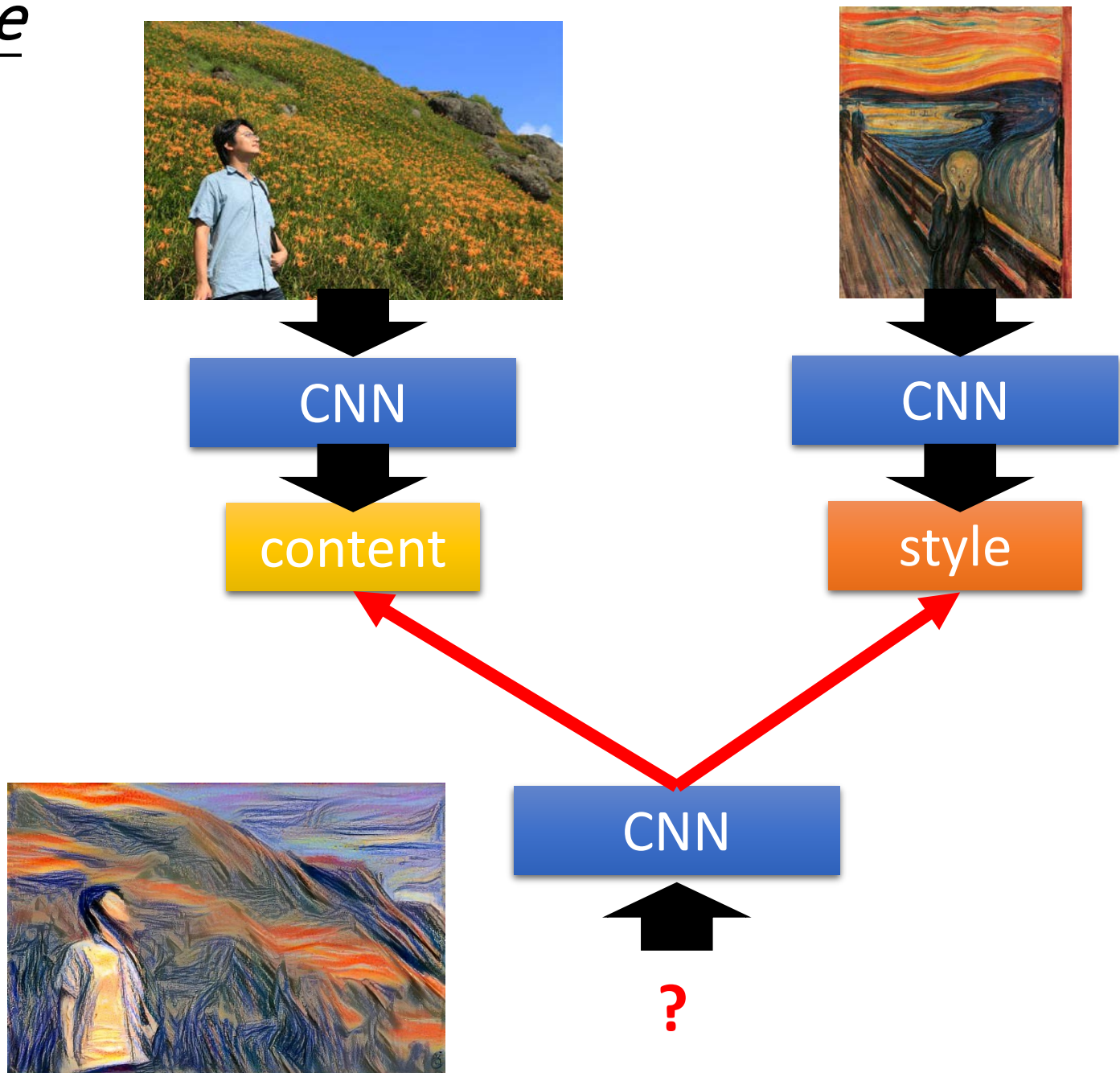
# Deep Style

- Given a photo, make its style like famous paintings



<https://dreamscopeapp.com/>

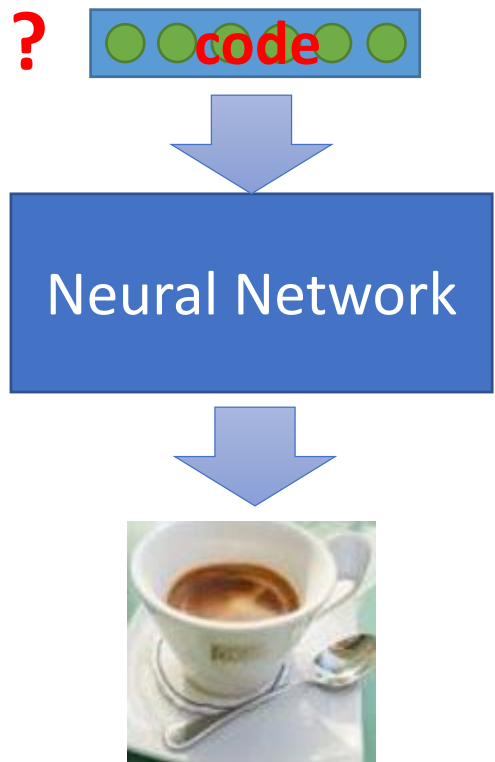
# Deep Style



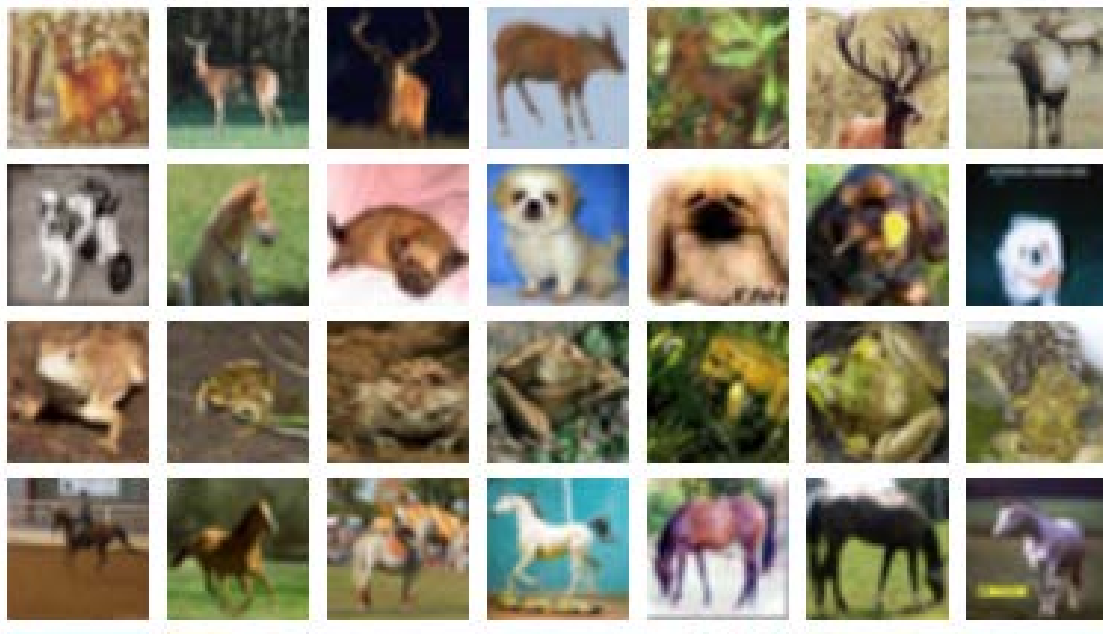


# Generating Images (無中生有)

- Training a decoder to generate images is **unsupervised**

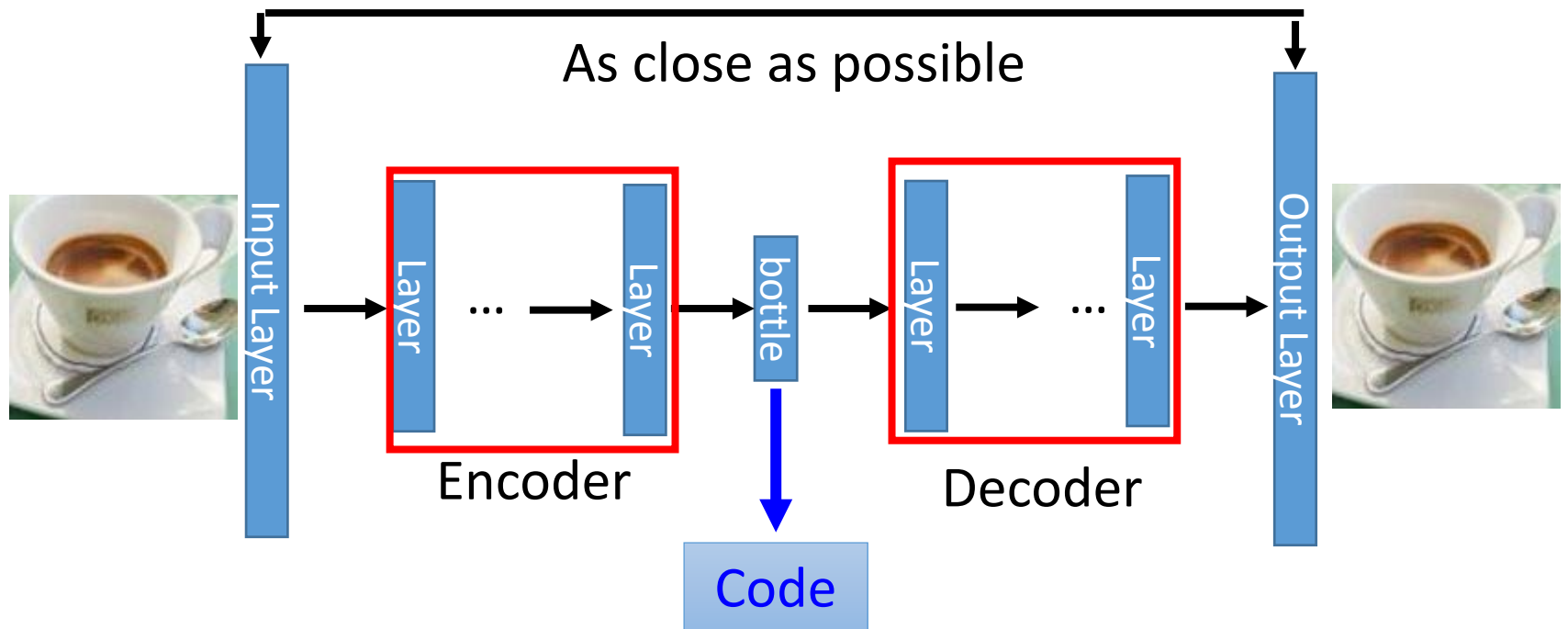
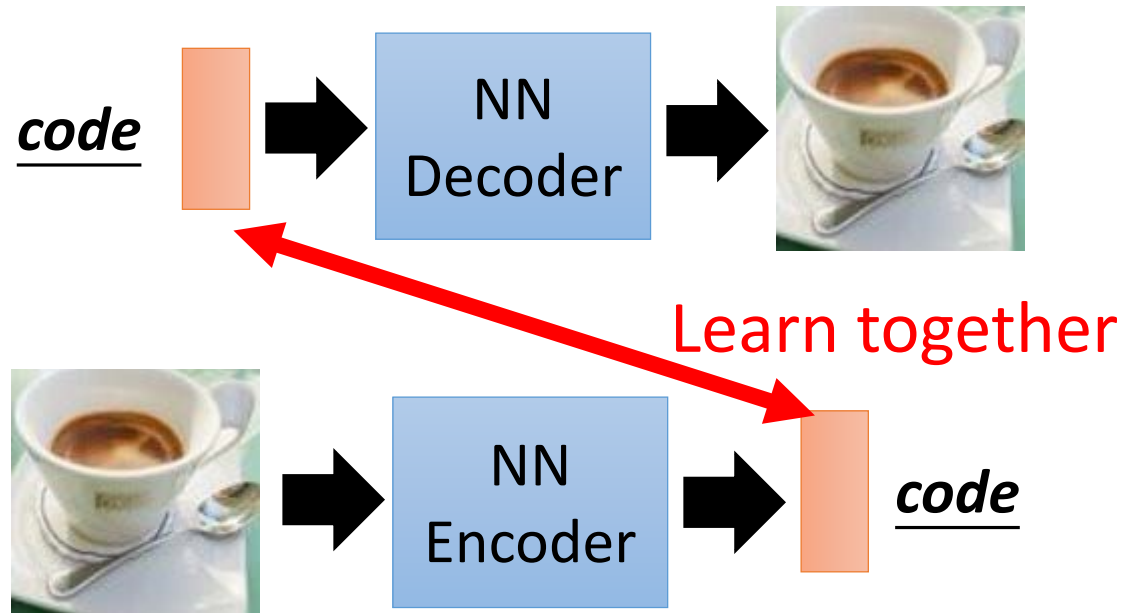


Training data is a lot of images



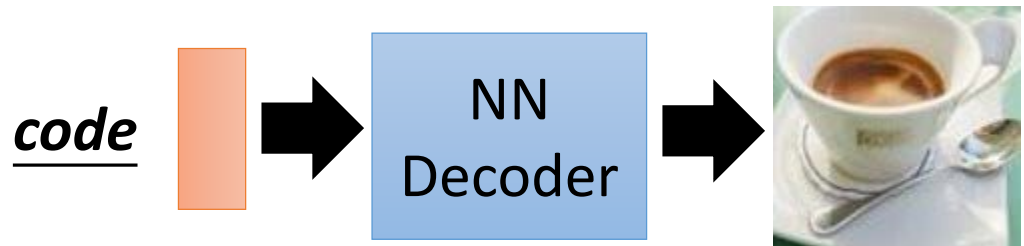
# Auto-encoder

Not state-of-the-art approach



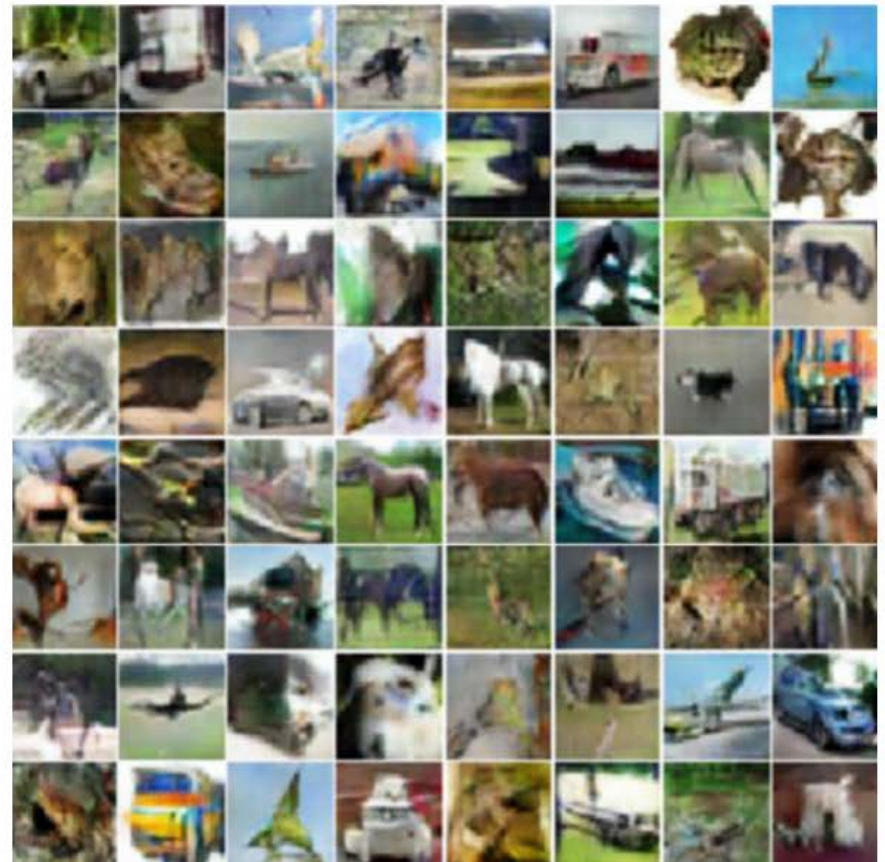
# Generating Images

- Training a decoder to generate images is **unsupervised**
- Variation Auto-encoder (VAE)
  - Ref: **Auto-Encoding Variational Bayes**, <https://arxiv.org/abs/1312.6114>
- Generative Adversarial Network (GAN)
  - Ref: **Generative Adversarial Networks**, <http://arxiv.org/abs/1406.2661>



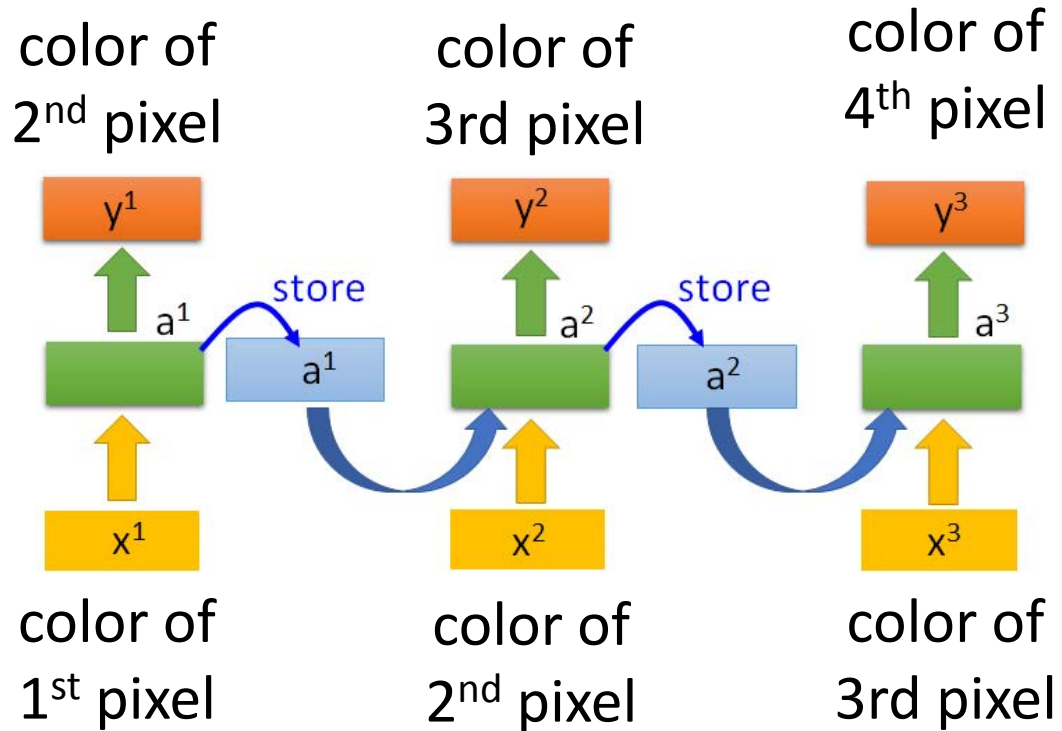


# Which one is machine-generated?



Ref: <https://openai.com/blog/generative-models/>

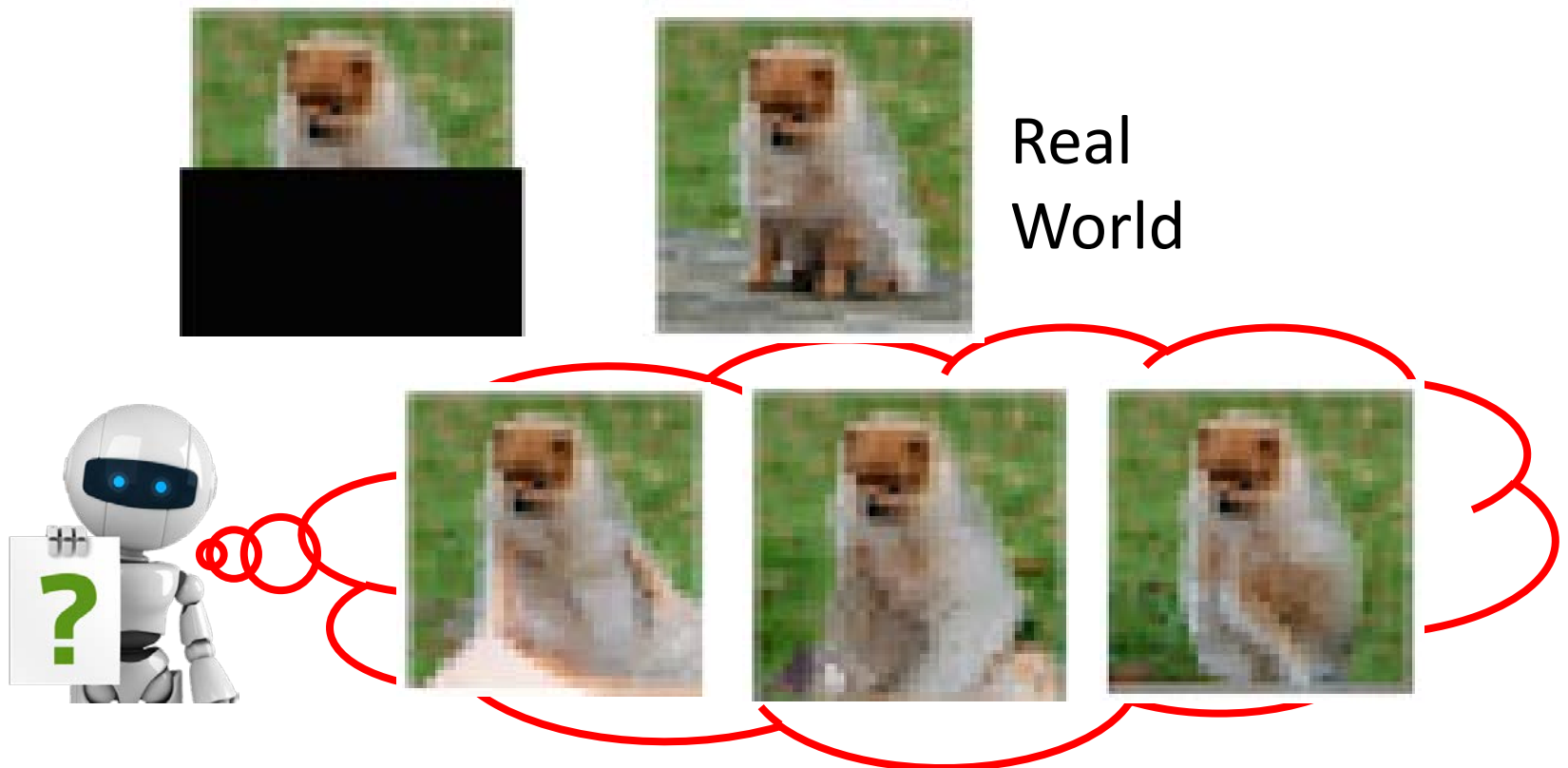
# Generating Images by RNN



# Generating Images by RNN

- **Pixel Recurrent Neural Networks**

- <https://arxiv.org/abs/1601.06759>



# Outline

Ultra Deep Network

Attention Model

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Understanding the Meaning of Words

Why Deep?

# Machine Reading

- Machine learn the meaning of words from reading a lot of documents without supervision

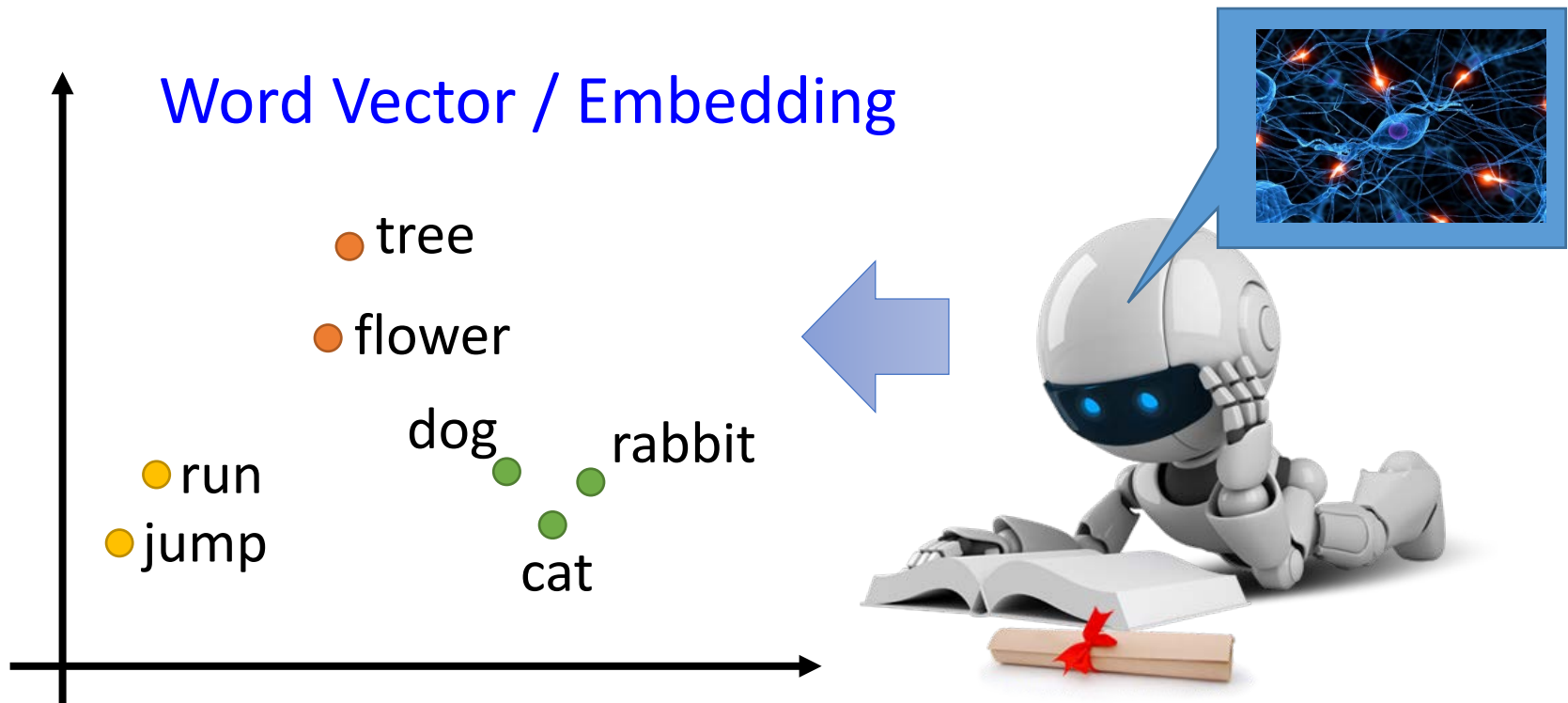


<http://top-breaking-news.com/>



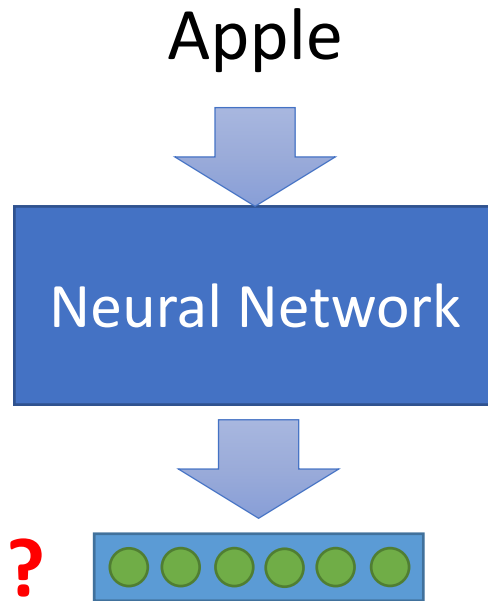
# Machine Reading

- Machine learn the meaning of words from reading a lot of documents without supervision



# Machine Reading

- Generating Word Vector/Embedding is **unsupervised**



Training data is a lot of text



# Machine Reading

- Machine learn the meaning of words from reading a lot of documents without supervision
- A word can be understood by its context

蔡英文、馬英九 are something very similar

You shall know a word by the company it keeps

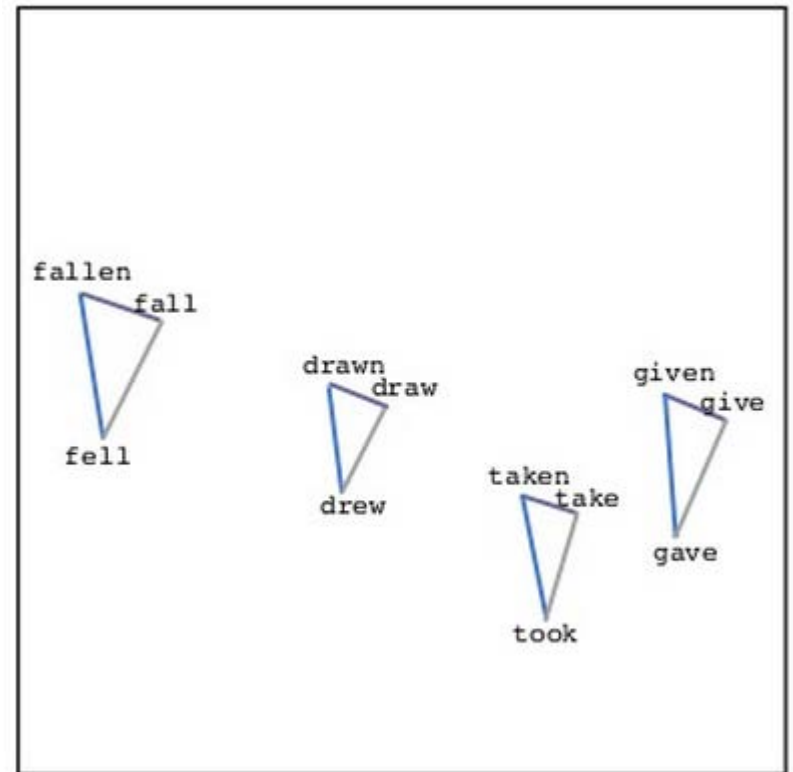
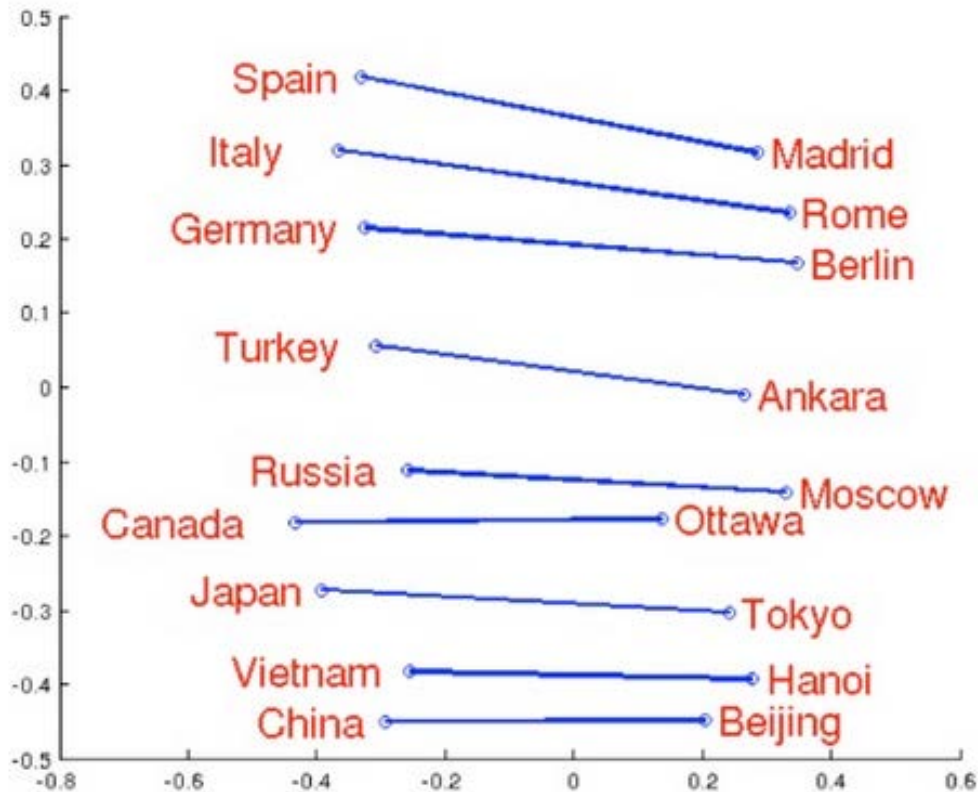
馬英九 520宣誓就職

蔡英文 520宣誓就職





# Word Vector



Source: <http://www.slideshare.net/hustwj/cikm-keynotenov2014>

# Word Vector

$$\approx V(Berlin) - V(Rome) + V(Italy) + V(Germany)$$

- Characteristics

$$V(hotter) - V(hot) \approx V(bigger) - V(big)$$

$$V(Rome) - V(Italy) \approx V(Berlin) - V(Germany)$$

$$V(king) - V(queen) \approx V(uncle) - V(aunt)$$

- Solving analogies

Rome : Italy = Berlin : ?

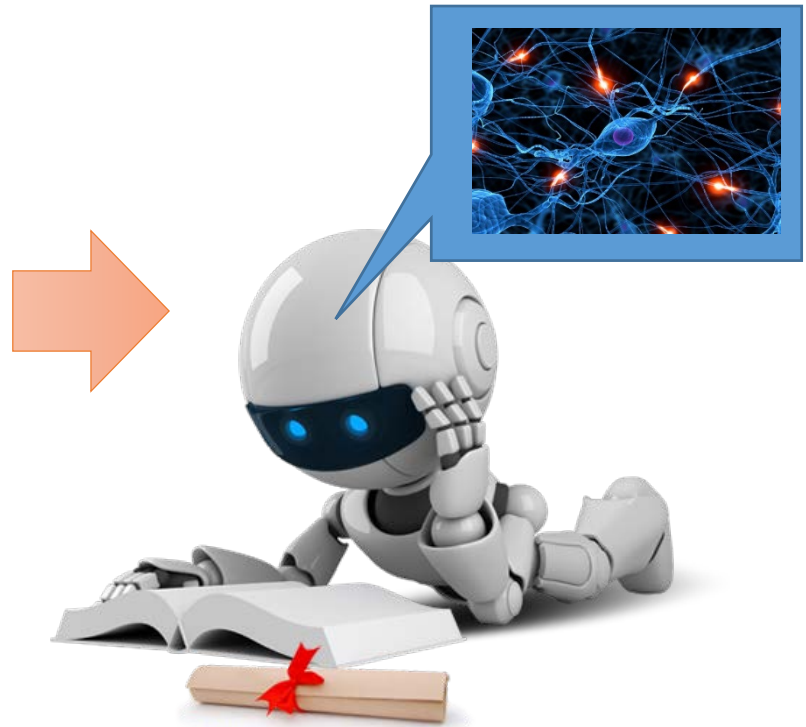
Compute  $V(Berlin) - V(Rome) + V(Italy)$   
Find the word  $w$  with the closest  $V(w)$

# Machine Reading

- Machine learn the meaning of words from reading a lot of documents without supervision



Machine learns to understand 鄉民用語 via reading the posts on PTT



# Demo

- Model used in demo is provided by 陳仰德
- Part of the project done by 陳仰德、林資偉
- TA: 劉元銘
- Training data is from PTT (collected by 葉青峰)

# Outline

Ultra Deep Network

Attention Model

Reinforcement Learning

Realizing what the World Looks Like

Understanding the Meaning of Words

Why Deep?

# Deeper is Better?

Layer X Size	Word Error Rate (%)
1 X 2k	24.2
2 X 2k	20.4
3 X 2k	18.4
4 X 2k	17.8
5 X 2k	17.2
7 X 2k	17.1

Not surprised, more parameters, better performance

Seide, Frank, Gang Li, and Dong Yu. "Conversational Speech Transcription Using Context-Dependent Deep Neural Networks." *Interspeech*. 2011.

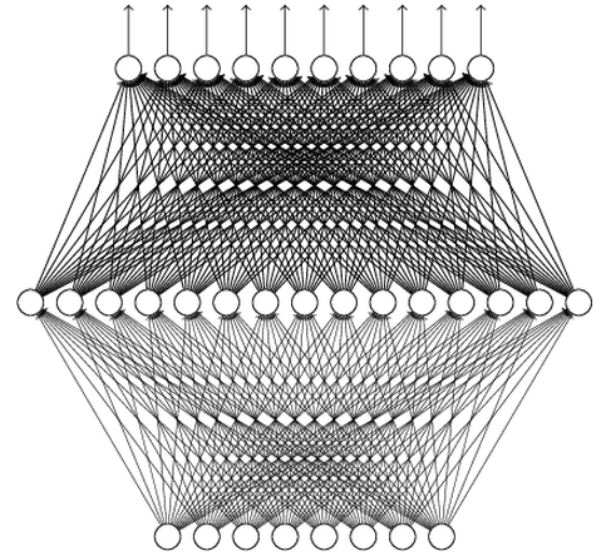
# Universality Theorem

Any continuous function  $f$

$$f : R^N \rightarrow R^M$$

Can be realized by a network  
with one hidden layer

(given **enough** hidden  
neurons)

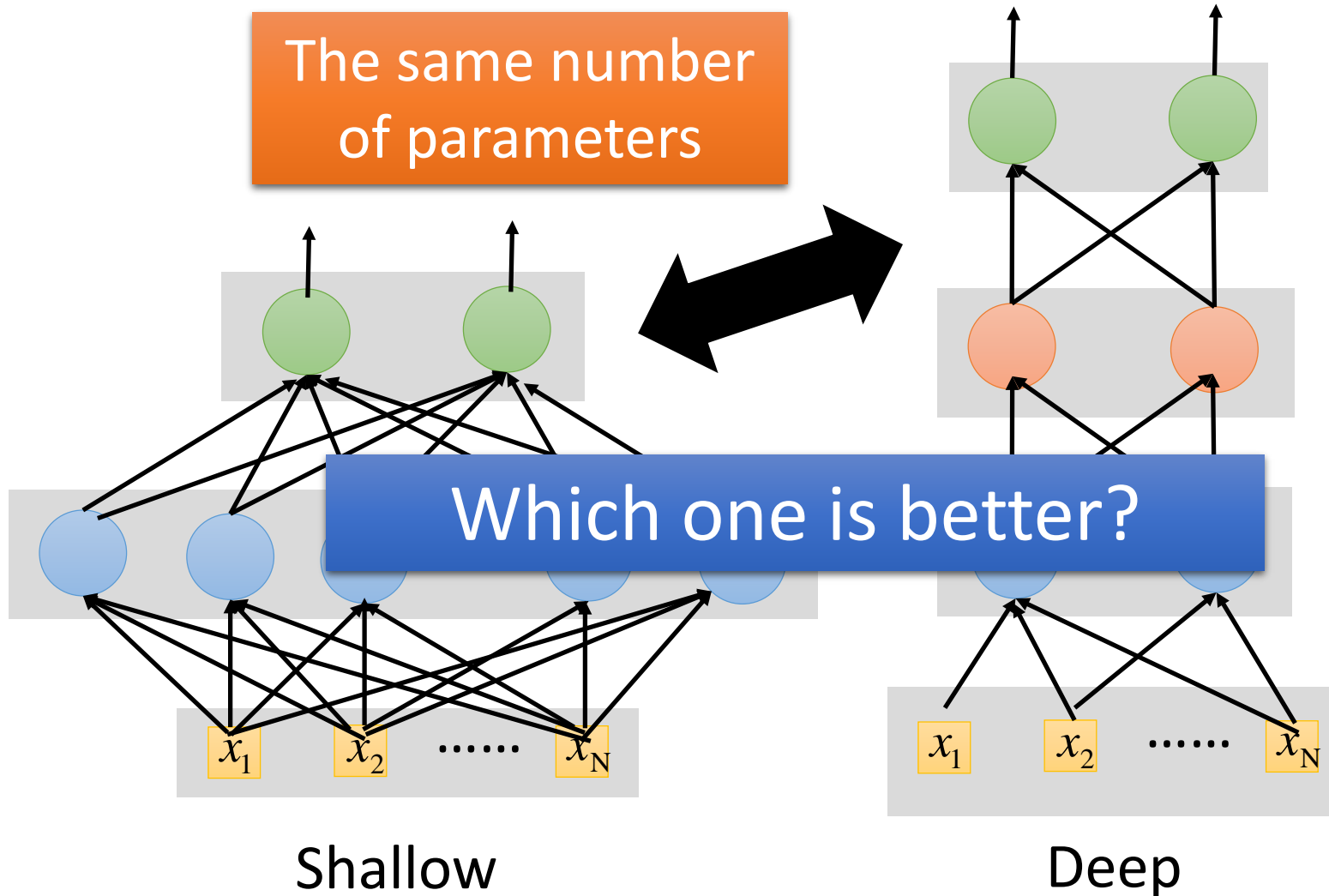


Reference for the reason:

<http://neuralnetworksanddeeplearning.com/chap4.html>

Why “Deep” neural network not “Fat” neural network?

# Fat + Short v.s. Thin + Tall





# Fat + Short v.s. Thin + Tall

Layer X Size	Word Error Rate (%)	Layer X Size	Word Error Rate (%)
1 X 2k	24.2		
2 X 2k	20.4		
3 X 2k	18.4		
4 X 2k	17.8		
5 X 2k	17.2	1 X 3772	22.5
7 X 2k	17.1	1 X 4634	22.6
		1 X 16k	22.1

Seide, Frank, Gang Li, and Dong Yu. "Conversational Speech Transcription Using Context-Dependent Deep Neural Networks." *Interspeech*. 2011.

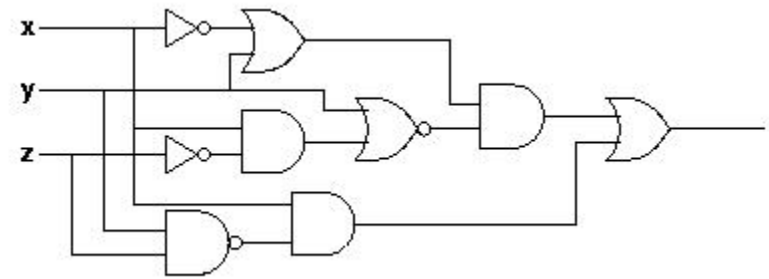
# Analogy

## Logic circuits

- Logic circuits consists of **gates**
- **A two layers of logic gates** can represent **any Boolean function**.
- Using multiple layers of logic gates to build some functions are much simpler



less gates needed



## Neural network

- Neural network consists of **neurons**
- **A hidden layer network** can represent **any continuous function**.
- Using multiple layers of neurons to represent some functions are much simpler



less  
parameters

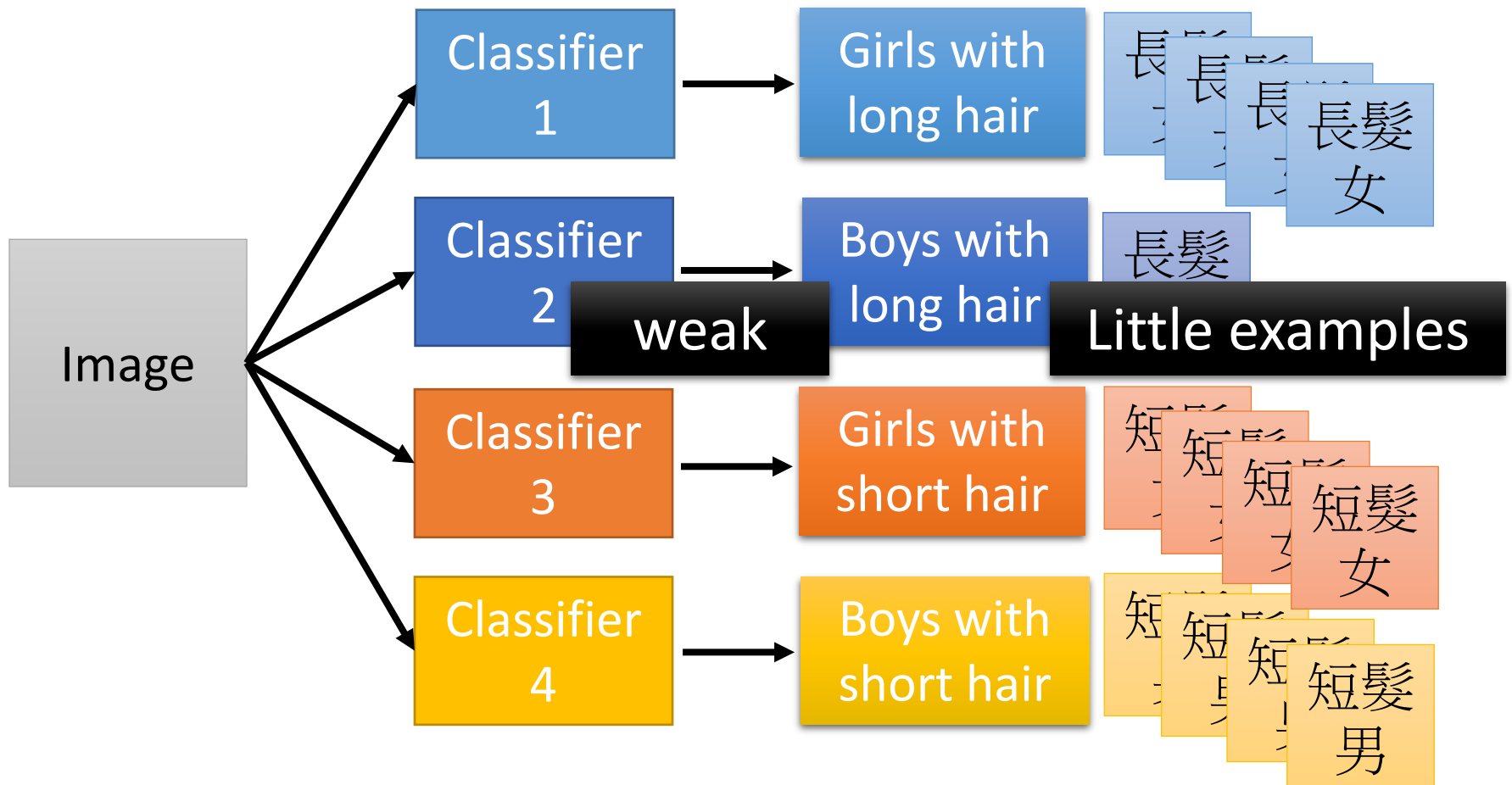


less  
data?

This page is for EE background.

# Modularization

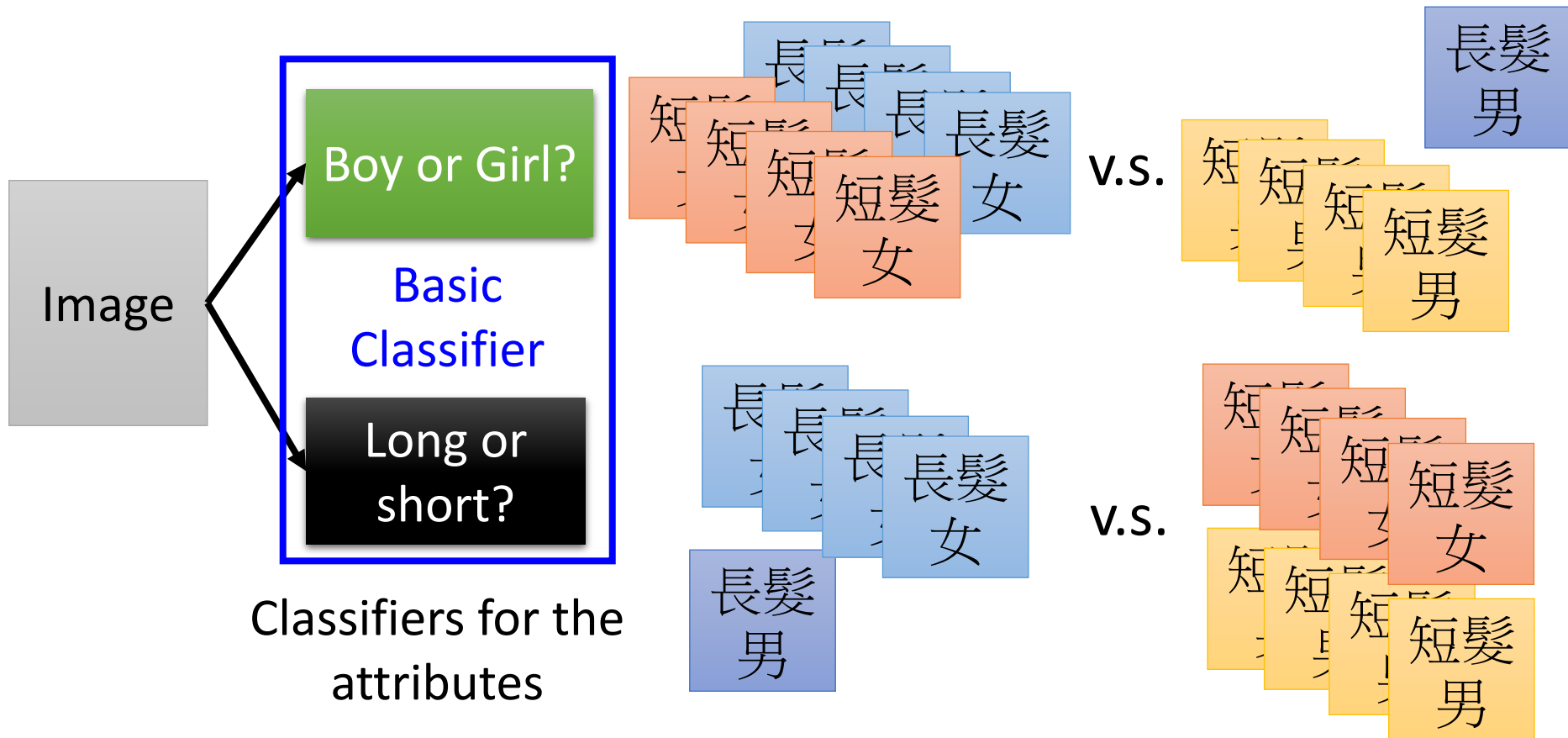
- Deep  $\rightarrow$  Modularization



# Modularization

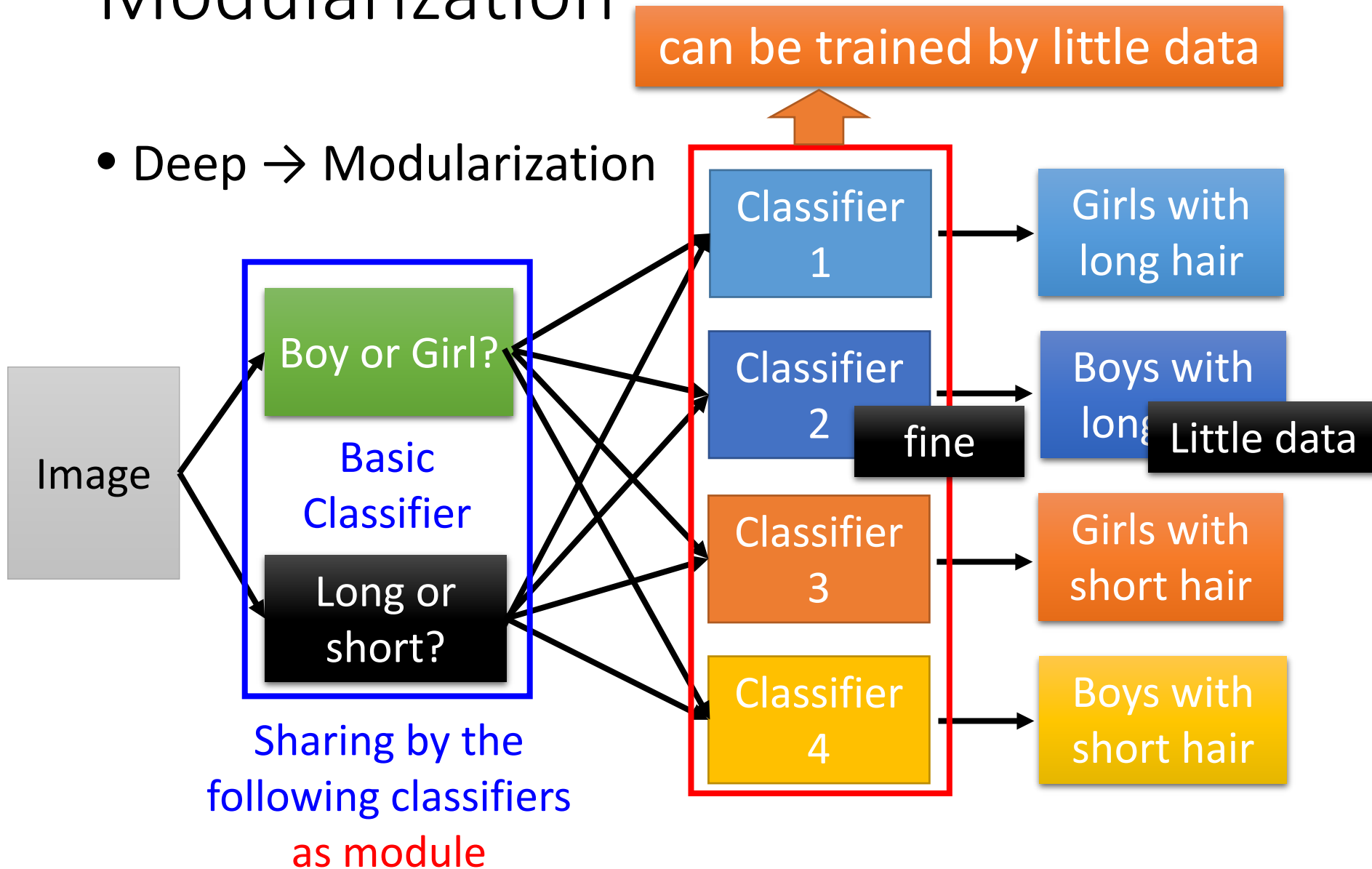
Each basic classifier can have sufficient training examples.

- Deep → Modularization



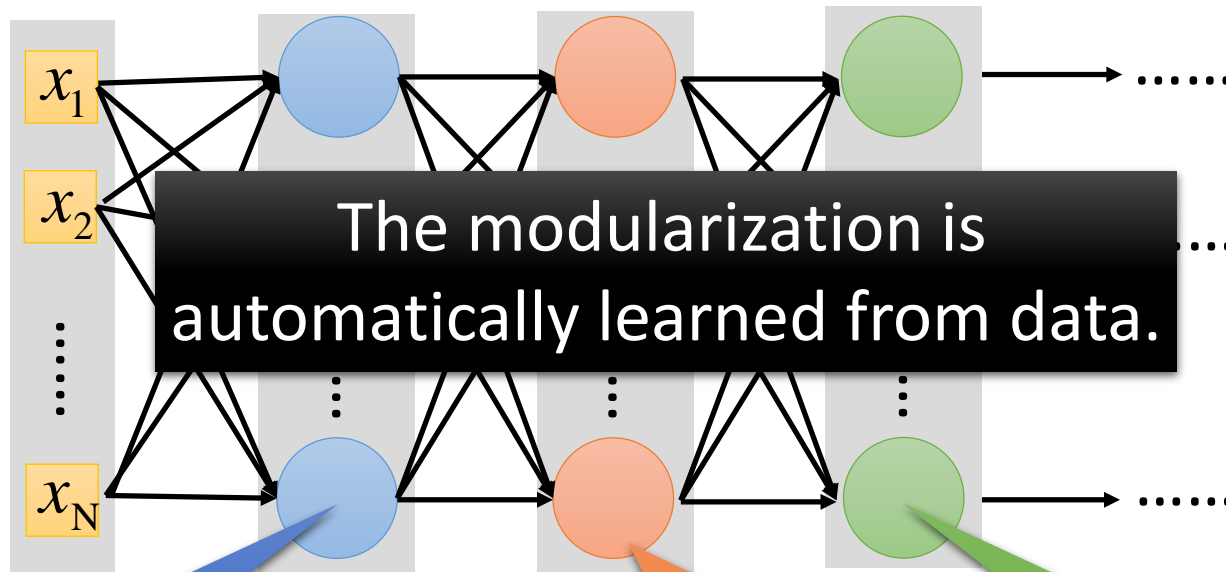
# Modularization

- Deep → Modularization



# Modularization

- Deep → Modularization → Less training data?



The most basic classifiers

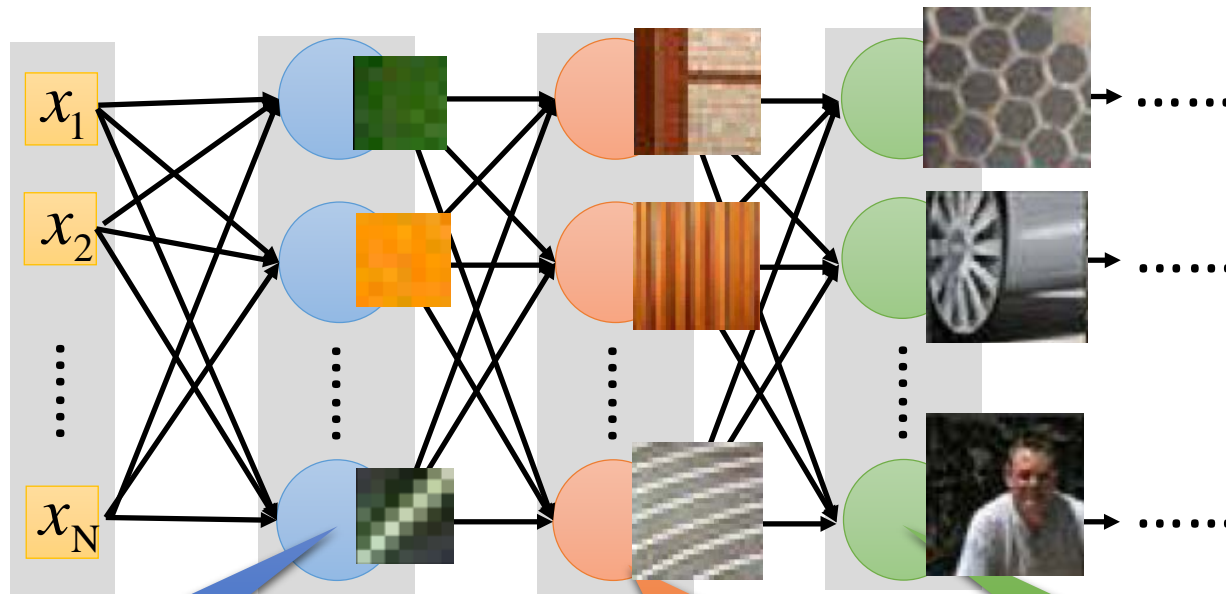
Use 1<sup>st</sup> layer as module to build classifiers

Use 2<sup>nd</sup> layer as module .....

# Modularization

Reference: Zeiler, M. D., & Fergus, R. (2014). Visualizing and understanding convolutional networks. In *Computer Vision—ECCV 2014* (pp. 818-833)

- Deep  $\rightarrow$  Modularization

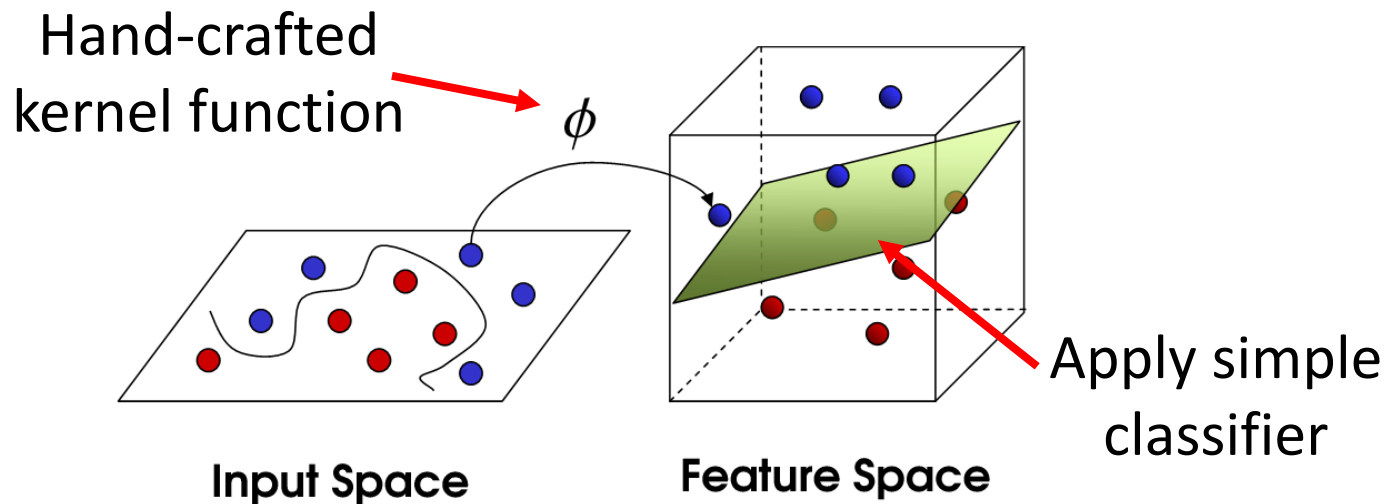


The most basic  
classifiers

Use 1<sup>st</sup> layer as module  
to build classifiers

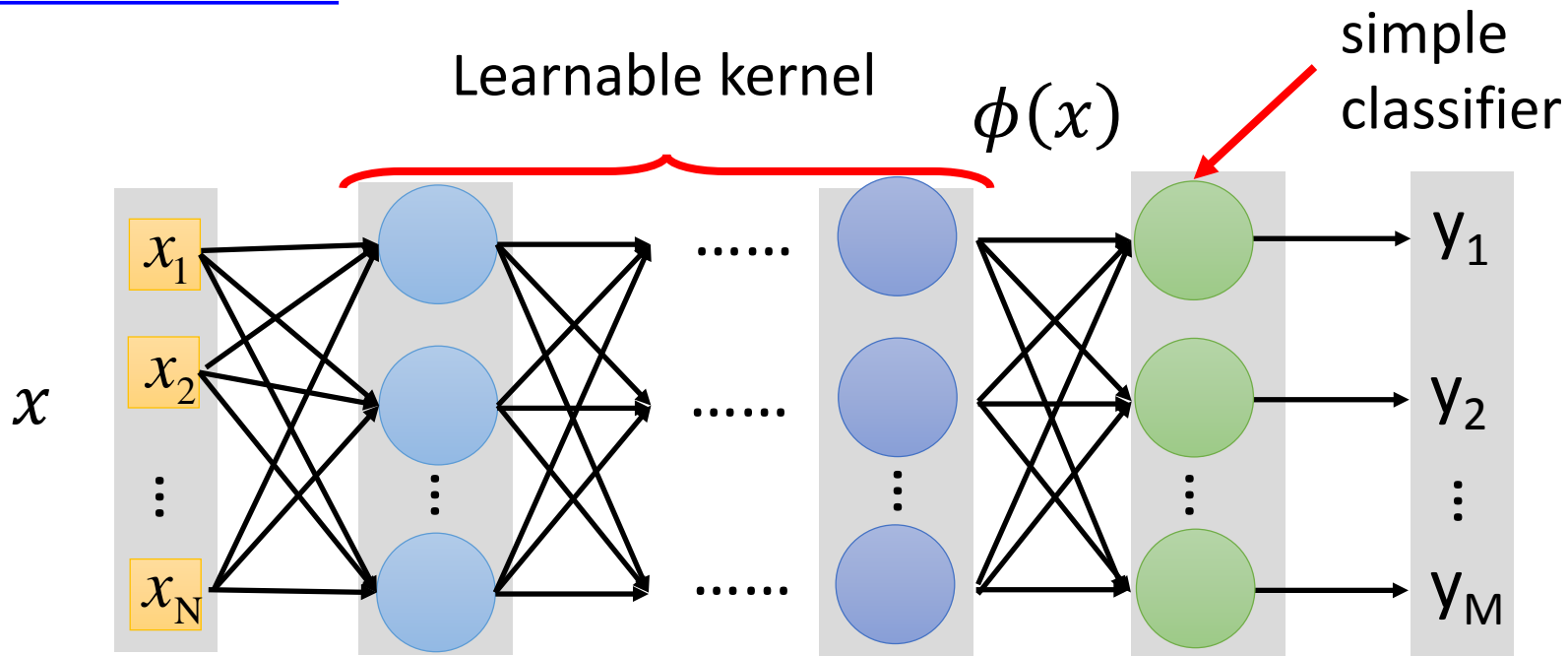
Use 2<sup>nd</sup> layer as  
module .....

## SVM



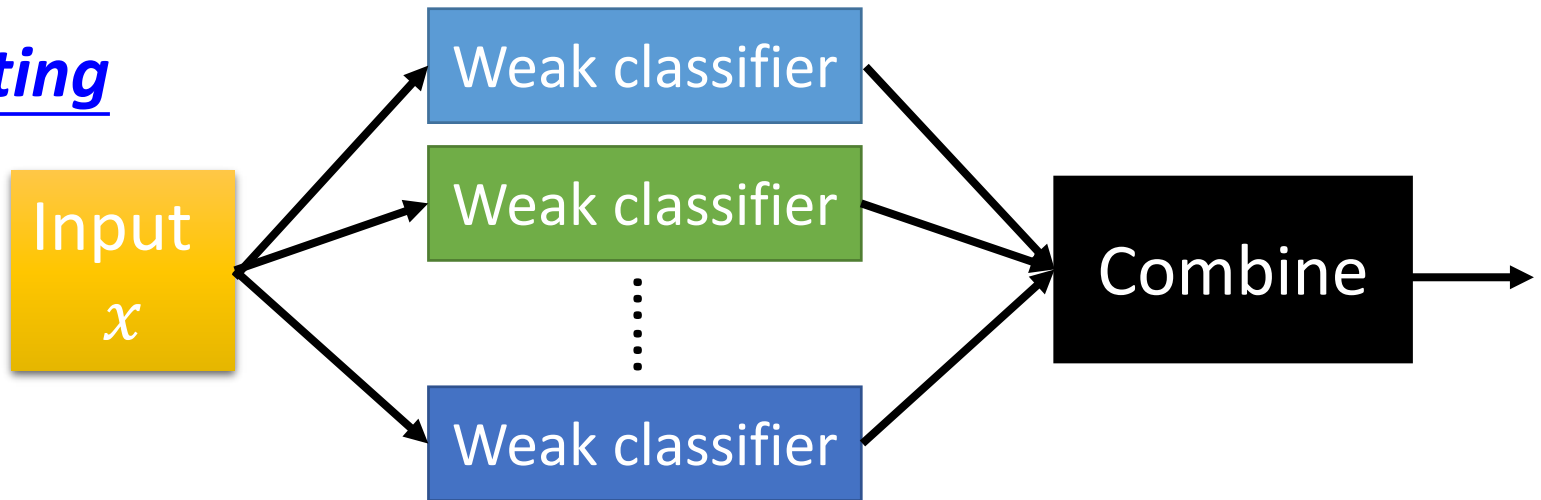
Source of image: [http://www.gipsa-lab.grenoble-inp.fr/transfert/seminaire/455\\_Kadri2013Gipsa-lab.pdf](http://www.gipsa-lab.grenoble-inp.fr/transfert/seminaire/455_Kadri2013Gipsa-lab.pdf)

## Deep Learning

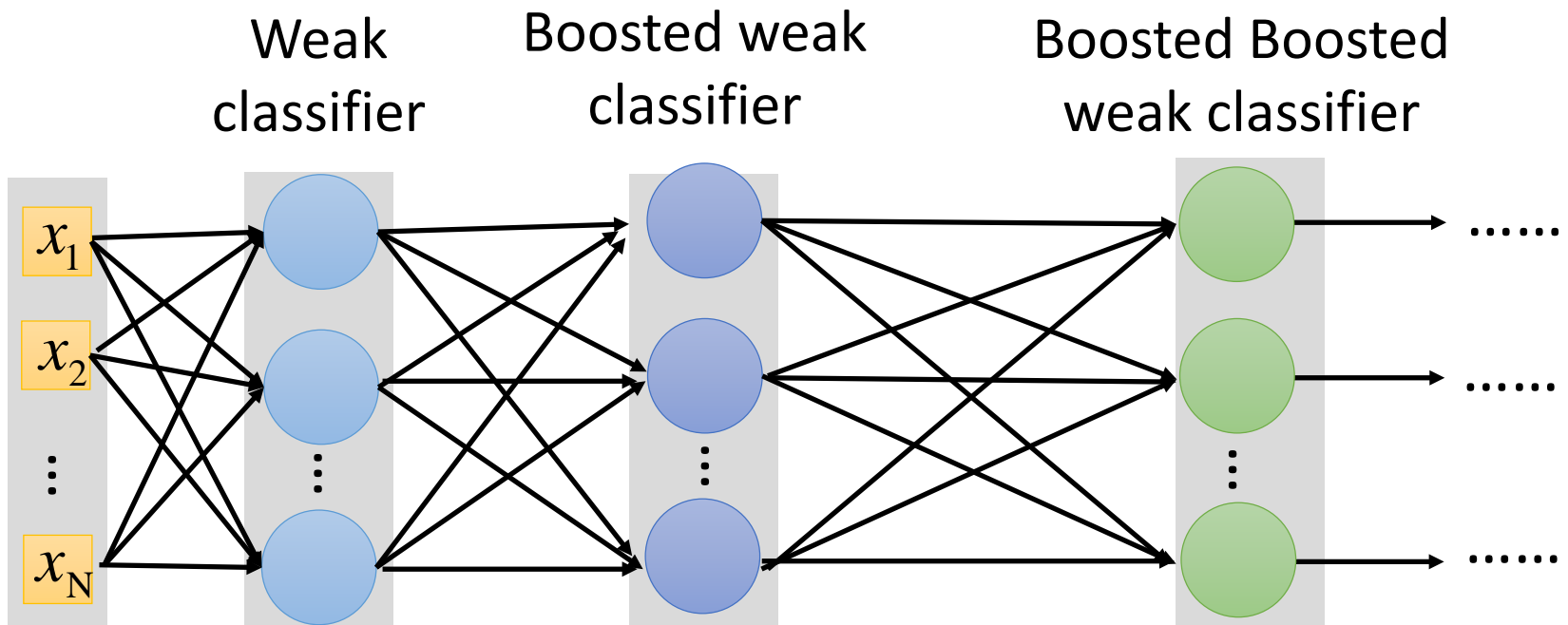




## Boosting



## Deep Learning



# More Reasons

- Do Deep Nets Really Need To Be Deep? (by Rich Caruana)
- <http://research.microsoft.com/apps/video/default.aspx?id=232373&r=1>

Do deep nets really  
need to be deep?

Rich Caruana  
Microsoft Research

Lei Jimmy Ba  
MSR Intern, University of Toronto

*Thanks also to: Gregor Urban, Krzysztof Geras, Samira Kahou, Abdelrahman Mohamed,  
Jinyu Li, Rui Zhao, Jui-Ting Huang, and Yifan Gong*

Yes!

Thank You

Any Questions?

# Concluding Remarks

# Today's Lecture

Lecture I: Introduction of Deep Learning



```
graph TD; A[Lecture I: Introduction of Deep Learning] --> B[Lecture II: Tips for Training Deep Neural Network]; B --> C[Lecture III: Variants of Neural Network]; C --> D[Lecture IV: Next Wave];
```

Lecture II: Tips for Training Deep Neural Network

Lecture III: Variants of Neural Network

Lecture IV: Next Wave

# Some Opinions

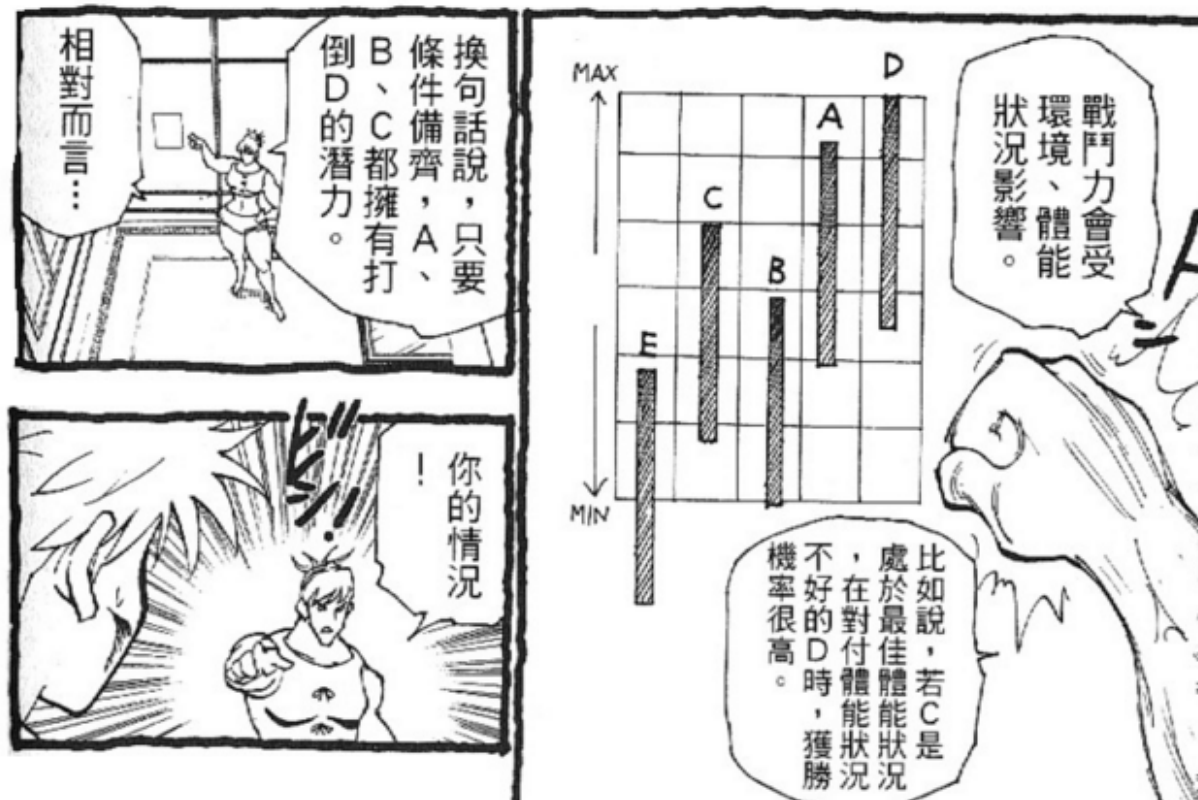
- Also learn other machine learning methods

不想知道 Deep Learning 以外的方法



# Some Opinions

- In some situations, the simpler machine learning methods can be very powerful.



# Some Opinions

<http://www.baike.com/gwiki/%E5%AF%92%E6%AD%A6%E7%BA%AA%E5%A4%A7%E7%88%86%E5%8F%91>

- 寒武纪大爆炸



已經有一些生物滅絕了

# Some Opinions

- Deep Learning is still at the phase of “神農嘗百草”
- Lots of questions still do not have answers
- However, probably also easy to enter



[http://orchid.shu.edu.tw/upload/article/20110927181605\\_1\\_pic.png](http://orchid.shu.edu.tw/upload/article/20110927181605_1_pic.png)



# 如果你想 “深度學習 深度學習”

- “Neural Networks and Deep Learning”
  - written by Michael Nielsen
  - <http://neuralnetworksanddeeplearning.com/>
- “Deep Learning”
  - Written by Yoshua Bengio, Ian J. Goodfellow and Aaron Courville
  - <http://www.iro.umontreal.ca/~bengioy/dlbook/>
- Course: Machine learning and having it deep and structured
  - [http://speech.ee.ntu.edu.tw/~tlkagk/courses\\_MLSD15\\_2.html](http://speech.ee.ntu.edu.tw/~tlkagk/courses_MLSD15_2.html)

# 給資料科學愛好者

- 台大電機系於台大電信所成立「資料科學與智慧網路組」，開始招收碩、博士生
- 今年秋天開始報名

Kibana  
Machine Learning  
Elasticsearch Deep Learning  
NTU GICE Data Scientist Logstash  
Machine Learning Python  
Data Science and Deep Learning Kibana  
Smart Networking Logstash  
Data Scientist Deep Learning  
Machine Learning  
Python